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## OFFICE OF THE LIEUTENANT GOVERNOR ALASKA

### MEMORANDUM

TO:	Triptaa Surve
	Department of Health and Social Services
FROM:	April Simpson, Office of the Lieutenant Governor
DATE:	September 4, 2020
RE:	Filed Permanent Regulations: Department of Health and Social Services
	Department of Health and Social Services regulations re: Medicaid coverage and payment and transfer of payment for chore services from home and community-based waiver services program to Community First Choice program (7 AAC 125.040; 7 AAC 127; 7 AAC 130; 7 AAC 145.500(a); 7 AAC 145.520(f); 7 AAC 145.525(a)(1); 7 AAC 145.531(a); 7 AAC 160.900(d))

Attorney General File:2019200641Regulation Filed:9/4/2020Effective Date:1/1/2021Print:236, January 2021

cc with enclosures:

Harry Hale, Department of Law Judy Herndon, LexisNexis

### ORDER ADOPTING CHANGES TO REGULATIONS OF THE DEPARTMENT OF HEALTH & SOCIAL SERVICES

The attached 20 pages of regulations, dealing with Medicaid coverage, home and community-based waiver services, and Community First Choice services, specifically, Community First Choice Personal Care Services (7 AAC 127); Medicaid Coverage; Home & Community-Based Waiver Services (7 AAC 130); Medicaid Program; General Provisions (7 AAC 160), are adopted and certified to be a correct copy of the regulation changes that the Department of Health and Social Services adopts under the authority of AS 47.05.010, AS 47.05.012, AS 47.07.030, AS 47.07.036, AS 47.07.040, AS 47.07.045, and after compliance with the Administrative Procedure Act (AS 44.62), specifically including notice under AS 44.62.190 and 44.62.200 and opportunity for public comment under AS 44.62.210.

This action is not expected to require an increased appropriation.

Although no public comments were received, the Department of Health & Social Services paid special attention to the cost to private persons of the regulatory action being taken.

The regulation changes adopted under this order take effect on January 1, 2021, as provided in AS 44.62.180.

Adam Crum

Digitally signed by Adam Crum Date: 2020.09.02 08:27:40 -08'00'

Adam Crum, Commissioner Department of Health & Social Services

### FILING CERTIFICATION

I, Kevin Meyer, Lieutenant Governor for the State of Alaska, certify that<sup>1</sup> on <u>September</u> 20 10, at 1:33 p.m., I filed the attached regulations according to the provisions of AS 44.62.040 -44.62.120.

for Lieutenant Governor Kevin Meyer

Effective:

January 1, 2021. 230, January 2021.

Register:

### FOR DELEGATION OF THE LIEUTENANT GOVERNOR'S AUTHORITY

I, KEVIN MEYER, LIEUTENANT GOVERNOR OF THE STATE OF ALASKA, designate the following state employees to perform the Administrative Procedures Act filing functions of the Office of the Lieutenant Governor:

> Josh Applebee, Chief of Staff Kady Levale, Notary Administrator April Simpson, Regulations and Initiatives Specialist

> > IN TESTIMONY WHEREOF, I have signed and affixed the Seal of the State of Alaska, in Juneau, on December 11th, 2018.



K-Man

KEVIN MEYER LIEUTENANT GOVERNOR

Register 236, Jahong 2021 HEALTH AND SOCIAL SERVICES 7 AAC 125.040(a)(14)(B) is amended to read:

> (B) duplicates services that other recipients living in the same residence receive as assistance with IADLs under this section or similar services under <u>7 AAC</u> <u>127.087</u> [7 AAC 130.245], and those services are sufficient to maintain a clean, sanitary, and safe environment for all recipients in that residence; however, the department may authorize assistance with an IADL that duplicates services if it is justified as necessary for the health, safety, and welfare of a recipient;

7 AAC 125.040(c) is amended to read:

(c) A recipient that is eligible for both <u>Community First Choice</u> [HOME AND COMMUNITY-BASED WAIVER] chore services under <u>7 AAC 127.087</u> [7 AAC 130.245] and personal care services under 7 AAC 125.030(c) must choose either to receive chore services or to have similar activities performed as personal care services. (Eff. 2/1/2010, Register 193; am 1/26/2012, Register 201; am 11/3/2012, Register 204; am 7/1/2015, Register 214; am 7/22/2017, Register 223; am <u>1/1/2021</u>, Register <u>236</u>)

Authority: AS 47.05.010 AS 47.07.030

7 AAC 127.025(f) is amended to read:

(f) The department will consider the recipient to be enrolled under this section after the recipient has

- (1) applied under 7 AAC 127.030 or 7 AAC 130.207;
- (2) been assessed under 7 AAC 127.030(f) or 7 AAC 130.213;

Register 236, January 2021 HEALTH AND SOCIAL SERVICES

(3) met the level-of-care requirement under (d) of this section or 7 AAC

130.215; and

(4) received approval of the recipient's support plan under 7 AAC 127.039 or

### 7 AAC 130.217.

(Eff. 10/1/2018, Register 227; am 1 /1 /2021, Register 236)

Authority: AS 47.05.010 AS 47.07.030 AS 47.07.040

7 AAC 127.040(a) is amended to read:

7 AAC 127.040. Community First Choice covered services. (a) The department will pay a Community First Choice provider agency for the following services for individuals eligible under 7 AAC 127.025, if those services are provided in accordance with this chapter:

(1) personal emergency response services under 7 AAC 127.085;

(2) Community First Choice personal care services, including

(A) physical assistance with services as specified in 7 AAC 125.030(b) -

(d);

(B) supervision and cueing assistance with the ADLs and IADLs specified in 7 AAC 125.030(b) and (c) for a recipient who requires assistance based on behavior or cognitive scoring on the CAT assessment as indicated in the *Community First Choice Personal Care Services: Service Level Computation*, adopted by reference in 7 AAC 160.900(d); and

(C) acquisition, maintenance, and enhancement of skills necessary for the recipient to accomplish the activities in 7 AAC 125.030(b) - (d); the recipient may

Register 236, May 2021 HEALTH AND SOCIAL SERVICES request time for training to enable the recipient to perform independently the ADLs, IADLs, and other services identified in the recipient's Community First Choice service level authorization<u>: and</u>

### (3) chore services under 7 AAC 127.087.

7 AAC 127.040(c) is amended to read:

(c) A recipient that is eligible for both <u>Community First Choice</u> [HOME AND COMMUNITY-BASED WAIVER] chore services under <u>7 AAC 127.087</u> [7 AAC 130.245] and assistance with IADLs under (a)(2) of this section must choose either to receive chore services or to have similar activities performed as Community First Choice personal care services. (Eff. 10/1/2018, Register 227; am <u>1 /1 /2621</u>, Register <u>236</u>)

 Authority:
 AS 47.05.010
 AS 47.07.036
 AS 47.07.040

 AS 47.07.030
 AS 47.07.030
 AS 47.07.040

7 AAC 127.045(a)(12)(B) is amended to read:

(B) duplicates services that other recipients living in the same residence receive as assistance with IADLs under this section, 7 AAC 125, or similar services under <u>7 AAC 127.087</u> [7 AAC 130.245], and those services are sufficient to maintain a clean, sanitary, and safe environment for all recipients in that residence; however, the department may authorize assistance with an IADL that duplicates services if it is justified as necessary for the health, safety, and welfare of a recipient;

Register <u>736</u>, <u>Tanwary</u> 2021 HEALTH AND SOCIAL SERVICES 7 AAC 127.045(c) is amended to read:

(c) A recipient that is eligible for both <u>Community First Choice</u> [HOME AND COMMUNITY-BASED WAIVER] chore services under <u>7 AAC 127.087</u> [7 AAC 130.245] and for Community First Choice personal care services under <u>7 AAC 127.040(a)(2)</u> [7 AAC 127.040(a)(2)(A)] must choose to receive chore services or to have similar activities performed as Community First Choice personal care services. (Eff. 10/1/2018, Register 227; am

<u>1/1/164</u>, Register <u>136</u>)

 Authority:
 AS 47.05.010
 AS 47.07.036
 AS 47.07.040

 AS 47.07.030
 AS 47.07.030
 AS 47.07.040
 AS 47.07.040

The introductory language of 7 AAC 127.050(a) is amended to read:

(a) **Except as specified in (j) of this section, to** [TO] receive payment for Community First Choice services under 7 AAC 127.040, a provider must enroll in the Medicaid program under 7 AAC 105.210 and must be certified under this section. To be certified by the department, a provider must

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7 AAC 127.050(a)(1) is amended to read:

(1) submit an application and meet **<u>and comply with</u>** the applicable certification criteria, including

(A) the provider qualifications and program standards [,] set out in the department's *Personal Care Services and Community First Choice Personal Care* 

Register 236, January 2021 HEALTH AND SOCIAL SERVICES

Services Provider Conditions of Participation, adopted by reference in 7 AAC 160.900,

if the provider seeks certification to provide personal care services; or

(B) the provider qualifications and program standards applicable to chore services under 7 AAC 127.087 and set out in the department's *Provider* <u>Conditions of Participation for Home and Community-Based Waiver Services and</u> <u>Community First Choice Chore Services</u>, adopted by reference in 7 AAC 160.900, if the provider seeks certification to provide chore services; and

7 AAC 127.050 is amended by adding a new subsection to read:

(j) A provider certified under 7 AAC 130.220(a)(1) and enrolled in the Medicaid program under 7 AAC 105.210 may render and receive payment for chore services provided in accordance with 7 AAC 127.087. (Eff. 10/1/2018, Register 227; am <u>1/1/2018</u>, Register

236)

 Authority:
 AS 47.05.010
 AS 47.07.036
 AS 47.07.040

 AS 47.07.030
 AS 47.07.030
 AS 47.07.040

7 AAC 127 is amended by adding a new section to read:

7 AAC 127.087. Chore services. (a) The department will pay for chore services that
 (1) comply with the department's *Provider Conditions of Participation for Home and Community-Based Waiver Services and Community First Choice Chore Services*, adopted by
 reference in 7 AAC 160.900;

(2) are approved under 7 AAC 127.039 or 7 AAC 130.217 as part of the

## Register 236, Talian 2021 HEALTH AND SOCIAL SERVICES recipient's support plan;

(3) receive prior authorization; and

(4) do not exceed 10 hours for each week during the period that a support plan is in effect, up to a maximum of 520 hours for a one-year support plan, except that if more than one recipient lives in the residence where services are to be provided, the department

(A) will limit the number of hours to that necessary to maintain a clean,

sanitary, and safe environment for each recipient; and

(B) will base the number of hours allowed on

- (i) the degree to which the tasks listed in (b) of this section are necessary for each recipient or benefit all recipients in the residence;
- (ii) whether the services would duplicate services received by any recipient under this chapter, 7 AAC 125.010 7 AAC 125.199, or 7 AAC 130; and
- (iii) the justification for the number of hours provided in each recipient's support plan.
- (b) The department will consider the following tasks to be chore services:
  - (1) routine cleaning within the recipient's residence;
  - (2) performing heavy household chores, including
    - (A) washing floors, windows, and walls;
    - (B) securing loose rugs and tiles;
    - (C) moving heavy items of furniture;
    - (D) snow removal sufficient to provide safe access and egress for the

### Register 236, Junior 2021 HEALTH AND SOCIAL SERVICES

recipient;

(E) hauling water for use in the recipient's residence;

(F) disposing of human excreta; and

(G) chopping or collecting firewood, if firewood is used as the primary source of energy for heating, bathing, or cooking in the recipient's residence;

(3) food preparation and shopping;

(4) other tasks that the department determines necessary to maintain a clean, sanitary, and safe environment with respect to the recipient's residence.

(c) The department will either deny or limit the time authorized for chore services if

(1) an individual that lives in the recipient's home is responsible for performing the chores described in (b) of this section, and the individual is a member of the recipient's immediate family, an individual with a duty to support the recipient under state law, or a caregiver for the recipient;

(2) a community or voluntary agency is willing to perform those chores for the recipient;

(3) a third party is responsible for paying for the performance of those chores for the recipient;

(4) the recipient's residence is a rental property, and the department determines those services to be the responsibility of the landlord under the lease or applicable law;

(5) a provider certified under 7 AAC 127.050 to provide chore services designates an individual to provide chore services, and that individual resides in the same residence as the recipient of chore services; or

### Register 236, January 2021 HEALTH AND SOCIAL SERVICES

(6) the recipient's meals are provided in a congregate setting or delivered to the recipient's residence.

(d) If a recipient is eligible for chore services under this section and eligible for Community First Choice personal care services under this chapter, the recipient must choose to either receive the chore services described in this section or to have similar tasks performed as personal care services.

(e) The department will not pay or may reduce the time for chore services allowed under(a)(4) of this section if

(1) a recipient's performance of a task is planned as habilitation; or

(2) a recipient's meals are provided in a congregate setting or delivered to the recipient's residence. (Eff. 1 / 1 / 1024, Register 230)
Authority: AS 47.05.010 AS 47.07.030 AS 47.07.040

7 AAC 130.206(a) is amended to read:

7 AAC 130.206. Waiver options for the recipient category of individuals with intellectual and developmental disabilities. (a) <u>An individual who submitted an application</u> <u>under 7 AAC 130.207(a)(2), and</u> [THE APPLICANT,] determined by the department to be a person with a developmental disability under AS 47.80.900, may request placement on a waiting list established under AS 47.80.130(d) for the individualized supports waiver, the individuals with intellectual and developmental disabilities waiver, or both waivers. If funding for waiver services is available, and if the applicant meets all eligibility requirements under 7 AAC 130.205 for the recipient category of individuals with intellectual and developmental disabilities, the Register 236, January 2021 HEALTH AND SOCIAL SERVICES applicant may be eligible for one of the following:

(1) individualized supports waiver benefits up to an individual cost limit of \$17,500 annually, adjusted for inflation using the CMS Home Health Agency Market Basket in the most recent quarterly publication of Global Insight's *Healthcare Cost Review* available 60 days before July 1, for the following services:

(A) [CHORE SERVICES UNDER 7 AAC 130.245;

(B)] day habilitation services under 7 AAC 130.260;

(B) [(C)] residential habilitation services for recipients of either

supported-living habilitation services under 7 AAC 130.265(d) and (e) or in-home support habilitation services under 7 AAC 130.265(h) and (i);

(C) [(D)] supported employment services under 7 AAC 130.270;

(D) [(E)] intensive active treatment services for recipients 21 years of age and older under 7 AAC 130.275;

(E) [(F)] respite care services under 7 AAC 130.280;

(F) [(G)] transportation services under 7 AAC 130.290; or

(2) individuals with intellectual and developmental disabilities waiver benefits

for all services offered in accordance with 7 AAC 130.235 - 7 AAC 130.305.

(Eff. 10/1/2018, Register 227; am 1/1/2021, Register 236)

 Authority:
 AS 47.05.010
 AS 47.07.040
 AS 47.07.045

 AS 47.07.030
 AS 47.07.030
 AS 47.07.045
 AS 47.07.045

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Register 236, January 2021 HEALTH AND SOCIAL SERVICES 7 AAC 130.220(a)(1) is amended to read:

(1) the department will certify a provider agency as a home and communitybased waiver services provider, for

(A) nursing oversight and care management services provided under

7 AAC 130.235;

- (B) chore services provided under <u>7 AAC 127.087</u> [7 AAC 130.245];
- (C) adult day services provided under 7 AAC 130.250;
- (D) day habilitation services provided under 7 AAC 130.260;
- (E) residential habilitation services provided under 7 AAC 130.265;
- (F) supported employment services provided under 7 AAC 130.270;
- (G) intensive active treatment services provided under 7 AAC 130.275;
- (H) respite care services provided under 7 AAC 130.280;
- (I) transportation services provided under 7 AAC 130.290;
- (J) meal services provided under 7 AAC 130.295;
- (K) environmental modification services provided under 7 AAC 130.300;

7 AAC 130.220(b)(1)(A) is amended to read:

(A) must meet the applicable certification criteria, including the provider
 qualifications and program standards, set out in the department's [HOME AND
 COMMUNITY-BASED WAIVER SERVICES] *Provider Conditions of Participation <u>for</u>
 <u>Home and Community-Based Waiver Services and Community First Choice Chore</u>
 <u>Services</u>, adopted by reference in 7 AAC 160.900; and* 

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 (Eff. 2/1/2010, Register 193; am 7/1/2013, Register 206; am 7/1/2015, Register 214; am

 7/1/2016, Register 218; am 11/5/2017, Register 224; am 1 /1 /2021, Register 235)

 Authority:
 AS 47.05.010
 AS 47.07.030

 As 47.07.040

7 AAC 130.245 is repealed:

7 AAC 130.245. Chore services. Repealed. (Eff. 2/1/2010, Register 193; am 7/1/2013, Register 206; am 7/1/2015, Register 214; am 11/5/2017, Register 224; repealed 1 /1 /2021, Register 230)

7 AAC 130.255(c) is amended to read:

(c) If a recipient is eligible for residential supported-living services, the department will not make separate payment for

(1) chore services under <u>7 AAC 127.087</u> [7 AAC 130.245];

(2) meal [MEALS] services under 7 AAC 130.295, unless the meals are provided

in a congregate setting other than an assisted living home licensed under AS 47.32;

(3) respite care services payable under 7 AAC 130.280;

(4) the recipient's room and board;

(5) the cost of facility maintenance, upkeep, or improvement; or

(6) activities or supervision for which a source other than Medicaid makes

payment.

(Eff. 2/1/2010, Register 193; am 7/1/2013, Register 206; am 11/5/2017, Register 224; am

\ / \ / 2021, Register 236)

# Register 236, January 2021 HEALTH AND SOCIAL SERVICES Authority: AS 47.05.010 AS 47.07.030 AS 47.07.040

7 AAC 130.265(c)(3) is amended to read:

(3) when family home habilitation services are authorized for a recipient, the

department will not make separate payment for

(A) chore services under 7 AAC 127.087 [7 AAC 130.245];

(B) family-directed respite care services under 7 AAC 130.280;

(C) transportation services under 7 AAC 130.290;

(D) meal services under 7 AAC 130.295; or

(E) services provided by another resident of a family home habilitation

service site;

7 AAC 130.265(e)(2) is amended to read:

(2) the department will approve other direct care services for a recipient under (d) of this section, if the recipient's care coordinator confirms in writing and the department is satisfied that those services do not supplant or duplicate services provided by natural supports; for purposes of this paragraph, "direct care services" includes

(A) personal care services under 7 AAC 125.010 - 7 AAC 125.199;

(B) chore services under <u>7 AAC 127.087</u> [7 AAC 130.245];

(C) transportation services under 7 AAC 130.290; and

(D) meal services under 7 AAC 130.295.

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7 AAC 130.265(g)(3) is amended to read:

(3) if a recipient is eligible for group-home habilitation services, the department

will not make separate payment for

- (A) chore services under <u>7 AAC 127.087</u> [7 AAC 130.245];
- (B) respite services under 7 AAC 130.280;
- (C) transportation services under 7 AAC 130.290;
- (D) meal services under 7 AAC 130.295; or
- (E) services provided by another resident of the group home.

7 AAC 130.265(i)(2) is amended to read:

(2) when in-home support habilitation services are authorized for the recipient,

the department will not make separate payment for

(A) personal care services under 7 AAC 125.010 - 7 AAC 125.199 or

7 AAC 127.090 - 7 AAC 127.140;

- (B) chore services under <u>7 AAC 127.087</u> [7 AAC 130.245];
- (C) transportation services under 7 AAC 130.290;
- (D) meal services under 7 AAC 130.295; or
- (E) services provided by another resident of the home or by the primary

unpaid caregiver.

(Eff. 2/1/2010, Register 193; am 7/1/2013, Register 206; am 7/1/2015, Register 214; am

11/5/2017, Register 224; am 10/1/2018, Register 227; am 1/1/2018, Register 227; am

 Authority:
 AS 47.05.010
 AS 47.07.036
 AS 47.07.040

### Register 236, Jahr 2021 HEALTH AND SOCIAL SERVICES AS 47.07.030

7 AAC 130.280(d)(5) is amended to read:

(5) the department will pay for hourly respite care services provided at the same time as one or more of the following services, except that an individual may not provide another service identified in this paragraph while rendering respite care services:

(A) chore services under <u>7 AAC 127.087</u> [7 AAC 130.245];

- (B) transportation services under 7 AAC 120.290;
- (C) meal services under 7 AAC 130.295;

(Eff. 2/1/2010, Register 193; am 7/1/2013, Register 206; am 7/1/2015, Register 214; am

11/5/2017, Register 224; am 1 / 1 / 2021, Register 236)

Authority: AS 47.05.010 AS 47.07.030 AS 47.07.040

The article heading for 7 AAC 145, Article 6, is changed to read:

### Article 6. Payment Rates; Personal Care<u>, Community First Choice</u>, and Home Health Care.

The introductory language of 7 AAC 145.500(a) is amended to read:

7 AAC 145.500. Personal care and Community First Choice services payment rates.
(a) For providing personal care services under 7 AAC 125.010 - 7 AAC 125.199 and
Community First Choice services under 7 AAC 127, the department will pay a unit of service at the lesser of

Register 236, January 2021 HEALTH AND SOCIAL SERVICES

(Eff. 2/1/2010, Register 193; am 3/1/2011, Register 197; am 8/18/2015, Register 215; am 10/1/2017, Register 223; am 3/1/2018, Register 225; am 10/1/2018, Register 227; am 7/1/2019, Register 231; am 1 / 1 /2021, Register 236)

Authority: AS 47.05.010 AS 47.07.030 AS 47.07.040

7 AAC 145.520(f) is amended to read:

. . .

(f) For [CHORE SERVICES PROVIDED UNDER 7 AAC 130.245,] adult day services provided under 7 AAC 130.250, residential supported-living services provided under 7 AAC 130.255, day habilitation services provided under 7 AAC 130.260, residential habilitation services provided under 7 AAC 130.265, supported-employment services provided under 7 AAC 130.270, intensive active treatment services provided under 7 AAC 130.275, respite care services provided under 7 AAC 130.280, transportation services provided under 7 AAC 130.290(a), or meal services provided under 7 AAC 130.295, the department will pay a unit of service at the lesser of

(1) the amount charged by the provider in accordance with 7 AAC 145.020; or

(2) rates established in the department's *Chart of Waiver Services Rates*, adopted by reference in 7 AAC 160.900.

(Eff. 2/1/2010, Register 193; am 3/1/2011, Register 197; am 4/1/2012, Register 201; am 7/1/2013, Register 206; am 1/1/2014, Register 208; am 7/1/2015, Register 214; am 8/18/2015, Register 215; am 10/1/2017, Register 223; am 3/1/2018, Register 225; am 10/1/2018, Register 227; am 7/1/2019, Register 231; am 1/1/2011, Register 231; Register 231; am 1/1/2011, Register 231; Register

# Register 236, January 2021 HEALTH AND SOCIAL SERVICES Authority: AS 47.05.010 AS 47.07.030 AS 47.07.040

7 AAC 145.525(a)(1) is amended to read:

(1) rates for personal care services provided under 7 AAC 125.010 - 7 AAC 125.199, Community First Choice [PERSONAL CARE] services provided under 7 AAC 127, [CHORE SERVICES PROVIDED UNDER 7 AAC 130.245,] adult day services provided under 7 AAC 130.250, day habilitation services provided under 7 AAC 130.260, residential habilitation services provided under 7 AAC 130.265, supported-employment services provided under 7 AAC 130.270, intensive active treatment services provided under 7 AAC 130.275, respite care services provided under 7 AAC 130.280, transportation services provided under 7 AAC 130.290(a), and meal services provided under 7 AAC 130.295 will be re-established using annual reports in 7 AAC 145.531, as described in the department's *Personal Care Assistant and Waiver Rate-Setting Methodology*, adopted by reference in 7 AAC 160.900; (Eff. 3/1/2018, Register 225; am 10/1/2018, Register 227; am 7/1/2019, Register 231; am

////202/, Register 236)

Authority: AS 47.05.010 AS 47.07.030 AS 47.07.040

7 AAC 145.531(a) is amended to read:

(a) For personal care services provided under 7 AAC 125.010 - 7 AAC 125.199,
 Community First Choice [PERSONAL CARE] services provided under 7 AAC 127, [CHORE SERVICES PROVIDED UNDER 7 AAC 130.245,] adult day services provided under 7 AAC 130.250, day habilitation services provided under 7 AAC 130.260, residential habilitation

Register 236, Johney 2021 HEALTH AND SOCIAL SERVICES services provided under 7 AAC 130.265, supported-employment services provided under 7 AAC 130.270, intensive active treatment services provided under 7 AAC 130.275, respite care services provided under 7 AAC 130.280, transportation services provided under 7 AAC 130.290(a), and meal services provided under 7 AAC 130.295, each target provider described in (b) of this section and in the department's *Personal Care Assistant and Waiver Rate-Setting Methodology*, adopted by reference in 7 AAC 160.900, shall complete and submit an annual report to the department in accordance with this section.

(Eff. 3/1/2011, Register 197; am 11/3/2012, Register 204; am 1/1/2014, Register 208; am 3/1/2018, Register 225; am 10/1/2018, Register 227; am 1/1/2018, Register 227; am 1/1/2018, Register 236) Authority: AS 47.05.010 AS 47.07.030 AS 47.07.040

7 AAC 160.900(d)(10) is amended to read:

(10) the Chart of Personal Care Services and Community First Choice Services Rates, <u>effective January 1, 2021</u> [DATED JUNE 26, 2019], for providers of personal care services under 7 AAC 125.010 - 7 AAC 125.199 and Community First Choice personal care services under 7 AAC 127;

7 AAC 160.900(d)(35) is amended to read:

(35) the <u>Community First Choice</u> Chore Services Conditions of Participation, <u>effective January 1, 2021</u> [DATED SEPTEMBER 5, 2017]; Register 236, January 2021 HEALTH AND SOCIAL SERVICES 7 AAC 160.900(d)(44) is amended to read:

(44) the [HOME AND COMMUNITY-BASED WAIVER SERVICES] Provider Conditions of Participation <u>for Home and Community-Based Waiver Services and Community</u> First Choice Chore Services, effective January 1, 2021 [DATED JANUARY 25, 2019];

7 AAC 160.900(d)(62) is amended to read:

(62) the Chart of Waiver Services Rates, effective January 1, 2021 [DATED JUNE 26, 2019, for providers of home and community-based waiver services under 7 AAC 130; (Eff. 2/1/2010, Register 193; am 8/25/2010, Register 195; am 12/1/2010, Register 196; am 1/1/2011, Register 196; am 1/15/2011, Register 197; am 2/9/2011, Register 197; am 3/1/2011, Register 197; am 10/1/2011, Register 199; am 12/1/2011, Register 200; am 1/26/2012, Register 201; am 3/8/2012, Register 201; am 4/1/2012, Register 201; add'l am 4/1/2012, Register 201; am 5/11/2012, Register 202; am 10/16/2012, Register 204; am 11/3/2012, Register 204; am 12/1/2012, Register 204; am 12/2/2012, Register 204; am 1/1/2013, Register 204; am 1/16/2013, Register 205; am 7/1/2013, Register 206; add'l am 7/1/2013, Register 206; am 11/3/2013, Register 208; am 1/1/2014, Register 208; am 2/2/2014, Register 209; am 3/19/2014, Register 209; am 3/22/2014, Register 209; am 5/18/2014, Register 210; am 2/26/2015, Register 213; am 3/15/2015, Register 213; am 7/1/2015, Register 214; am 5/1/2016, Register 218; am 6/16/2016, Register 218; am 7/22/2017, Register 223; am 11/5/2017, Register 224; am 3/1/2018, Register 225; am 10/1/2018, Register 227; am 1/1/2019, Register 228; am 3/24/2019, Register 229; am 6/2/2019, Register 230; am 6/13/2019, Register 230; am 7/1/2019, Register 231; am 10/25/2019, Register 232; am 11/10/2019, Register 232; am 4/24/2020, Register 234; am 5/21/2020, Register

Register 236, January 2021 HEALTH AND SOCIAL SERVICES 234; am 6/25/2020, Register 234; am 10/1/2020, Register 235; am 10/1/1020, Register 236; am 1/1/2021, Register 236)

 Authority:
 AS 47.05.010
 AS 47.07.030
 AS 47.07.040

 AS 47.05.012
 AS 47.05.012
 AS 47.07.040

The 37th paragraph of the editor's note following 7 AAC 160.900 is changed to read:

The Application for ALI/APDD/ CCMC/CFC (New and Renewal), Adult Day Services Condition of Participation, Care Coordinator Certification Application, Care Coordination Services and Long Term Services and Supports Targeted Case Management Conditions of Participation, Community First Choice Chore Services Conditions of Participation, Day Habilitation Services Conditions of Participation, Developmental Disabilities (DD) Registration and Review form, Material Improvement Reporting for ALI/APDD Waivers, Material Improvement Reporting for CCMC Waivers, Material Improvement Reporting for IDD Participants Age Three or Over, Material Improvement Reporting for IDD Participants Under the Age of Three, Meal Services Conditions of Participation, Nursing Facility Level of Care Assessment Form for Children, [HOME AND COMMUNITY-BASED WAIVER SERVICES] Provider Conditions of Participation for Home and Community-Based Waiver Services and Community First Choice Chore Services, Residential Habilitation Services Conditions of Participation, Residential Supported-Living Services Conditions of Participation, Supported Employment Services Conditions of Participation, Transportation Services Conditions of Participation, Environmental Modification Services Conditions of Participation, and Nursing Oversight and Care Management Conditions of Participation, adopted by reference in 7 AAC

### Register 236, January 2021 HEALTH AND SOCIAL SERVICES

160.900(d), may be obtained by contacting the Department of Health and Social Services, Division of Senior and Disabilities Services, P.O. Box 110680, Juneau, Alaska, 99811-0680 and are posted on the Department of Health and Social Services, Division of Senior and Disabilities Services website at http://dhss.alaska.gov/dsds.

### **MEMORANDUM**

- To: The Honorable Kevin Meyer Lieutenant Governor
- Thru: Susan R. Pollard *SRP* Chief Assistant Attorney General and Regulations Attorney Legislation and Regulations Section

### From: Steven C. Weaver *SCW* Senior Assistant Attorney General Legislation and Regulations Section

### State of Alaska Department of Law

Date: September 3, 2020

File No.: 2019200641

#### Tel. No.: 465-3600

Re: Department of Health and Social Services regulations re: Medicaid coverage and payment and transfer of payment for chore services from home and community-based waiver services program to Community First Choice program (7 AAC 125.040; 7 AAC 127; 7 AAC 130; 7 AAC 145.500(a); 7 AAC 145.520(f); 7 AAC 145.525(a)(1); 7 AAC 145.531(a); 7 AAC 160.900(d))

The Department of Law has reviewed the attached regulations of the Department of Health and Social Services against the statutory standards of the Administrative Procedure Act. Based upon our review, we find no legal problems. This memorandum constitutes the written statement of approval under AS 44.62.060(b) and (c) that authorizes your office to file the attached regulations. The regulations were adopted by the Department of Health and Social Services after the close of the public comment period. The regulations transfer Medicaid coverage and payment for chore services from the home and community-based waiver services program to the Community First Choice program.

The January 22, 2020 public notice and the September 2, 2020 adoption order both state that this action is not expected to require an increased appropriation. Therefore, a fiscal note under AS 44.62.195 is not required.

We note that the adoption order identifies a special effective date of January 1, 2021 for these regulations. As a result, the amendments made in these regulations will become effective after other amendments to 7 AAC 160.900(d): the permanent changes to the emergency regulations regarding behavioral health "1115" waiver services (Department of Law file number 2020200364). We have inserted two blank history notes at the end of the amendments to 7 AAC 160.900. In the first blank history note, please insert the effective date of the permanent changes

Honorable Kevin Meyer, Lieutenant Governor File No. 2019200641 September 3, 2020 Page 2 of 2

to the emergency regulations regarding behavioral health "1115" waiver services.

SCW

cc w/enc.: Hon. Adam Crum, Commissioner Department of Health and Social Services

> Triptaa Surve, Regulations Contact Department of Health and Social Services

John Lee, Director Division of Senior and Disabilities Services Department of Health and Social Services

Jetta Whittaker Division of Senior and Disabilities Services Department of Health and Social Services

Russ Stevens Division of Senior and Disabilities Services Department of Health and Social Services

Anthony Newman Division of Senior and Disabilities Services Department of Health and Social Services

Stacie L. Kraly, Chief Assistant Attorney General Human Services Section

Paul R. Peterson, Assistant Attorney General Human Services Section

### NOTICE OF PROPOSED CHANGES ON MEDICAID COVERAGE, HOME & COMMUNITY-BASED WAIVER SERVICES, AMENDMENTS TO CHORE SERVICES IN THE REGULATIONS OF THE DEPARTMENT OF HEALTH & SOCIAL SERVICES

### BRIEF DESCRIPTION

The Department of Health & Social Services proposes to adopt regulations on Medicaid coverage, home and community-based waiver services, and Community First Choice services, related to chore services.

The Department of Health & Social Services proposes to adopt regulation changes in Title 7 of the Alaska Administrative Code, dealing with Medicaid coverage, home and community-based waiver services, and Community First Choice services, related to chore services, including the following:

- 7 AAC 127. Community First Choice Personal Care Services, is proposed to be amended to
  - Add chore services to the Community First Choice program.
  - Add definitions related to payment for chore services, provider qualifications, and the certification process.
- 7 AAC 130. Medicaid Coverage; Home & Community-Based Waiver Services, is proposed to be amended to
  - Repeal chore services from the list of waiver services.
- 7 AAC 160.900. Medicaid Program; General Provisions, is proposed to be amended to
   Add new rate charts and conditions of participation.

You may comment on the proposed regulation changes, including the potential costs to private persons of complying with the proposed changes, by submitting written comments to Ms. Jetta Whittaker at the Department of Health & Social Services, Division of Senior & Disabilities Services, P.O. Box 110680, Juneau, AK 99811. Additionally, the Department of Health & Social Services will accept comments by facsimile at (907) 465-1170 and by electronic mail at jetta.whittaker@alaska.gov . The comments must be received not later than 5 p.m. on March 9, 2020.

You may submit written questions relevant to the proposed action to the Department of Health & Social Services by e-mail at <u>jetta.whittaker@alaska.gov</u> or at the Department of Health & Social Services, Division of Senior & Disabilities Services, P.O. Box 110680, Juneau, AK 99811. The questions must be received at least 10 days before the end of the public comment period. The Department of Health & Social Services will aggregate its response to substantially similar questions and make the questions and responses available on the Alaska Online Public Notice System.

If you are a person with a disability who needs a special accommodation in order to participate in this process, please contact Ms. Jetta Whittaker at <u>jetta.whittaker@alaska.gov</u> or at (907) 465-1605 not later than February 20, 2020, to ensure that any necessary accommodations can be provided.

A copy of the proposed regulation changes is available on the Alaska Online Public Notice System and by contacting Ms. Jetta Whittaker at jetta.whittaker@alaska.gov or at (907) 465-1605.

A copy of material proposed for adoption by reference is available on the Alaska Online Public Notice System, and may be viewed at the agency's office at Department of Health & Social Services, Senior & Disabilities Services, 240 Main Street, Juneau, AK 99801.

After the public comment period ends, the Department of Health & Social Services will either adopt the proposed regulation changes or other provisions dealing with the same subject, without further notice, or decide to take no action. The language of the final regulation may be different from that of the proposed regulation. You should comment during the time allowed if your interests could be affected. Written comments received are public records and are subject to public inspection.

**Statutory authority:** AS 47.05.010; AS 47.05.012; AS 47.07.030; AS 47.07.036; AS 47.07.040; AS 47.07.045.

**Statutes being implemented, interpreted, or made specific:** AS 47.05.010; AS 47.05.012; AS 47.07.030; AS 47.07.036; AS 47.07.040; AS 47.07.045.

**Fiscal information:** The proposed regulation changes are not expected to require an increased appropriation.

The Department of Health & Social Services, Division of Senior & Disabilities Services keeps a list of individuals and organizations interested in its regulations. Those on the list will automatically be sent a copy of all of the Department of Health & Social Services/Division of Senior & Disabilities Services notices of proposed regulation changes. To be added to or removed from the list, send a request to the Department of Health & Social Services & Disabilities Services at jetta.whittaker@alaska.gov, giving your name, and either your e-mail address or mailing address, as you prefer for receiving notices.

DATE: January 16, 2020. /s/Adam Crum Commissioner, Department of Health & Social Services

### ADDITIONAL REGULATION NOTICE INFORMATION (AS 44.62.190(d))<sup>1</sup>

- 1. Adopting agency: <u>Department of Health & Social Services (DHSS)</u>
- 2. General subject of regulation: <u>Moving chore services from Medicald Coverage, Home &</u> <u>Community-Based Waiver Services, to Community First Choice Personal Care Services.</u>
- 3. Citation of regulation (may be grouped): <u>7 AAC 127.025, .040, .050, .087; 7 AAC 130.206, .220, .245, .255, .265; 7 AAC 160.900.</u>
- 4. Department of Law file number, if any: <u>2019200641</u>
- 5. Reason for the proposed action:
  - ( ) Compliance with federal law or action (identify):
  - () Compliance with new or changed state statute

( ) Compliance with federal or state court decision (identify):

- () Development of program standards
- (X) Other (identify): DHSS/SOA cost reductions.
- 6. Appropriation/Allocation: <u>Medicald Services/Senior & Disabilities Services</u>
- 7. Estimated annual cost to comply with the proposed action to: A private person: <u>\$0</u> Another state agency:<u>\$0</u> A municipality:<u>\$0</u>
- 8. Cost of implementation to the state agency and available funding (in thousands of dollars): None.

	Initial Year	Subsequent
	FY 19	Years
Operating Cost	\$ <u>0</u>	\$ <u>0</u>
Capital Cost	\$ <u>0</u>	\$ <u>0</u>
1002 Federal receipts	\$	\$
1003 General fund match	\$	\$
1004 General fund	\$	\$
1005 General fund/		
program	\$	\$
Other (identify)	\$ <u>0</u>	\$ <u>0</u>

- 9. The name of the contact person for the regulation: Name: <u>Jetta Whittaker</u> Title: <u>Health Program Manager III</u> Address: <u>240 Main Street, Suite 600, Juneau, AK 99801</u> Telephone: <u>(907) 464-1605</u> E-mail address: <u>jetta.whittaker@alaska.gov</u>
- 10. The origin of the proposed action:
  - \_\_\_X\_\_ Staff of state agency
  - \_\_\_\_\_ Federal government
  - \_\_\_\_\_ General public
  - Petition for regulation change
  - Other (identify):\_\_\_\_\_

Date: 1/10/20 11.

Prepared by:	Jott Mittaler
Name (printed):_	[signature] Letz Whittz ker
Title (printed):	Heatth Arogram Manager IIL
Telephone:	405-1005

### AFFIDAVIT OF NOTICE OF PROPOSED REGULATION AND FURNISHING OF ADDITIONAL INFORMATION

I, Jetta Whittaker, Health Program Manager III, of the Department of Health & Social Services, under penalty of perjury, certify the following:

As required by AS 44.62.190, notice of the proposed adoption of changes to Community First Choice Personal Care Services (7 AAC 127); Medicaid Coverage; Home & Community-Based Waiver Services (7 AAC 130); Medicaid Program; General Provisions (7 AAC 160), has been given by being

- (1) published in a newspaper or trade publication;
- (2) furnished to every person who has filed a request for notice of proposed action with the state agency;
- (3) furnished to appropriate state officials;
- (4) furnished to interested persons;
- (5) furnished to the Department of Law, along with a copy of the proposed regulation;
- (6) furnished electronicallyto incumbent State of Alaska legislators;
- (7) posted on the Alaska Online Public Notice System as required by AS 44.62.175(a)(1) and (b) and 44.62.190(a)(1).

As required by AS 44.62.190, additional regulation notice information regarding the proposed adoption of the regulation changes described above has been furnished to interested persons and those in (2), (4) and (6) of the list above. The additional regulation notice information also has been posted on the Alaska Online Public Notice System.

There is no notary public or other official empowered to administer oaths available to notarize this document as a result of social distancing requirements implemented statewide.

I certify under penalty of perjury that the foregoing is true.

Date: \_\_\_\_\_April 20, 2020

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Digitally signed by Jetta Whittaker Date: 2020.04.20 13:29:23 -08'00'

[original or password-protected electronic signature] Jetta Whittaker, Health Program Manager III

State of Alaska Juneau, AK 99801.

## **ANCHORAGE DAILY NEWS AFFIDAVIT OF PUBLICATION**

Account #: 270229 ST OF AK/DHSS/COMMISSIONERS 3601 C STREET STE 902, ANCHORAGE, AK 99503

Order #: W0013144

#### STATE OF ALASKA THIRD JUDICIAL DISTRICT

Lisi Misa being first duly sworn on oath deposes and says that she is a representative of the Anchorage Daily News, a daily newspaper. That said newspaper has been approved by the Third Judicial Court, Anchorage, Alaska, and it now and has been published in the English language continually as a daily newspaper in Anchorage, Alaska, and it is now and during all said time was printed in an office maintained at the aforesaid place of publication of said newspaper. That the annexed is a copy of an advertisement as it was published in regular issues (and not in supplemental form) of said newspaper on

#### 01/22/2020

and that such newspaper was regularly distributed to its subscribers during all of said period. That the full amount of the fee charged for the foregoing publication is not in excess of the rate charged private individuals.

Signed

Subscribed and sworn to before me this 22nd day of January 2020.

OF ALASK Vada L. Nowing

Notary Public in and for The State of Alaska. Third Division Anchorage, Alaska

MY COMMISSION EXPIRES

12020

#### Cost: \$483.1

NOTICE OF PROPOSED CHANGES ON MEDICAID COVERAGE, HOME & COMMUNITY-BASED WAIVER SERVICES, AMENDMENTS TO CHORE SERVICES IN THE REGULATIONS OF THE DEPARTMENT OF HEALTH & SOCIAL SERVICES

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DATE: January 16, 2020. /s/Adam Crum Commissioner, Department of Health & Social Services

Published: January 22, 2020

### AFFIDAVIT OF AGENCY RECORD OF PUBLIC COMMENT

I, Jetta Whittaker, Health Program Manager III, of the Department of Health & Social Services, under penalty of perjury, state the following:

The Department of Health & Social Services did not receive any factual or other substantive information that was submitted in writing or orally as public comment and that was relevant to the accuracy, coverage, or other aspect of the Department of Health & Social Services regulation on CommunityFirst Choice Personal Care Services (7 AAC 127); Medicaid Coverage; Home & Community-Based Waiver Services (7 AAC 130); Medicaid Program; General Provisions (7 AAC 160).

There is no notary public or other official empowered to administer oaths available to notarize this document as a result of social distancing requirements implemented statewide.

I certify under penalty of perjury that the foregoing is true.

Date: April 20, 2020

ettatswittekov

Digitally signed by Jetta Whittaker Date: 2020.04.20 13:31:19 -08'00'

[original or password-protected electronic signature] Jetta Whittaker, Health Program Manager III

State of Alaska Juneau, AK 99801.