

STATE OF ALASKA Department of Natural Resources Support Services Division 550 W. 7th Ave., Suite 1230 Anchorage, AK 99501-3564

Request For Proposals RFP Number 2015-1000-3133 ASP Number 10-15-047

Date of Issue: May 15, 2015

Title and Purpose of RFP: Ground Control Data for Aerial Survey of Western Alaska

Offerors Are Not Required To Return This Form.

<u>Important Notice</u>: If you received this solicitation from the State of Alaska's "Online Public Notice" web site, you must register with the procurement officer listed in this document to receive subsequent amendments. Failure to contact the procurement officer may result in the rejection of your offer.

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SECTION ONE INTRODUCTION AND INSTRUCTIONS

1.01 Return Mailing Address, Contact Person, Telephone, Fax Numbers and Deadline for Receipt of Proposals

Offerors must submit four hard copies of their proposal, in writing, to the procurement officer in a sealed package. The cost proposal included with the package must be sealed separately from the rest of the proposal and must be clearly identified. The sealed proposal package(s) must be addressed as follows for U.S. Mail and a delivery service:

Marlys Hagen, C.P.M., CPPB, CPPO Procurement Officer Department of Natural Resources 550 W. 7th Ave., Suite 1230 Anchorage, AK 99501-3564

Proposals must be received no later than 4:00 P.M., Alaska Time on **June 5, 2015**. Faxed or emailed proposals are acceptable but not encouraged. Oral proposals are not acceptable.

If submitting a faxed proposal, it is the offeror's responsibility to contact the issuing agency at **(907) 269-8663** to make arrangements prior to faxing the proposal and to confirm that the proposal has been received.

If submitting a proposal via email, the technical proposal and cost proposal must be saved as separate PDF documents and emailed to **linda.erickson1@alaska.gov** as separate, clearly labeled attachments, such as "Vendor A – Technical Proposal.pdf" and "Vendor A – Cost Proposal.pdf" (Vendor A is the name of the offeror). The email must contain the RFP number in the subject line.

The **maximum** size of a single email (including all text and attachments) that can be received by the state is **20mb (megabytes)**. If the email containing the proposal exceeds this size, the proposal must be sent in multiple emails that are each less than 20 megabytes and each email must comply with the requirements described above. It is the offeror's responsibility to contact the issuing agency at **(907) 269-8663** to confirm that the proposal has been received. The state is not responsible for unreadable, corrupt, or missing attachments.

An offeror's failure to submit its proposal prior to the deadline will cause the proposal to be disqualified. Late proposals or amendments will not be opened or accepted for evaluation.

PROCUREMENT OFFICER: *Marlys Hagen* – PHONE **907-269-8666** - FAX **907-269-8909R** - TDD **907-269-8411**

1.02 Contract Term and Work Schedule

The contract term and work schedule set out herein represents the State of Alaska's best estimate of the schedule that will be followed. If a component of this schedule, such as the deadline for receipt of proposals, is delayed, the rest of the schedule will be shifted by the same number of days.

The length of the contract will be from the date of award, approximately *June 19, 2015*, until completion, approximately *September 11, 2015*.

Unless otherwise provided in this RFP, the State and the successful offeror/contractor agree: (1) that any holding over of the contract excluding any exercised renewal options, will be considered as a month-to-month extension, and all other terms and conditions shall remain in full force and effect and (2) to provide written notice to the other party of the intent to cancel such month-to-month extension at least 30-days before the desired date of cancellation.

The approximate contract schedule is as follows:

- Issue RFP May 15, 2015,
- Deadline for Receipt of Proposals June 5, 2015,
- Proposal Evaluation Committee complete evaluation by June 9, 2015,
- State of Alaska issues Notice of Intent to Award a Contract June 9, 2015,
- State of Alaska issues contract June 23, 2015,
- Contract start *June 23, 2015*,
- Contractor submits project execution plan 2 weeks after contract award
- Preliminary Coordinate File As soon as possible after survey completion
- Final product delivery report Not later than September 11, 2015

1.03 Purpose of the RFP

The Department of Natural Resources, Division of Geological and Geophysical Surveys, is soliciting proposals for photo-identifiable ground control points from an approximately 3,500 km coastal study area in the Norton Sound and Yukon-Kuskokwim Delta region. <u>Please note:</u> DNR will issue another RFP shortly for the aerial survey work. Offerors will <u>not</u> be permitted to perform both contracts.

1.04 Budget

The Department of Natural Resources, Division of Geological and Geophysical Surveys estimates a budget of between \$150,000 to \$400,000 for completion of this project.

1.05 Location of Work

The location(s) the work is to be performed, completed and managed mainly from the Contractor's location, other than any required field work.

The state will not provide workspace for the contractor. The contractor must provide its own workspace.

By signature on their proposal, the offeror certifies that all services provided under this contract by the contractor and all subcontractors shall be performed in the United States.

If the offeror cannot certify that all work will be performed in the United States, the offeror must contact the procurement officer in writing to request a waiver at least 10 days prior to the deadline for receipt of proposals.

The request must include a detailed description of the portion of work that will be performed outside the United States, where, by whom, and the reason the waiver is necessary.

Failure to comply with these requirements may cause the state to reject the proposal as non-responsive, or cancel the contract.

1.06 Human Trafficking

By signature on their proposal, the offeror certifies that the offeror is not established and headquartered or incorporated and headquartered in a country recognized as Tier 3 in the most recent United States Department of State's Trafficking in Persons Report.

The most recent United States Department of State's Trafficking in Persons Report can be found at the following website: http://www.state.gov/j/tip/

Failure to comply with this requirement will cause the state to reject the proposal as non-responsive, or cancel the contract.

1.07 Assistance to Offerors with a Disability

Offerors with a disability may receive accommodation regarding the means of communicating this RFP or participating in the procurement process. For more information, contact the procurement officer no later than ten days prior to the deadline for receipt of proposals.

1.08 Required Review

Offerors should carefully review this solicitation for defects and questionable or objectionable material. Comments concerning defects and objectionable material must be made in writing and received by the procurement officer at least ten days before the deadline for receipt of proposals. This will allow time for the issuance of any necessary amendments. It will also help prevent the opening of a defective solicitation and exposure of offeror's proposals upon which award could not be made. Protests based on any omission or error, or on the content of the solicitation, will be disallowed if these faults have not been brought to the attention of the procurement officer, in writing, at least ten days before the deadline for receipt of proposals.

1.09 Questions Received Prior to Deadline for Receipt of Proposals

All questions must be in writing and directed to the issuing office, addressed to the procurement officer. The interested party must confirm telephone conversations in writing.

Two types of questions generally arise. One may be answered by directing the questioner to a specific section of the RFP. These questions may be answered over the telephone. Other questions may be more complex and may require a written amendment to the RFP. The procurement officer will make that decision.

1.10 Amendments

If an amendment is issued, it will be provided to all who were mailed a copy of the RFP and to those who have registered with the procurement officer after receiving the RFP from the State of Alaska Online Public Notice web site.

1.11 Alternate Proposals

Offerors may only submit one proposal for evaluation.

In accordance with 2 AAC 12.830 alternate proposals (proposals that offer something different than what is asked for) will be rejected.

1.12 Right of Rejection

Offerors must comply with all of the terms of the RFP, the State Procurement Code (AS 36.30), and all applicable local, state, and federal laws, codes, and regulations. The procurement officer may reject any proposal that does not comply with all of the material and substantial terms, conditions, and performance requirements of the RFP.

Offerors may not qualify the proposal nor restrict the rights of the state. If an offeror does so, the procurement officer may determine the proposal to be a non-responsive counter-offer and the proposal may be rejected.

Minor informalities that:

- do not affect responsiveness;
- are merely a matter of form or format;
- do not change the relative standing or otherwise prejudice other offers;
- do not change the meaning or scope of the RFP;
- are trivial, negligible, or immaterial in nature;
- do not reflect a material change in the work; or
- do not constitute a substantial reservation against a requirement or provision;

may be waived by the procurement officer.

The state reserves the right to refrain from making an award if it determines that to be in its best interest. A proposal from a debarred or suspended offeror shall be rejected.

1.13 State Not Responsible for Preparation Costs

The state will not pay any cost associated with the preparation, submittal, presentation, or evaluation of any proposal.

1.14 Disclosure of Proposal Contents

All proposals and other material submitted become the property of the State of Alaska and may be returned only at the state's option. AS 40.25.110 requires public records to be open to reasonable inspection. All proposal information, including detailed price and cost information, will be held in confidence during the evaluation process and prior to the time a Notice of Intent to Award is issued. Thereafter, proposals will become public information.

Trade secrets and other proprietary data contained in proposals may be held confidential if the offeror requests, in writing, that the procurement officer does so, and if the procurement officer agrees, in writing, to do so. The offeror's request must be included with the proposal, must clearly identify the information they wish to be held confidential, and include a statement that sets out the reasons for confidentiality. Unless the procurement officer agrees in writing to hold the requested information confidential, that information will also become public after the Notice of Intent to Award is issued.

1.15 Subcontractors

Subcontractors may be used to perform work under this contract. If an offeror intends to use subcontractors, the offeror must identify in the proposal the names of the subcontractors and the portions of the work the subcontractors will perform.

If a proposal with subcontractors is selected, the offeror must provide the following information concerning each prospective subcontractor within five working days from the date of the state's request:

- (a) complete name of the subcontractor;
- (b) complete address of the subcontractor;
- (c) type of work the subcontractor will be performing;
- (d) percentage of work the subcontractor will be providing;
- (e) evidence that the subcontractor holds a valid Alaska business license; and
- (f) a written statement, signed by each proposed subcontractor that clearly verifies that the subcontractor is committed to render the services required by the contract.

An offeror's failure to provide this information, within the time set, may cause the state to consider their proposal non-responsive and reject it. The substitution of one subcontractor for another may be made only at the discretion and prior written approval of the project director.

1.16 Joint Ventures

Joint ventures will not be allowed.

1.17 Offeror's Certification

By signature on the proposal, offerors certify that they comply with the following:

- (a) the laws of the State of Alaska;
- (b) the applicable portion of the Federal Civil Rights Act of 1964;
- (c) the Equal Employment Opportunity Act and the regulations issued thereunder by the federal government;
- (d) the Americans with Disabilities Act of 1990 and the regulations issued thereunder by the federal government;
- (e) all terms and conditions set out in this RFP;
- (f) a condition that the proposal submitted was independently arrived at, without collusion, under penalty of perjury;
- (g) that the offers will remain open and valid for at least 90 days; and
- (h) that programs, services, and activities provided to the general public under the resulting contract conform with the Americans with Disabilities Act of 1990, and the regulations issued thereunder by the federal government.

If any offeror fails to comply with [a] through [h] of this paragraph, the state reserves the right to disregard the proposal, terminate the contract, or consider the contractor in default.

1.18 Conflict of Interest

Each proposal shall include a statement indicating whether or not the firm or any individuals working on the contract has a possible conflict of interest (e.g., currently employed by the State of Alaska or formerly employed by the State of Alaska within the past two years) and, if so, the nature of that conflict. The Commissioner of the Department of Natural Resources reserves the right to **consider a proposal non-responsive and reject it or** cancel the award if any interest disclosed from any source could either give the appearance of a conflict or cause speculation as to the objectivity of the program to be developed by the offeror. The Commissioner's determination regarding any questions of conflict of interest shall be final.

1.19 Right to Inspect Place of Business

At reasonable times, the state may inspect those areas of the contractor's place of business that are related to the performance of a contract. If the state makes such an inspection, the contractor must provide reasonable assistance.

1.20 Solicitation Advertising

Public notice has been provided in accordance with 2 AAC 12.220.

1.21 News Releases

News releases related to this RFP will not be made without prior approval of the project director.

1.22 Assignment

Per 2 AAC 12.480, the contractor may not transfer or assign any portion of the contract without prior written approval from the procurement officer.

1.23 Disputes

A contract resulting from this RFP is governed by the laws of the State of Alaska. If the contractor has a claim arising in connection with the agreement that it cannot resolve with the state by mutual agreement, it shall pursue the claim, if at all, in accordance with the provisions of AS 36.30.620 – AS 36.30.632. To the extent not otherwise governed by the preceding, the claim shall be brought only in the Superior Court of the State of Alaska and not elsewhere.

1.24 Severability

If any provision of the contract or agreement is declared by a court to be illegal or in conflict with any law, the validity of the remaining terms and provisions will not be affected; and, the rights and obligations of the parties will be construed and enforced as if the contract did not contain the particular provision held to be invalid.

1.25 Federal Requirements

The offeror must identify all known federal requirements that apply to the proposal, the evaluation, or the contract.

SECTION TWO STANDARD PROPOSAL INFORMATION

2.01 Authorized Signature

All proposals must be signed by an individual authorized to bind the offeror to the provisions of the RFP. Proposals must remain open and valid for at least 90-days from the date set as the deadline for receipt of proposals.

2.02 Pre-proposal Conference

A pre-proposal conference will not be held.

2.03 Site Inspection

The state may conduct on-site visits to evaluate the offeror's capacity to perform the contract. An offeror must agree, at risk of being found non-responsive and having its proposal rejected, to provide the state reasonable access to relevant portions of its work sites. Individuals designated by the procurement officer at the state's expense will make site inspection.

2.04 Amendments to Proposals

Amendments to or withdrawals of proposals will only be allowed if acceptable requests are received prior to the deadline that is set for receipt of proposals. No amendments or withdrawals will be accepted after the deadline unless they are in response to the state's request in accordance with 2 AAC 12.290.

2.05 Supplemental Terms and Conditions

Proposals must comply with Section **1.12 Right of Rejection**. However, if the state fails to identify or detect supplemental terms or conditions that conflict with those contained in this RFP or that diminish the state's rights under any contract resulting from the RFP, the term(s) or condition(s) will be considered null and void. After award of contract:

- a) if conflict arises between a supplemental term or condition included in the proposal and a term or condition of the RFP, the term or condition of the RFP will prevail; and
- b) if the state's rights would be diminished as a result of application of a supplemental term or condition included in the proposal, the supplemental term or condition will be considered null and void.

2.06 Clarification of Offers

In order to determine if a proposal is reasonably susceptible for award, communications by the procurement officer or the proposal evaluation committee (PEC) are permitted with an offeror to clarify uncertainties or eliminate confusion concerning the contents of a proposal. Clarifications may not result in a material or substantive change to the proposal. The evaluation by the procurement officer or the PEC may be adjusted as a result of a clarification under this section.

2.07 Discussions with Offerors

The state may conduct discussions with offerors in accordance with AS 36.30.240 and 2 AAC 12.290. The purpose of these discussions will be to ensure full understanding of the requirements of the RFP and proposal. Discussions will be limited to specific sections of the RFP or proposal identified by the procurement officer. Discussions will only be held with offerors who have submitted a proposal deemed reasonably susceptible for award by the procurement officer. Discussions, if held, will be after initial evaluation of proposals by the procurement officer or the PEC. If modifications are made as a result of these discussions they will be put in writing. Following discussions, the procurement officer may set a time for best and final proposal submissions from those offerors with whom discussions were held. Proposals may be reevaluated after receipt of best and final proposal submissions.

If an offeror does not submit a best and final proposal or a notice of withdrawal, the offeror's immediate previous proposal is considered the offeror's best and final proposal.

Offerors with a disability needing accommodation should contact the procurement officer prior to the date set for discussions so that reasonable accommodation can be made. Any oral modification of a proposal must be reduced to writing by the offeror.

2.08 Prior Experience

In order for offers to be considered responsive offerors must meet these minimum prior experience requirements.

Offerors must demonstrate in their proposal that they have provided similar services in the past or can demonstrate the ability to provide services being sought in this RFP. Demonstrated experience may include letters from former clients, a reference with valid contact information who can verify that the Offeror has done this or has the capability to perform this work on this scale, or documentation that shows the necessary facilities and personnel in place for this particular project. Any prior experience performing similar work for the State of Alaska should be described in detail.

An offeror's failure to meet these minimum prior experience requirements will cause their proposal to be considered non-responsive and their proposal will be rejected.

2.09 Evaluation of Proposals

The procurement officer, or an evaluation committee made up of at least three state employees or public officials, will evaluate proposals. The evaluation will be based solely on the evaluation factors set out in Section SEVEN of this RFP.

After receipt of proposals, if there is a need for any substantial clarification or material change in the RFP, an amendment will be issued. The amendment will incorporate the clarification or change, and a new date and time established for new or amended proposals. Evaluations may be adjusted as a result of receiving new or amended proposals.

2.10 Vendor Tax ID

A valid Vendor Tax ID must be submitted to the issuing office with the proposal or within five days of the state's request.

2.11 F.O.B. Point

All goods purchased through this contract will be F.O.B. final destination. Unless specifically stated otherwise, all prices offered must include the delivery costs to any location within the State of Alaska.

2.12 Alaska Business License and Other Required Licenses

Prior to the award of a contract, an offeror must hold a valid Alaska business license. However, in order to receive the Alaska Bidder Preference and other related preferences, such as the Alaska Veteran and Alaska Offeror Preference, an offeror must hold a valid Alaska business license prior to the deadline for receipt of proposals. Offerors should contact the Department of Commerce, Community and Economic Development, Division of Corporations, Business, and Professional Licensing, P. O. Box 110806, Juneau, Alaska 99811-0806, for information on these licenses. Acceptable evidence that the offeror possesses a valid Alaska business license may consist of any one of the following:

- (a) copy of an Alaska business license;
- (b) certification on the proposal that the offeror has a valid Alaska business license and has included the license number in the proposal;
- (c) a canceled check for the Alaska business license fee;
- (d) a copy of the Alaska business license application with a receipt stamp from the state's occupational licensing office; or
- (e) a sworn and notarized affidavit that the offeror has applied and paid for the Alaska business license.

You are not required to hold a valid Alaska business license at the time proposals are opened if you possess one of the following licenses and are offering services or supplies under that specific line of business:

- fisheries business licenses issued by Alaska Department of Revenue or Alaska Department of Fish and Game.
- liquor licenses issued by Alaska Department of Revenue for alcohol sales only,
- insurance licenses issued by Alaska Department of Commerce, Community and Economic Development, Division of Insurance, or
- Mining licenses issued by Alaska Department of Revenue.

Prior the deadline for receipt of proposals, all offerors must hold any other necessary applicable professional licenses required by Alaska Statute.

2.13 Application of Preferences

Certain preferences apply to all contracts for professional services, regardless of their dollar value. The Alaska Bidder, Alaska Veteran, and Alaska Offeror preferences are the most common preferences involved in the RFP process. Additional preferences that may apply to this procurement are listed below. Guides that contain excerpts from the relevant statutes and codes, explain when the preferences apply and provide examples of how to calculate the preferences are available at the Department of Administration, Division of General Service's web site:

http://doa.alaska.gov/dgs/policy.html

Alaska Products Preference - AS 36.30.332
Recycled Products Preference - AS 36.30.337
Local Agriculture and Fisheries Products Preference - AS 36.15.050
Employment Program Preference - AS 36.30.321(b)

Alaskans with Disabilities Preference - AS 36.30.321(d) Alaska Veteran's Preference - AS 36.30.321(f)

The Division of Vocational Rehabilitation in the Department of Labor and Workforce Development keeps a list of qualified employment programs and individuals who qualify as persons with a disability. As evidence of a business' or an individual's right to the Employment Program or Alaskans with Disabilities preferences, the Division of Vocational Rehabilitation will issue a certification letter. To take advantage of these preferences, a business or individual must be on the appropriate Division of Vocational Rehabilitation list prior to the time designated for receipt of proposals. Offerors must attach a copy of their certification letter to the proposal. An offeror's failure to provide this certification letter with their proposal will cause the state to disallow the preference.

2.14 5 Percent Alaska Bidder Preference AS 36.30.321(a), AS 36.30.990(2), & 2 AAC 12.260

An Alaska Bidder Preference of five percent will be applied to the price in the proposal. The preference will be given to an offeror who:

- (1) holds a current Alaska business license prior to the deadline for receipt of proposals;
- (2) submits a proposal for goods or services under the name appearing on the offeror's current Alaska business license;
- (3) has maintained a place of business within the state staffed by the offeror, or an employee of the offeror, for a period of six months immediately preceding the date of the proposal;
- (4) is incorporated or qualified to do business under the laws of the state, is a sole proprietorship and the proprietor is a resident of the state, is a limited liability company (LLC) organized under AS 10.50 and all members are residents of the state, or is a partnership under AS 32.06 or AS 32.11 and all partners are residents of the state; and
- (5) if a joint venture, is composed entirely of ventures that qualify under (1)-(4) of this subsection.

Alaska Bidder Preference Affidavit

In order to receive the Alaska Bidder Preference, the proposal must include a statement certifying that the offeror is eligible to receive the Alaska Bidder Preference.

If the offeror is a LLC or partnership as identified in (4) of this subsection, the affidavit must also identify each member or partner and include a statement certifying that all members or partners are residents of the state.

If the offeror is a joint venture which includes a LLC or partnership as identified in (4) of this subsection, the affidavit must also identify each member or partner of each LLC or partnership that is included in the joint venture and include a statement certifying that all of those members or partners are residents of the state.

2.15 5 Percent Alaska Veteran Preference AS 36.30.321(f)

An Alaska Veteran Preference of five percent, not to exceed \$5,000, will be applied to the price in the proposal. The preference will be given to an offeror who qualifies under AS 36.30.990(2) as an Alaska bidder and is a:

- (a) sole proprietorship owned by an Alaska veteran;
- (b) partnership under AS 32.06 or AS 32.11 if a majority of the partners are Alaska veterans;

- (c) limited liability company organized under AS 10.50 if a majority of the members are Alaska veterans; or
- (d) corporation that is wholly owned by individuals, and a majority of the individuals are Alaska veterans.

Alaska Veteran Preference Affidavit

In order to receive the Alaska Veteran Preference, the proposal must include a statement certifying that the offeror is eligible to receive the Alaska Veteran Preference.

2.16 Formula Used to Convert Cost to Points AS 36.30.250 & 2 AAC 12.260

The distribution of points based on cost will be determined as set out in 2 AAC 12.260(c). The lowest cost proposal will receive the maximum number of points allocated to cost. The point allocations for cost on the other proposals will be determined through the method set out below. In the generic example below, cost is weighted as 40% of the overall total score. The weighting of cost may be different in your particular RFP. See section SEVEN to determine the value, or weight of cost for this RFP.

EXAMPLE

Formula Used to Convert Cost to Points

[STEP 1]

List all proposal prices, adjusted where appropriate by the application of all applicable preferences.

| Offeror #1 - | Non-Alaskan Offeror | \$40,000 |
|--------------|---------------------|----------|
| Offeror #2 - | Alaskan Offeror | \$42,750 |
| Offeror #3 - | Alaskan Offeror | \$47,500 |

[STEP 2]

Convert cost to points using this formula.

| [(Price of Lowest Cost Proposal) | | (Maximum Points for Cost)] | _ | POINTS |
|----------------------------------|--|----------------------------|---|--------|
| (Cost of Each Hig | | | | |

The RFP allotted 40% (40 points) of the total of 100 points for cost.

Offeror #1 receives 40 points.

The reason they receive that amount is because the lowest cost proposal, in this case \$40,000, receives the maximum number of points allocated to cost, 40 points.

Offeror #2 receives 37.4 points.

| \$40,000 | Χ | 40 | = | 1,600,000 | ÷ | \$42,750 | = | 37.4 |
|----------|---|--------|---|-----------|----|----------------|----|--------|
| Lowest | | Max | | | | Offeror #2 | | Points |
| Cost | | Points | | | | Adjusted By | | |
| | | | | | Th | ne Application | Of | |
| | | | | | | All Applicable | | |
| | | | | | | Preferences | | |

Offeror #3 receives 33.7 points.

 $$40,000 \times 40 = 1,600,000 \div $47,500 = 33.7$

Lowest Max Offeror #3 Points
Cost Points Adjusted By
The Application Of
All Applicable
Preferences

2.17 Alaska Offeror Preference AS 36.30.321 & 2 AAC 12.260

2 AAC 12.260(e) provides Alaska offerors a 10 percent overall evaluation point preference. Alaska bidders, as defined in AS 36.30.990(2), are eligible for the preference. An Alaska offeror will receive 10 percent of the total available points added to their overall evaluation score as a preference.



Alaska Offeror Preference

[STEP 1]

Determine the number of points available to Alaskan offerors under the preference.

Total number of points available - 100 Points

100x10%=10Total PointsAlaskan OfferorsNumber of PointsAvailablePercentage PreferenceGiven to Alaskan Offerors
Under the Preference

[STEP 2]

Add the preference points to the Alaskan offers. There are three offerors: Offeror #1, Offeror #2, and Offeror #3. Offeror #2 and Offeror #3 are eligible for the Alaska Offeror Preference. For the purpose of this example presume that all of the proposals have been completely evaluated based on the evaluation criteria in the RFP. Their scores at this point are:

Offeror #1 - 89 points Offeror #2 - 80 points Offeror #3 - 88 points

Offeror #2 and Offeror #3 each receive 10 additional points. The final scores for all of the offers are:

Offeror #1 - **89 points** Offeror #2 - **90 points** Offeror #3 - **98 points**

Offeror #3 is awarded the contract.

2.18 Contract Negotiation

2 AAC 12.315 CONTRACT NEGOTIATIONS After final evaluation, the procurement officer may negotiate with the offeror of the highest-ranked proposal. Negotiations, if held, shall be within the scope of the request for proposals and limited to those items which would not have an effect on the ranking of proposals. If the highest-ranked offeror fails to provide necessary information for negotiations in a timely manner, or fails to negotiate in

good faith, the state may terminate negotiations and negotiate with the offeror of the next highest-ranked proposal. If contract negotiations are commenced, they may be held telephonically or in Fairbanks, Alaska.

If the contract negotiations take place in Fairbanks, Alaska, the offeror will be responsible for their travel and per diem expenses.

2.19 Failure to Negotiate

If the selected offeror

- fails to provide the information required to begin negotiations in a timely manner; or
- fails to negotiate in good faith; or
- indicates they cannot perform the contract within the budgeted funds available for the project; or
- if the offeror and the state, after a good faith effort, simply cannot come to terms,

the state may terminate negotiations with the offeror initially selected and commence negotiations with the next highest ranked offeror.

2.20 Notice of Intent to Award (NIA) — Offeror Notification of Selection

After the completion of contract negotiation the procurement officer will issue a written Notice of Intent to Award (NIA) and send copies to all offerors. The NIA will set out the names of all offerors and identify the proposal selected for award.

2.21 Protest

AS 36.30.560 provides that an interested party may protest the content of the RFP.

An interested party is defined in 2 AAC 12.990(a) (7) as "an actual or prospective bidder or offeror whose economic interest might be affected substantially and directly by the issuance of a contract solicitation, the award of a contract, or the failure to award a contract."

If an interested party wishes to protest the content of a solicitation, the protest must be received, in writing, by the procurement officer at least ten days prior to the deadline for receipt of proposals.

AS 36.30.560 also provides that an interested party may protest the award of a contract or the proposed award of a contract.

If an offeror wishes to protest the award of a contract or the proposed award of a contract, the protest must be received, in writing, by the procurement officer within ten days after the date the Notice of Intent to Award the contract is issued.

A protester must have submitted a proposal in order to have sufficient standing to protest the proposed award of a contract. Protests must include the following information:

- a. the name, address, and telephone number of the protester;
- b. the signature of the protester or the protester's representative;
- c. identification of the contracting agency and the solicitation or contract at issue;
- d. a detailed statement of the legal and factual grounds of the protest including copies of relevant documents; and the form of relief requested.

Protests filed by telex or telegram are not acceptable because they do not contain a signature. Fax copies containing a signature are acceptable.

The procurement officer will issue a written response to the protest. The response will set out the procurement officer's decision and contain the basis of the decision within the statutory time limit in AS 36.30.580. A copy of the decision will be furnished to the protester by certified mail, fax or another method that provides evidence of receipt.

All offerors will be notified of any protest. The review of protests, decisions of the procurement officer, appeals, and hearings, will be conducted in accordance with the State Procurement Code (AS 36.30), Article 8 "Legal and Contractual Remedies."

SECTION THREE STANDARD CONTRACT INFORMATION

3.01 Contract Type

This contract is a firm fixed price contract.

3.02 Contract Approval

This RFP does not, by itself, obligate the state. The state's obligation will commence when the contract is approved by the Commissioner of the Department of Natural Resources, or the Commissioner's designee. Upon written notice to the contractor, the state may set a different starting date for the contract. The state will not be responsible for any work done by the contractor, even work done in good faith, if it occurs prior to the contract start date set by the state.

3.03 Standard Contract Provisions

The contractor will be required to sign and submit the attached State's Standard Agreement Form for Professional Services Contracts (form 02-093/Appendix A). The contractor must comply with the contract provisions set out in this attachment. No alteration of these provisions will be permitted without prior written approval from the Department of Law. Objections to any of the provisions in Appendix A must be set out in the offeror's proposal.

3.04 Proposal as a Part of the Contract

Part or all of this RFP and the successful proposal may be incorporated into the contract.

3.05 Additional Terms and Conditions

The state reserves the right to add terms and conditions during contract negotiations. These terms and conditions will be within the scope of the RFP and will not affect the proposal evaluations.

3.06 Insurance Requirements

The successful offeror must provide proof of workers' compensation insurance prior to contract approval.

The successful offeror must secure the insurance coverage required by the state. The coverage must be satisfactory to the Department of Administration Division of Risk Management. An offeror's failure to provide evidence of such insurance coverage is a material breach and grounds for withdrawal of the award or termination of the contract.

Offerors must review form APPENDIX B1 attached, for details on required coverage. No alteration of these requirements will be permitted without prior written approval from the Department of Administration, Division of Risk Management. Objections to any of the requirements in APPENDIX B1 must be set out in the offeror's proposal.

3.07 Bid Bond - Performance Bond - Surety Deposit

Not Applicable

3.08 Contract Funding

Payment for the contract is subject to funds already appropriated and identified.

3.09 Proposed Payment Procedures

The state will pay the entire contract amount in three equal payments. The initial incremental payment will be made after the Project Execution Plan has been completed and approved by the project director; the second will be made after the Preliminary Coordinate File has been completed and approved by the project director. The final payment will not be made until the entire contract, including the Final Product Delivery Report, is completed and approved by project director.

3.10 Contract Payment

No payment will be made until the contract is approved by the Commissioner of the Department of Natural Resources or the Commissioner's designee. Under no conditions will the state be liable for the payment of any interest charges associated with the cost of the contract.

The state is not responsible for and will not pay local, state, or federal taxes. All costs associated with the contract must be stated in U.S. currency.

3.11 Informal Debriefing

When the contract is completed, an informal debriefing may be performed at the discretion of the project director. If performed, the scope of the debriefing will be limited to the work performed by the contractor.

3.12 Contract Personnel

Any change of the project team members or subcontractors named in the proposal must be approved, in advance and in writing, by the project director. Personnel changes that are not approved by the state may be grounds for the state to terminate the contract.

3.13 Inspection & Modification - Reimbursement for Unacceptable Deliverables

The contractor is responsible for the completion of all work set out in the contract. All work is subject to inspection, evaluation, and approval by the project director. The state may employ all reasonable means to ensure that the work is progressing and being performed in compliance with the contract. The project director may instruct the contractor to make corrections or modifications if needed in order to accomplish the contract's intent. The contractor will not unreasonably withhold such changes.

Substantial failure of the contractor to perform the contract may cause the state to terminate the contract. In this event, the state may require the contractor to reimburse monies paid (based on the identified portion of unacceptable work received) and may seek associated damages.

3.14 Termination for Default

If the project director determines that the contractor has refused to perform the work or has failed to perform the work with such diligence as to ensure its timely and accurate completion, the state may, by providing written notice to the contractor, terminate the contractor's right to proceed with part or all of the remaining work.

This clause does not restrict the state's termination rights under the contract provisions of Appendix A, attached.

3.15 Liquidated Damages

Not Applicable.

3.16 Contract Changes - Unanticipated Amendments

During the course of this contract, the contractor may be required to perform additional work. That work will be within the general scope of the initial contract. When additional work is required, the project director will provide the contractor a written description of the additional work and request the contractor to submit a firm time schedule for accomplishing the additional work and a firm price for the additional work. Cost and pricing data must be provided to justify the cost of such amendments per AS 36.30.400.

The contractor will not commence additional work until the project director has secured any required state approvals necessary for the amendment and issued a written contract amendment, approved by the Commissioner of the Department of **NAME** or the Commissioner's designee.

3.17 Contract Invalidation

If any provision of this contract is found to be invalid, such invalidation will not be construed to invalidate the entire contract.

3.18 Nondisclosure and Confidentiality

Contractor agrees that all confidential information shall be used only for purposes of providing the deliverables and performing the services specified herein and shall not disseminate or allow dissemination of confidential information except as provided for in this section. The contractor shall hold as confidential and will use reasonable care (including both facility physical security and electronic security) to prevent unauthorized access by, storage, disclosure, publication, dissemination to and/or use by third parties of, the confidential information. "Reasonable care" means compliance by the contractor with all applicable federal and state law, including the Social Security Act and HIPAA. The contractor must promptly notify the state in writing if it becomes aware of any storage, disclosure, loss, unauthorized access to or use of the confidential information.

Confidential information, as used herein, means any data, files, software, information or materials (whether prepared by the state or its agents or advisors) in oral, electronic, tangible or intangible form and however stored, compiled or memorialized that is classified confidential as defined by State of Alaska classification and categorization guidelines provided by the state to the contractor or a contractor agent or otherwise made available to the contractor or a contractor agent in connection with this contract, or acquired, obtained or learned by the contractor or a contractor agent in the performance of this contract. Examples of confidential information include, but are not limited to: technology infrastructure, architecture, financial data, trade secrets, equipment specifications, user lists, passwords, research data, and technology data (infrastructure, architecture, operating systems, security tools, IP addresses, etc).

If confidential information is requested to be disclosed by the contractor pursuant to a request received by a third party and such disclosure of the confidential information is required under applicable state or federal law, regulation, governmental or regulatory authority, the contractor may disclose the confidential information after providing the state with written notice of the requested disclosure (to the extent such notice to the state is permitted by applicable law) and giving the state opportunity to review the request. If the contractor receives no objection from the state, it may release the confidential information within 30 days. Notice of the requested disclosure of confidential information by the contractor must be provided to the state within a reasonable time after the contractor's receipt of notice of the requested disclosure and, upon request of the state, shall seek to obtain legal protection from the release of the confidential information.

The following information shall not be considered confidential information: information previously known to be public information when received from the other party; information freely available to the general public; information which now is or hereafter becomes publicly known by other than a breach of confidentiality hereof; or information which is disclosed by a party pursuant to subpoena or other legal process and which as a result becomes lawfully obtainable by the general public.

SECTION FOUR BACKGROUND INFORMATION

4.01 Background Information

Alaska has an extremely long (>11,000 km) and remote coastline that lacks critical baseline data necessary to conduct vulnerability mapping for community planning and emergency decision support; this includes a shortage of coastal orthoimagery and topographic data that meets minimum standards for applications such as FEMA flood mapping or shoreline mapping for purposes such as erosion monitoring or nautical charting. In addition to remoteness and inaccessibility, a limited field season and shortage of in-state resources also contribute to the challenges associated with acquiring new DEMs and orthoimagery in this region.

In support of shoreline and coastal geohazard mapping in western Alaska, the Division of Geological & Geophysical Surveys (DGGS) seeks to acquire, and make public, a database of photo-identifiable ground control points from an approximately 3,500 km coastal study area in the Norton Sound and Yukon-Kuskokwim Delta Region. This ground control acquisition will consist of photo-identifiable control points spaced at intervals no greater than 50 km at a distance of <1.5 km from the ocean (further inland in deltaic areas) along the project area coastline. Additional check points and ground control (3 to 6 per developed area; 1 photo-identifiable, 2 check points and 3 ties to tidal or NSRS bench marks) are also required.

These data will serve multiple purposes, but are primarily being collected to be used as survey control and check points for the adjustment and validation of an aerial image project utilizing traditional or Structure from Motion (SfM) photogrammetric techniques. The remote sensing project will be awarded under a separate Request for Proposals and no single contractor can be the recipient of both project awards. This ground control project will also provide a publically-available database of photo-identifiable ground control that will enable the orthorectification of future aerial or satellite image orthorectification projects in Alaska coastal zones that are highly susceptible to flooding and erosion, and to improve access to accurate geohazard mapping or post-disaster assessments in these areas.

Please note that DNR is hiring a separate contractor to perform the aerial survey work. Offerors will <u>not</u> be permitted to perform both contracts.

SECTION FIVE SCOPE OF WORK

5.01 Scope of Work

5.01.01 Objectives

The Alaska Department of Natural Resources, Division of Geological & Geophysical Surveys (DGGS) is soliciting proposals for the acquisition of check points and photo-identifiable, photogrammetric Ground Control Points (GCPs) along the western Alaska coastline to support the accurate positioning and quality assessment of a new orthoimagery and DEM collection scheduled for summer 2015. The state requests that ground control work be undertaken as early as possible in summer 2015, or scheduled in tandem with the separately-contracted remote sensing project, whichever is most feasible. All deliverables are to be supplied to the State and affiliated project contractors no later than September 11, 2015.

Figure 1 illustrates the geographic extent of the project area (a GIS shapefile of the planned project extent is available from the Procurement Officer upon request); photo-identifiable GCPs shall be approximately evenly-spaced at an along-coast interval of no greater than 50 km in unpopulated areas. Additional GCPs (n=1) and check points (n=2) are required in each population center (listed in Table 1) and GNSS ties to at least 3 existing tidal bench marks are required in each developed area where marks are available.

All data resulting from this project will, following comprehensive technical review, be made available to the public.

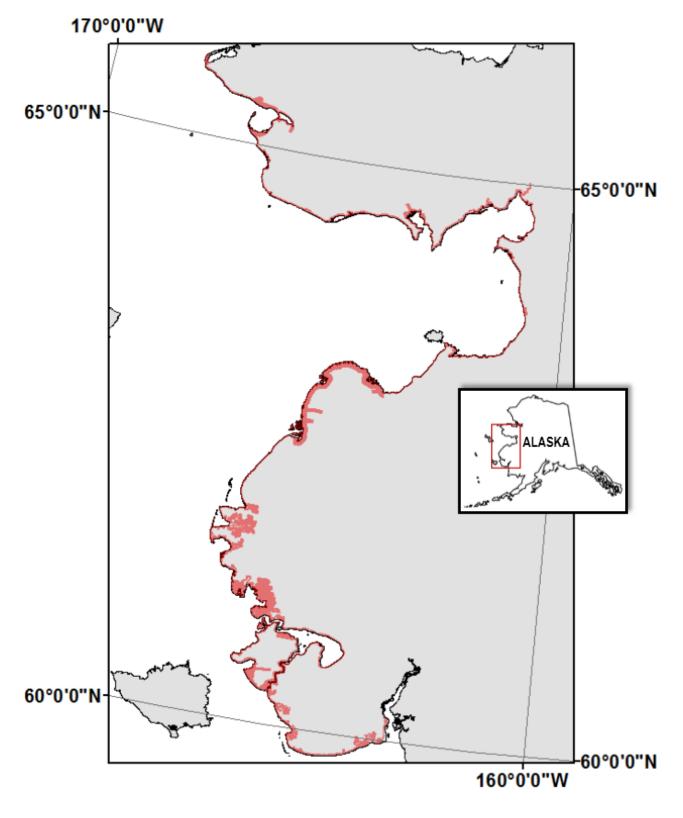


Figure 1: Project area delineated in red

Table 1: Developed Areas

| | Developed Areas (North to South) | Tidal bench marks |
|----|----------------------------------|-------------------|
| 1 | Wales | <u>9469460</u> |
| 2 | Tin City | <u>9469439</u> |
| 3 | Brevig Mission | |
| 4 | Teller | <u>9469239</u> |
| 5 | Point Spencer | <u>9469237</u> |
| 6 | Nome | <u>9468756</u> |
| 7 | White Mountain | |
| 8 | Golovin | |
| 9 | Elim | |
| 10 | Koyuk | |
| 11 | Shaktoolik | <u>9468691</u> |
| 12 | Unalakleet | <u>9468333</u> |
| 13 | Saint Michaels | |
| 14 | Stebbins | |
| 15 | Kotlik | |
| 16 | Emmonak | |
| 17 | Alakanuk | |
| 18 | Nunam Iqua | <u>9467551</u> |
| 19 | Scammon Bay | |
| 20 | Hooper Bay | <u>9466931</u> |
| 21 | Chevak | |
| 22 | Newtok/Mertarvik | |
| 23 | Tununak | |
| 24 | Toksook | <u>9466298</u> |
| 25 | Nightmute | |
| 26 | Chefornak | |
| 27 | Kipnuk | |
| 28 | Kwigillingok | |
| 29 | Kongiganak | |

5.01.2 Contractor's Tasks

The Contractor shall provide all management, supervision, labor, materials, software, and any other disbursements, as necessary, to:

- 1. Prepare a Project Execution Plan (including details of the contractor's proposed workflow, and quality control plan) prior to commencing the project. The Project Execution Plan should include a schedule of work to be agreed upon by the DGGS project manager and the Contractor:
- 2. Conduct GNSS survey as described in the Project Execution Plan;
- 3. Provide a ground control, check point, and tidal bench mark point list with locations, accuracy assessment, and photographs for positive aerial identification of control point features;
- 4. Share any static OPUS solutions in the National Geodetic Survey OPUS database for any permanent marks of significance that are visited in the course of this project;
- 5. Prepare monthly progress reports detailing completed work and planned future work;
- 6. Prepare a comprehensive final product delivery report for all survey measurements provided.

5.01.3 Services Provided by the State

The State shall provide the following as required by the Contractor:

- 1. Maps and digital GIS files showing the geographic extent for which the Contractor is to provide the required survey control data;
- 2. List of preferred tidal bench marks for GNSS ties;
- 3. Contact information for the contractor that is awarded the associated DEM and orthoimagery collection project, for coordination purposes;
- 4. Aerial imagery for the generation of GCP location maps.

5.02 Specifications

5.02.1 General

All work undertaken by the Contractor shall conform to these specifications, we also refer to National Geodetic Survey (NGS) *Scope of Work: Ground Surveys*. All work is to be conducted under the supervision of a land surveyor registered in the State of Alaska. Data and other deliverables not meeting these specifications may be rejected.

5.02.2 Data Acquisition

1. Scope of Survey Design

- Photo-identifiable GCPs shall be approximately evenly-spaced at an along-coast interval of no greater than 50 km, with at least one GCP collected in each developed area;
- A minimum of 60 check points (at least 2 within 5 km of each developed area listed in Table 2; these
 points to be withheld from imagery/DEM contractor until validation stage) to be collected from flat terrain
 at varying elevations throughout the project area;
- All GCPs and check points shall have both horizontal and vertical positions;
- Project identifier shall be "WAK015";
- Any appropriate GNSS survey positioning techniques capable of achieving the required level of absolute
 accuracy (or better) may be incorporated into the survey design proposed in the Project Execution Plan;
 static positioning should be done by ties to CORS and, where available, GNSS ties must also be made to
 three existing tidal benchmarks per developed area.

2. Photo-identifiable GCP Selection

- All GCPs to be positioned within the spatial extent of the project boundary;
- Utilize pre-existing natural and man-made features of sufficient size, shape, and contrast so as to be readily identifiable in aerial or satellite imagery with GSDs of 0.2 1.0 meters:
- Positioned on stable surfaces with minimal to no vegetation cover and away from areas likely to undergo anthropogenic disturbance;
- On features with an unobstructed view of the sky that are likely to remain unobstructed by buildings, water, vehicles, vegetation, or other over-hanging features.

3. Land Access

- Proposal must include plan for field access, including type and estimated cost of transportation.
 Description in proposal must include enough detail to demonstrate the capability to coordinate logistics for remote field access in western Alaska;
- The contractor is responsible for determining land use requirements, and, if applicable, obtaining property owner permission or any required permitting prior to conducting work.

5. Equipment and Personnel Requirements

- Fixed height tripods are recommended for the collection of all survey measurements;
- All GNSS measurements shall be made with dual frequency L1/L2 receivers with carrier phase correction.
 Proposal must provide make, model, and specifications of GNSS equipment to be used for data acquisition;
- Proposal must include résumé(s) of field data collector to be assigned to the ground survey, including explanations of relevant experience on prior similar projects.

5.02.5 Collection Schedule and Conditions

- Schedule: Spring or Summer 2015 unless precluded by weather or ground conditions, in which case collection must take place as early as conditions permit in Fall 2015.
- Ground: snow and ice free (spotty snowbanks in shade OK, no drifting) with no unusual flooding or inundation.

5.02.6 GPS and Survey Control

The Final Product Delivery Report shall document the identity, published position, and measured position of all existing National Geodetic Survey (NGS) marks used for reference stations. The locations of new marks shall be

described, along with their measured positions and the identity and published positions of CORS to which their locations were tied. The *Final Product Delivery Report* shall also describe the technique(s) used to establish Ground Control Points (GCPs) and document the positions and residuals of all GCPs used to evaluate survey accuracy.

Proposal should provide a preliminary survey control plan including a map illustrating planned ground control survey points.

5.02.7 Spatial Reference Framework:

5.02.8 NAVD 88 Vertical Datum

- Using the GEOID12A model available from the National Geodetic Survey.
- 2. Horizontal Datum NAD 83(2011) epoch 2010.00
- 3. Projection UTM
- 4. Units meters

5.02.9 Accuracy/Quality control

Quality control shall be exercised by the contractor continuously throughout all phases of the project. Procedures shall be established to assure that all contract materials are delivered in accordance with the required level of accuracy and quality. Any products delivered by the contractor which do not meet the minimum requirements described in the SOW and in the sections above may be rejected.

- Absolute survey accuracy, as verified by contracting agency, shall be ≤5 cm in the vertical (ellipsoidal height) and horizontal for each surveyed point.
- 2. The contractor shall conduct quality control on all deliverables in accordance with the control plan laid out in the Project Execution Plan.
- 3. Contractor shall complete quality control and verification checks at appropriate stages of work, to ensure that specified accuracy limits have been met or exceeded. A written description and analysis of the executed control plan must be included in the Final Product Delivery Report.

5.03 Deliverables

5.03.1 General

All deliverables may be provided in soft copy (digital) format; file names shall be internally consistent. The proposal should provide a summary of how the control survey points will be organized and formatted for delivery.

5.03.2 Deliverable Items

1. Project Execution Plan

- Detailed description of contractor's proposed workflow, detailed schedule, and specific survey and quality control plans;
- Must be to be submitted to DGGS and agreed upon by DGGS and the contractor prior to commencing the project.

2. Monthly Report

- Progress reports detailing completed work and future planned work;
- May be submitted by email.

3. Preliminary Coordinate File

• In tabular ASCII format including point type, position, and accuracies.

4. Final Product Delivery Report

- A summary report of all completed work;
- Overview of data delivery format(s) and file naming schemes;

- Details of executed survey plan, explanation of any deviations from original Project Execution Plan, all processing steps (including software and equipment used);
- Description of the vector processing scheme, observation duration, solution type, and final vertical and horizontal RMS values for each surveyed point;
- Support data for positive identification of all photo-identifiable GCPs:
 - Coordinate and accuracy data
 - o Site description, sketch(s), and digital photographs capturing conditions at time of ground survey that include a legible sign with the name of the point.
 - Location maps with GCP location plotted on aerial imagery
- All field notes, as well as all original, raw data, RINEX data, precise ephemeris, and vector files.

Table 2: Deliverables

| Deliverable | Date of Delivery to DGGS |
|-------------------------------|--|
| Project Execution Plan | Two calendar weeks after contract awarded. |
| Monthly Report | Prior to the first calendar day of each month. |
| Preliminary Coordinate File | As soon as possible after survey completion; for use by remote sensing contractor. |
| Final Product Delivery Report | With final product delivery, no later than September 11, 2015 |

SECTION SIX PROPOSAL FORMAT AND CONTENT

6.01 Proposal Format and Content

The state discourages overly lengthy and costly proposals, however, in order for the state to evaluate proposals fairly and completely, offerors must follow the format set out in this RFP and provide all information requested.

6.02 Introduction

Proposals must include the complete name and address of offeror's firm and the name, mailing address, and telephone number of the person the state should contact regarding the proposal.

Proposals must confirm that the offeror will comply with all provisions in this RFP; and, if applicable, provide notice that the firm qualifies as an Alaskan bidder. Proposals must be signed by a company officer empowered to bind the company. An offeror's failure to include these items in the proposals may cause the proposal to be determined to be non-responsive and the proposal may be rejected.

6.03 Understanding of the Project, Methodology Used for the Project

Offerors must provide adequate narrative statements that illustrate their understanding of the requirements of this project. Identify potential problems or areas of ambiguity if necessary. Offeror should identify any potential data collection and processing problems and suggest methods for addressing these while still meeting the requirements outlined in Section 5.

For each of the deliverables, offerors must provide narrative statements that describe the methodology they intend to employ, include a preliminary survey plan, and illustrate how the methodology will serve to accomplish the work and meet the project schedule. Proposals will be evaluated based on specific information provided regarding contractors' proposed methodology of data acquisition and survey control as well as the ability to provide products with the desired specifications..

6.04 Management Plan for the Project

Offerors must provide comprehensive narrative statements that set out the management and field operations plan they intend to follow and illustrate how the plan will serve to accomplish the work and meet the state's project schedule.

6.05 Experience and Qualifications

Offerors must designate the individual responsible and accountable for the completion of each deliverable and the overall project.

Offerors must provide a narrative description of the organization of the project team and a personnel roster that identifies each person who will work on the contract and provide the following information about each person listed:

a. title,

- b. resume,
- c. location(s) where work will be performed,

Offerors must provide reference names and phone numbers for similar projects the offeror's firm has completed, and must specifically describe how they meet the requirements of Section 2.08.

6.06 Cost Proposal

Submit one copy of the cost proposal using the form in Attachment 5 in a sealed envelope separate from the technical proposal. Offerors must use this form and include all the information requested.

6.07 Evaluation Criteria

All proposals will be reviewed to determine if they are responsive. Proposals determined to be responsive will be evaluated using the criterion that is set out in Section SEVEN.

An evaluation may not be based on discrimination due to the race, religion, color, national origin, sex, age, marital status, pregnancy, parenthood, disability, or political affiliation of the offeror.

SECTION SEVEN EVALUATION CRITERIA AND CONTRACTOR SELECTION

THE TOTAL NUMBER OF POINTS USED TO SCORE THIS PROPOSAL IS 100

7.01 Understanding of the Project, Methodology used for the Project (10 Percent)

Proposals will be evaluated against the questions set out below:

- [a] How well has the offeror demonstrated a thorough understanding of the purpose and scope of the project?
- [b] How well has the offeror identified pertinent issues and potential problems related to the project?
- [c] To what degree has the offeror demonstrated an understanding of the deliverables the state expects it to provide?
- [d] Has the offeror demonstrated an understanding of the state's time schedule and can meet it with the proposed methodology?
- [e] To what extent does the offeror's proposal exceed the minimum project specifications in Section 5.02?

7.02 Management Plan for the Project (15 Percent)

Proposals will be evaluated against the questions set out below:

- [a] How well does the management plan support all of the project requirements and logically lead to the deliverables required in the RFP?
- [b] To what extent does the offeror already have the hardware, software, equipment, and licenses necessary to perform the contract?
- [c] To what extent has the offeror proposed to utilize Alaskan vendors and resources in the performance of the project?
- [d] Does it appear that the offeror can meet the schedule set out in the RFP?
- [e] To what degree is the proposal practical and feasible?
- [f] To what extent has the offeror identified potential problems?

7.03 Experience and Qualifications (25 Percent)

Proposals will be evaluated against the questions set out below:

Questions regarding the personnel:

[a] What is the level of experience the individuals assigned to the project have on similar projects?

[b] How well do resumes demonstrate backgrounds that would be desirable for individuals engaged in the work the project requires?

Questions regarding the firm:

- [c] How well has the firm demonstrated experience in completing similar projects on time and within budget?
- [d] How successful is the general history of the firm regarding timely and successful completion of projects?
- [e] Has the firm provided letters of reference from previous clients and how well do they demonstrate the likelihood the offeror will successfully complete the project?
- [f] If a subcontractor will perform work on the contract, how well do they measure up to the evaluation used for the offeror?
- [g] To what extent does the experience of the firm exceed the minimum requirements in Section 2.08?

7.04 Contract Cost (40 Percent)

Overall, a minimum of **40**% of the total evaluation points will be assigned to cost. The cost amount used for evaluation may be affected by one or more of the preferences referenced under Section 2.13.

Converting Cost to Points

The lowest cost proposal will receive the maximum number of points allocated to cost. The point allocations for cost on the other proposals will be determined through the method set out in Section 2.16.

7.05 Alaska Offeror Preference (10 Percent)

If an offeror qualifies for the Alaska Bidder Preference, the offeror will receive an Alaska Offeror Preference. The preference will be 10 percent of the total available points. This amount will be added to the overall evaluation score of each Alaskan offeror.

SECTION EIGHT ATTACHMENTS

8.01 Attachments

Attachments

- 1. Proposal Evaluation Form
- 2. Standard Agreement Form Appendix A
- Appendix B1 or B2
 Notice of Intent to Award
- 5. Checklist

PROPOSAL EVALUATION FORM

| All proposals will be reviewed for responsiveness and then evaluated using the criteria set out herein. |
|---|
| Person or Firm Name |
| Name of Proposal Evaluation (PEC) Member |
| Date of Review |
| RFP Number |
| EVALUATION CRITERIA AND SCORING |
| THE TOTAL NUMBER OF POINTS USED TO SCORE THIS PROPOSAL IS 100 |
| 7.01 Understanding of the Project, Methodology used for the Project—10 Percent |
| Maximum Point Value for this Section - 10 Points 100 Points x 10 Percent = 10 Points |
| Proposals will be evaluated against the questions set out below. |
| [a] How well has the offeror demonstrated a thorough understanding of the purpose and scope of the project? |
| EVALUATOR'S NOTES |
| |
| [b] How well has the offeror identified pertinent issues and potential problems related to the project? |
| EVALUATOR'S NOTES |
| |
| [c] To what degree has the offeror demonstrated an understanding of the deliverables the state expects it to provide? |
| EVALUATOR'S NOTES |
| |
| [d] Has the offeror demonstrated an understanding of the state's time schedule and can meet it with the proposed methodology? |
| EVALUATOR'S NOTES |
| |

[e] To what extent does the offeror's proposal exceed the minimum project specifications in Section 5.02?

| EVALUATOR'S NOTES | | | | | | |
|---|--|--|--|--|--|--|
| EVALUATOR'S POINT TOTAL FOR 7.01 | | | | | | |
| 7.02 Management Plan for the Project—15 Percent | | | | | | |
| Maximum Point Value for this Section - 15 Points 100 Points x 15 Percent = 15 Points | | | | | | |
| Proposals will be evaluated against the questions set out below. | | | | | | |
| [a] How well does the management plan support all of the project requirements and logically lead to the deliverables required in the RFP? | | | | | | |
| EVALUATOR'S NOTES | | | | | | |
| [b] To what extent does the offeror already have the hardware, software, equipment, and licenses necessary to perform the contract? | | | | | | |
| EVALUATOR'S NOTES | | | | | | |
| [c] To what extent has the offeror proposed to utilize Alaskan vendors and resources in the performance of the project? | | | | | | |
| EVALUATOR'S NOTES | | | | | | |
| [d] Does it appear that the offeror can meet the schedule set out in the RFP? | | | | | | |
| EVALUATOR'S NOTES | | | | | | |
| [e] To what degree is the proposal practical and feasible? | | | | | | |
| EVALUATOR'S NOTES | | | | | | |
| [f] To what extend has the offeror identified potential problems? | | | | | | |
| EVALUATOR'S NOTES | | | | | | |
| EVALUATOR'S POINT TOTAL FOR 7.02 | | | | | | |

7.03 Experience and Qualifications—25 Percent

Maximum Point Value for this Section - 25 Points

EVALUATOR'S NOTES _____

Proposals will be evaluated against the questions set out below.

Questions regarding the personnel.

[a] What is the level of experience the individuals assigned to the project have on similar projects?

EVALUATOR'S NOTES

[b] How well do resumes demonstrate backgrounds that would be desirable for individuals engaged in the work the RFP requires?

EVALUATOR'S NOTES

Questions regarding the firm.

[c] How well has the firm demonstrated experience in completing similar projects on time and within budget?

EVALUATOR'S NOTES

[e] Has the firm provided letters of reference from previous clients and how well do they demonstrate the likelihood the offeror will successfully complete the project?

[d] How successful is the general history of the firm regarding timely and successful completion of projects?

EVALUATOR'S NOTES ______

[f] If a subcontractor will perform work on the project, how well do they measure up to the evaluation used for the offeror?

EVALUATOR'S NOTES _____

[g] To what extent does the experience of the firm exceed the minimum requirements in Section 2.08?

EVALUATOR'S NOTES _____

EVALUATOR'S POINT TOTAL FOR 7.03

7.04 Contract Cost — 40 Percent

Maximum Point Value for this Section - 40 Points 100 Points x 40 Percent = 40 Points

Overall, a minimum of 40 percent of the total evaluation points will be assigned to cost. The cost amount used for evaluation may be affected by one or more of the preferences referenced under Section 2.13.

Converting Cost to Points

The lowest cost proposal will receive the maximum number of points allocated to cost. The point allocations for cost on the other proposals will be determined through the method set out in Section 2.16.

EVALUATOR'S POINT TOTAL FOR 7.04

7.05 Alaska Offeror Preference — 10 Percent

Alaska bidders receive a 10 percent overall evaluation point preference. Point value for Alaska bidders in this section -- 10 Points 100 Points x 10 Percent = 10 Points

If an offeror qualifies for the Alaska Bidder Preference, the offeror will receive an Alaska Offeror Preference. The preference will be 10 percent of the total available points. This amount will be added to the overall evaluation score of each Alaskan offeror.

EVALUATOR'S POINT TOTAL FOR 7.05 (either 0 or 10)

| | | |
|--|------|------|

EVALUATOR'S COMBINED POINT TOTAL FOR ALL SECTIONS

Attachment 2 STANDARD AGREEMENT FORM FOR PROFESSIONAL SERVICES

| Agency Contrac | t Number | 2. ASPS Numbe | r | 3. Financial (| Coding | 4. Agency Ass | igned Encumbrance |
|--|--|--|---|-----------------------------------|---------------------------------------|------------------|---------------------|
| 5. Vendor Number | | 6. Project/Case I | Number | 7. Alaska Business License Number | | | |
| This contract is be | ween the St | tate of Alaska, | | | <u> </u> | | |
| 8. Department of | | | Division | | | hereafte | r the State, and |
| 9. Contractor | | | | | | hereafte | r the Contractor |
| Mailing Address | | Street or P.O. Bo | × | City | | State | ZIP+4 |
| 10. ARTICLE 1. | Appendice | es: Appendices ref | ferred to in this co | ontract and atta | ached to it are consid | ered part of it. | |
| 2.2 | Appendix Appendix | Performance of Service: Appendix A (General Provisions), Articles 1 through 14, governs the performance of services under this contract. Appendix B sets forth the liability and insurance provisions of this contract. Appendix C sets forth the services to be performed by the contractor. | | | | | |
| ARTICLE 3. | | Period of Performance: The period of performance for this contract begins, and ends | | | | | |
| ARTICLE 4. 4.1 | Considerations: In full consideration of the contractor's performance under this contract, the State shall pay the contractor a sum not to exceed \$ in accordance with the provisions of Appendix D. | | | | | | |
| 4.2 | When billing to: | ng the State, the c | ontractor shall re | efer to the Auth | ority Number or the A | gency Contract | Number and send the |
| 11. Department of | | | | Attention: Div | vision of | | |
| Mailing Address | | | | Attention: | | | |
| 12. | (| CONTRACTOR | | | | | |
| Name of Firm | | | | | FICATION: I certify ing documents are | | |
| Signature of Authorized Representative Date | | | constitutes a legal charge against funds and appropriations cited, that sufficient funds are encumbered to pay this obligation, or that there is a sufficient balance in the appropriation cited to cover this obligation. I am aware that to knowingly make or allow false entries or alterations on a public record, or knowingly destroy, mutilate, suppress, conceal, | | | | |
| Typed or Printed Name of Authorized Representative | | | | | | | |
| Title | | | | | or otherwise impair | | |
| 13. CONTRACTING AGENCY | | | Signature of H Agency or De | lead of Contracting signee | Date | | |

| Department/Division | Date | | |
|---|------|-----------------------|--|
| Signature of Project Director | | Typed or Printed Name | |
| Typed or Printed Name of Project Director | | Title | |
| Title | | | |

NOTICE: This contract has no effect until signed by the head of contracting agency or designee.

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Attachment 3

APPENDIX A

GENERAL PROVISIONS

Article1. Definitions.

- 1.1 In this contract and appendices, "Project Director" or "Agency Head" or "Procurement Officer" means the person who signs this contract on behalf of the Requesting Agency and includes a successor or authorized representative.
- 1.2 "State Contracting Agency" means the department for which this contract is to be performed and for which the Commissioner or Authorized Designee acted in signing this contract.

Article 2. Inspections and Reports.

- 2.1 The department may inspect, in the manner and at reasonable times it considers appropriate, all the contractor's facilities and activities under this contract.
- 2.2 The contractor shall make progress and other reports in the manner and at the times the department reasonably requires.

Article 3. Disputes.

3.1 If the contractor has a claim arising in connection with the contract that it cannot resolve with the State by mutual agreement, it shall pursue the claim, if at all, in accordance with the provisions of AS 36.30.620 – 632.

Article 4. Equal Employment Opportunity.

- 4.1 The contractor may not discriminate against any employee or applicant for employment because of race, religion, color, national origin, or because of age, disability, sex, marital status, changes in marital status, pregnancy or parenthood when the reasonable demands of the position(s) do not require distinction on the basis of age, disability, sex, marital status, changes in marital status, pregnancy, or parenthood. The contractor shall take affirmative action to insure that the applicants are considered for employment and that employees are treated during employment without unlawful regard to their race, color, religion, national origin, ancestry, disability, age, sex, marital status, changes in marital status, pregnancy or parenthood. This action must include, but need not be limited to, the following: employment, upgrading, demotion, transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training including apprenticeship. The contractor shall post in conspicuous places, available to employees and applicants for employment, notices setting out the provisions of this paragraph.
- 4.2 The contractor shall state, in all solicitations or advertisements for employees to work on State of Alaska contract jobs, that it is an equal opportunity employer and that all qualified applicants will receive consideration for employment without regard to race, religion, color, national origin, age, disability, sex, marital status, changes in marital status, pregnancy or parenthood.
- 4.3 The contractor shall send to each labor union or representative of workers with which the contractor has a collective bargaining agreement or other contract or understanding a notice advising the labor union or workers' compensation representative of the contractor's commitments under this article and post copies of the notice in conspicuous places available to all employees and applicants for employment.
- 4.4 The contractor shall include the provisions of this article in every contract, and shall require the inclusion of these provisions in every contract entered into by any of its subcontractors, so that those provisions will be binding upon each subcontractor. For the purpose of including those provisions in any contract or subcontract, as required by this contract, "contractor" and "subcontractor" may be changed to reflect appropriately the name or designation of the parties of the contract or subcontract.
- 4.5 The contractor shall cooperate fully with State efforts which seek to deal with the problem of unlawful discrimination, and with all other State efforts to guarantee fair employment practices under this contract, and promptly comply with all requests and directions from the State Commission for Human Rights or any of its officers or agents relating to prevention of discriminatory employment practices.
- 4.6 Full cooperation in paragraph 4.5 includes, but is not limited to, being a witness in any proceeding involving questions of unlawful discrimination if that is requested by any official or agency of the State of Alaska; permitting employees of the contractor to be witnesses or complainants in any proceeding involving questions of unlawful discrimination, if that is requested by any official or agency of the State of Alaska; participating in meetings; submitting periodic reports on the equal employment aspects of present and future employment; assisting inspection of the contractor's facilities; and promptly complying with all State directives considered essential by any office or agency of the State of Alaska to insure compliance with all federal and State laws, regulations, and policies pertaining to the prevention of discriminatory employment practices.
- 4.7 Failure to perform under this article constitutes a material breach of contract.

Article5. Termination.

The Project Director, by written notice, may terminate this contract, in whole or in part, when it is in the best interest of the State. In the absence of a breach of contract by the contractor, the State is liable only for payment in accordance with the payment provisions of this contract for services rendered before the effective date of termination.

Article 6. No Assignment or Delegation.

The contractor may not assign or delegate this contract, or any part of it, or any right to any of the money to be paid under it, except with the written consent of the Project Director and the Agency Head.

Article7. No Additional Work or Material.

No claim for additional services, not specifically provided in this contract, performed or furnished by the contractor, will be allowed, nor may the

contractor do any work or furnish any material not covered by the contract unless the work or material is ordered in writing by the Project Director and approved by the Agency Head.

Article 8. Independent Contractor.

The contractor and any agents and employees of the contractor act in an independent capacity and are not officers or employees or agents of the State in the performance of this contract.

Article9. Payment of Taxes.

As a condition of performance of this contract, the contractor shall pay all federal, State, and local taxes incurred by the contractor and shall require their payment by any Subcontractor or any other persons in the performance of this contract. Satisfactory performance of this paragraph is a condition precedent to payment by the State under this contract.

Article 10. Ownership of Documents.

All designs, drawings, specifications, notes, artwork, and other work developed in the performance of this agreement are produced for hire and remain the sole property of the State of Alaska and may be used by the State for any other purpose without additional compensation to the contractor. The contractor agrees not to assert any rights and not to establish any claim under the design patent or copyright laws. Nevertheless, if the contractor does mark such documents with a statement suggesting they are trademarked, copyrighted, or otherwise protected against the State's unencumbered use or distribution, the contractor agrees that this paragraph supersedes any such statement and renders it void. The contractor, for a period of three years after final payment under this contract, agrees to furnish and provide access to all retained materials at the request of the Project Director. Unless otherwise directed by the Project Director, the contractor may retain copies of all the materials.

Article 11. Governing Law; Forum Selection

This contract is governed by the laws of the State of Alaska. To the extent not otherwise governed by Article 3 of this Appendix, any claim concerning this contract shall be brought only in the Superior Court of the State of Alaska and not elsewhere.

Article 12. Conflicting Provisions.

Unless specifically amended and approved by the Department of Law, the terms of this contract supersede any provisions the contractor may seek to add. The contractor may not add additional or different terms to this contract; AS 45.02.207(b)(1). The contractor specifically acknowledges and agrees that, among other things, provisions in any documents it seeks to append hereto that purport to (1) waive the State of Alaska's sovereign immunity, (2) impose indemnification obligations on the State of Alaska, or (3) limit liability of the contractor for acts of contractor negligence, are expressly superseded by this contract and are void.

Article 13. Officials Not to Benefit.

Contractor must comply with all applicable federal or State laws regulating ethical conduct of public officers and employees.

Article 14. Covenant Against Contingent Fees.

The contractor warrants that no person or agency has been employed or retained to solicit or secure this contract upon an agreement or understanding for a commission, percentage, brokerage or contingent fee except employees or agencies maintained by the contractor for the purpose of securing business. For the breach or violation of this warranty, the State may terminate this contract without liability or in its discretion deduct from the contract price or consideration the full amount of the commission, percentage, brokerage or contingent fee.

Article 15. Compliance.

In the performance of this contract, the contractor must comply with all applicable federal, state, and borough regulations, codes, and laws, and be liable for all required insurance, licenses, permits and bonds.

Article 16. Force Majeure:

The parties to this contract are not liable for the consequences of any failure to perform, or default in performing, any of their obligations under this Agreement, if that failure or default is caused by any unforeseeable Force Majeure, beyond the control of, and without the fault or negligence of, the respective party. For the purposes of this Agreement, Force Majeure will mean war (whether declared or not); revolution; invasion; insurrection; riot; civil commotion; sabotage; military or usurped power; lightning; explosion; fire; storm; drought; flood; earthquake; epidemic; quarantine; strikes; acts or restraints of governmental authorities affecting the project or directly or indirectly prohibiting or restricting the furnishing or use of materials or labor required; inability to secure materials, machinery, equipment or labor because of priority, allocation or other regulations of any governmental authorities.

Attachment 4 APPENDIX B¹ INDEMNITY AND INSURANCE

Article 1. Indemnification

The Contractor shall indemnify, hold harmless, and defend the contracting agency from and against any claim of, or liability for error, omission or negligent act of the Contractor under this agreement. The Contractor shall not be required to indemnify the contracting agency for a claim of, or liability for, the independent negligence of the contracting agency. If there is a claim of, or liability for, the joint negligent error or omission of the Contractor and the independent negligence of the Contracting agency, the indemnification and hold harmless obligation shall be apportioned on a comparative fault basis. "Contractor" and "Contracting agency", as used within this and the following article, include the employees, agents and other contractors who are directly responsible, respectively, to each. The term "independent negligence" is negligence other than in the Contracting agency's selection, administration, monitoring, or controlling of the Contractor and in approving or accepting the Contractor's work.

Article 2. Insurance

Without limiting Contractor's indemnification, it is agreed that Contractor shall purchase at its own expense and maintain in force at all times during the performance of services under this agreement the following policies of insurance. Where specific limits are shown, it is understood that they shall be the minimum acceptable limits. If the Contractor's policy contains higher limits, the state shall be entitled to coverage to the extent of such higher limits. Certificates of Insurance must be furnished to the Contracting Officer prior to beginning work and must provide for a 30-day prior notice of cancellation, nonrenewal or material change of conditions. Failure to furnish satisfactory evidence of insurance or lapse of the policy is a material breach of this contract and shall be grounds for termination of the Contractor's services. All insurance policies shall comply with, and be issued by insurers licensed to transact the business of insurance under AS 21.

- **2.1 Workers' Compensation Insurance:** The Contractor shall provide and maintain, for all employees engaged in work under this contract, coverage as required by AS 23.30.045, and; where applicable, any other statutory obligations including but not limited to Federal U.S.L. & H. and Jones Act requirements. The policy must waive subrogation against the State.
- **2.2 Commercial General Liability Insurance:** covering all business premises and operations used by the Contractor in the performance of services under this agreement with minimum coverage limits of \$300,000. combined single limit per occurrence.
- **2.3 Commercial Automobile Liability Insurance:** covering all vehicles used by the Contractor in the performance of services under this agreement with minimum coverage limits of \$300,000. combined single limit per occurrence.

independently, without collusion.

Attachment 5 Cost Proposal

NOTES: (1) OFFERORS MUST SUBMIT ONE ORIGINAL OF THIS FORM IN A SEALED ENVELOPE SEPARATE FROM THE REMAINDER OF THE PROPOSAL.

(2) OFFERORS MUST SUBMIT A PRICE FOR ALL LINE ITEMS IN ORDER TO BE RESPONSIVE.

| Item | Description | Price |
|------|---|-------|
| 1 | All services/products described herein for Deliverable #1 – Project Execution Plan | \$ |
| 2 | All services/products described herein for Deliverable #2 – Monthly Progress Report | \$ |
| 3 | All services/products described herein for Deliverable #3 – Preliminary Coordinate File | \$ |
| 4 | All services/products described herein for Deliverable #4 – Final Product Delivery Report | \$ |
| 5 | Total Firm Fixed Price for Project (Add lines 1 through 4) | \$ |

<u>NOTE:</u> OFFERORS MUST PROVIDE SUMMARY COST INFORMATION IN THE SPACES ABOVE. OFFERORS SHOULD ALSO ATTACH DETAILED BREAKDOWNS OF ALL THE ELEMENTS OF EACH COST ITEM SHOWN ABOVE, INCLUDING BUT NOT LIMITED TO LABOR, OVERHEAD, MATERIALS, SUPPLIES, EQUIPMENT, LICENSING, TRAVEL, SUBCONTRACTS, PROFIT, ETC.

By signature on this cost proposal, I hereby certify, under penalty of perjury, that this cost proposal was arrived at

| Signature | Date | |
|------------------------|----------|--|
| Printed Name and Title | | |
| Offeror Name | | |