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OFFICE OF THE LIEUTENANT GOVERNOR ALASKA

MEMORANDUM

TO: Deborah Riddle, Division Operations Manager

Department of Education and Early Development

FROM: April Simpson, Alaska Administrative Code Coordinator

Office of the Lieutenant Governor

DATE: August 6, 2025

RE: Filed Permanent Regulations: Department of Education and Early Development

Department of Education and Early Development regulations re: Office of Education

Advocacy (4 AAC 06, 4 AAC 40)

Attorney General File: 2024200388

Regulation Filed: 8/6/2025

Effective Date: 9/5/2025

Print: 255, October 2025

cc with enclosures: Beth Parsons, Paralegal 2

Department of Law

Bob Dame, Alaska Legal Analyst

LexisNexis

Department of Law



CIVIL DIVISION

P O. Box 110300 Juneau, Alaska 99811 Main: 907.465.3600 Fax: 907.465.2520

August 5, 2025

The Honorable Nancy Dahlstrom Lieutenant Governor State of Alaska P.O. Box 110015 Juneau, AK 99811-0015

Re: 4 AAC 06, 4 AAC 40: DEED: Office of Education Advocacy

Our file: 2024200388

Dear Lieutenant Governor Dahlstrom:

The Department of Law has reviewed the attached regulations of the Board of Education and Early Development against the statutory standards of the Administrative Procedure Act. Based upon our review, we find no legal problems. This letter constitutes the written statement of approval under AS 44.62.060(b) and (c) that authorizes your office to file the attached regulations. The regulations were adopted by the Board of Education and Early Development after the close of the public comment period.

The regulations concern the Department of Education and Early Development's complaint, investigation, and appeal procedures following allegations that a school district or the department violated certain state or federal laws.

The April 13, 2025 public notice and the June 6, 2025 certification of adoption order both state that this action is not expected to require an increased appropriation. Therefore, a fiscal note under AS 44.62.060 is not required.

No technical corrections were necessary to conform the regulations in accordance with AS 44.62.060.

Sincerely,

TREG TAYLOR ATTORNEY GENERAL

Polizzotto

Rebecca C Digitally signed by Rebecca C Polizzotto Date: 2025,08,05 09:42:54 -08'00'

By:

Rebecca C. Polizzotto

Chief Assistant Attorney General Legislation, Regulations, and Legislative Research Section

RCP/AHR

CC w/enclosure:

Deborah Riddle, Division Operations Manager Department of Education and Early Development

Susan Sonneborn, Assistant Attorney General

Department of Law

Amy H. Robinson, Assistant Attorney General

Department of Law

ORDER CERTIFYING THE CHANGES TO REGULATIONS OF THE STATE BOARD OF EDUCATION AND EARLY DEVLOPMENT

The attached five pages of regulations, dealing with departmental complain review, investigation procedures, and appeals, are certified to be a correct copy of the regulation changes that the State Board of Education and Early Development adopted at its June 4, 2025, meeting, under the authority of AS 14.03.123 and 14.07.060 and after compliance with the Administrative Procedure Act (AS 44.62), specifically including notice under AS 44.62.190 and 44.62.200 and opportunity for public comment under AS 44.62.210.

This action is not expected to require an increased appropriation.

Although no public comments were received, the State Board of Education and Early Development paid special attention to the cost to private persons of the regulatory action being taken.

The regulation changes described in this order take effect on the 30th day after they have been filed by the lieutenant governor, as provided in AS 44.62.180.

Date:	June 6, 2025	DocuSigned by:
		Laven B-Mornison
		Karen Morrison, Deputy Commissioner

FILING CERTIFICATION

I, [name of lieutenant governor], Lieutenant Governor for the State of Alaska, certify that on

Agust 6, 2025 at 2:36 p.m., I filed the attached regulations according to

the provisions of AS 44.62.040 - 44.62.120.

Nancy Dahlstrom, Lieutenant Governor

Effective: September 5, 2025

Register: 255, october 2025

FOR DELEGATION OF THE LIEUTENANT GOVERNOR'S AUTHORITY

I, NANCY DAHLSTROM, LIEUTENANT GOVERNOR OF THE STATE OF ALASKA, designate the following state employees to perform the Administrative Procedures Act filing functions of the Office of the Lieutenant Governor:

April Simpson, Regulations and Initiatives Specialist

IN TESTIMONY WHEREOF, I have signed and affixed the Seal of the State of Alaska, in Juneau, on May 15th, 2023.

OF THE STATE OF ALASE A

NANCY DAHLSTROM LIEUTENANT GOVERNOR Register 255, October 2025 EDUCATION AND EARLY DEV.

4 AAC 06.864(a) is amended to read:

4 AAC 06.864. Schools designated for comprehensive support and improvement. (a)

The department must notify each district in the state that serves one or more schools designated for comprehensive support and improvement under 4 AAC 06.840(a) of a designation under <u>4 AAC</u>

06.868 [4 AAC 06.888].

(Eff. 10/16/2013, Register 208; am 10/20/2018, Register 228; am 9/5/2025; Register 255)

Authority: AS 14.03.123 AS 14.07.060 AS 14.50.080

AS 14.07.020

4 AAC 06.888 is amended to read:

4 AAC 06.888. Informal review of complaints. (a) A person may file a complaint with the department alleging that the school district or the department has failed to comply with the requirements of the federal programs under 20 U.S.C. 6301 - 7981 (Elementary and Secondary Education Act, as amended by the Every Student Succeeds Act), 42 U.S.C. 11431 - 11434A

McKinney-Vento Homeless Assistance Act [(MCKINNEY-VERTO HOMELESS

ASSISTANCE ACT)], regulations implementing the federal requirements, or requirements of state programs under AS 14.03.123, [OR] 4 AAC 06.800 - 4 AAC 06.899, or other school law of the state under AS 14.07.070, or regulations implementing the state requirements, and seeking informal review under this section of those allegations. For a complaint to be reviewed, it must include

(1) a statement describing the provision of law that the school district or department has allegedly violated;

- (2) a statement of the facts that the complainant alleges establish the violation;
- (3) the name and address of the complainant; and
- (4) documentation that shows that the complainant first presented the complaint to the school district, if the complaint alleges that the school district committed the violation.
- (b) <u>Unless a complaint is rejected under (d) of this section, within 10</u> [WITHIN FIVE] days after receiving a complaint, the department will assign an investigator to conduct an informal review of the complaint. The investigator must be an employee of the department, may not have taken part in the action that is the subject matter of the complaint, and may not have a personal or financial interest in the subject matter of the complaint. In reviewing the complaint, the investigator may interview the complainant or employees of the respondent, and may request information and documents from the complainant or respondent that the investigator considers necessary for a complete review.
- (c) Within 60 days after the date that the department assigned the investigator, the investigator shall submit, to the commissioner, complainant, and respondent, a written statement that
- (1) the complainant did not provide complete information for the investigator to review, that the commissioner need not respond to the complaint, and that the investigator's recommendation does not bar the
 - (A) commissioner from taking additional action based on the information already received; or
 - (B) complainant from either submitting a new complaint with the additional necessary information or pursuing remedies available under state or federal law;
 - (2) the complainant's allegations, even if true, do not establish a violation of a law

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identified in the complaint [20 U.S.C. 6301 — 7981, 42 U.S.C. 11431 — 11434A, AS 14.03.123, OR 4 AAC 06.800 — 4 AAC 06.899], that the commissioner need not respond to the complaint, and that the investigator's recommendation does not bar the

- (A) commissioner from rejecting the investigator's recommendation and taking additional action; or
- (B) complainant from pursuing remedies available under state or federal law; or
- investigator establish that a violation of a law identified in the complaint [20 U.S.C. 6301 7981, 42 U.S.C. 11431 11343A, AS 14.03.123, OR 4 AAC 06.800 4 AAC 06.899,] is likely to have occurred, [BASED ON THE INFORMATION MADE AVAILABLE TO THE INVESTIGATOR,] that if the respondent does not correct or stop the violation, the investigator recommends that the department [MAY] take additional action [UNDER STATE LAW], including the initiation of procedures to withhold funding under 20 U.S.C. 6301 7981 or AS 14.07.070 if the respondent is a school district, and that the investigator's recommendation does not bar the
 - (A) commissioner from rejecting or declining to act upon the investigator's recommendation; or
 - (B) complainant from pursuing remedies available under state or federal law.
- 4 AAC 06.888 is amended by adding new subsections to read:
 - (d) The department will reject a complaint filed under (a) of this section if the alleged

violation occurred more than six months before the date that the complaint is filed or if, based on the alleged violation, a specific process for complaint is set out elsewhere in statute or regulation. If a complaint is rejected, the department will notify the complainant not more than 10 business days after receiving the complaint and may refer the complainant to another department, agency, or organization.

- (e) If the respondent is a school district and the investigator's written statement under (c)(3) of this section concludes that a violation is likely to have occurred,
- (1) not later than 30 days after the written statement, the respondent shall submit documentation of compliance or a plan of compliance to the department; and
- (2) not later than 60 days after the written statement, the commissioner will consider the written statement and the compliance documentation submitted by the respondent and make a determination whether to take further action.
- (f) If under (e) of this section the commissioner determines to withhold funding from a school district under AS 14.07.070, the commissioner will issue a notice of intent to withhold funding to the respondent. A notice of intent under this subsection is an action of the department that may be appealed under 4 AAC 40.010 4 AAC 40.050. (Eff. 9/1/2006, Register 179; am 10/20/2018, Register 228; am 1/5/2025; Register 255)

Authority: AS 14.03.123 <u>AS 14.07.030</u> <u>AS 14.07.070</u>
AS 14.07.020 AS 14.07.060 AS 14.50.080

4 AAC 40.020(e) is amended to read:

(e) In this section, "appealable action of the department" means a final decision [(1) MADE BY AN EMPLOYEE OF THE DEPARTMENT WHO IS NOT THE COMMISSIONER; AND

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(2)] denying a request for, or withholding, money <u>appropriated</u> [APPROPRIATE] to the department under AS 14 or AS 44.27 [,] for payment to school districts or other applicants.

(Eff. 10/16/81, Register 80; am 2/11/89, Register 109; am 11/10/2002, Register 164; am

12/29/2010, Register 196; am 9 /5 / 2025; Register 255)

Authority: AS 14.07.060 AS 14.17.920