INVITATION TO BID Alaska Court System

ISSUING OFFICE 7/20/2020

Alaska Court System, Facilities Department 820 West 4th Avenue Anchorage, Alaska 99501 Project No. ANC-C-19-0011

All questions shall be directed to the Assistant Facilities Manager: Dean S Maschner at (907) 264-0427 or dmaschner@akcourts.us

PROJECT

Nesbett Courthouse Elevator Modernization

Project Site:

Nesbett Courthouse 825 W. 4th Ave. Anchorage, AK 99501

Description and Sequence of Work:

- Base Bid: Modernize Passenger Elevators 1 and 2.
- Additive/Alternate #1 Bid: Elevator 1 and 2: Provide new AC gearless traction machine in lieu of geared.
- Additive/Alternate #2 Bid: Modernize Elevator #4.
- Additive/Alternate #3 Bid: Modernize Elevator #6
- Additive/Alternate #4 Bid: Elevator #4 Provide new AC gearless traction machine in lieu of geared.
- Additive/Alternate #5 Bid: Elevator #6: Provide new AC gearless traction machine in lieu of geared, to match Elevators 1 and 2.

Required Performance Period And Notice to Proceed:

In order to receive a Notice to Proceed with Work on this Contract, the successful Bidder must completely fill out and submit the documents as indicated in Section 00126.1.4

A Limited Notice to Proceed may be issued as determined by the Assistant Facilities Manager after Award and receipt of Insurance documentation in order to procure long lead materials.

Notice to Proceed with Work On-site will be given after a Progress Schedule has been approved, and, when Contractor has all materials on-hand, or, has materials scheduled for arrival to coordinate with the approved Progress Schedule.

Estimated Value of Contract:

\$150,000 to \$600,000 \$600,001 to \$1,500,000 Over \$1,500,000

This Invitation to Bid is conducted under the provisions of the Alaska Court System Procurement Guidelines, adopted by the Administrative Director of the Alaska Court System effective September 25, 2013.

BID SUBMITTAL DEADLINE

DATE: SEPTEMBER 10th 2020 PREVAILING TIME: 10:00AM

HAND DELIVER or MAILSEALED BID

TO: Alaska Court System **Attn: Dean Maschner Assistant Facilities Manager** 820 West 4th Avenue

820 West 4" Avenue Anchorage, Alaska 99501

Sealed Bids cannot be faxed or emailed. Bid modifications only may be faxed or emailed and will be accepted up to the time of bid. Faxed or emailed modifications must modify a sealed bid received by the Assistant Facilities Manager by the bid deadline. FAX number 264-8296 email address is dmaschner@akcourts.us The unpriced technical offers of this multi-step ITB will not be publicly opened.

PRE-BID MEETING - MANDATORY

Pre-bid Meeting and Site Inspection will be on. August 6th 2020 8:30am

The pre-bid meeting will be conducted and the project areas made available for inspection by bidders. No change to any terms, conditions or specifications of this solicitation shall be issued at the pre-bid meeting. No statements of any representative of the Alaska court system shall be relied upon as changing the language or intent of the ITB. All questions, concerns, or clarification requests must be emailed to Dean Maschner at dmaschner@akcourts.us

The cut-off date for contractor questions is 7 calendar days before the bid date.

See Section 00110 Information Available to Bidders for additional information.

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NONE

End of Section

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SECTION 00100 INSTRUCTIONS TO BIDDERS

- 1. **DEFINITIONS:** Throughout this Invitation to Bid (ITB) the term "bidder" and "bid" are utilized. For purposes of this ITB "bidder" is defined as the respondent to the ITB and "bid" is the response submitted by a respondent. Where the wording "day" is utilized in this Invitation to Bid, it is defined as a calendar day. Where "N/A" is utilized it is defined as Not Applicable to this project. Where the Words "Purchaser" and/or "Owner" is utilized they are defined as the Alaska Court System. Where the words "Elevator Consultant" or "Consultants" are utilized they are defined as Lerch Bates Inc. Where the words "Contractor" or "Elevator Contractor" are utilized they are defined as any persons, partners, firm, or corporation having a contract with Purchaser to furnish labor and materials for the execution of work required. Where the word "provide" is utilized it is defined as furnish and install. Where the words "retain or reuse existing" are utilized, they are defined as to restore existing components or parts to like-new condition. All technical terms in these Contract Documents have their definition given in latest edition of American National Standard Safety Code for Elevators, Dumbwaiters, Escalators, and Moving Walks ASME A17.1. and A17.2.
- 2. **EXISTING MAINTENANCE CONTRACT:** If Contractor currently providing equipment Maintenance on the elevator associated with this ITB and under contract with the Department of Transportation and Public Facilities bids on this solicitation said Contractor acknowledges and agrees said contract requirements as they pertain to the elevator associated with this ITB shall remain in effect
- 3. **THIS PROCUREMENT IS GOVERNED:** This Invitation to Bid is governed by the Alaska Court System Procurement Guidelines, adopted by the administrative director of the Alaska Court System effective September 25, 2013. Copies of the Procurement Guidelines are available without charge from the Anchorage Court System, Procurement Office, 820 4th Ave, Anchorage, Alaska 99501, telephone 264-8238.
- 4. **AVAILABILITY OF CONTRACT DOCUMENTS:** Contract Documents are available in electronic format from the State of Alaska, Online Public Notice website or from Dean Maschner, Assistant Facilities Manager at dmaschner@akcourts.us
- 5. **CANCELLATION OF INVITATION:** An invitation may be canceled before opening in whole or in part when the Facilities Manager determines in writing that such action is in the best interest of the court system.
- 6. COMPLETENESS OF CONTRACT DOCUMENTS: The submission of a bid is considered a representation that the Bidder has examined the Contract Documents to make certain that all sheets and pages were provided, that the Bidder has examined the Project site, and that the Bidder is satisfied as to the conditions to be encountered in performing the Work. The Alaska Court System expressly denies any responsibility or liability for a bid submitted on the basis of an incomplete set of Contract Documents. NOTWITHSTANDING ANY OTHER PROVISION OF THE CONTRACT DOCUMENTS, IT IS THE INTENT OF THE PARTIES THAT THE BIDDER WILL INCLUDE IN ITS PRICE PROPOSAL THE COST OF ALL WORK NEEDED TO ADDRESS OR CORRECT DEFICIENCIES IN THE EXISTING ELEVATORS, ELEVATOR SYSTEMS; AND RELATED WORK INCLUDING CODE DEFICIENCIES THAT CAN REASONABLY BE DISCOVERED BY THE BIDDER PRIOR TO BID THROUGH SITE VISITS, REVIEW OF PLANS AND SPECIFICATIONS, REVIEW OF AS-BUILT DRAWINGS, AND OTHER DUE DILIGENCE AS DESCRIBED ABOVE AND AS DESCRIBED IN SECTION 00110 "INFORMATION AVAILABLE TO BIDDERS."

- 7. INCLUSION IN PLANHOLDER'S LIST: It is the responsibility of the bidder to ensure that the bidder's firm is placed on the Plan Holder's List by submitting accurate contact information to Dean Maschner, Assistant Facilities Manager at dmaschner@akcourts.us Addenda, notices, and other information regarding the bidding of this project will **only** be sent to the State of Alaska Online Public Notices site and to those firms on the Plan Holder's List as updated by Dean Maschner for this project.
- 8. <u>TIMELINESS OF SUBMITTAL:</u> It is the responsibility of the bidder to ensure that the bid is received by the Assistant Facilities Manager before scheduled bid opening time. Late bids, including bids mis-delivered to other Alaska Court System divisions or locations will not be accepted.

Sealed Bids cannot be faxed or emailed. Emailed or Faxed bid **modifications only** will be accepted providing that the Faxed or email is received by the Assistant Facilities Manager by the bid deadline and that the modification amends a bid which was received before the bid deadline. The Fax number is (907) 264-8296 and the email address of the Assistant Facilities Manager is: dmaschner@akcourts.us

- 9. <u>RESPONSIVENESS OF BIDS:</u> A responsive bid is a bid that conforms in all material respects to the solicitation. Minor informalities means matters of form rather than substance which are evident from the bid document, or are insignificant matters that have a negligible effect on price, quantity, quality, delivery or contractual conditions and can be waived or corrected without prejudice to other bidders. The Facilities office shall waive in writing these informalities or allow a bidder to correct them depending on which is in the best interest of the Alaska Court System.
- 10. **REJECTION OF BIDS:** The Alaska Court System may reject any and all bids. A bid may be rejected if it does not conform in all material respects to the requirements of the invitation, if it is incomplete, if it contains a material alteration from the invitation, or if the bidder changes or qualifies the terms or conditions of the invitation in a material manner which gives the bidder a competitive advantage over other bidders.
- 11. <u>DOCUMENTS REQUIRED FOR BID:</u> Bidders must submit the following documents, properly completed and executed no later than the time of the bid opening. Bid documents must be submitted to the location listed in the ITB in a sealed package with the project name, project number and bid opening date and time marked on the front of the package. Within the package must be two separate sealed envelopes. One envelope must be clearly marked "Unpriced Technical Offers" the other envelope must be clearly marked "Priced Bid Schedule". Bid packages not including all of the items noted below in A and B 1-5 will be rejected.
 - A. Envelope marked "Unpriced Technical Offers" containing only the bidder's unpriced technical offer.
 - B. Envelope marked Price Bid Schedule containing the following:
 - The Bid Schedule and acknowledgment of any addenda that may be issued. (Bids may be submitted on photocopied forms.) Bid Schedule must be fully completed, including bid amounts for Basic Bid, all Alternate Bids listed, and other required information.

- 2) Current Alaska Business License Number and date of expiration as noted on Bid Schedule. Business license must be listed as current on the state website at the time the bid is submitted, or bidder must include a photocopy of valid Alaska Business License Application under AS.08.18.
- 3) Preferences if applicable: To claim one or more of the preferences listed in Paragraph 11 below, a Contractor must provide documentation for proof and for calculation of the preferences. Reference "Bidder Preference Checklist" at the end of this section. Preferences include Alaska Bidders, Alaskans with Disability, Employment Program, Alaska Veteran, Alaska Products.
- 4) Bid Security: The Alaska Court System requires bid security in the form of a bid bond or a deposit for all bids which have a total amount, including Base Bids and all Alternate Bids, of \$100,000 or more. The bid security must be in the amount of 5% of the total bid amount and must accompany the bid. A bid bond must have this amount stated as the penal sum of the bond. A bid bond must be issued by a surety company licensed to do business in the State of Alaska. A deposit must be in the form of a certified check or similar instrument made payable to the State of Alaska Alaska Court System. The bid security will be held until a firm contract is executed. If the successful bidder fails to enter into a contract, its bid security shall be forfeited to the Alaska Court System. After final award of contract, all bid security will be returned to the unsuccessful bidders. By submission of a bid security and signature on the bid form, the successful bidder and its surety acknowledge and agree to the conditions of this Invitation to Bid in its entirety.
- 5) Photocopy of Bidder's Contractor Registration under AS.08.18
- 12. **PREFERENCES:** Check the box on the Bid Schedule that indicates whether the bidder is eligible for any of the following Preferences. The ACS will calculate the application of preferences.
 - A. **ALASKA PREFERENCE**: A bid shall be awarded to an Alaska bidder whose bid is not more than five percent (5%) higher than the lowest non-resident's bid in accordance with Alaska Statute 36.30.170(b)(1) (5). An Alaska bidder is defined as one who:
 - 1) Holds a current Alaska business license (business license number must be shown in space provided on the bid schedule);
 - Submits a bid for goods or services under the name appearing on the current Alaska business license;
 - 3) Has maintained a place of business in the State staffed by the bidder or an employee of the bidder for a period of six months immediately preceding the date of the bid;
 - 4) Is incorporated or qualified to do business under the laws of the State, is a sole proprietorship and the proprietor is a resident of the State, or is a partnership and all partners are residents of the State; and

5) If a joint venture, is comprised entirely of ventures that qualify under paragraphs 1 through 4 of the subsection.

NOTE: A bidder may receive the preferences below, provided the bidder supplies documentation showing entitlement to the preferences. Only one of the following preferences B or C, below, may be used and the individual or business claiming the preference **must be listed with the Division of Vocational Rehabilitation as qualified under Alaska Statute 36.30.170 at the time the bid is opened and must provide ACS with a copy of its Certification Letter.** The Department of Labor & Workforce Development, Division of Vocational Rehabilitation maintains a listing of vendors who qualify for the three disability related preferences. For disability preference certification, please contact Department of Labor & Workforce Development, Division of Vocational Rehabilitation, 801 West 10th Street, Suite A, Juneau, Alaska 99801-1894. Phone: (907) 465-2814. Fax: (907) 465-2856. http://www.labor.state.ak.us/dvr/home.htm. A bidder claiming a preference under B, C, or D, below must add value by actually performing, controlling, managing, and supervising the services provided.

- B. **ALASKANS WITH DISABILITIES PREFERENCE**: A bid shall be awarded to an Alaska bidder whose bid is not more than ten percent (10%) higher than the lowest bid, if the bidder is a qualifying entity under 36.30.170(e).
- C. **EMPLOYMENT PROGRAM PREFERENCE:** A bid shall be awarded to an Alaskan bidder whose bid is not more than fifteen percent (15%) higher than the lowest bid, if the bidder is offering services through an employment program in accordance with Alaska Statute 36.30.170(c).
- D. **ALASKA VETERAN PREFERENCE.** A bid shall be awarded to a bidder whose bid is not more than five percent (5%) (not to exceed \$5,000) higher than the lowest bid if the bidder qualifies as an Alaska Veteran in accordance with Alaska Statute 36.30.321(f).
- E. ALASKA PRODUCTS PREFERENCE: For bid comparison purposes, ACS will decrease the bid by the percentage of the value of the designated Alaska products under AS 36.30.332 through AS 36-30-338. The bidder must execute the Alaska Products Preference Worksheet and submit the worksheet with the bid in order to receive this preference. A list of qualified Alaskan products may be obtained from the Department of Commerce and Economic Development, Division of Community and Business Developon the may be line at website located http://www.dced.state.ak.us.econdev/prodpref.htm. An Alaska Products Preference Worksheet can be obtained from the Facilities Project Office by calling 264-8282 or 264-8283.
- C. **RECYCLED PRODUCTS PREFERENCE:** Not Applicable.
- 13. <u>BID PREPARATION:</u> Except as provided in Procurement Guideline 4-401.03.8, the Alaska Court System shall not be liable for any costs incurred by the bidders in bid preparation.

BID OFFERS:

A. Offers made in response to this Invitation to Bid shall be good and firm for a period of sixty (60) days from the date of bid opening.

- B. All offers and acceptance resulting from this Invitation to Bid shall be expressly limited to the terms and conditions contained in this document and its attachments
- 14. <u>BID OPENING:</u> After the ACS has determined which, if any, Unpriced Technical Offers are acceptable, the ACS will open the Priced Bid Schedules of all bidders who's Unpriced Technical Offers are acceptable.
- 15. <u>BID AWARD:</u> The bid award will be made to the lowest responsible and responsive bidder who has submitted an acceptable Unpriced Technical Offer and whose bid conforms in all material respects to the requirements and criteria set out in this Invitation to Bid. The sum of the Basic Bid plus any Alternates. Bids awarded governs for purpose of determining low bidder.
 - A. If Bid Alternates are included in the Bid Documents, the Alaska Court System reserves the right to award some, none, or all of the alternates. Alternates may be awarded in any order in the best interest of the Alaska Court System. Bid amounts for alternates not awarded with this contract shall be held for 60 days from date of contract and may be awarded within that time as a fixed price Change Order.
 - B. Notice of Intent to Award will be issued to the lowest responsible and responsive Bidder generally within 2 working days after Bid Opening.
 - C. Notice of Award will be issued to the Bidder identified in the Notice of Intent to Award. If the Award amount is over \$200,000.00, the Notice of Award will not be issued until at least ten calendar days after issuance of Notice of Intent to Award. Notice of Award will be made subject to availability of funds and its issuance may be delayed or canceled as determined by the Facilities Manager in accordance with Alaska Court System Procurement Guidelines.
- 16. **RESPONSIBILITY REQUIREMENT:** A bid will be awarded only to a responsible bidder, who demonstrates the capability in all respects to perform fully the contract requirements and has the integrity and reliability which will assure good faith performance. In accordance with section 2-211 of the Alaska Court System Procurement Guidelines a bidder may be declared non-responsible and the bid will then be rejected when:
 - A. The bidder is in arrears on taxes due the State.
 - B. The bidder has failed to perform satisfactorily on a previous contract with the Alaska Court System, another state agency, or is not in a position to perform this contract;

See ACS Procurement Guidelines Section 2-213 for responsibility criteria. A determination by the Facilities Manager that a bidder is not responsible may be protested.

17. <u>BID PROTESTS</u>: Prior to the bid opening - Bidders are requested to carefully review this entire invitation as soon as it is received for defects and questionable or objectionable content. Questions, objections or comments should be made in writing and received by the Assistant Facilities Manager no later than 7 days (Monday through Friday, excluding legal holidays) before the Response Due Date and time, so that any necessary amendments may be published and distributed to bidders. Bidders protests based upon any omissions, errors, or the content of the Invitation to Bid will be disallowed if not made in writing and received by the Assistant Facilities Manager at least two business days (Monday through Friday, excluding legal holidays) prior to the Response Due Date and time.

ACS 07/20/20

A person desiring to protest the provisions of this ITB or the award must comply with the applicable pro visions of ACS Procurement Guideline 4-401.

If a protest is sustained in whole or in part, the protestor's sole remedy is the successful protestor's documented reasonable bid or proposal preparation costs.

- 18. **EEO AND FEDERAL CIVIL RIGHTS COMPLIANCE:** By signature on the Bid Schedule, Section 00300 the bidder certifies compliance with the applicable portions of the Federal Civil Rights Act of 1964 and the Equal Employment Opportunity Act and the regulations issued there under by the State and Federal Government. If any bidder fails to comply with the Act or Regulations issued there under, the Alaska Court System reserves the right to terminate the contract.
- 19. <u>TAXES:</u> All bids shall exclude federal, state and local sales taxes. However, if the bidder believes that certain taxes are properly payable by the Alaska Court System; such taxes may be listed separately, directly below the bid price for the affected item. The Alaska Court System is exempt from federal excise tax under Registration No. 92-6001185.
- 20. <u>SUBCONTRACTORS:</u> Bidders shall submit on the Bid Schedule a list of the subcontractors the bidder proposes to use in the performance of the Contract. The list must include the name and location of the place of business for each subcontractor and evidence of the subcontractor's valid Alaska business license. A bidder for a construction contract shall submit evidence of each subcontractor's registrations under AS 08.08. Replacement of a subcontractor may not be made without prior approval of the Contracting Officer.
- 21. ALASKA DAVIS BACON ACT: Prospective Bidders are advised that construction or remodeling in connection with the contract is subject to AS 36.05.010. The Contractor must comply with the requirements noted within the most recent Department of Labor pamphlet entitled, "Laborer's and Mechanic's Minimum Rates of Pay," found at: http://www.labor.state.ak.us/lss/pamp600.htm The rate of wages shall be adjusted to the wage rate under AS 36.05.010.
- 22. ACCEPTANCE OF CONTRACT, PERFORMANCE BOND, PAYMENT BOND, and PROOF OF INSURANCE: If the ACS delivers or mails written notice of Intent to Award the contract to the Bidder within sixty (60) days after the date set for the opening of this bid, or at any other time thereafter before it is withdrawn, and the amount of the contract to be awarded exceeds \$100,000, the Bidder will accept, execute and deliver the Contract to the ACS in accordance with the bid, and will also furnish and deliver to the ACS the performance bond, payment bond, and proof of insurance coverage, all within three working days after personal delivery or after deposit in the mail of the notification of acceptance of this bid. The performance bond and payment bond must be substantially in the forms provided with this ITB. For additional Insurance requirements, see Section 14325.1.14 Insurance.

BIDDER PREFERENCE CHECKLIST

Following is a list of items a bidder must submit in order to qualify for the preferences listed in Paragraph 11, above:

A. Ala	Alaska Bidder Preference:			
	For each business entity other than a sole proprietorship, provide documentation showing that the bidder has maintained a place of business in the State staffed by the bidder or an employee of the bidder for a period of six months immediately preceding the date of the bid.			
3. Ala	askans with Disabilities Preference:			
	In addition to the requirements for Alaska Bidder Preference, provide documentation showing that the bidder is listed as an approved "Qualifying Entity" on the most recent Procurement Preference Approved List issued by the Alaska Division of Vocational Rehabilitation.			
C. En	ployment Program Preference:			
	In addition to the requirements for Alaska Bidder Preference, provide documentation showing that the bidder is listed as an approved "Employment Program" on the most recent Procurement Preference Approved List issued by the Alaska Division of Vocational Rehabilitation.			
D. Ala	D. Alaska Veteran Preference:			
	Provide completed Alaska Veteran's Preference Affidavit.			
E. Ala	E. Alaska Products Preference:			
	Provide completed Alaska Products Preference Worksheet			
	Provide documentation showing that the Alaska Products proposed are listed as qualified Alaska products.			
Ala	ska Recycled Products Preference: Not Applicable			

END OF SECTION 00100

SECTION 00101 Multi-Step Sealed Bid Instructions

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- 1. This is a two-step sealed bid procurement. Submit your bid in two separate sealed envelopes.
 - A. One envelope must be clearly marked "UNPRICED TECHNICAL OFFER".
 - B. The other envelope must be clearly marked "PRICED BID SCHEDULE".
- 2. If a bidder fails to separate and mark its Unpriced Technical Offer and its Priced Bid Schedule as described above, that bidder's bid will be rejected.
- 3. NOTE: Sealed, separate opaque envelopes must be enclosed together within one sealed package, with the project name, project number and bid submittal deadline date and time marked clearly on the front of the package.
 - A. Administrative Evaluation for Responsiveness: Unpriced Technical Offers will first be evaluated to determine if they are responsive to the submittal requirements of the solicitation, and for completeness and compliance with all other requirements (including instructions, provisions, and terms and conditions of this solicitation). Offers that fail to comply with the essential requirements of the solicitation will be rejected as non-responsive and eliminated from further consideration.
 - B. Phase 1 Evaluation of Unpriced Technical Offers: The ACS will review the responsive Unpriced Technical Proposals in order to determine whether the Unpriced Technical Proposals are acceptable or unacceptable. Responses are required to be be concise, specific, and directly related to the scope of work. Non-project specific materials will be discarded without evaluation. Failure to respond specifically to any criterion will result in the Offer being rejected as non-responsive. Criteria used to evaluate the Unpriced Technical Proposals will be:
 - I. Entity Information: Provide documentation to include Company name and Alaska Business License and Contractor's License; Home office and Alaska

branch office locations; Designated individual to be contacted concerning this project: location, address, email, and phone numbers for that individual.

- II. Product Quality: Provide documentation to include: Data sheets, listing offered product that meets specifications.
- III. Warranty: Provide documentation verifying acknowledgement and agreement of the Warranty requirements as specified in Section 01800 Maintenance.
- IV. Provide documentation acknowledging and agreeing to the Submittal/Fabrication/Delivery/Installation/Substantial Completion timeframes as seen in Section 00800 Article 11.
- V. Local Work History: Provide documentation of ten years history of elevator modernization work in Alaska.
- VI. Local Presence: Provide documentation of 10 years history of a business office located in Anchorage Alaska.
- VII. Local support: Provide documentation of a minimum of the five dedicated; certified; service/repair/modernization mechanics currently employed by the contractor in Anchorage Alaska with five years' experience in the elevator industry.
- VIII. Authorized representation: Provide documentation verifying the Contractor is a manufacture authorized representative and installs; modernizes; and services their corporate entities product.
- IX. References: Provide references for modernization work performed in Alaska. Reference information to include location of Facility with modernized elevator(s); contact name and telephone number of Facility Project Manager/Owner Representative for Modernization project.
- X. Maintenance and Response time: Provide documentation verifying acknowledgement, agreement of, and the ability to provide, the requirements of Section 01800 Maintenance.
- 4. If a bidder's Unpriced Technical Offer is acceptable or potentially acceptable (that is, reasonably susceptible of being made acceptable), the ACS will retain the bidder's Priced Bid Schedule for Phase II.

- 5. If a bidder's Unpriced Technical Offer is not acceptable, the ACS will return that bidder's Priced Bid Schedule, unopened, to the bidder at the conclusion of the bid protest period and any protest by that bidder.
- 6. Bidders may designate those portions of the Unpriced Technical Offers which contain trade secrets or other proprietary data which are, subject to review by the fiscal office, to remain confidential.
- 7. Items being procured must be furnished generally in accordance with the bidder's accepted technical offer and must meet the requirements of the ITB.
- 8. Amendments to the invitation to bid: After receipt of unpriced technical offers, amendments to the invitation to bid will be distributed only to bidders who submitted unpriced technical offers, and these bidders may submit new unpriced technical offers or amend those submitted. If, in the opinion of the fiscal office, a contemplated amendment will significantly change the nature of the procurement, the invitation to bid will be cancelled and a new invitation to bid issued.
- 9. Receipt and handling of technical offers: Technical offers will not be opened publicly but will be opened in front of one or more procurement officials. These offers will not be disclosed to other bidders until after notice of award. Bidders may request nondisclosure of trade secrets and other proprietary data identified in writing.
- 10. Discussion of technical offers. The Facilities office may conduct discussions with any bidder who submits an acceptable or potentially acceptable technical offer. During the course of these discussions the Facilities office shall not disclose any information derived from any technical offer to any other bidder. Once discussions are begun, any bidder who has not been notified that the bidder's offer is unacceptable may submit supplemental information amending its technical offer until a time selected by the Facilities office.
- 11. Phase II: After the ACS has determined which, if any, Unpriced Technical Offers are acceptable, the ACS will:
 - A. Retain and open the Priced Bid Schedules of all bidders whose Unpriced Technical Offers are acceptable.
 - B. The ACS intends to award to the bidder who has submitted an acceptable Unpriced Technical Offer that meets the requirements of the ITB and who has submitted the lowest responsive Priced Bid Schedule.

C. After award, the technical offer of the successful bidder will be disclosed as follows: The Facilities office shall examine written requests for confidentiality for trade secrets and proprietary data in the offers to determine the validity of the requests. If the parties do not agree as to the disclosure data, the Facilities office shall inform the bidder, in writing, what portions of the unpriced technical offer will be disclosed and that unless the bidder protests, the offer will be so disclosed. The offer shall be open to public inspection subject to any continuing prohibition of the discloser of confidential data; and; technical offers of the bidders who are not awarded the contract will not be open to public inspection unless the Facilities office determines in writing that public inspection of the offers is essential to assure confidence in the integrity of the procurement process.

SECTION 00110 INFORMATION AVAILABLE TO BIDDERS

PART 1 - GENERAL

1.1 DESCRIPTION

- A. Before submitting a Bid, Bidders shall carefully examine the plans and specifications, and drawings as listed in the Information Available to Bidders and inspect the project site to fully inform themselves of all existing conditions and limitations. Each Bidder, by submitting a Bid, represents that it has so examined the plans and/or specifications, and the Information Available to Bidders, and inspected the site, that it understands the provisions of the plans and/or specifications, and that it has become familiar with the local conditions under which the work is to be performed. Bidders will not be given extra payment or contract time for conditions which could have been discovered by such examinations. Further, the Bidder acknowledges by submitting its bid, that the Bidder has had sufficient opportunity to review the plans and/or specifications, and the Information Available to Bidders, to attend a pre-bid conference, and to obtain the advice of lawyers, accountants and other professional advisors regarding the Bidding Documents and to seek interpretation or correction. Therefore, the general principle that ambiguities in contract documents shall be construed against the drafter shall not apply to the Bidding documents or the contract.
- B. The following information is available for hard copy review in Anchorage at the Alaska Court System Facilities Office, 420 W. 4th Ave. To schedule a review time in Anchorage call Dean Maschner at (907) 264-8238.
 - Nesbett Record Drawings dated 7-15-96

1.2 SITE VISIT

A. A site tour will be conducted on the date of the Pre-Bid Meeting.

END OF SECTION 00110

SECTION 00126 REQUIRED DOCUMENTS State Funded Contracts

PART 1 – GENERAL

1.1 RELATED SECTIONS

- A. General and Supplementary Conditions
- B. Instruction to Bidders and Bid Schedule
- C. Bid Bond, Performance Bond, Payment Bond, and Agreement Between Owner and Contractor

1.2 REQUIRED FOR BID

- A. Bids will not be considered responsive if the following documents are not completely filled out and submitted as instructed in Section 00100.11 Instructions to Bidders and Section 00101 Multi-step Bid Instructions at the time of Bidding. Bids with minor irregularities will be considered responsive and accepted if the Facilities Manager determines that acceptance is in the best interest of the court system.
 - 1. Envelope marked: Unpriced Technical Offers.
 - 2. Envelope marked: "Priced Bid Schedule" and containing the following:
 - a. Section 00300 Bid Schedule (Bidder must sign and acknowledge addenda issued.)
 - b. Photocopy of valid Alaska Business License Application if Business License is not current. (Section 00100-10.B Instructions to Bidders)
 - c. Documentation required for any Preferences claimed (Section 00100-10.C Instructions to Bidders)
 - d. Section 00410 Bid Security (Section 00100-10.D Instructions to Bidders)
 - e. Photocopy of Contractor's Registration (Section 00100-10.E Instructions to Bidders)

1.3 REQUIRED FOR NOTICE OF AWARD

- A. In order to receive a Notice of Award on this Contract, the Contractor determined to be the lowest responsible and responsive Bidder must completely fill out and submit the following documents within the time specified below:
 - 1. Contractor's Questionnaire: 3 working days after Notice of Intent to Award. (Section 00127)
- B. A **Notice of Intent to Award** is issued to the lowest apparent responsible and responsive Bidder generally within 2 working days after Bid Opening. In compliance with Alaska Court System Procurement Guidelines, if the Award amount is over \$200,000.00, a **Notice of Award** cannot be issued until at least ten calendar days after issuance of Notice of Intent to Award.

1.4 REQUIRED FOR NOTICE TO PROCEED

A. The successful Bidder is required completely fill out and submit the following documents within the time specified below.

1. Signed Agreement Between 7 days after Notice of Award

Owner and Contractor: (submittal deadline as specified in Section 00300) (Section 00510)

(333,611,000,10)

2. Performance Bond: 7 days after Notice of Award

(Section 00610) (submittal deadline as specified in Section 00300)

3. Payment Bond: 7 days after Notice of Award

(Section 00620) (submittal deadline as specified in Section 00300)

4. Certificate of Insurance: 7 days after Notice of Award

(Section 00700) (submittal deadline as specified in Section 00300)

5. Preliminary Progress Schedule: 14 days after Notice of Award

(Section 01310) (submittal deadline as specified in Section 01310)

6. Schedule of Values: With Preliminary Progress Schedule

(Section 01027) (submittal deadline as specified in Section 01027)

B. A Limited Notice to Proceed may be issued as determined appropriate by the Assistant Facilities Manager. Such Notice to Proceed may be limited to procurement and fabrication of long lead materials, submittals, and other off site work prior to a full Notice to Proceed with on-Site construction activities.

PART 2 - PRODUCTS - Not used.

PART 3 - EXECUTION - Not used.

END OF SECTION 00126

SECTION 00127 CONTRACTOR'S QUESTIONNAIRE

Project Number: ANC-C-19-0011

Project Name: Nesbett Courthouse Elevator Modernization

Completely fill out and submit to the Alaska Court System within 3 days from receipt of the Notice of Intent to Award.

FII	NANCIAL
Ha	ave you ever failed to complete a contract? Yes No If yes, explain:
	Describe any arrangements you have made to finance this work:
	What percent of the total value of this contract do you intend to subcontract?
	Do you propose to purchase any equipment for use on this project? Yes No If yes, describe type, quantity, and approximate cost:
	Do you propose to rent any equipment for this work? Yes No If yes, describe type and quantity:
	Is your proposal based on firm offers for all materials necessary for this project? Yes No If no, please explain:

В.	EX	PERIENCE
1.		Have you had previous Modernization contracts or subcontracts with the State of Alaska? Yes No Describe the most recent contract similar in size and scope to this project. List project title, owner, and contract amount.
2.		List, as an attachment to this questionnaire, other construction projects you have completed; the dates of completion; scope of work; and total contract amount for each project completed in the past 12 months.
Ιh	ereb	y certify that the above statements are true and complete.
Na	ıme	of Contractor
Sig	gnati	ure
Da	ite	
Na	me	and Title of Person Signing

END OF SECTION 00127

SECTION 00300 BID SCHEDULE

ALASKA COURT SYSTEM

1. TO ALASKA COURT SYSTEM:

In compliance with the Invitation for Bid and the proposed Contract Documents issued April 16th 2020, the Undersigned proposes to enter into an agreement with ALASKA COURT SYSTEM in the form included in the Contract Documents to furnish and deliver all the materials and do all the Work and Labor required in the construction of:

Nesbett Courthouse Elevator Modernization Project # ANC-C-19=0011

Located at 825 W. 4th Ave. Anchorage, Alaska

The Undersigned, having become thoroughly familiar with the terms and conditions of the proposed Contract Documents and with local conditions affecting the performance and costs of the Work at the place where the Work is to be completed, and having fully inspected the site, hereby proposes and agrees to perform the Work within the time stated and in strict accordance with the proposed Contract Documents, including furnishing all labor and materials to do all the work required to construct and complete said Work in accordance with the Contract Documents.

- 2. If discrepancies are found between the amount written numerically and the amount written in words, the amount written in words shall govern.
- 3. Contract award shall be made on the basis of the total Base Bid plus additive alternates as selected by the Alaska Court System. If Bid Alternates are included in the Bid Documents, the Alaska Court System reserves the right to award some, none, or all of the alternates. Alternates may be awarded in any order in the best interest of the Alaska Court System.
- 4. Addenda may be mailed, faxed, e-mailed or otherwise delivered. All Addenda issued during the time of bidding shall form part of the Contract Documents, shall be covered in the Bid, and shall become part of the Contract. Receipt of each Addendum shall be acknowledged in the Bid Form; failure to do so may subject the Bidder to disqualification. It shall be the Bidders responsibility to ensure that it has received all Addenda prior to bid. The Owner shall not be responsible for non-receipt or untimely receipt of Addenda due to acts or omissions of the delivering agency or any other source.

5.	The Bidder acknowledges receipt of the following addenda to the DRAWINGS and/or
	SPECIFICATIONS (give number and date of each) and that associated costs are included
	in this bid.

Addenda #, Date Issued	Addenda #, Date Issued	Addenda #, Date Issued

No other alternates or substitutions allowed on this Bid Form.

- 6. Bidder has included bid security and agrees to abide by Instructions To Bidders for disposition of bid security.
- 7. The Bidder understands that the OWNER reserves the right to reject this bid, but that this bid shall remain open and not be withdrawn for a period of sixty (60) days from the date prescribed for its opening.
- 8. If written Notice of Intent to Award the contract to the Bidder is mailed or delivered to the Bidder within sixty (60) days after the date set for the opening of this bid, or at any other time thereafter before it is withdrawn, the Bidder will accept, execute and deliver the Contract to the OWNER in accordance with this bid, and will also furnish and deliver to the OWNER the Performance Bond, Labor and Material Payment Bond, and proof of insurance coverage, all within fifteen days after personal delivery or after deposit in the mails of the Notice of Award of this bid. In addition, the Bidder will furnish all other documentation according to the schedule.
- 9. Notice of Award, or request for additional information, may be addressed to the Bidder at the address set forth below.

10.	Bidder Identification: The legal name of the bid entity and names of all persons interested in
	the foregoing bid as principals are:

(IMPORTANT NOTICE: If the bidder is a corporation, give legal name of corporation, state where incorporated, and names of president and secretary; if a partnership, give name of firm and names of all individual co-partners composing the firm; if bidder is an individual, give first and last names in full)

- 11. Non-Collusion Affidavit: The undersigned Bidder declares, under penalty of perjury under the laws of the United States, that neither he nor the firm, association, or corporation of which he is a member, has, either directly or indirectly, entered into any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this bid.
- 12. established and headquartered or incorporated and headquartered in a country recognized as Tier 3 in the most recent United States Department of State's Trafficking in Persons Report. The most recent United States Department of State's Trafficking in Persons Report

can be found at the following website: http://www.state.gov/g/tip/. Failure to comply with this requirement will cause the state to reject the bid as non-responsive, or cancel the contract.

BASE BID:	
Modernize Elevator #5: Section 14220 Modernization; Section 1900 Related Building V and all requirements contained within the Contract Documents	Vork;
Furnish all labor, materials, equipment, etc., necessary to complete all work shown specified:	and
Base Bid \$(figures)	
(figures)	
Dollars	
(words)	
DDITIVE ALTERNATE BID #1:	
rovide new AC gearless traction machine to replace geared machine elevator 1 and 2:	
Furnish all labor, materials, equipment, etc., necessary to complete all work shown specified:	and
Additive Alternate Bid #1 \$(figures)	
(figures)	
Dollars	
(words)	
DDITIVE ALTERNATE BID #2:	
lodernize courthouse Elevator #4 as specified.	
Additive Alternate Bid #2 \$(figures)	
Dollars	

ADDITIVE ALTERNATE BID #3:

Modernize courthouse Elevator #6 as specified.

	Additive Alternate Bid #3 \$(figures)	
	(figures)	
-		Dollars
ADDITI	VE ALTERNATE BID #4:	
Provide	e new AC gearless traction machine to replace geared machine elevator 4:	
	Additive Alternate Bid #4 \$	
-		<u>Dollars</u>
ADDITI	VE ALTERNATE BID #5:	
Provide	e new AC gearless traction machine to replace geared machine elevator 6:	
	Additive Alternate Bid #5 \$	
-		<u>Dollars</u>
SERVI	CE TOOL	
A.	Service Tool Replacement Price:	
	We agree to provide a replacement customer diagnostics too (NOTE: The price should be based on 2020 replacement cost. An emaximum for each year thereafter will apply. Indicate "Not Applicab service tool is required, and provide a detailed explanation of how provided in an attached letter to this Quota	escalation of 2% le" if no external proposed elevator
	\$	
	(figures)	
	D	<u>ollars</u>

NOTE: The price should be based on 2020 replacement cost. An escalation of 5% maximum for each year thereafter will apply. Indicate "Not Applicable" if no external service tool is required, and provide a detailed explanation of how proposed elevator control diagnostics are performed in an attached letter to this bid Form.

CONTRACTOR'S LIST OF SUPPLIERS/SUB-CONTRACTORS

A. The undersigned Contractor will utilize the following suppliers/subcontractors for major components of work and submits these firms for approval. Upon acceptance of these Suppliers/Sub-Contractors by Purchaser/Consultant, no substitutions shall be made without written approval of Consultant.

	Suppliers/Subcontractor Name	Component/Type of Work
	(Use back	of page if necessary)
ALASKA BII	DDERS PREFERENCE:	
	erence or Preferences if applicable – Frequired proof and documentation:	Reference Section 00100 Instructions to Bidders
	Alaska Bidder Preference	
	Alaskan Bidder with Disabilities	
	Disabled Alaskan Employment Prog	gram
	Alaska Veteran's Preference	
	Alaska Products	
	gned has read the foregoing Bid Sche ffixing his signature below:	dule and hereby agrees to the conditions stated
SIGN HERE	≣:	
Authorized S	Signature of Bidder	Date
Bidder Type	ed or Printed Name of Signer & Title	
Bidding Firm	า	

(NOTE: If bidder is a corporation, set forth the legal name of the corporation together with the signature of the officer and officers authorized to sign contracts on behalf of the corporation. If

bidder is a partnership, set forth the name of the firm together with the signature of the partner or partners authorized to sign contracts on behalf of the partnership.)

	Business Address:	
(Seal, if by Corporation)		
	Telephone Number:	
	Fax Number:	
	E-Mail Address:	
Expires		S:
Alaska Contractor's Registra	ation Number	
-		S:
Alaska Business License No	umber	
Federal Identification Numb	<u>er</u>	

End of Section 00300

SECTION 00410 BID BOND

KNOW ALL	. MEN BY THESE PRESENTS:		Date Bond Executed:	
That		of		as principal,
and		of		as surety,
in the pena	I sum of		Dollars (\$	<u>).</u>
Date of bid:	·			
Alaska), in th	NCIPAL and SURETY above named, and penal sum of the amount stated above I representatives and successors, jointly	e, for the pay	ment of which sum will and truly by	
	TION OF THE FOREGOING OBLIGATION OF THE FOREGOING OBLIGATION OF THE STATE OF THE ST			
	ce with plans and specifications filed in d is required to furnish a bond in the amo			under the Invitation for Bids
	bid is accepted and he is offered the pagation to the Alaska Court System create			Is to enter into the contract
In presence	of:			
WI	TNESS	INDIVIDU	JAL PRINCIPAL	
1	as to		(SEAL)
2	as to		(SEAL	.)
3	as to		(SEAL	.)
4	as to		(SEAL)
	CORPORATE PRINCIPAL:			
Attest:				
	BUSINESS ADDRESS:		Affix	
	BY:		Corporate	
	NAME & TITLE:		Seal	
	CORPORATE SURETY:			
Attest:	BUSINESS ADDRESS:			
			Affix	
	BY:		Corporate Seal	
	NAME & TITLE:		Stai	
		of Alaska	Court System	

END OF SECTION

ACS 07/20/20 00410-1

SECTION 00510 AGREEMENT BETWEEN OWNER AND CONTRACTOR

Date of	Contract:
THIS A	GREEMENT made by and between: ALASKA COURT SYSTEM, (hereinafter called "Owner") and
	(hereinafter called "Contractor").
The Ov	vner and the Contractor agree as set forth below:
Article	1. Work
The Co	intractor shall perform all the Work required by the Contract Documents for the following project:
	Nesbett Courthouse Elevator Modernization # ANC-C-19-0011
and pe comple Supply "Work") equipm	reed that for and in consideration of the payments and agreements hereinafter mentioned, to be made rformed by the Owner or its assignee, the Contractor hereby agrees with the Owner to commence and te the construction described as follows: and installation of the entire Work as contained in the ITB issued July 20 th 2020 (hereinafter called the), at a cost not to exceed the proposed price and to furnish all the materials, supplies, machinery, ent, superintendents, labor, insurance and other accessories and services necessary to complete said accordance with the conditions stated in the Contract Documents.
Article	2. Time of Commencement and Completion
	ctor hereby agrees to commence Work under this Agreement on a date to be specified in a written of Proceed" from the Owner and to complete the Work within the time stated in the Supplemental ons.
Article	3. Architect/Engineer
The Arc	chitect/Engineer for this project is: Lerch Bates
Article	4. Contract Sum
Work, s Sum of	where shall pay the Contractor under provisions of the Contract Documents for the performance of the subject to additions and deductions by change order as provided in the Contract Conditions, the Contract f, for Base Bid (and Additive Alternates ed) Lump Sum Amounts. The attached bid sheets form an integral part of this Agreement.
Article	5. Contract Documents
5.1	Supplementary Conditions Article 1 includes a definition of the Contract Documents. In case of conflict among the Contract Documents, General Conditions Section 3.5.2 sets their order of precedence.

Article 6. Progress and Final Payments

6.1 Payment shall be made to the Contractor by the Owner, or his assigns, based upon amount of the approved Contractor's estimate of Work completed and value of materials suitably stored on site up to date of application for payment less any retainage required by the Owner in accordance with General Conditions Article 13.

This Agreement and all covenants hereof shall inure to the benefit of and be binding upon the Owner and the Contractor, respectively, and their partners, successors, assigns and legal representatives.

ACS 3/16/20 00510-1

6.2 Final payment, constituting the final unpaid balance of the contract sum including retainage, shall be paid by the Owner or his assigns to the Contractor. Final payment shall be due to the Contractor in accordance with General Conditions Article 13.

Article 7. Miscellaneous

7.1 Any notice of communication which either party desires to give the other party which affects the contract sum of this Agreement shall be given in writing and either shall be personally delivered to the other party's representative or deposited in the United States mail as registered mail with all postage prepared and if given by the Contractor to the Owner, then addressed as follows:

Name: Dean Maschner, Assistant Facilities Manager Alaska Court System Agency: 820 West 4th Avenue Address: City, State, Zip: Anchorage, AK 99501 Email: Deanmaschner@akcourts.us 907-264-0427 907-264-8296 Phone: Fax: If given by the Owner to the Contractor, then addressed as follows (including telephone number on the last line: Name: **Business:** Address: City, State, Zip: **Email Address:** Phone: Fax: 7.2 The Owner shall furnish to the Contractor Three sets of drawings and three sets of specifications, at no extra cost, for use in the Construction of the Work. The Contractor may obtain additional sets of drawings or specifications by paying the Owner for the costs of reproduction, handling and mailing. 7.3 The Contractor shall perform at least 10% of the total Work with forces that are in the direct employment of the Contractor's organization. In WITNESS WHEREOF, the parties have made and executed this Agreement to be effective the day and year first above written. ALASKA COURT SYSTEM, Owner Contractor

By: By: Title: Contracting Officer Title:

END OF SECTION

ACS 3/16/20 00510-2

SECTION 00610 PERFORMANCE BOND

KNOW ALL MEN BY THESE PR	RESENTS:	
That	of	as principal
	of of of of Alaska Court System (State of Alaska) in the pen	
good and lawful money of the Unite	Dollars (\$ ed States of America for the payment whereof, well an),
Alaska Court System, we bind ours severally, firmly by these presents.	elves, our heirs, successors, executors, administrator	s and assigns jointly and
	pal has entered into written contract with said State of for the Nesbett Courthouse Elevator Modernizati ng to the terms of said contract.	
truly perform and complete all oblig State of Alaska, the Alaska Court S of them may suffer or for which the part of said principal, his agents, so shall reimburse upon demand of the	condition of the foregoing obligation is such that if the stations and work under said contract and shall indemrously system and employees thereof against any damages bey or any of them become liable by the default, negle ervants or employees, in the performance of said Come Alaska Court System any sums paid to him which etion of the project, then these presents shall becomfect.	nify and save harmless the cor loss which they or any ect, or carelessness on the ontract, and if the Principa exceed the final paymen
IN WITNESS WHEREOF,	we have hereunto set our hands and seals at	, Alaska, this
	, A.D., 20	,
	Principal:	
	Ву:	
	By:	
	Surety:	
	By:	
The offered bond has been checked	d for adequacy under the applicable statutes and regu	ulations:
DATE	CONTRACTING OFFICER Alaska Court System	

END OF SECTION

PAYMENT BOND

SECTION 00620 PAYMENT BOND

That	of	as principal,
and	of	as surety,
in the penal sum of good and lawful money of the State of Alaska Court System jointly and severally, firmly by t	United States of America for the payment what, we bind ourselves, our heirs successors, e	Dollars (\$). nereof, well and truly to be paid to the xecutors, administrators, and assigns
the of	orincipal has entered into written contract with s A.D., 20, for the Nesbett Courthou work to be done according to the terms of said	se Elevator Modernization Project
with all requirements of law a supplies furnished upon or for and supplies be furnished und thereto, and shall indemnify a thereof against any damages of liable by the default of said p servants or employees, then the effect.	the condition of the foregoing obligation is suc nd pay, as they become due, all just claims for the work under said contract, whether said later the original contract, any subcontract, or and and save harmless the State of Alaska, the particles which they or any of them may suffer or crincipal, or by any neglect or carelessness on these presents shall become null and void; other	or labor performed and materials and abor be performed and said materials y and all duly authorized modifications Alaska Court System and employees for which they or any of them become the part of said principal, his agents rwise they shall remain in full force and
this day of		
	Principal:	
	·	
	Ву:	
	Surety:	
	D	
	D	
The offered bond has been ch	ecked for adequacy under the applicable statute	es and regulations:
DATE	CONTRACTING OFFICER	2

END OF SECTION

Alaska Court System

DATE

GENERAL CONDITIONS

Project #ANC-C-19-0011

SECTION 00700 ALASKA COURT SYSTEM GENERAL CONDITIONS OF THE CONTRACT FOR CONSTRUCTION

ARTICLE 1 - DEFINITIONS

Wherever in the Contract Documents the following terms, or pronouns in place of them, are used, the intent and meaning, unless a different intent or meaning is clearly indicated, shall be interpreted as set forth below.

The titles and headings of the Sections, Subsections and Articles herein are intended for convenience of reference and shall not be considered as having bearing on their interpretation.

Whenever used in the Specifications or other Contract Documents the following terms have the meaning indicated and are applicable to both the singular and plural thereof. Working titles that have a masculine gender are intended to refer to persons of either sex.

Terms not defined below shall have their ordinary accepted meanings within the context that they are used. "Webster's Third New International Dictionary of the English Language, Unabridged, Copyright 1961", or subsequent revision thereof; shall provide ordinarily accepted meanings. Words that have a well-known technical or trade meaning when used to describe work, materials or equipment shall be interpreted in accordance with such meaning. Words defined in Article 1 are capitalized throughout these General Conditions.

Addenda - All clarifications, corrections, or changes issued graphically or in writing by the OWNER after the Advertisement but prior to the opening of Proposals.

Advertisement - The public announcement, as required by law, inviting bids for Work to be performed or materials to be

Approved or Approval - Means written approval by the Contracting Officer or his authorized representative as defined in Article 2.1.

ARCHITECT OR ARCHITECT/ENGINEER - Person or entity representing the OWNER within specified limits of authority. Any reference to the ARCHITECT/ENGINEER shall mean Project Manager.

AS - Initials which stand for Alaska Statute.

Award - The acceptance, by the OWNER, of the successful bid.

Bid Bond - A type of Proposal Guaranty.

Bidder - Any individual, firm, corporation or any acceptable combination thereof, or joint venture submitting a bid for the advertised Work.

Calendar Day - Every day shown on the calendar, beginning and ending at midnight.

Change Order - A written order by the OWNER authorizing changes to the Contract Documents, within their general scope.

Conditions of the Contract - Those portions of the Contract Documents that define the rights and responsibilities of the contracting parties and of others involved in the Work. The Conditions of the Contract include General Conditions, Supplementary Conditions and other conditions.

Consultant - The person, firm, or corporation retained directly by the OWNER to prepare Contract Documents, perform construction administration services or other Project related services.

Contract - The written agreement between the OWNER and the CONTRACTOR setting forth the obligations of the parties and covering the Work to be performed, all as required by the Contract Documents.

Contract Documents - The Contract form, Addenda, the bidding requirements and CONTRACTOR's bid (including all appropriate bid tender forms), the bonds, the Conditions of the Contract and all other Contract requirements, the Specifications, and the Drawings Furnished by the OWNER to the CONTRACTOR, together with all Change Orders and documents approved by the Contracting Officer for inclusion, modifications and supplements issued on or after the Effective Date of the Contract.

Contracting Officer - The person authorized by the OWNER to enter into and administer the Contract on behalf of the OWNER. He has authority to make findings, determinations and decisions with respect to the Contract and, when necessary, to modify or terminate the Contract. The Contracting Officer is identified on the Contract.

CONTRACTOR - The individual, firm, corporation or any acceptable combination thereof, contracting with the OWNER for performance of the Work.

Contract Price - The total moneys payable by the OWNER to the CONTRACTOR under the term of the Contract Documents.

Contract Time - The number of Calendar Days or the date specified in the construction Contract and authorized time extensions that identify how much time the CONTRACTOR is allowed to achieve Final Completion.

Day - Calendar Day, unless otherwise noted.

Defective - An adjective that refers to Work that is unsatisfactory, faulty or deficient, or does not conform to the Contract Documents, or does not meet the requirements of any inspection, reference standard, test or approval referred to in the Contract Documents, or has been damaged prior to the OWNER's approval of final payment.

Directive - A written communication to the CONTRACTOR from the Contracting Officer interpreting or enforcing a Contract requirement or ordering commencement of an item of Work.

Drawings - The drawings that show the character and scope of the Work to be performed and which have been furnished by the OWNER or the OWNER's Consultant and are by reference made a part of the Contract Documents.

Effective Date - The date on which the Contract or Change Order effective. The Effective date may be specified in the Contract or Change Order. If no Effective Date is specified in the Contract or Change Order, the Effective Date is the date that the Contract or Change Order is fully executed by both CONTRACTOR and the OWNER.

Final Acceptance - The OWNER's written acceptance of the Work following Final completion and the performance of all Contract requirements by the CONTRACTOR.

Final Completion - The Work (or specified part thereof) has progressed to the point that all Work is complete as determined by the Contracting Officer

Furnish - Supply and deliver to the Project including the cost to supply and deliver.

General Requirements - Sections of Division 1 of the Specifications that contain administrative and procedural requirements as well as requirements for temporary facilities that apply to Specification Divisions 2 through 16.

Holidays - In the State of Alaska, legal Holidays occur on:

- New Year's Day January 1
- 2. Martin Luther King Jr.'s Birthday Third Monday in January
- 3. President's Day Third Monday in February
- 4. Seward's Day Last Monday in March
- 5. Memorial Day Last Monday in May
- 6. Independence Day July 4
- Labor Day First Monday in September
- 8. Alaska Day October 18
- 9. Veteran's Day November 11
- 10. Thanksgiving Day Fourth Thursday in November
- 11. Christmas Day December 25
- 12. Every Sunday
- Every Day designated by public proclamation by the President of the United Sates or the Governor
 of the State as a legal Holiday.

If any Holiday listed above falls on a Saturday, Saturday and the preceding Friday are both legal Holidays. If the Holiday should fall on a Sunday, except (12) above, Sunday and the following Monday are both legal Holidays. See Title 44, Alaska Statutes.

Install - Means to build into the Work, ready to be used in complete and operable condition and in compliance with Contract Documents.

Project #ANC-C-19-0011 GENERAL CONDITIONS

Invitation for Bids - A portion of the bidding documents soliciting bids for the Work to be performed.

Notice of Award – The written notice by OWNER to all Bidders that all conditions required for award are met and identifying the successful contractor.

Notice of Intent to Award - The written notice by the OWNER to all Bidders identifying the apparent successful Bidder and establishing the OWNER's intent to execute the Contract.

Notice to Proceed - A written notice to the CONTRACTOR to begin the Work and establishing the date on which the Contract Time begins.

OWNER - The Alaska Court System.

Payment Bond - The security Furnished by the CONTRACTOR and its Surety to guarantee payment of the debts covered by the bond.

Performance Bond - The security Furnished by the CONTRACTOR and its Surety to guarantee performance and completion of the Work in accordance with the Contract.

Project - The total construction, of which the Work performed under the Contract Documents is the whole or a part, where such total construction may be performed by more than one CONTRACTOR.

Project Manager - The authorized representative of the Contracting Officer who is responsible for administration of the Contract.

Proposal - The offer of a Bidder, on the prescribed form to perform the Work at the prices quoted.

Proposal Guaranty - The security Furnished with a Proposal to guarantee that the bidder will enter into a Contract if its Proposal is accepted by the OWNER.

Provide - Furnish and Install for a complete, finished, and operable system.

Regulatory Requirements - All applicable state. Federal, and local laws, rules, regulations, ordinances, codes and/or orders.

Request for Payment - The form provided by the OWNER that is to be used by the CONTRACTOR in requesting progress or final payments and is to include such supporting documentation as is required by the Contract Documents.

Schedule of Values - The OWNER's document, submitted by the CONTRACTOR and reviewed by the Contracting Officer, which shall serve as the basis for computing payment and for establishing the value of separate items of Work that comprise the Contract Price.

Shop Drawings - All Drawings, diagrams, illustrations, schedules and other data that are specifically prepared by or for the CONTRACTOR to illustrate some portion of the Work and all illustrations, brochures, standard schedules, performance charts, instructions, diagrams and other information prepared by a Supplier and submitted by the CONTRACTOR to illustrate material, equipment, fabrication, or erection for some portion of the Work.

Site – Areas furnished by the OWNER upon which the Work is to be performed, including rights-of-way and easements for access thereto, and such other lands furnished by the OWNER which are designated for the use of the CONTRACTOR.

Specifications - Those portions of the Contract Documents consisting of written technical descriptions of materials, equipment, construction systems, standards and workmanship as applied to the Work and certain administrative and procedural details applicable thereto.

Subcontractor - An individual, firm, or corporation to whom the CONTRACTOR or any other Subcontractor sublets part of the Contract.

Substantial Completion - Although not fully completed, the Work (or a specified part thereof) has progressed to the point where, in the opinion of OWNER as evidenced by the OWNER's written notice, it is sufficiently complete, in accordance with the Contract Documents, so that the Work (or specified part) can be utilized for the purposes for which it is intended. The terms "Substantially Complete" and "Substantially Completed" as applied to any Work refer to Substantial Completion thereof.

Supplemental Agreement - A written agreement between the CONTRACTOR and the OWNER covering work that is not within the general scope of the Contract.

Supplementary Conditions - The part of the Contract Documents that amends or supplements these General Conditions.

Supplier - A manufacturer, fabricator, distributor, materialman or vendor of materials or equipment.

Surety - The corporation, partnership, or individual, other than the CONTRACTOR, executing a bond Furnished by the CONTRACTOR.

Using Agency - The entity that will occupy or use the completed Work.

Work - Work is the act of, and the result of, performing services, Furnishing labor, Furnishing and incorporating materials and equipment into the Project and performing other duties and obligations, all as required by the Contract Documents. Such Work, however incremental, will culminate in the entire completed Project, or the various separately identifiable parts thereof.

Working Day - Monday through Friday, inclusive, with the exception of Holidays.

ARTICLE 2 - AUTHORITIES AND LIMITATIONS

2.1 Authorities and Limitations

- 2.1.1 The Contracting Officer alone, shall have the power to bind the OWNER and to exercise the rights, responsibilities, authorities and functions vested in the Contracting Officer by the Contract Documents, except that the Contracting Officer shall have the right to designate in writing authorized representatives to act for him. Wherever any provision of the Contract Documents specifies an individual or organization, whether governmental or private, to perform any act on behalf of or in the interests of the OWNER that individual or organization shall be deemed to be the Contracting Officer's authorized representative under this Contract but only to the extent so specified. The Contracting Officer may, at any time during the performance of this Contract, vest in any such authorized representatives additional power and authority to act for the Contracting Officer; a copy of each document vesting additional authority in or removing that authority from an authorized representative or designating an additional authorized representative shall be furnished to the CONTRACTOR. The OWNER reserves the right to appoint a new Contracting Officer without affecting any of the CONTRACTOR's obligations to the OWNER under this Contract.
- 2.1.2 The CONTRACTOR shall perform the Work in accordance with the Contract Documents and any Directive or other written order (including but not limited to instruction, direction, interpretation or determination) issued by an authorized representative in accordance with the authorized representative's authority to act for the Contracting Officer. The CONTRACTOR assumes all the risk and consequences of performing the Work in accordance with any order (including but not limited to instruction, direction, interpretation or determination) of anyone not authorized to issue such order, and of any order not in writing.
- 2.1.3 Should the Contracting Officer or his authorized representative designate Consultant(s) to act for the OWNER as provided for in Paragraph 2.1.1, the performance or nonperformance of the Consultant under such authority to act, shall not give rise to any contractual obligation or duty of the Consultant to the CONTRACTOR, any Subcontractor, any Supplier, or any other organization performing any of the Work or any Surety representing them.
- 2.1.4 The term "Contracting Officer" when used in the text of these General Conditions or other Contract Documents following this section shall also mean any duly authorized representative of the Contracting Officer when authorized in accordance with Paragraph 2.1.1.
- 2.2 Evaluations by Contracting Officer:
 - 2.2.1 The Contracting Officer will decide all questions that may arise as to:
 - a. Quality and acceptability of materials Furnished;
 - b. Quality and acceptability of Work performed;
 - c. Compliance with the schedule of progress;
 - d. Interpretation of Contract Documents:
 - e. Acceptable fulfillment of the Contract on the part of the CONTRACTOR.
 - 2.2.2 In order to avoid cumbersome terms and confusing repetition of expressions in the Contract Documents the terms "as ordered", "as directed", "as required", "as approved" or terms of like effect or import are used, or the adjectives "reasonable", "suitable", "acceptable", "proper" or "satisfactory" or adjectives of like effect or import are used it shall be understood as if the expression were followed by the words "by the Contracting Officer," or "to the Contracting Officer."

When such terms are used to describe a requirement, direction, review or judgment of the Contracting Officer as to the Work, it is intended that such requirement, direction, review or

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judgment will be solely to evaluate the Work for compliance with the Contract Documents (unless there is a specific statement indicating otherwise).

2.2.3 The use of any such term or adjective shall not be effective to assign to the OWNER any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraphs 2.3 or 2.4.

2.3 Means and Methods:

The means, methods, techniques, sequences or procedures of construction, or safety precautions and the program incident thereto, and the failure to perform or Furnish the Work in accordance with the Contract Documents are the sole responsibility of the CONTRACTOR.

2.4 Visits to Site/Place of Business:

The Contracting Officer will make visits to the site and approved remote storage sites at intervals appropriate to the various stages of construction to observe the progress and quality of the executed Work and to determine, in general, if the Work is proceeding in accordance with the Contract Documents. The Contracting Officer may, at reasonable times, inspect that part of the plant or place of business of the CONTRACTOR or Subcontractor that is related to the performance of the Contract. Such observations or the lack of such observations shall in no way relieve the CONTRACTOR from its duty to perform the Work in accordance with the Contract Documents.

ARTICLE 3 - CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

3.1 Incomplete Contract Documents

The submission of a bid is considered a representation that the Bidder has examined the Contract Documents to make certain that all sheets and pages were provided, that the Bidder has examined the Project site, and that the Bidder is satisfied as to the conditions to be encountered in performing the Work. The OWNER expressly denies any responsibility or liability for a bid submitted on the basis of an incomplete set of Contract Documents.

3.2 Copies of Contract Documents:

The OWNER shall furnish to the CONTRACTOR up to five copies of the Contract Documents. Additional copies will be furnished, upon request, at the cost of reproduction.

3.3 Scope of Work:

The Contract Documents comprise the entire Contract between the OWNER and the CONTRACTOR concerning the Work. The Contract Documents are complementary; what is called for by one is as binding as if called for by all. The Contract Documents will be construed in accordance with the Regulatory Requirements of the place of the Project.

It is specifically agreed between the parties executing this Contract that it is not intended by any of the provisions of the Contract to create in the public or any member thereof a third party benefit, or to authorize anyone not a party to this Contract to maintain a suit pursuant to any terms or provision of the Contract.

3.4 Intent of Contract Documents:

- 3.4.1 It is the intent of the Contract Documents to describe a functionally complete Project to be constructed in accordance with the Contract Documents. Any Work, materials or equipment that may reasonably be inferred from the Contract Documents as being required to produce the intended result will be supplied, without any adjustment in Contract Price or Contract Time, whether or not specifically called for.
- 3.4.2 Reference to standard specifications, manuals or codes of any technical society, organization or association, or to the Regulatory Requirements of any governmental authority, whether such reference be specific or by implication, shall mean the edition stated in the Contract Documents or if not stated the latest standard specification, manual, the code of Regulatory Requirements in effect at the time of Advertisement for the Project (or, on the Effective Date of the Contract if there was no Advertisement). However, no provision of any referenced standard specification, manual or code (whether or not specifically incorporated by reference in the Contract Documents) shall be effective to change the duties and responsibilities of the OWNER and the CONTRACTOR, or any of their consultants, agents or employees from those set forth in the Contract Documents, nor shall it be effective to assign to the OWNER or any of the OWNER's Consultants, agents or employees, any duty or authority to supervise or direct the Furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraphs 2.3 or 2.4.

3.5 Discrepancy in Contract Documents:

Before undertaking the Work, the CONTRACTOR shall carefully study and compare the Contract Documents and check and verify pertinent figures, and dimensions shown thereon and all applicable field measurements and conditions. Work in the area by the CONTRACTOR shall imply verification of figures, dimensions and field measurements. If, during the above study or during the performance of the Work, the CONTRACTOR finds a conflict, error, discrepancy or omission in the Contract Documents, or a discrepancy between the Contract Documents and any standard specification, manual, code, or Regulatory Requirement that affects the Work, the CONTRACTOR shall promptly report such discrepancy in writing to the Contracting Officer. The CONTRACTOR shall obtain a written interpretation or clarification from the Contracting Officer before proceeding with any Work affected thereby. Any adjustment made by the CONTRACTOR without this determination shall be at its own risk and expense. However, the CONTRACTOR shall not be liable to the OWNER for failure to report any conflict, error or discrepancy in the Contract Documents unless the CONTRACTOR had actual knowledge thereof or should reasonably have known thereof.

3.5.2 Discrepancy - Order of Precedence:

When conflicts, errors, or discrepancies within the Contract Documents exist, the order of precedence from most governing to least governing will be as follows:

The Signed Contract Contents of Addenda Instructions to Bidders Supplementary Conditions General Conditions General Requirements Manufacturer's Instructions Technical Specifications

Drawings (recorded dimensions will govern over scaled dimensions, large scale details over small scale, schedules over plans, architectural Drawings, over structural Drawings over mechanical and electrical Drawings)

3.6 Clarifications and Interpretations:

The Contracting Officer will issue with reasonable promptness such written clarifications or interpretations of the requirements of the Contract Documents as the Contracting Officer may determine necessary, which shall be consistent with or reasonably inferable from the overall intent of the Contract Documents.

3.7 Reuse of Documents:

3.5.1

Neither the CONTRACTOR nor any Subcontractor, or Supplier or other person or organization performing or furnishing any of the Work under a direct or indirect contract with the OWNER shall have or acquire any title to or ownership rights in any of the Contract Documents (or copies thereof) prepared by or for the OWNER and they shall not reuse any of the Contract Documents on extensions of the Project or any other project without written consent of the Contracting Officer.

Contract Documents prepared by the CONTRACTOR in connection with the Work shall become the property of the OWNER.

ARTICLE 4 - NOT USED IN THIS CONTRACT

ARTICLE 5 - BONDS INSURANCE, AND INDEMNIFICATION

5.1 Insurance Requirements:

- 5.1.1 The CONTRACTOR shall provide evidence of insurance with a carrier or carriers satisfactory to the OWNER covering injury to persons and/or property suffered by the State of Alaska or a third party, as a result of operations which arise both out of and during the course of this Contract by the CONTRACTOR or by any Subcontractor. This coverage will also provide protection against injuries to all employees of the CONTRACTOR and the employees of any Subcontractor engaged in Work under this Contract. The policy of insurance shall be endorsed to provide for delivery to the OWNER of a written 30-Day notice is required before cancellation of any coverage or reduction in any limits of liability. Insurance carriers shall have an acceptable financial rating.
- 5.1.2 The CONTRACTOR shall maintain in force at all times during the performance of Work under this agreement the following policies of insurance. Failure to maintain insurance may, at the option of the Contracting Officer, be deemed Defective Work and remedied in accordance with the Contract. Where specific limits and coverages are shown, it is understood that they shall be the minimum acceptable. The requirements of this paragraph shall not limit the CONTRACTOR's responsibility

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to indemnify under paragraph 5.2. Additional insurance requirements specific to this Contract are contained in the Supplementary Conditions, when applicable.

a. Workers' Compensation Insurance: The CONTRACTOR shall provide and maintain, for all employees of the CONTRACTOR engaged in Work under this Contract, Workers' Compensation Insurance as required by AS 23.30.045.

The CONTRACTOR shall be responsible for Workers' Compensation insurance for any Subcontractor who Provides services under this Contract, to include:

- Employer's Liability Protection in the amount of \$100,000 per person/\$100,000 per occurrence;
- If the CONTRACTOR directly utilizes labor outside of the State of Alaska in the prosecution of the Work, "other States" endorsement shall be required as a Condition of the Contract.
- b. Comprehensive or Commercial General Liability Insurance: shall cover all operations by or on behalf of the CONTRACTOR and shall provide insurance for bodily injury and property damage liability including coverage for premises and operations; products and completed operations; contractual liability insuring obligations assumed under paragraph 5.2, indemnification; broad form property damage; and personal injury liability. The minimum limits of liability shall be:
 - If the CONTRACTOR carries Comprehensive General Liability policy, the limits of liability shall not be less than a Combined Single Limit for bodily injury, property damage and Personal Injury Liability of:

\$ 500,000 each occurrence \$1,000,000 aggregate

- If the CONTRACTOR carries Commercial General Liability policy the limits of liability shall not be less than:
 - \$ 500,000 Each Occurrence (Combined Single Limit for bodily injury and

property damage)

\$ 500,000 for Personal Injury Liability

\$1,000,000 Aggregate for Products- Completed Operations

\$1,000,000 General Aggregate

The OWNER shall be named as an "Additional Insured" under all liability coverages listed above.

c. Automobile Liability Insurance:

Such insurance shall cover all owned, hired and non-owned vehicles and provide coverage not less than that of the Business Automobile Policy in limits not less than the following:

\$1,000,000 Each Occurrence (Combined Single Limit for bodily injury and property damage.)

d. Builder's Risk Insurance:

Coverage shall be on an "All Risk" completed value basis including "quake and flood" and protect the interests of the OWNER, the CONTRACTOR and its Subcontractors. Coverage shall include all materials, supplies and equipment that are intended for specific Installation in the Project while such materials, supplies and equipment are located at the Project site and in transit from port of arrival to job site and while temporarily located away from the Project site.

In addition to providing the above coverages the CONTRACTOR shall ensure that Subcontractors provide insurance coverages as noted in clauses a., b., and c. of this subparagraph.

- e. Other Coverages: As specified in the Supplementary Conditions.
- 5.1.3 Evidence, consisting of a certificate of insurance or the policy declaration page with required endorsements attached thereto -- all of which have been executed by the insurer's representative

and issued to the OWNER, shall denote the type, amount, class of operations covered, effective (and retroactive) dates, and dates of expiration of policies.

Evidence pertaining to Worker's Compensation, General Liability, Automobile Liability and all other coverages shall be evidenced prior to commencement of Work and execution of the Contract. Acceptance by the OWNER of deficient evidence does not constitute a waiver of Contract requirements as provided for by the Conditions of the Contract.

If a certificate is submitted as evidence it shall contain the following statement:

"This is to certify that the policies described herein comply with all aspects of the insurance requirements of (Contract Name and Number, and Project Number).

5.2 Indemnification:

The CONTRACTOR shall indemnify, save harmless, protect and defend the OWNER and its agents, including its employees from and against any and all claims, demands, and causes of action for injuries or damages sustained by any person or property arising out of, or in any way connected with the Work or the CONTRACTOR's performance of or obligations under this Contract. The CONTRACTOR is not obligated to indemnify the OWNER for its sole negligence.

5.3 Payment and Performance Bonds

Payment and Performance bonds must be in a sum equal to at the total amount payable by the terms of the Contract. Use forms shown in Section 00610 and 00620 or a facsimile copy, or a form provided by a corporate surety that provides substantially the same information.

ARTICLE 6 -CONTRACTOR'S RESPONSIBILITIES

6.1 Prosecution of the Work

The CONTRACTOR shall diligently, and in skillful, workmanlike manner, Provide all labor, materials, equipment, and facilities necessary to perform the Work in accordance with the Contract Documents and in a manner to complete the Work within the specified contract time.

6.2 Supervision of Work:

The CONTRACTOR shall supervise and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. The CONTRACTOR shall be solely responsible for the means, methods, techniques, sequences and procedures of construction.

6.3 Superintendence by CONTRACTOR:

The CONTRACTOR shall provide on the Site at all times during the prosecution of the Work a competent resident superintendent. The Contracting Officer shall be advised in writing of the superintendent's name, local address, and telephone number. This written advice is to be kept current until Final Acceptance by the OWNER. The superintendent will be the CONTRACTOR's representative at the site and shall have full authority to act and sign documents on behalf of the CONTRACTOR.

All communications given to the superintendent shall be as binding as if given to the CONTRACTOR. The CONTRACTOR shall cooperate with the Contracting Officer in every way possible.

6.4 Character of Workers:

The CONTRACTOR shall provide a sufficient number of competent, suitably qualified personnel to plan, manage, and Provide the Work as required by the Contract Documents. The CONTRACTOR shall at all times maintain good discipline and order at the site. The Contracting Officer may, in writing, require the CONTRACTOR to remove from the Work any employee the Contracting Officer deems incompetent, careless, or otherwise detrimental to the progress of the Work, but the Contracting Officer shall have no duty to exercise this right.

6.5 CONTRACTOR to Provide:

Unless otherwise specified in the General Requirements, the CONTRACTOR shall Provide and assume full responsibility for all materials, equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities and all other facilities and incidentals necessary for the Furnishing, performance, testing, start-up and completion of the Work.

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6.6 Materials and Equipment:

All materials and equipment shall be of specified quality and new, except as otherwise provided in the Contract Documents. The CONTRACTOR shall Provide all facilities and labor required to protect materials and other components of the Work from damage or deterioration due to environmental exposure, handling, or other factors. If required by the Contracting Officer, the CONTRACTOR shall Furnish satisfactory evidence (including reports of required tests) as to the kind and quality of materials and equipment provided. All materials and equipment shall be applied, Installed, connected, erected, used, cleaned, and conditioned in accordance with the instructions of the applicable Supplier except as otherwise provided in the Contract Documents; but no provision of any such instructions will be effective to assign to the OWNER or any of the OWNER's Consultants, agents or employees, any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraphs 2.2, 2.3 or 2.4.

6.7 Anticipated Schedules:

Unless otherwise specified in the General Requirements, within reasonable time prior to the preconstruction conference the CONTRACTOR shall submit to the Contracting Officer for review an anticipated progress schedule indicating the starting and completion dates of the various stages of the Work.

6.8 Adjusting Schedules:

Upon substantial changes to the schedule or upon request, the CONTRACTOR shall submit to the Contracting Officer for acceptance adjustments in the schedules to reflect the actual present and anticipated progress of the Work.

6.9 Substitutes:

Notwithstanding any other provisions of the Contract, the CONTRACTOR bears the risk of delay or failure associated with any substitution requested or proposed by the CONTRACTOR, regardless of whether the substitution has been approved by the OWNER.

- 6.9.1 Whenever materials or equipment are specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier the naming of the item is intended to establish the type, function and quality required. Unless the name is followed by words indicating that no substitution is permitted, materials or equipment of other Suppliers may be accepted by the Contracting Officer, but only if sufficient information is submitted by the CONTRACTOR that clearly demonstrates to the Contracting Officer that the material or equipment proposed is equivalent or equal in all aspects to that named. The procedure for review by the Contracting Officer will include the following as supplemented in the General Requirements:
 - Requests for review of substitute items of material and equipment will not be accepted by the Contracting Officer from anyone other than the CONTRACTOR.
 - If the CONTRACTOR wishes to furnish or use a substitute item of material or equipment, the CONTRACTOR shall make written application to the Contracting Officer for acceptance thereof, certifying that the proposed substitute will perform adequately the functions and achieve the results called for by the general design, be similar and of equal substance to that specified and be suited to the same use as the specified. The application will certify that the evaluation and acceptance of the proposed substitute will not delay the CONTRACTOR's achievement of Substantial Completion on time, whether or not acceptance of the substitute for use in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with the OWNER for Work on the Project) to adapt the design to the proposed substitute and whether or not incorporation or use of the substitute in connection with the Work is subject to payment of any license fee or royalty.
 - c. All variations of the proposed substitute from that specified will be identified in the application and available maintenance, repair and replacement service will be indicated. The application will also contain an itemized estimate of all costs that will result directly or indirectly from acceptance of such substitute, including costs of redesign and claims of other contractors affected by the resulting change, all of which shall be considered by the OWNER in evaluating the proposed substitute. The OWNER may require the CONTRACTOR to Furnish at the CONTRACTOR's expense additional data about the proposed substitute.
- 6.9.2 The Contracting Officer may reject any substitution request that the Contracting Officer determines is not in the best interest of the OWNER.

6.9.3 Substitute Means and Methods:

If a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents, the CONTRACTOR may Furnish or utilize a substitute means, method, sequence, technique or procedure of construction acceptable to the Contracting Officer, if the CONTRACTOR submits sufficient information to allow the Contracting Officer to determine that the substitute proposed is equivalent to that indicated or required by the Contract Documents. The procedure for review by the Contracting Officer will be similar to that provided in paragraph 6.10 as applied by the Contracting Officer and as may be supplemented in the General Requirements.

6.10 Evaluation of Substitution:

The Contracting Officer will be allowed a reasonable time within which to evaluate each proposed substitute. The Contracting Officer will be the sole judge of acceptability, and no substitute will be ordered, Installed or utilized without the Contracting Officer's prior written acceptance which will be evidenced by either a Change Order or Approval in accordance with paragraph 6.9. The Contracting Officer may require the CONTRACTOR to Furnish at the CONTRACTOR's expense a special performance guarantee or other Surety with respect to any substitute.

6.11 Dividing the Work:

The divisions and sections of the Specifications and the identifications of any Drawings shall not control the CONTRACTOR in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.

6.12 Subcontractors:

The CONTRACTOR may utilize the services of licensed specialty Subcontractors on those parts of the Work, which under normal contracting practices, are performed by licensed specialty Subcontractors.

- 6.12.1 The CONTRACTOR shall be fully responsible to the OWNER for all acts and omissions of the Subcontractors, Suppliers and other persons and organizations performing or Furnishing any of the Work under a direct or indirect contract with CONTRACTOR just as CONTRACTOR is responsible for CONTRACTOR's own acts and omissions.
- 6.12.2 Nothing in the Contract Documents shall create any contractual relationship between the OWNER and any such Subcontractor, Supplier or other person or organization, nor shall it create any obligation on the part of the OWNER to pay or to see to the payment of any moneys due any such Subcontractor, Supplier or other person or organization except as may otherwise be required by Regulatory Requirements. The OWNER will not undertake to settle any differences between or among the CONTRACTOR, Subcontractors, or Suppliers.
- 6.12.3 The CONTRACTOR shall include on its own payrolls any person or persons working on this Contract who are not covered by written subcontract, and shall ensure that all Subcontractors include on their payrolls all persons performing Work under the direction of the Subcontractor.
- 6.12.4 No claim of CONTRACTOR shall include any element of a claim of a Subcontractor unless the CONTRACTOR first warrants that the claim is valid.
- 6.12.5 The CONTRACTOR shall be responsible for ensuring that all personnel on the Project are covered by Unemployment Insurance as required by Regulatory Requirements.

6.13 Use of Premises:

The CONTRACTOR is responsible for the site, the Work, and persons and materials thereon. The CONTRACTOR shall confine construction equipment, the storage of material and equipment and the operations of workers to the Project limits and approved remote storage sites.

6.14 Structural Loading:

The CONTRACTOR shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall the CONTRACTOR subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

6.15 Record Documents:

The CONTRACTOR shall maintain in a safe place at the site one record copy of all Drawings, Specifications, Addenda, Directives, Change Orders, Supplemental Agreements, and written interpretations and clarifications (issued pursuant to paragraph 3.6) in good order and annotated to show all changes made during construction. The CONTRACTOR shall update the record documents weekly to reflect all changes through the date of the update. These record documents together with all Approved samples and a counterpart of all Approved Shop Drawings will be available to the Contracting Officer for reference and copying. Upon completion of the Work,

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the annotated record documents, samples and Shop Drawings will be delivered to the Contracting Officer. Record documents shall accurately record variations in the Work that vary from requirements shown or indicated in the Contract Documents.

6.16 Safety and Protection:

The CONTRACTOR alone shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. The CONTRACTOR shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:

- 6.16.1 All employees on the Work and other persons and organizations who may be affected thereby;
- 6.16.2 All the Work and materials and equipment to be incorporated therein, whether in storage on or off the site; and
- 6.16.3 Other property at the site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation or replacement in the course of construction.

The CONTRACTOR shall comply with all applicable Regulatory Requirements of any public body having jurisdiction for the safety of persons or property or to protect them from damage, injury or loss; and shall erect and maintain all necessary safeguards for such safety and protection. The CONTRACTOR shall notify owners of adjacent property and utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation and replacement of their property. All damage, injury or loss to any property caused, directly or indirectly, in whole or in part, by the CONTRACTOR, any Subcontractor, Supplier or any other person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, shall be remedied by the CONTRACTOR with no change in Contract Price or Contract Time, except damage or loss attributable to unforeseeable causes beyond the control of and without the fault or negligence of the CONTRACTOR, including but not restricted to the acts of God, the public enemy, or governmental authorities. The CONTRACTOR's duties and responsibilities for the safety and protection of the Work shall continue until Final Acceptance (except as otherwise expressly provided in connection with Substantial Completion).

6.16.4 All employees, persons, property and landscaping at the property, including plantings, pavements, roadways, structures, lighting, utilities, and vehicles, from the weight and activity of cranes and other heavy hoisting equipment.

6.17 Safety Representative:

The CONTRACTOR shall designate a responsible safety representative at the site. This person shall be the CONTRACTOR's superintendent unless otherwise designated in writing by the CONTRACTOR to the Contracting Officer.

6.18 Emergencies:

In emergencies affecting the safety or protection of persons or the Work or property at the site or adjacent thereto, the CONTRACTOR, without special instruction or authorization from the OWNER, is obligated to act to prevent threatened damage, injury or loss. The CONTRACTOR shall give the Contracting Officer prompt written notice if the CONTRACTOR believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby. If the OWNER determines that a change in the Contract Documents is required because of the action taken in response to an emergency, a change will be authorized by one of the methods indicated in Paragraph 9.2, as determined appropriate by the Contracting Officer.

6.19 Maintenance During Construction:

The CONTRACTOR shall maintain the Work during construction and until Substantial Completion, at which time the responsibility for maintenance shall be established in accordance with paragraph 13.7.

6.20 Continuing the Work:

The CONTRACTOR shall carry on the Work and adhere to the progress schedule during all disputes or disagreements with the OWNER. No Work shall be delayed or postponed pending resolution of any disputes, disagreements, or claims except as the CONTRACTOR and the Contracting Officer may otherwise agree in writing.

6.21 Consent to Assignment:

The CONTRACTOR shall obtain the prior written consent of the Contracting Officer to any proposed assignment of any interest in, or part of this Contract. The consent to any assignment or transfer shall not operate to relieve the CONTRACTOR or its Sureties of any obligations under this Contract or the Performance Bonds. Nothing herein contained shall be construed to hinder, prevent, or affect an assignment of monies due, or to become due hereunder, made for the benefit of the CONTRACTOR's creditors pursuant to law.

6.22 CONTRACTOR's Records:

- 6.22.1 Records of the CONTRACTOR and Subcontractors relating to personnel, payrolls, invoices of materials, and any and all other data relevant to the performance of this Contract, must be kept on a generally recognized accounting system. Such records must be available during normal work hours to the Contracting Officer for purposes of investigation to ascertain compliance with Regulatory Requirements and provisions of the Contract Documents.
- 6.22.2 Payroll records must contain the name and address of each employee, his correct classification, rate of pay, daily and weekly number of hours of work, deductions made, and actual wages paid. The CONTRACTOR and Subcontractors shall make employment records available for inspection by the Contracting Officer and representatives of the U. S. and/or State Department of Labor and will permit such representatives to interview employees during working hours on the Project.
- 6.22.3 Records of all communications between the OWNER and the CONTRACTOR and other parties, where such communications affected performance of this Contract, must be kept by the CONTRACTOR and maintained for a period of three years from Final Acceptance. The OWNER or its assigned representative may perform an audit of these records during normal work hours after written notice to the CONTRACTOR.

ARTICLE 7 - LAWS AND REGULATIONS

7.1 Regulatory Requirements

The CONTRACTOR shall keep fully informed of all federal and state Regulatory Requirements and all orders and decrees of bodies or tribunals having any jurisdiction or authority, which in any manner affect those engaged or employed on the Work, or which in any way affect the conduct of the Work. The CONTRACTOR shall at all times observe and comply with all such Regulatory Requirements orders and decrees; and shall protect and indemnify the OWNER and its representatives against all claims, demands, and liabilities arising out of or in any way connected with an actual or alleged violation of any such Regulatory Requirement, order, or decree whether by the CONTRACTOR, Subcontractor, or any employee of either. Except where otherwise expressly required by applicable Regulatory Requirements, the OWNER shall not be responsible for monitoring CONTRACTOR's compliance with any Requirements.

7.2 Permits, Licenses, and Taxes

- 7.2.1 The CONTRACTOR shall procure all permits and licenses, pay all charges, fees and taxes, and give all notices necessary and incidental to the due and lawful prosecution of the Work. As a condition of performance of this Contract, the CONTRACTOR shall pay all Federal, State and local taxes incurred by the CONTRACTOR, in the performance of this Contract. Proof of payment of these taxes may be a condition precedent to final payment by the OWNER under this Contract. The OWNER shall pay for all plan review and building permit fees required by the State Fire Marshal and the Local Authority Having Jurisdiction. The CONTRACTOR shall pay for all building and trade permits and licenses.
- 7.2.2 The CONTRACTOR's certification that taxes have been paid (as contained in the Release of Contract) will be verified with the Department of Revenue and Department of Labor, prior to final payment.
- 7.2.3 If any Federal, State or local sales or excise tax is imposed, charged, or repealed after the date of bid opening and is made applicable to and paid by the CONTRACTOR on the articles or supplies herein contracted for, then the Contract shall be increased or decreased accordingly by a Change Order.

7.3 Patented Devices, Materials and Processes

If the CONTRACTOR employs any design, device, material, or process covered by letters of patent, trademark or copyright, the CONTRACTOR shall provide for such use by suitable legal agreement with the patentee or owner. The CONTRACTOR and the Surety shall indemnify and save harmless the OWNER, any affected third party, or political subdivision from any and all claims for infringement by reason of the use of any such patented design, device material or process, or any trademark or copyright, and shall indemnify the OWNER for any costs,

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expenses, and damage that it may be obliged to pay by reason of any infringement, at any time during the prosecution or after the completion of the Work.

7.4 Compliance of Specifications and Drawings:

If the CONTRACTOR observes that the Specifications and Drawings supplied by the OWNER are at variance with any Regulatory Requirements, CONTRACTOR shall give the Contracting Officer prompt written notice thereof, and any necessary changes will be authorized by one of the methods indicated in paragraph 9.2 as determined by the Contracting Officer. If the CONTRACTOR performs any Work knowing or having reason to know that it is contrary to such Regulatory Requirements, and without such notice to the Contracting Officer, the CONTRACTOR shall bear all costs arising therefrom; however, it shall not be the CONTRACTOR's primary responsibility to make certain that the Specifications and Drawings supplied by the OWNER are in accordance with such Regulatory Requirements.

7.5 Accident Prevention:

The CONTRACTOR shall comply with AS 18.60.075 and all pertinent provisions of the Construction Code Occupational Safety and Health Standards issued by the Alaska Department of Labor.

7.6 Sanitary Provisions:

The CONTRACTOR shall Furnish and maintain in a neat and sanitary condition such accommodations for the use of its employees and OWNER representatives as may be necessary to comply with the Regulatory Requirements of the State and local Boards of Health, or of other bodies or tribunals having jurisdiction.

7.7 Business Registration:

Comply with AS 08.18.011, as follows: "it is unlawful for a person to submit a bid or work as a contractor until he has been issued a certificate of registration by the Department of Commerce. A partnership or joint venture shall be considered registered if one of the general partners or venturers whose name appears in the name under which the partnership or venture does business is registered."

7.8 Professional Registration and Certification

All craft trades, architects, engineers and land surveyors, electrical administrators, and explosive handlers employed under the Contract shall specifically comply with applicable provisions of AS 08.18, 08.48, 08.40, and 08.52. CONTRACTOR shall provide copies of individual licenses within seven days following a request from the Contracting Officer.

7.9 Local Building Codes:

The CONTRACTOR shall comply with AS 35.10.025, which requires construction in accordance with applicable local building codes including the obtaining of required permits.

7.10 Air Quality Control:

The CONTRACTOR shall comply with all applicable provisions of AS 46.03.04 as pertains to Air Pollution Control.

7.11 Hazardous Materials: Spills, Reporting & Communication Plan

The CONTRACTOR shall comply with all applicable provisions of Alaska Administrative Code Title 18, Section 75.300 regarding reporting of hazardous materials and oil spills. For all toxic or hazardous materials used in this Project the CONTRACTOR shall have available on site Material Safety Data Sheets and have in place a hazard communication program and comply with other requirements of Alaska Administrative Code Title 8 Section 15.0101.

7.12 Preferential Employment:

The CONTRACTOR shall comply with all applicable and valid laws and regulations regarding the hiring of Alaska residents now in effect or that might subsequently take effect during the term of this Contract. In order to ensure that the CONTRACTOR's Subcontractors will comply with all applicable laws and regulations regarding the hiring of Alaska residents now in effect or that might subsequently take effect, the CONTRACTOR shall include in its Contracts with Subcontractors under this Contract language that is substantially the same as the first sentence of this provision.

7.13 Wages and Hours of Labor:

One certified copy of all payrolls shall be submitted weekly to the State Department of Labor to assure compliance with AS 36.05.040, Filing Schedule of Employees' Wages Paid and Other Information. An additional copy of all certified payrolls shall be submitted to the OWNER concurrently with the submittal to the State Department of Labor. The CONTRACTOR shall be responsible for the submission of certified copies of payrolls of all Subcontractors. The certification shall affirm that the payrolls are current and complete, that the wage rates contained therein are not less than the applicable rates referenced in these Contract Documents, and that the classification set forth for each laborer or mechanic conforms with the Work he performed. The CONTRACTOR and its Subcontractors shall attend all hearings and conferences and produce such books, papers, and documents all as requested by the Department of Labor. Should Federal funds be involved, the appropriate Federal Agency shall also receive a copy of the CONTRACTOR's certified payrolls.

7.13.2 The following Labor provisions shall also apply to this Contract:

- wages may not be less than those stated in the advertised Specifications, regardless
 of the contractual relationship between the CONTRACTOR or Subcontractors and
 laborers, mechanics, or field surveyors;
- the scale of wages to be paid shall be posted by the CONTRACTOR in a prominent and easily accessible place at the site of the Work;
- c. the OWNER shall withhold so much of the accrued payments as is necessary to pay to laborers, mechanics, or field surveyors employed by the CONTRACTOR or Subcontractors the difference between
 - the rates of wages required by the Contract to be paid laborers, mechanics, or field surveyors on the Work, and
 - the rates of wages in fact received by laborers, mechanics, or field surveyors.

7.14 Overtime Work Hours and Compensation:

7.13.1

Pursuant to AS 23.10.060, the CONTRACTOR shall not require nor permit any laborer or mechanic in any workweek in which he is employed on any Work under this Contract to work in excess of eight hours in any Calendar Day or in excess of forty hours in such workweek on Work subject to the provisions of the Contract Work Hours and Safety Standards Act unless such laborer or mechanic receives compensation at a rate not less than one and one half times his basic rate of pay for all such hours worked in excess of eight hours in any Calendar Day or in excess of forty hours in such workweek whichever is the greater number of overtime hours. In the event of any violation of this provision, the CONTRACTOR shall be liable to the affected employee for any amounts due and penalties and to the OWNER for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic employed in violation of this provision in the sum of \$10.00 for each Calendar Day on which such employee was required or permitted to be employed on such Work in excess of eight hours or in excess of the standard workweek of forty hours without payment of the overtime wages required by this paragraph.

7.15 Covenant Against Contingent Fees:

The CONTRACTOR warrants that no person or selling agent has been employed or retained to solicit or secure this Contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by the CONTRACTOR for the purpose of securing business. For breach or violation of this warrant, the OWNER shall have the right to annul this Contract without liability or, in its discretion, to deduct price of consideration from the Contract or otherwise recover the full amount of such commission, percentage, brokerage, or contingent feel.

7.16 Officials Not to Benefit:

No member of or delegate to the State Legislature or other State official shall be admitted to any share or part of this Contract, nor to any benefit that may arise therefrom. However, this provision shall not be construed to extend to this Contract if made with a corporation for its general benefit.

7.17 Personal Liability of Public Officials:

In carrying out any of the provisions thereof, or in exercising any power or authority granted to the Contracting Officer by the Contract, there will be no liability upon the Contracting Officer nor upon State employees authorized as his representatives, either personally or as officials of the State of Alaska, it being always understood that in such matters they act as agents and representatives of the OWNER/

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ARTICLE 8 - OTHER WORK

8.1 Assignment of Component Contract by the Owner

The OWNER may at any time enter into separate contracts with other contractors to perform work or supply materials or services for the Project. The OWNER may, at its sole discretion, assign its interests, rights, and responsibilities in one or more of the component contracts to the CONTRACTOR anytime after execution of such contract. The assignment shall be executed using a Form of Agreement provided by the OWNER. The Contract Documents will describe the scope of Work, estimated, or actual contract amounts, and scheduling requirements for these assigned contracts.

If an assigned subcontract is indicated in the General Requirements, the Contractor shall include in its bid an amount as compensation for all supervision, overhead, bonds, profit, and all other expenses associated with the assumption of the OWNER's interests, rights, and responsibilities in the assigned subcontracts.

8.2 Related Work at Site:

- 8.2.1 The OWNER reserves the right at any time to contract for and perform other or additional work on or near the Work covered by the Contract.
- 8.2.2 When separate contracts are let within the limits of the Project, the CONTRACTOR shall conduct its Work so as not to interfere with or hinder the work being performed by other contractors. The CONTRACTOR when working on the same Project with other contractors shall cooperate with such other contractors. The CONTRACTOR shall join its Work with that of the others in an acceptable manner and shall perform it in proper sequence to that of others.
- 8.2.3 If the fact that other such Work which is to be performed is identified or shown in the Contract Documents, the CONTRACTOR shall assume all liability, financial or otherwise, in connection with this Contract and indemnify and save harmless the OWNER from any and all damages or claims that may arise because of inconvenience, delay, or loss experienced by the CONTRACTOR because of the presence and operations of other contractors.
- 8.2.4 If the fact that such other work is to be performed is not identified or shown in the Contract Documents, written notice thereof will be given to the CONTRACTOR prior to starting any such other work. If the CONTRACTOR believes that such performance will require an increase in Contract Price or Contract Time, the CONTRACTOR shall notify the Contracting Officer of such required increase within 15 Calendar Days following receipt of the Contracting Officer's notice. Should the Contracting Officer find such increase(s) to be justified, a Change Order will be executed.

8.3 Access, Cutting, and Patching:

The CONTRACTOR shall afford each utility owner and any other contractor who is a party to a direct contract with the OWNER (or the OWNER, if the OWNER is performing the additional work with the OWNER's employees) proper and safe access to the site and a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such work, and shall properly connect and coordinate the Work with the work of others. The CONTRACTOR shall do all cutting, fitting and patching of the Work that may be required to make its several parts come together properly and integrate with such other work. The CONTRACTOR shall not endanger any work of others by cutting, excavating or otherwise altering their work and will only cut or alter such other work with the written consent of the Contracting Officer. The duties and responsibilities of the CONTRACTOR under this paragraph are for the benefit of other contractors to the extent that these are comparable provisions for the benefit of the CONTRACTOR in said direct contracts between the OWNER and other contractors.

8.4 Defective Work by Others:

If any part of the CONTRACTOR's Work depends for proper execution or results upon the work of any such other contractor, utility owner or the OWNER, the CONTRACTOR shall inspect and promptly report to the Contracting Officer in writing any delays, defects or deficiencies in such work that render it unavailable or unsuitable for such proper execution and results. The CONTRACTOR's failure too so report will constitute an acceptance of the other work as fit and proper for integration with CONTRACTOR's Work except for latent or nonapparent defects and deficiencies in the other work.

8.5 Coordination:

If the OWNER contracts with others for the performance of other work at the site, Contracting Officer will have authority to coordinate the activities among the various prime contractors.

ARTICLE 9 - CHANGES & DIRECTIVES

9.1 OWNER's Right to Change

The OWNER reserves the right to make, at any time during the progress of the Work, without notice to Sureties and within the general scope of the Contract, such changes, deviations, additions to or deletions from the Contract Documents, including the right to alter the quantity of any item or portion of the Work as may be deemed by the OWNER to be necessary or desirable and to require such extra Work, including direction to accelerate the Work, as may be determined by the OWNER to be required for the proper completion or construction of the whole Work contemplated. Such changes shall be set forth in writing as a Change Order and shall neither invalidate the Contract nor release the Surety. CONTRACTOR agrees to perform the Work, as changed, the same as if it had been a part of the original Contract.

9.2 Authorization of Changes within the General Scope

Additions, deletions, or revisions in the Work within the general scope of the Contract as specified in 9.1 shall be authorized only by Change Order.

9.3 Directive

- 9.3.1 The Contracting Officer shall provide written clarification or interpretation of the Contract Documents (pursuant to paragraph 3.6).
- 9.3.2 The Contracting Officer may authorize minor variations in the Work from the requirements of the Contract Documents that do not involve an adjustment in the Contract Price or the Contract Time and are consistent with the overall intent of the Contract Documents.
- 9.3.3 The Contracting Officer may order the CONTRACTOR to correct Defective Work or methods that are not in conformance with the Contract Documents.
- 9.3.4 The Contracting Officer may direct the commencement of Work, the commencement of Work under dispute, the suspension of Work or emergency related Work (as provided in paragraph 6.19).
- 9.3.5 Upon the issuance of a Directive to the CONTRACTOR by the Contracting Officer, the CONTRACTOR shall proceed with the performance of the Work as prescribed by such Directive.
- 9.3.6 If the CONTRACTOR believes that the changes noted in a Directive may cause an increase in the Contract Price or an extension of Contract Time, the CONTRACTOR shall, within 3 Working Days, provide written notice to the Contracting Officer depicting such increases before proceeding with the Directive, except in the case of an emergency. If the Contracting Officer finds the increase in Contract Price or the extension of Contract Time justified, a Change Order will be issued. If however, the Contracting Officer does not find that a Change Order is justified, the Contracting Officer may direct the CONTRACTOR to proceed with the Work. The CONTRACTOR shall cooperate with the Contracting Officer in keeping complete daily records of the cost of such Work. If a Change Order is ultimately determined to be justified, in the absence of agreed prices and unit prices, payment for such Work will be made on a cost of the Work basis.

9.4 Change Order

A change in Contract Time, Contract Price, or responsibility may be made for changes within the scope of the Work only by Change Order. Upon receipt of an executed Change Order, the CONTRACTOR shall promptly proceed with the Work involved, which will be performed under the applicable Conditions of the Contract except as otherwise specifically provided. Changes in Contract Price and Contract Time shall be made in accordance with Articles 10 and 11

9.5 Extra Work

Any change that is outside the general scope of the Contract, as determined by the Contracting Officer, must be authorized by a Supplemental Agreement signed by the appropriate representatives of the OWNER and the CONTRACTOR. Extra Work performed without a signed Supplemental Agreement or Change Order shall be treated as unauthorized work.

9.6 Unauthorized Work:

The CONTRACTOR shall not be entitled to an increase in the Contract Price or an extension of the Contract Time with respect to any work performed that is not required by the Contract Documents as amended, modified and supplemented as provided in this Article 9, except in the case of an emergency as provided in paragraph 6.18 and except in the case of uncovering Work.

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9.7 Differing Site Conditions:

- 9.7.1 The CONTRACTOR shall promptly, and before such conditions are disturbed (except in an emergency as permitted by paragraph 6.18), notify the Contracting Officer in writing of: (1) subsurface or latent physical conditions at the site differing materially from those indicated in the Contract, and which could not have been discovered by a careful examination of the site, or (2) unknown physical conditions at the site, of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in work of the character provided for in this Contract. The Contracting Officer shall promptly investigate the condition, and if the Contracting Officer finds that such conditions do materially so differ and cause an increase or decrease in the CONTRACTOR's cost of, or time required for, performance of this Contract, an equitable adjustment shall be made and the Contract modified in writing accordingly.
- 9.7.2 Any claim for additional compensation by the CONTRACTOR under this clause shall be made in accordance with Article 15 and shall not be allowed unless the CONTRACTOR has first given the notice required by this Contract. In the event that the Contracting Officer and the CONTRACTOR are unable to reach an agreement concerning an alleged differing site conditions, the CONTRACTOR will be required to keep an accurate and detailed record that will indicate the actual cost of the Work done under the alleged differing site condition. Failure to keep such a record shall be a bar to any recovery by reason of such alleged differing site conditions. The Contracting Officer shall be given the opportunity to supervise and check the keeping of such records.

ARTICLE 10 - CONTRACT PRICE: COMPUTATION AND CHANGE

10.1 Contract Price:

The Contract Price constitutes the total compensation (subject to authorized adjustments) payable to the CONTRACTOR for performing the Work. All duties, responsibilities, and obligations assigned to or undertaken by the CONTRACTOR shall be at the CONTRACTOR's expense without change in the Contract Price. The Contract Price may only be changed by a Change Order or a Supplemental Agreement.

10.2 Changes:

The OWNER reserves the right to make changes to the Work or Contract Documents in accordance with Article 9 of these General Conditions. CONTRACTOR agrees to perform the Work, as changed, as if it had been a part of the original Contract. If the directed changes justify changes in Contract Price the Contract Price change shall be determined as specified in this Article 10.

10.3 Compensation for Altered Quantities of Unit Priced Items.

Payment to the CONTRACTOR for unit price items shall be made only for the actual accepted quantities of Work performed or materials Furnished, in conformance with the Contract. When the accepted quantities of Work or materials vary from the quantities stated in the bid schedule, CONTRACTOR shall accept as payment in full, payment at the original Contract unit prices for the quantities of Work and materials Furnished, completed, and accepted, except as provided below:

- 10.3.1 Under the provisions of Section 10.3, a "Major Contract Item" is any Contract item for which the product of the bid quantity and the unit bid price exceeds \$50,000.
- 10.3.2 Where the final quantity of a Major Contract Item is greater than 125% of the bid quantity, an adjustment shall be made for those units of Work completed and accepted that are in excess of 125% of the bid quantity.
- 10.3.3 Where the final quantity of a Major Contract Item is less than 75% of the bid quantity, payment shall be made as determined under Paragraph 10.3.4 for those units of Work completed and accepted, except that the total payment for the item shall not exceed 75% of the total amount bid for the item.
- 10.3.4 For Major Contract Item Work completed and accepted and materials furnished in excess of 125% of the bid quantity and, subject to the limitation in Paragraph 10.3.3, for Major Contract Item Work performed and accepted and materials furnished whose final quantity is less than 75% of the bid quantity, payment shall be made either on a unit basis at agreed prices or, if no agreement is reached as to unit prices, the Contract item shall be deleted from the Contract, and payment for Work performed and accepted and materials furnished shall be calculated as an Additive or Deductive Change under Paragraph 10.4.
- 10.4 Changes in Contract Items not Covered by a Unit Price.
 - 10.4.1 Additive Changes

Payment to the CONTRACTOR for changes in the Contract not covered by unit prices shall be determined utilizing one of the following methods:

- Mutually acceptable lump sum price including overhead and profit. The CONTRACTOR shall provide an estimated cost breakdown in accordance with, 10.4.5 and 10.4.6. —or-
- b. If no agreement can be reached regarding an acceptable lump sum price, or if the CONTRACTOR is directed by the OWNER to proceed with the changed Work, actual documented cost for time and materials spent on the work. CONTRACTOR shall provide an itemization of actual costs in accordance with 10.4.7.

10.4.2 Deductive Changes

The CONTRACTOR shall itemize deductive changes identical to 10.4.6, except that the markup rates stated are mandatory.

10.4.3 Additive Changes and Deductive Changes Together

- a. If a change in the Work involves both additive and deductive changes for the same type of Work, the appropriate overhead and profit amounts allowed will be added to the net difference of items of direct labor, material, construction equipment, small tools, and upper tier Subcontractor Proposals.
- If other unrelated additive changed items are included in the same change Proposal, the appropriate overhead and profit allowed is to be applied to these individual changed items.

10.4.4 Changes Under \$700

If the description of the change in the Work is, in the opinion of the OWNER, definitive enough for the OWNER to determine fair value, and the total of the change does not exceed \$700, no cost breakdown is required.

10.4.5 Changes \$700 and Over and Less than \$2,500

If the description of the change in the Work is, in the opinion of the OWNER, definitive enough to determine fair value, the CONTRACTOR shall provide an estimated cost breakdown in accordance with the following categories:

- Lump sum labor
- b. Lump sum material
- c. Lump sum equipment usage
- Appropriate superintendence, small tools, overhead and profit as defined in Article 10.4.6

10.4.6 Changes \$2,500 and Greater

If the cost of a change is \$2,500 or greater or if, in the opinion of the OWNER, the description of the change in the Work is not definitive enough to determine fair value, the CONTRACTOR shall provide a breakdown of the estimated cost of a change in accordance with the following cost categories:

a. Direct Labor Costs:

Direct labor costs are estimated labor costs developed by estimating the number of hours necessary to perform the change multiplied by the hourly cost for the particular trade or professional involved or industry standard hourly labor costs. The hourly costs shall be based on the following:

- Basic Wages/Fringe Benefits: Hourly rates and fringe benefits including, but not limited to, health and medical insurance, shall be as stated on the Department of Labor approved Labor and Mechanics Minimum Rates of Pay in force on the Contract date.
- Worker's Insurance: Direct contributions to the State of Alaska such as industrial insurance, medical aid, and supplemental pension, by the class and rates established by the State of Alaska, Department of Labor.

- Federal Insurance: Direct contributions required by the Federal Insurance Compensation Act (FICA), Federal Unemployment Tax Act (FUTA), and the State Unemployment Compensation Act (SUCA).
- Travel Allowance: Travel allowance and/or subsistence, if applicable, shall not exceed those established by regional labor union agreements, itemized and identified separately.
- 5. On Site Supervision: Direct on-site supervision shall not exceed 15% of the cost of direct labor, and if a working supervisor's hours are covered, other supervision shall not be allowed. On-site supervision is defined as the combined total of CONTRACTOR's Project superintendents, foremen and lead crew personnel. All other supervision costs shall be included in CONTRACTOR's overhead and profit allowance as defined by Article 10.4.6.q below.
- b. Direct Material Costs:

Direct material costs are an itemization of the estimated quantity and cost of materials necessary to perform the proposed change. Material pricing shall be developed from actual known costs, Supplier quotations, or standard industry pricing guides. Material costs shall consider all offered or available discounts and/or rebates. Freight costs, storage, express charges, or special delivery charges shall be itemized.

c. Construction Equipment Costs:

Construction equipment costs are an itemization of the type of equipment and the estimated length of time the construction equipment will be used on the proposed change. Costs will be allowed for construction equipment only if used solely for the changed Work, or additional rental costs are actually incurred by the Contractor. Equipment costs shall be developed from one of the following sources:

- The current rental rates established by the National Electrical Contractor's Association (NECA) for equipment used on electrical work.
- The current rental rates established by the Mechanical Contractor's Association for equipment used on mechanical work.
- 3. If equipment is required for which a rental rate is not established in any of the above, an agreed rental rate shall be established for the equipment using the Data Quest Rental Rate (Blue Book) as a basis for verifying rates. Such rates and the use of the equipment on the Work must be Approved by the OWNER prior to performing the Work.
- d. Small Tools, Expendables & Consumable Supplies:

Small tools consist of tools that cost \$250 or less and are normally Furnished by the performing contractor.

- 1. The maximum rate for small tools shall not exceed the following:
 - a) For the CONTRACTOR, 3% of direct labor costs
 - b) For specialty CONTRACTORs, 5% of direct labor costs
- Expendables and consumable supplies, including utilities, directly associated with the change in Work must be itemized.
- e. All Applicable Taxes
- f. Subcontractor Proposals:

Subcontractor Proposals are to be itemized as specified in Articles a., b., c. and d. above

g. Overhead and Profit by the CONTRACTOR, a Subcontractor, or Supplier Actually Performing the Work:

Allowances not to exceed 15% for overhead and profit for the party performing the Work will be based upon the value of labor, material, and use of construction equipment as defined in Articles 10.4.6.a., b., c. and d. above. This allowance is to

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compensate the CONTRACTOR for all personnel not included in Article 10.4.6.a. above, temporary construction facilities, field engineering, schedule updating, as-built Drawings, home office cost, project management, office engineering, estimating costs, additional home office overhead because of extended time, and any other indirect cost incidental to the performance of the change in work.

- h. Overhead and Profit by the CONTRACTOR on Subcontractors actually performing the Work or Supplier dealing directly with the CONTRACTOR:
 - Overhead and Profit on subcontract change amount below \$1000: The CONTRACTOR shall be allowed a \$50 lump sum markup.
 - Overhead and Profit on Subcontract change amount above \$1000: The CONTRACTOR shall be allowed a 5% markup.
 - Markups are allowed on the first lower tier subcontractor only. No other markup on other lower tiered subcontractors or suppliers shall be allowed.
 - No direct labor by the CONTRACTOR will be allowed to be added to a Subcontractor's proposal.

Costs incurred by the CONTRACTOR, which are additional to the fee allowed in this article, must be detailed in accordance with Article 10.4.6.a. Direct Labor Costs; Article 10.4.6.b. Direct Material Costs; Article 10.4.6.c. Construction Equipment Costs; Article 10.4.6.d. Small Tools, Expendables and Consumable Supplies; and Article 10.4.6.e. All Applicable Taxes.

10.4.7 Time and Materials (T&M) Work

When the CONTRACTOR has been directed to proceed utilizing the Time and Materials method of accounting for costs the CONTRACTOR shall categorize the costs of the Work in accordance with Articles 10.4.6 a., b., c., d., e., f., g., and h. with the modification that the costs tabulated shall be actual costs rather than estimated costs and with the following additional requirements:

- Labor must be clearly identified and segregated from other work performed on workers' daily time sheets.
- Time sheets must be submitted within two working days for OWNER's review and approval.
 - 1. If supervisor's hours are included, then supervision markup will not be allowed.
 - CONTRACTOR's Daily Field Report must clearly identify action performed on Time and Material items of Work.
- Material, freight, expendable, consumables and other incidental charges must be supported by invoices.
- The CONTRACTOR shall not exceed any cost limit(s) without prior written approval by the OWNER.

10.4.8 Disagreements

In the event the CONTRACTOR does not agree upon the adjustment in Contract Price, scope of Work, or Contract Time, the following provisions shall apply:

- a. Adjustments:
 - During the cost review process, the OWNER may discover discrepancies or arithmetic errors. If the discrepancy exceeds \$50, a correction will be made and the Change Order process shall continue with the new value. This process will avoid delay in the processing of Change Orders.
 - Upon completion of the Change Order, the CONTRACTOR shall either accept the Change Order value as adjusted, or within two weeks of the Change Order receipt, notify the OWNER in writing of any disagreements.

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b.	Written	Challer	nges:	When	the	CONT	RACTOR	₹	disagre	es w	vith	either	a (Cont	ract
	interpret	ation o	r a	process	ed (Change	Order,	а	letter	shall	be	submi	itted	to	the
	OWNER	≀ as des	cribe	d below											

- Explain the nature of the disagreement
- 2. Identify the appropriate sections of the Contract Documents
- 3. State the CONTRACTOR's position in detail
- 4. Provide a detailed scope of Work
- 5. Request the issuance of a Change Order proposal
- Provide a detailed cost breakdown of compensation requested
- Provide support documentation and construction schedule for any request for Contract Time extensions
- c. Prosecution of the Disputed Work: The OWNER may direct the CONTRACTOR to proceed with the Work by issuing a written authorization pending resolution of disputed items. If so directed the CONTRACTOR shall immediately and diligently proceed to complete the Work.
- d. Negotiation: The OWNER and the CONTRACTOR shall review and negotiate the items in disagreement in an effort to clarify and resolve the dispute. All resolutions shall be incorporated into a Contract Change Order.

10.4.9 OWNER's Right to Audit

The CONTRACTOR shall maintain, and the OWNER shall have immediate and unrestricted access to, and the right to examine, any directly pertinent estimates, documents, papers, and records of the transactions relating to this Contract, and to make excerpts, copies, and transcriptions for the purpose of verifying CONTRACTOR's claims for extra costs and delays. This provision shall be in force for a period of time to end six (6) years after the Final Acceptance date of this Contract.

10.4.10 No Claim after Final Acceptance

No claim by the CONTRACTOR for a change in the Contract Price shall be allowed unless claimed before Final Acceptance of this Contract.

ARTICLE 11 - CONTRACT TIME; COMPUTATION AND CHANGE

11.1 Commencement of Contract Time; Notice to Proceed:

The Contract Time will commence to run on the Day indicated in the Notice to Proceed. Contract completion time is specified as a fixed calendar date, in lieu of "contract time".

11.2 Starting the Work:

No Work on Contract items shall be performed before the effective date of the Notice to Proceed. The CONTRACTOR shall notify the Contracting Officer at least 10 days in advance of the time actual construction operations will begin. The CONTRACTOR may request a limited Notice to Proceed after Award has been made, to permit it to order long lead materials that could cause delays in Project completion. However, granting of a limited Notice to Proceed is within the sole discretion of the Contracting Officer, and refusal or failure to grant a limited Notice to Proceed shall not be a basis for claiming for delay, extension of Contract Time, or alteration of Contract Price.

11.3 Computation of Contract Time:

11.3.1 When the Contract Time is specified on a Calendar Days basis, all Work under the Contract shall be completed within the number of Calendar Days specified. The count of Contract Time begins on the Day following receipt of the Notice to Proceed by the CONTRACTOR, if no starting Day is stipulated therein.

Calendar Days shall continue to be counted against Contract Time until and including the date of Substantial Completion of the Work.

11.3.2 When the Contract completion time is specified as a fixed calendar date, it shall be the date of Substantial Completion. Contractor shall achieve Substantial Completion no later than October 31, 2008.

11.4 Contract Time Change:

The Contract Time may only be changed by a Change Order or Supplemental Agreement.

11.5 Extension Due to Delays:

If the CONTRACTOR is delayed or disrupted in its performance under the Contract by any of the following causes, the CONTRACTOR shall be entitled to an extension of Contract Time as its exclusive remedy. The Contractor agrees to complete the Work within the Contract Time as thus extended. Such extensions shall postpone the beginning of the time period for payment of liquidated damages by the CONTRACTOR, but they and the events producing them shall not be grounds for claims by the CONTRACTOR in damages or for additional costs, expenses, overhead or profit or other compensation.

The delays to which this Article applies, unless otherwise specified elsewhere in the Contract Documents, are unforeseeable delays due to:

- 11.5.1. Force majeure which includes war, riot, acts of God, unusually severe weather or any other cause beyond the CONTRACTOR's reasonable ability to foresee or control whether or not similar to those enumerated.
- 11.5.2. An event that is the result of the negligence or other fault of the Owner, Contracting Officer or Project Manager.
- 11.5.3. Performance, attempted performance or delays in performance by any other contractor employed by the OWNER or their subcontractors; delays caused by any direction of the Project Manager respecting the order of precedence in the performance of the other contracts, and acts by other third parties including public and private utilities.

The CONTRACTOR shall file with the Project Manager a written request for an extension of Contract Time within 7 Calendar Days after the CONTRACTOR knows or by reasonable diligence should know, of the event causing or likely to cause delay. The request shall state the portion of the Work so delayed and shall fully state the reasons for such delay. No extension will be made for any portion of any delay occurring more than 10 Days before said request is made in writing to the Project Manager. In the case of a continuing cause of delay, only 1 request is necessary.

When such a request is received, the Project Manager will ascertain the reasons for and the extent of the delay, if any, and shall provide a finding of fact and determination to the CONTRACTOR. If the Project Manager determines that the facts justify an extension of Contract Time, the Contract will be modified by a Change Order in writing. If the Project Manager determines that the facts as reported by the CONTRACTOR do not justify an extension of Contract Time, such request will be denied in writing. The Project Manager's determination shall be final and conclusive unless the Contractor submits a Notice of Claim under the article entitled CLAIMS AND DISPUTES.

11.6 Essence of Contract:

All time limits stated in the Contract Documents are of the essence of the Contract.

11.7 Reasonable Completion Time:

It is expressly understood and agreed by and between the OWNER and the CONTRACTOR that the date of beginning and the time for Substantial Completion of the Work described herein are reasonable times for the completion of the Work.

11.8 Delay Damages:

Whether or not the CONTRACTOR's right to proceed with the Work is terminated, the CONTRACTOR and its Sureties shall be liable for damages resulting from CONTRACTOR's refusal or failure to complete the Work within the specified time.

Liquidated damages for delay shall be paid by the CONTRACTOR or its Surety to the OWNER in the amount(s) stipulated in Supplementary Conditions 00800 for each Calendar Day the completion of the Work or any part thereof is delayed beyond the time required by the Contract, or any extension thereof. The CONTRACTOR stipulates and agrees that the liquidated damages established herein are not a penalty but rather constitute an estimate of damages that the OWNER will sustain by reason of delayed completion. These liquidated and actual damages are intended as compensation for losses anticipated to arise

GENERAL CONDITIONS

These damages will continue to run both before and after termination in the event of default termination. These liquidated damages do not cover excess costs of completion or OWNER costs, fees, and charges related to reprocurement. If a default termination occurs, the CONTRACTOR or its Surety shall pay in addition to these damages, all excess costs and expenses related to completion as provided by Article 14.2.3.

ARTICLE 12 - QUALITY ASSURANCE

12.1 Warranty and Guaranty:

The CONTRACTOR warrants that all Work will be in accordance with the Contract Documents and will not be Defective. Prompt notice of all defects shall be given to the CONTRACTOR. All Defective Work, whether or not in place, may be rejected, corrected, or accepted as provided for in this Article.

12.1.1 General Provisions

- Neither the final payment nor any provision in the Contract Documents nor partial or entire occupancy of the Project by the OWNER shall constitute acceptance of Work not performed in accordance with the Contract Documents, or operate to relieve the CONTRACTOR of liability with respect to any responsibilities for faulty materials or workmanship. In addition to any other warranties in this Contract, the CONTRACTOR shall remedy any defects in the Work that appear within a period of 1 year after the date this warranty period commences unless a longer period is specified and shall pay for any damage to other work resulting from defective Work or from efforts to repair defective Work. The OWNER shall give notice of observed defects with reasonable promptness.
- b. The warranty period shall commence at Substantial Completion, as evidenced by the OWNER's written notice. The warranty period shall commence only as to those items of Work that are substantially complete as of the date of beneficial occupancy. The CONTRACTOR shall make all required arrangements with Suppliers of equipment and materials for the Project to enable all manufacturer and Supplier warrantees to remain in force during the warranty period at a minimum.
- c. The CONTRACTOR, when notified by the OWNER of a defect in the Work within the warranty period, shall immediately, and at no cost to the OWNER, place in satisfactory condition all defective Work. The CONTRACTOR's warranty with respect to Work repaired or replaced will extend for one year from the date of the repair or replacement.
- d. If the CONTRACTOR fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the OWNER shall have the right to replace, repair or otherwise remedy the failure, defect, or damage at the CONTRACTOR's expense.

12.2 Access to Work:

The OWNER and the OWNER's representatives, testing agencies and governmental agencies with jurisdiction interests will have access to the Work at reasonable times for their observation, inspecting and testing. The CONTRACTOR shall provide proper and safe conditions for such access.

12.3 Tests and Inspections:

- 12.3.1 The CONTRACTOR shall give the Contracting Officer timely notice of readiness of the Work for all required inspections, tests or approvals.
- 12.3.2 If Regulatory Requirements of any public body having jurisdiction require any Work (or part thereof) to specifically be inspected, tested or approved, the CONTRACTOR shall assume full responsibility therefor, pay all costs in connection therewith and Furnish the Contracting Officer the required certificates of inspection, testing or approval. The CONTRACTOR shall also be responsible for and shall pay all costs in connection with any inspection or testing required in connection with OWNER's acceptance of a Supplier of materials or equipment proposed to be incorporated in the Work, or of materials or equipment submitted for approval prior to the CONTRACTOR's purchase thereof for incorporation in the Work. The cost of all inspections, tests and approvals in addition to the above which are required by the Contract Documents shall be paid by the CONTRACTOR. The OWNER may perform additional tests and inspections that it deems necessary to insure quality control. All such failed tests or inspections shall be at the CONTRACTOR's expense. OWNER shall pay for all special inspections required by the IBC Chapter 17.

- If any Work (including the work of others) that is to be inspected, tested or approved is covered without written concurrence of the Contracting Officer, that Work must, if requested by the Contracting Officer, be uncovered for observation. Such uncovering shall be at the CONTRACTOR's expense unless the CONTRACTOR has given the Contracting Officer timely notice of CONTRACTOR's intention to cover the same and the Contracting Officer has not acted with reasonable promptness in response to such notice.
- 12.3.5 Neither observations nor inspections, tests or approvals by the OWNER or others shall relieve the CONTRACTOR's obligations to perform the Work in accordance with the Contract Documents.

12.4 OWNER May Stop the Work:

12.3.4

If the Work is Defective, or the CONTRACTOR fails to supply suitable materials or equipment, or fails to Furnish or perform the Work in such a way that the completed Work will conform to the Contract Documents, the Contracting Officer may order the CONTRACTOR to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of the Contracting Officer to stop the Work shall not give rise to any duty on the part of the Contracting Officer to exercise this right for the benefit of the CONTRACTOR or any other party.

12.5 Correction or Removal of Defective Work:

If required by the Contracting Officer, the CONTRACTOR shall promptly, as directed, either correct all Defective Work, whether or not fabricated, Installed or completed, or, if the Work has been rejected by the Contracting Officer, remove it from the site and replace it with Work that conforms to the requirements of the Contract Documents. The CONTRACTOR shall bear all direct, indirect and consequential costs of such correction removal (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) made necessary thereby.

12.6 Acceptance of Defective Work

Instead of requiring correction or removal and replacement of Defective Work, the Contracting Officer may accept Defective Work, the CONTRACTOR shall bear all direct, indirect and consequential costs attributable to the Contracting Officer's evaluation of and determination to accept such Defective Work (costs to include but not be limited to fees and charges of engineers, architects, attorneys and other professionals). If any such acceptance occurs prior to final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and the OWNER shall be entitled to an appropriate decrease in the Contract Price. If the OWNER has already made final payment to the CONTRACTOR, an appropriate amount shall be paid by the CONTRACTOR or its Surety to the OWNER.

12.7 OWNER May Correct Defective Work:

If the CONTRACTOR fails within a reasonable time after written notice from the Contracting Officer to proceed to correct Defective Work or to remove and replace rejected Work as required by the Contracting Officer in accordance with paragraph 12.5 or if the CONTRACTOR fails to perform the Work in accordance with the Contract Documents, or if the CONTRACTOR fails to comply with any other provision of the Contract Documents, the OWNER may, after seven days' written notice to the CONTRACTOR, correct and remedy any such deficiency. The cost of corrective action shall be born by the CONTRACTOR or its Surety. To the extent necessary to complete corrective and remedial action, the Contracting Officer may exclude the CONTRACTOR from all or part of the site, take possession of all or part of the Work, and suspend the CONTRACTOR's services related thereto, take possession of the CONTRACTOR's tools, appliances, construction equipment and machinery at the site and incorporate in the Work all materials and equipment stored at the site or approved remote storage sites or for which the OWNER has paid the CONTRACTOR but which are stored elsewhere, the CONTRACTOR shall allow the Contracting Officer and his authorized representative such access to the site as may be necessary to enable the Contracting Officer to exercise the rights and remedies under this paragraph. All direct, indirect and consequential costs of the OWNER in exercising such rights and remedies will be charged against the CONTRACTOR, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and the OWNER shall be entitled to an appropriate decrease in the Contract Price. Such direct, indirect and consequential costs will include but not be limited to fees and charges of engineers, architects, attorneys and other professionals, all court and arbitration costs and all costs of repair and replacement of work of others destroyed or damaged by correction, removal or replacement of the CONTRACTOR's Defective Work. The CONTRACTOR shall not be allowed an extension of the Contract Time because of any delay in performance of the Work attributable to the exercise by the Contracting Officer of the OWNER's rights and remedies hereunder.

ARTICLE 13 - PAYMENTS TO CONTRACTOR AND COMPLETION

13.1 Application for Progress Payment:

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The CONTRACTOR shall submit to the Contracting Officer for review a Request for Payment filled out and signed by the CONTRACTOR covering the Work completed as of the date of the Request for Payment and accompanied by such supporting documentation as is required by the Contract Documents. Progress payments will be made as the Work progresses on a monthly basis.

13.2 Review of Applications for Progress Payment:

Contracting Officer will either indicate in writing a recommendation of payment or return the Request for Payment to the CONTRACTOR indicating in writing the Contracting Officer's reasons for refusing to recommend payment. In the latter case, the CONTRACTOR may make the necessary corrections and resubmit the Request for Payment.

13.3 Stored Materials and Equipment:

If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the site or at another location agreed to in writing, the Request for Payment shall also be accompanied by a bill of sale, paid invoice or other documentation warranting that the OWNER has received the materials and equipment free and clear of all charges, security interests and encumbrances and evidence that the materials and equipment are covered by appropriate property insurance and other arrangements to protect the OWNER's interest therein, all of which will be satisfactory to the Contracting Officer. No payment will be made for perishable materials that could be rendered useless because of long storage periods. No progress payment will be made for living plant materials until planted.

13.4 CONTRACTOR's Warranty of Title:

The CONTRACTOR warrants and guarantees that title to all Work, materials and equipment covered by any Request for Payment, whether incorporated in the Project or not, will pass to the OWNER no later than the time of payment free and clear of any claims, liens, security interests and further obligations.

13.5 Withholding of Payments:

The OWNER may withhold or refuse payment for any of the reasons listed below provided it gives written notice of its intent to withhold and of the basis for withholding:

- 13.5.1 The Work is Defective, or completed Work has been damaged requiring correction or replacement, or has been Installed without Approval of Shop Drawings, or by an unapproved Subcontractor or for unsuitable storage of materials and equipment.
- 13.5.2 The Contract Price has been reduced by Change Order.
- 13.5.3 The OWNER has been required to correct Defective Work or complete Work in accordance with paragraph 12.7.
- 13.5.4 The occurrence of any of the events enumerated in paragraphs 14.2.1a through 14.2.1k inclusive.
- 13.5.5 Claims have been made against the OWNER or against the funds held by the OWNER on account of the CONTRACTOR's actions or inactions in performing this Contract, or there are other items entitling the OWNER to a set off.
- 13.5.6 Subsequently discovered evidence or the results of subsequent inspections or test, nullify any previous payments for reasons stated in subparagraphs 13.5.1 through 13.5.5.
- 13.5.7 The CONTRACTOR has failed to fulfill or is in violation of any of its obligations under any provision of this Contract.
- 13.5.8 Contract close-out documentation and a Schedule of Values are both required by General Requirements to be submitted and accepted by the Contracting Officer. Submittal of the contract close-out documents must be included in the Schedule of Values as specific activities or items for each class of documents listed below. The minimum Schedule of Value percentages or amounts required are shown:
 - Certificate of Occupancy: 10% of Contract amount or \$20,000 whichever is less.
 Submittal of a Conditional Certificate of Occupancy may allow partial payment of this item.
 - b. Project Record Documents, Operation and Maintenance Manuals, warranties, guarantees, bonds, certificate of compliance, close-out abatement reports, commissioning reports and other required technical submittals: 10% of Contract amount or \$10,000 whichever is less.

Contractor and subcontractor affidavit of release of liens, affidavit of payment of debts and claims, consent of surety to final payment, wage certification: 5% of Contract or \$5.000, whichever is less.

13.5.9 Delay damages per paragraph 11.8.

c.

- On the basis of estimates of Work performed during the preceding calendar month and Approved by the Contracting Officer, the OWNER will make progress payments to the CONTRACTOR; but to ensure the proper performance of this Contract, the OWNER may at any time, at its sole option, retain up to ten percent (10%) of the amount of progress payments until Final Completion and Final Acceptance; provided that on completion and acceptance of the Project or of each separate building, public work, or other division of the Project on which the price is stated separately in the Contract, payment may be made in full for that portion completed and accepted including retained percentages less authorized deductions or other provisions of the Contract.
- 13.6 Request for Release of Funds:

13.5.10

If the CONTRACTOR believes the basis for withholding is invalid or no longer exists, immediate written notice of the facts and Contract provisions on which the CONTRACTOR relies, shall be given to the OWNER, together with a request for release of funds and adequate documentary evidence proving that the problem has been cured. In the case of withholding that has occurred at the request of the Department of Labor, the CONTRACTOR shall provide a letter from the Department of Labor stating that withholding is no longer requested. Following such a submittal by the CONTRACTOR, the OWNER shall have a reasonable time to investigate and verify the facts and seek additional assurances before determining whether release of withhold payments is justified.

13.7 Substantial Completion:

When the CONTRACTOR considers the Work ready, in accordance with the Contract Documents, for its intended use the CONTRACTOR shall notify the Contracting Officer in writing that the Work or a portion of Work that has been specifically identified in the Contract Documents is substantially complete (except for items specifically listed by the CONTRACTOR as incomplete) and request that the OWNER issue a certificate of Substantial Completion. Within a reasonable time thereafter, the Contracting Officer, the CONTRACTOR and appropriate consultant(s) shall make an inspection of the Work to determine the status of completion. If the Contracting Officer does not consider the Work substantially complete, the Contracting Officer will notify the CONTRACTOR in writing giving the reasons therefor. If the Contracting Officer considers the Work substantially complete, the Contracting Officer will within fourteen days execute and deliver to the CONTRACTOR a certificate of Substantial Completion with tentative list of items to be completed or corrected. At the time of delivery of the certificate of Substantial Completion the Contracting Officer will deliver to the CONTRACTOR a written division of responsibilities pending Final Completion with respect to security, operation, safety, maintenance, heat, utilities, insurance and warranties, which shall be consistent with the terms of the Contract Documents.

The OWNER shall be responsible for all OWNER costs resulting from the initial inspection and the first reinspection, the CONTRACTOR shall pay all costs incurred by the OWNER resulting from re-inspections, thereafter.

13.8 Access Following Substantial Completion:

The OWNER shall have the right to exclude the CONTRACTOR from the Work after the date of Substantial Completion, but the OWNER shall allow CONTRACTOR reasonable access to complete or correct items on the tentative list.

13.9 Final Inspection:

Upon written notice from the CONTRACTOR that the entire Work or an agreed portion thereof is complete, the Contracting Officer will make a final inspection with the CONTRACTOR and appropriate consultants and will notify the CONTRACTOR in writing of all particulars in which this inspection reveals that the Work is incomplete or Defective. The CONTRACTOR shall immediately take such measures as are necessary to remedy such deficiencies. The CONTRACTOR shall pay for all costs incurred by the OWNER resulting from re-inspections.

13.10 Final Completion and Request for Payment:

After the CONTRACTOR has completed all such corrections to the satisfaction of the Contracting Officer and delivered all maintenance and operating instructions, schedules, guarantees, bonds, certificates of payment to all laborers, Subcontractors and Suppliers, certificates of inspection, marked-up record documents and other documents - all as required by the Contract Documents; and after the Contracting Officer has indicated in writing that the Work has met the requirements for Final Completion, and subject to the provisions of paragraph 13.14, the CONTRACTOR may make application for final payment following the procedure for progress payments. The

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final Request for Payment shall be accompanied by all certificates, warranties, guarantees, releases, affidavits, and other documentation required by the Contract Documents.

13.11 Final Payment:

- 13.11.1 If, on the basis of the Contracting Officer's observation of the Work during construction and final inspection, and the Contracting Officer's review of the final Request for Payment and accompanying documentation all as required by the Contract Documents; and the Contracting Officer is satisfied that the Work has been completed and the CONTRACTOR's other obligations under the Contract Documents have been fulfilled, the OWNER will process final Request for Payment. Otherwise, the Contracting Officer will return the Request for Payment to the CONTRACTOR, indicating in writing the reasons for refusing to process final payment, in which case the CONTRACTOR shall make the necessary corrections and resubmit the final Request for Payment.
- 13.11.2 If, through no fault of the CONTRACTOR, Final Completion of the Work is significantly delayed, the Contracting Officer shall, upon receipt of the CONTRACTOR's final Request for Payment, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. Such payment shall be made under the terms and Conditions of the Contract governing final payment, except that it shall not constitute a waiver of claims.

13.12 Final Acceptance:

Following certification of payment of payroll and revenue taxes, and final payment to the CONTRACTOR, the OWNER will issue a letter of Final Acceptance, releasing the CONTRACTOR from further obligations under the Contract, except as provided in paragraph 13.13.

13.13 CONTRACTOR's Continuing Obligation:

The CONTRACTOR's obligation to perform and complete the Work and pay all laborers, Subcontractors, and materialmen in accordance with the Contract Documents shall be absolute. Neither any progress or final payment by the OWNER, nor the issuance of a certificate of Substantial Completion, nor any use or occupancy of the Work or any part thereof by the OWNER or Using Agency, nor any act of acceptance by the OWNER nor any failure to do so, nor any review and approval of a Shop Drawing or other Project submittal, nor any correction of Defective Work by the OWNER will constitute an acceptance of Work not in accordance with the Contract Documents or a release of the CONTRACTOR's obligation to perform the Work in accordance with the Contract Documents

13.14 Waiver of Claims by CONTRACTOR:

The making and acceptance of final payment will constitute a waiver of all claims by the CONTRACTOR against the OWNER other than those previously made in writing and still unsettled.

13.15 No Waiver of Legal Rights:

The OWNER shall not be precluded or be estopped by any payment, measurement, estimate, or certificate made either before or after the completion and acceptance of the Work and payment therefor, from showing the true amount and character of the Work performed and materials Furnished by the CONTRACTOR, nor from showing that any payment, measurement, estimate or certificate is untrue or is incorrectly made, or that the Work or materials are Defective. The OWNER shall not be precluded or estopped, notwithstanding any such measurement, estimate, or certificate and payment in accordance therewith, from recovering from the CONTRACTOR or its Sureties, or both, such damages as it may sustain by reason of its failure to comply with requirements of the Contract Documents. Neither the acceptance by the OWNER, or any representative of the OWNER, nor any payment for or acceptance of the whole or any part of the Work, nor any extension of the Contract Time, nor any possession taken by the OWNER, shall operate as a waiver of any portion of the Contract or of any power herein reserved, or of any right to damages. A waiver by the OWNER of any breach of the Contract shall not be held to be a waiver of any of any other subsequent breach.

ARTICLE 14 - SUSPENSION OF WORK, DEFAULT AND TERMINATION

14.1 OWNER May Suspend Work

.1 The OWNER may, at any time, suspend the Work or any portion thereof by notice in writing to the CONTRACTOR. If the Work is suspended without cause the CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to any suspension if the CONTRACTOR makes an Approved claim therefor as provided in Article 15. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that suspension is due to the fault or negligence of the CONTRACTOR, or that

suspension is necessary for Contract compliance, or that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the CONTRACTOR.

14.1.2 In case of suspension of Work, the CONTRACTOR shall be responsible for preventing damage to or loss of any of the Work already performed and of all materials whether stored on or off the site or approved remote storage sites.

14.2 Default of Contract

14.2.1 If the CONTRACTOR:

- Fails to begin the Work under the Contract within the time specified in the Proposal, or
- Fails to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficiently skilled workmen, suitable materials, or equipment or failure to adhere to the progress schedule established under paragraph 6.6 as revised from time to time), or
- Performs the Work unsuitably or neglects or refuses to remove materials or to correct Defective Work, or
- d. Discontinues the prosecution of the Work, or
- e. Fails to resume Work that has been discontinued within a reasonable time after notice to do so, or
- f. Becomes insolvent, except that if the CONTRACTOR declares bankruptcy termination shall be in accordance with U.S.C. 362 and/or 11 U.S.C. 365. In the event the CONTRACTOR declares bankruptcy the CONTRACTOR agrees that the Contract will be assumed or rejected in a timely manner so that the Contract will be completed by the date specified in the Contract, or
- g. Allows any final judgment to stand against him unsatisfied for period of 60 days, or
- Makes an assignment for the benefit of creditors without the consent of the Contracting Officer, or
- i. Disregards Regulatory Requirements of any public body having jurisdiction, or
- Otherwise violates in any substantial way any provisions of the Contract Documents, or
- k. For any cause whatsoever, fails to carry on the Work in an acceptable manner,

the Contracting Officer may give notice in writing to the CONTRACTOR and its Surety of such delay, neglect, or default.

- 14.2.2 If the CONTRACTOR, within the time specified in the above notice of default, shall not proceed in accordance therewith, then the OWNER may, upon written notification from the Contracting Officer of the fact of such delay, neglect or default and the CONTRACTOR's failure to comply with such notice, have full power and authority without violating the Contract, to take the prosecution of the Work out of the hands of the CONTRACTOR. The OWNER may terminate the services of the CONTRACTOR, exclude the CONTRACTOR from the site and take possession of the Work and of all the CONTRACTOR's tools, appliances, construction equipment and machinery at the site and use the same to the full extent they could be used by the CONTRACTOR (without liability to the CONTRACTOR for trespass or conversion), incorporate in the Work all materials and equipment stored at the site or for which the OWNER has paid the CONTRACTOR but which are stored elsewhere, and finish the Work as the OWNER may deem expedient. The OWNER may enter into an agreement fort the completion of said Contract according to the terms and provisions thereof, or use such other methods that in the opinion of the Contracting Officer are required for the completion of said Contract in an acceptable manner.
- 14.2.3 If the Contract is terminated for default, the CONTRACTOR shall be liable for damages for delay as provided by Article 11.8, and for the excess cost of completion, and all costs and expenses incurred by the OWNER in completing the Work, including but not limited to costs of assessing the Work to be done, costs associated with advertising, soliciting or negotiating for bids or Proposals for completion, and other reprocurement costs. Following termination the CONTRACTOR shall not be entitled to receive any further balance of the amount to be paid under the Contract until the Work is

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fully finished and accepted, at which time if the unpaid balance exceeds the amount due the OWNER and any amounts due to persona for whose benefit the OWNER has withheld funds, such excess shall be paid by the OWNER to the CONTRACTOR. If the damages, costs, and expenses due the OWNER exceed the unpaid balance, the CONTRACTOR and its Surety shall pay the difference.

14.2.4 If, after notice of termination of the CONTRACTOR's right to proceed under the provisions of this clause, it is determined for any reason that the CONTRACTOR was not in default under the provisions of this clause or that the delay was excusable under the provisions of this clause, or that termination was wrongful, the rights and obligations of the parties shall be determined in accordance with the clause providing for convenience termination.

14.3 Rights or Remedies:

Where the CONTRACTOR's services have been so terminated by the OWNER, the termination will not affect any rights or remedies of the OWNER against the CONTRACTOR then existing or that may thereafter accrue. Any retention or payment of moneys due the CONTRACTOR by the OWNER will not release the CONTRACTOR from liability.

14.4 Convenience Termination:

14.4.1 The performance of the Work may be terminated by the OWNER in accordance with this section in whole or in part, whenever, for any reason the Contracting Officer shall determine that such termination is in the best interest of the OWNER. Any such termination shall be effected by delivery to the CONTRACTOR of a "Notice of Termination", specifying termination is for the convenience of the OWNER the extent to which performance of Work is terminated, and the date upon which such termination becomes effective.

Immediately upon receipt of a "Notice of Termination" and except as otherwise directed by the Contracting Officer, the CONTRACTOR shall:

- Stop Work on the date and to the extent specified in the "Notice of Termination";
- Place no further orders or subcontracts for materials, services, or facilities except as may be necessary for completion of such portion of the Work as is not terminated;
- Terminate all orders and subcontracts to the extent that they relate to the performance
 of Work terminated by the "Notice of Termination";
- d. With the written Approval of the Contracting Officer, to the extent he may require, settle all outstanding liabilities and all claims arising out of such termination of orders and subcontracts, the cost of which would be reimbursable, in whole, or in part, in accordance with the provisions of the Contract;
- Submit to the Contracting Officer a list, certified as to quantity and quality, of any or all items of termination inventory exclusive of items the disposition of which had been directed or authorized by the Contracting Officer;
- f. Transfer to the Contracting Officer the completed or partially completed record Drawings, Shop Drawings, information, and other property that if the Contract had been completed, would be required to be Furnished to the OWNER;
- g. Take such action as may be necessary, or as the Contracting Officer may direct, for the protection and preservation of the property related to the Contract that is in the possession of the CONTRACTOR and in which the OWNER has or may acquire any interest.

The CONTRACTOR shall proceed immediately with the performance of the above obligations.

14.4.2 When the OWNER orders termination of the Work effective on a certain date, all Work in place as of that date will be paid for in accordance with Article 13 of the Contract. Materials required for completion and on hand but not incorporated in the Work will be paid for at invoice cost plus 15% with materials becoming the property of the OWNER - or the CONTRACTOR may retain title to the materials and be paid an agreed upon lump sum. Materials on order shall be cancelled, and the OWNER shall pay reasonable factory cancellation charge with the option of taking delivery of the materials in lieu of payment of cancellation charges. The CONTRACTOR shall be paid 10% of the cost, freight not included, of materials cancelled, and direct expenses only for CONTRACTOR chartered freight transport that cannot be cancelled without charges, to the extent that the CONTRACTOR can establish them. The extra costs due to cancellation of bonds and insurance

and that part of job start-up and phase-out costs not amortized by the amount of Work accomplished shall be paid by the OWNER. Charges for loss of profit or consequential damages shall not be recoverable except as provided above.

- 14.4.3 The termination claim shall be submitted promptly, but in no event later than 90 days from the effective date of termination, unless one or more extensions in writing are granted by the Contracting Officer upon request of the CONTRACTOR made in writing within the 90 Day period. Upon failure of the CONTRACTOR to submit its termination claim within the time allowed, the Contracting Officer may determine, on the basis of information available to him, the amount, if any, due to the CONTRACTOR by reason of the termination and shall thereupon pay to the CONTRACTOR so determined.
- 14.4.4 The CONTRACTOR and the Contracting Officer may agree upon whole or any part of the amount or amounts to be paid to the CONTRACTOR by reason of the total or partial termination of Work pursuant to this section. The Contract shall be amended accordingly, and the CONTRACTOR shall be paid the agreed amount.

In the event of the failure of the CONTRACTOR and the Contracting Officer to agree in whole or in part, as provided heretofore, as to the amounts with respect to costs to be paid to the CONTRACTOR in connection with the termination of the Work the Contracting Officer shall determine, on the basis of information available to him, the amount, if any, due to the CONTRACTOR by reason of the termination and shall pay to the CONTRACTOR the amount determined as follows:

- All costs and expenses reimbursable in accordance with the Contract not previously
 paid to the CONTRACTOR for the performance of the Work prior to the effective date
 of the "Notice of Termination":
- So far as not included under "a" above, the cost of settling and paying claims arising out of the termination of the Work under subcontracts or orders that are properly chargeable to the terminated portions of the Contract;
- c. The reasonable costs of settlement with respect to the terminated portion of the Contract heretofore, to the extent that these costs have not been covered under the payment provisions of the Contract.
- 14.4.5 The CONTRACTOR shall have the right of appeal under the OWNER's claim procedures as defined in Article 15, for any determination made by the Contracting Officer, except if the CONTRACTOR has failed to submit its claim within the time provided and has failed to request extension of such time, CONTRACTOR shall have no such right of appeal. In arriving at the amount due the CONTRACTOR under this section, there shall be deducted:
 - All previous payments made to the CONTRACTOR for the performance of Work under the Contract prior to termination;
 - b. Any claim for which the OWNER may have against the CONTRACTOR;
 - c. The agreed price for, or the proceeds of sale of, any materials, supplies, or other things acquired by the CONTRACTOR or sold pursuant to the provisions of this section and not otherwise recovered by or credited to the OWNER; and,
 - All progress payments made to the CONTRACTOR under the provisions of this section.
- 14.4.6 Where the Work has been terminated by the OWNER said termination shall not affect or terminate any of the rights of the OWNER against the CONTRACTOR or its Surety then existing or that may thereafter accrue because of such default. Any retention or payment of monies by the OWNER due to the CONTRACTOR under the terms of the Contract shall not release the CONTRACTOR or its Surety from liability.

Unless otherwise provided for in the Contract Documents, or by applicable statute, the CONTRACTOR, from the effective date or termination and for a period of three years after final settlement under this Contract, shall preserve and make available to the OWNER at all reasonable times at the office of the CONTRACTOR, all its books, records, documents, and other evidence bearing on the cost and expenses of the CONTRACTOR under its Contract and relating to the Work terminated hereunder.

ARTICLE 15 - CLAIMS AND DISPUTES

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15.1 Notification:

In addition to the notice requirements set out elsewhere in this Contract, if the CONTRACTOR becomes aware of any act or occurrence that may form the basis of a claim by the CONTRACTOR for additional compensation of an extension of time for performance, or if any dispute arises regarding a question of fact or interpretation of the Contract, the CONTRACTOR shall, within 3 Working Days, inform the Project Manager. If the matter cannot be resolved by agreement within 7 days, the CONTRACTOR shall, within the next 14 days, submit an "Intent to Claim" in writing. The claim, if not resolved, shall be presented to the Project Manager within 60 days following receipt of the "Intent to Claim". Receipt of the claim will be acknowledged in writing by the Project Manager. The CONTRACTOR agrees that unless these written notices are provided, the CONTRACTOR will have no entitlement to additional time or compensation for such act, event or condition. The CONTRACTOR shall in any case continue diligent performance of the Contract.

15.2 Presenting Claim:

The claim shall specifically include the following:

- 15.2.1 The act, event, or condition giving rise to the claim.
- 15.2.2 The Contract provisions that apply to the claim and under which relief is provided.
- 15.2.3 The item or items of Contract Work affected and how they are affected.
- 15.2.4 The specific relief requested, including Contract Time if applicable, and the basis upon which it was calculated.
- 15.3 Claim Validity, Additional Information, and Project Manager's Actions:

The claim, in order to be valid, must not only show that the CONTRACTOR suffered damages or delay but that those conditions were actually a result of the act, event or condition complained of and that the Contract provides entitlement to relief to the CONTRACTOR for such act, event, or condition. The Project Manager reserves the right to make written request to the CONTRACTOR at any time for additional information that the CONTRACTOR may possess relative to the claim. The CONTRACTOR agrees to provide the Project Manager such additional information within 30 days of receipt of such a request. Failure to Furnish such additional information may be regarded as a waiver of the claim. The claim, if not resolved by agreement within 60 days of its receipt, will automatically be forwarded to the Contracting Officer for formal written decision.

15.4 Contracting Officer's Decision:

The CONTRACTOR will be furnished the Contracting Officer's decision within the next 90 days, unless additional information is requested by the Contracting Officer. The Contracting Officer's decision is final and conclusive unless fraudulent as to the claim or unless, within 14 days of receipt of the decision, the CONTRACTOR delivers a written Notice of Appeal to the Deputy Director, Alaska Court System. Procedures for appeals and hearings are covered under Admin Rule 47. Any dispute or appeal requiring judicial review must be filed within the Third Judicial District in Anchorage, Alaska.

15.5 Construing the Contract

This Contract shall be construed in accordance with the laws of Alaska.

15.6 Integrated Contract

This is an integrated contract. If any provision of this Contract is found to be illegal, all other provisions shall be given full force and effect.

END OF SECTION

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SUPPLEMENTARY CONDITIONS

The following supplements modify, change, delete from or add to the General Conditions of the Contract for Construction.

ARTICLE I - DEFINITIONS

Contract Documents Revise to Read as follows:

Contract Documents - The Contract form, Invitation to Bid (including all documents and forms issued with the Invitation to Bid), Addenda, Notice of Award, Notice to Proceed, the bidding requirements and CONTRACTOR's bid (including all appropriate bid tender forms), the bonds, the General Conditions and the Supplementary Conditions of the Contract, Laborers, and Mechanics' Minimum Rates of Pay, Specifications, Drawings, and all other Contract requirements and specifications Furnished by the OWNER to the CONTRACTOR, together with all Change Orders and documents approved by the Contracting Officer for inclusion, modifications and supplements issued on or after the Effective Date of the Contract.

ARTICLE 3 - CONTRACT DOCUMENTS: INTENT, AMENDING, AND REUSE

Add the following paragraph at the end of Section 3.5.1.

If conflicting requirements exist within the Contract Documents, and the conflict is not resolved by the order of precedence in Section 3.5.2, the more stringent requirement shall apply.

ARTICLE 5 - BONDS, INSURANCE, AND INDEMNIFICATION

Delete Section 5.1.2,a, and insert the following:

Workers' Compensation Insurance: The Contractor shall provide and maintain, for all employees engaged in work under this contract, coverage as required by AS 23.30.045, and; where applicable, any other statutory obligations including but not limited to Federal U.S.L. & H. and Jones Act requirements. The policy must waive subrogation against the State.

Delete Section 5.1.2.b, and insert the following:

Commercial General Liability Insurance: covering all business premises and operations used by the Contractor in the performance of services under this agreement with minimum coverage limits of \$300,000 combined single limit per claim.

Delete Section 5.1.2.c, and insert the following

Commercial Automobile Liability Insurance: covering all vehicles used by the Contractor in the performance of services under this agreement with minimum coverage limits of \$300,000 combined single limit per claim.

Delete the text in Section 5.2, and insert the following:

The Contractor shall indemnify, hold harmless, and defend the contracting agency from and against any claim of, or liability for error, omission or negligent act of the Contractor under this agreement. The Contractor shall not be required to indemnify the contracting agency for a claim of, or liability for, the independent negligence of the contracting agency. If there is a claim of, or liability for, the joint negligent error or omission of the Contractor and the independent negligence of the Contracting agency, the indemnification and hold harmless obligation shall be

apportioned on a comparative fault basis. "Contractor" and "Contracting agency", as used within this and the following article, include the employees, agents and other contractors who are directly responsible, respectively, to each. The term "independent negligence" is negligence other than in the Contracting agency's selection, administration, monitoring, or controlling of the Contractor and in approving or accepting the Contractor's work.

ARTICLE 6 - CONTRACTOR'S RESPONSIBILITIES

6.1 Revise to read as follows:

The CONTRACTOR shall diligently, and in skillful, workmanlike manner, provide all labor, materials, equipment, and facilities necessary to perform the Work in accordance with the Contract Documents and in a manner to complete the Work within the specified contract time. The CONTRACTOR shall not perform any portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples or similar documents until the applicable submittal has been approved by the Owner/Architect.

6.3 Revise to read as follows:

The CONTRACTOR shall provide on the Site at all times during the execution of the Work an Owner approved, competent resident lead mechanic. The CONTRACTOR is to submit to the Owner for consideration, the proposed lead mechanics qualifications and relevant work history, and three (3) project references, no older than 5 years old, with scope, date, and contact information including phone numbers of the referenced projects Project Manager. The Owner shall also be advised in writing of the lead mechanics name, email address, local address, mailing address, and telephone number. This written statement is to be kept current until Final Completion by the OWNER. The lead mechanic will be the CONTRACTOR's representative at the site and shall have full authority to act and sign documents on behalf of the CONTRACTOR. The lead mechanic may not be changed or replaced without prior approval of the Owner. All communications given to the lead mechanic shall be as binding as if given to the CONTRACTOR.

The CONTRACTOR shall cooperate with the Owner in every way possible.

6.7 Add a new paragraph to Read:

In addition to the provisions of this Subsection 6.7, CONTRACTOR must adhere to the provisions of Section 01310, Project Schedules.

6.8 Add a new paragraph to Read:

In addition to the provisions of this Subsection 6.8, CONTRACTOR must adhere to the provisions of Section 01310, Project Schedules.

ARTICLE 7 - LAWS AND REGULATION

- 7.2.1 Add: The OWNER shall pay for all plan review and building permit fees required by the local Building Safety department at the project location. The CONTRACTOR shall pay for all building and trade permits and licenses."
- 7.12 Revise to read as follows:
 - 7.12 Preferential Employment.

- 7.12.1 The CONTRACTOR shall comply with all applicable and valid laws and regulations regarding the hiring of Alaska residents now in effect or that might subsequently take effect during the term of this Contract.
- 7.12.2 Employment Preference in Zones of Underemployment. The Alaska Department of Labor and Workforce Development has determined that certain areas of Alaska are Zones of Underemployment. If the Work is to be performed wholly or partly within a Zone of Underemployment, the CONTRACTOR must give employment preference to Alaska residents as determined by the Alaska Department of Labor and Workforce Development. Failure to comply with this requirement can result in substantial civil and criminal penalties under AS 36.10.100. Within 20 days after award of a contract under this procurement, the Alaska Court System will report the Contract to the Alaska Department of Labor and Workforce Development, which will be responsible for administration and enforcement of employment preference requirements. Contractor can obtain the Alaska Department of Labor and Workforce Development Employment Preference Determination at the following internet address: http://labor.alaska.gov/lss/forms/res-hire-notice-2013.pdf. For further information, contact the Alaska Department of Labor and Workforce Development, Division of Wage and Hour Administration, at 907-269-4900.
- 7.12.3 In order to ensure that the CONTRACTOR's Subcontractors will comply with all applicable laws and regulations regarding the hiring of Alaska residents now in effect or that might subsequently take effect, the CONTRACTOR shall include in its Contracts with Subcontractors under this Contract language that is substantially the same as 7.12.1 and 7.12.2, above.

7.13 Revise 7.13.2 to read as follows:

- 7.13.2 The following Labor provisions shall also apply to this Contract:
- a. CONTRACTOR and Subcontractors of CONTRACTOR shall pay all employees unconditionally and not less than once a week;
- b. wages may not be less than those stated in the advertised Specifications, regardless of the contractual relationship between the CONTRACTOR or Subcontractors and laborers, mechanics, or field surveyors;
- c. the scale of wages to be paid shall be posted by the CONTRACTOR in a prominent and easily accessible place at the site of the Work;
- d. the OWNER shall withhold so much of the accrued payments as is necessary to pay to laborers, mechanics, or field surveyors employed by the CONTRACTOR or Subcontractors the difference between
 - 1. the rates of wages required by the Contract to be paid laborers, mechanics, or field surveyors on the Work, and
 - 2. the rates of wages in fact received by laborers, mechanics, or field surveyors.

ARTICLE 11 - CONTRACT TIME; COMPUTATION AND CHANGE

11.3 Add to 11.3.1:

- 1. Base Bid requirements: Timeframes indicate calendar-days (1 week equaling 7 days) and to begin upon Award of Contract:
 - a) All required shop drawings: Submitted to Owner within 4 weeks
 - b) Completion of equipment fabrication after approval of shop drawings: Within 18 weeks

- Equipment delivered to Anchorage, Alaska: Within 3 weeks after completion of fabrication.
- d) Equipment installation and Substantial Completion: Within 28 weeks after equipment delivery to Anchorage. Scheduled modernization duration to begin the day Elevator #1 and 2 are removed from service. Substantial Completion is defined as the day that the modernization work is complete, including elevator State Inspection and the second elevator is returned back to normal operation.
- 2. Additive Alternate #2 Requirements: Scheduled modernization duration indicate calendar-days. (1 week equaling 7 days)
 - a) All required shop drawings: Submitted to Owner along with Base Bid shop drawing submittal.
 - b) Completion of equipment fabrication after approval of shop drawings: Within 18 weeks
 - Equipment delivered to Anchorage Alaska: Within 3 weeks after completion of fabrication.
 - d) Equipment installation and Substantial Completion: Within 14 weeks. Scheduled modernization duration to begin the day Elevators #1 and 2 are considered substantially complete. Substantial Completion is defined as the day modernization work is complete, including elevator State Inspection; and the elevators are returned back to normal operation. Work on Elevator #4 is to commence 1 week after elevators #1 and 2 have reached Substantial Completion.
- 3. Additive Alternate #3 Requirements: Scheduled modernization duration indicate calendar-days. (1 week equaling 7 days)
 - a) All required shop drawings: Submitted to Owner along with Base Bid shop drawing submittal.
 - b) Completion of equipment fabrication after approval of shop drawings: Within 18 weeks
 - Equipment delivered to Anchorage Alaska: Within 3 weeks after completion of fabrication.
 - d) Equipment installation and Substantial Completion: Within 14 weeks. Scheduled modernization duration to begin the day Elevator #6 is removed from service for modernization. Substantial Completion is defined as the day modernization work is complete, including elevator State Inspection; and the elevator is returned back to normal operation. Work on Elevator #6 is to commence 1 week after elevator #4 has reached Substantial Completion.
 - Add to 11.3.2: "Contractor shall achieve Final Completion no later than 14 calendar days after Substantial Completion."
- 11.8 Add: "Liquidated damages will be in the amount of \$ 200.00 per day, beginning on the date calculated via 11.3.1 and end upon Substantial Completion of the Base Bid requirements. Penalty is not assessed if elevator is complete and the State inspector is unavailable.

Liquidated damages will be assessed in the amount of \$ 200.00 per day, beginning 14 weeks after any elevator is removed from service and ending on the date marked as Final Completion with the elevator returned to usable service. Modernization duration is considered to be 14 weeks per car. Penalty assessment is based on only additional time after 14 weeks per car.

These liquidated damages are intended to compensate the OWNER for contract administration costs due to delay and are in addition to any actual damages that OWNER may claim as a result of loss of use or other harm resulting from delay of Final Completion.

ARTICLE 12 - QUALITY ASSURANCE

Add to 12.3.1: Contractor shall provide a minimum of 48 hours notice for all required or requested inspections, approvals, tests or differing condition verifications that require site visits. Site visits by Owner are limited to weekdays, unless agreed to in advance by both parties.

Add to 12.3.2: Owner shall pay for all special inspections required by the IBC Chapter 17.

ARTICLE 13 - PAYMENTS TO CONTRACTOR AND COMPLETION

Delete the last paragraph of 13.7 and substitute the following: Owner shall make the first inspection for substantial completion at the Owner's expense. If, due to the absence of substantial completion at the time of the first inspection, Owner is required to make one or more further inspections for substantial completion, Contractor shall bear and be responsible for all of the Owner's and the Owners consultants costs to perform such further inspections, including the cost of labor and travel costs.

END OF SECTION

SECTION 00801 Security Clearance

The following supplements modify, change, delete from or add to the General Conditions of the Contract for Construction.

<u>A Security Clearance</u>: Prior to commencement of any work on the Premises, the ACS requires each contractor and subcontractors, agents, principal, officer and employees, who will work on court Premises, to provide a State of Alaska, Criminal History Report. ACS may also require fingerprints be taken. The General Contractor shall ensure these requirements are met and pay for all costs associated with obtaining the Report and Fingerprints at:

State of Alaska Department of Public Safety Building Security Section 5700 East Tudor Road Anchorage, Alaska 99504

- 1) The court system uses the following guidelines when evaluating criminal background:
 - a Any person with the following conditions may not work or provide any kind of services on the ACS Premises, unless the ACS Facilities Manager has agreed to waive the disqualification under Section 3) below
 - i Has been convicted of a violent crime or crime of theft within last 5 years
 - ii Has been convicted of more than 2 misdemeanors in last 5 years
 - iii Has been convicted of more than one felony in previous 10 years
 - iv Has an on on-going case in the court where work is being performed with ACS (until case is resolved).

Application.

- b Prior Convictions. Multiple convictions arising out of the same criminal episode will be considered a single prior conviction for purposes of this rule.
- c Definitions. The following definitions apply to this rule:
 - i "Crime" means a felony or misdemeanor.
 - ii "Felony" means a crime that is a felony under the laws of this state or another state.
 - iii "Misdemeanor" means a crime that is a misdemeanor under the laws of this state or another state.
 - "Violent crime" means murder, manslaughter, criminally negligent homicide, assault, reckless endangerment, stalking, kidnapping, sexual assault, sexual abuse, domestic violence, robbery, extortion, or coercion under the laws of this state or any other state.
 - v "Serious property crime" means theft, burglary, arson or forgery under the laws of this state or another state.

2) Waiver by Facilities Manager.

- a Standard for Waiver. The facilities manager may waive the disqualification and permit a person to perform work unless disqualification is mandatory under Section 1.a-c above. In deciding whether to grant a waiver, the facilities manager will consider the following factors:
 - i the nature and gravity of the offense or offenses;
 - ii the time that has passed since the conviction and completion of the sentence;
 - iii the number of convictions:
 - iv the nature of the work; and
 - v the level of supervision of the employee at the work site;

- vi any other facts or circumstances that may have a bearing on the suitability of the employee's presence in a court facility.
- b **Mandatory Disqualification.** No waiver will be granted if:
 - i the person was convicted of a violent crime or crime of theft within five years of the application date;
 - ii the contractor or person fails to provide criminal background information requested by ACS.
- 4) The ACS may limit or reject certain individuals if their presence is determined by the Contracting Officer to be detrimental to the normal conduct of the Tenant's business.
- 5) Much of the business of the ACS is necessarily confidential and not subject to public disclosure. The confidentiality of draft opinions, internal memoranda, conversations regarding pending issues and other court business are essential to the court's function. Additionally, records related to personnel issues, procurement proceedings, internal policy discussions, and other administrative issues are also confidential. Prior to commencing any work under this contract, the general contractor and their subcontractors, agents, principals, officers or employees who supply goods or services to the Premises shall inform all contractors, agents, principals, officers, and employees working on the Premises that the disclosure of any confidential court business observed or overheard may result in permanent removal form the Premises and may be grounds for termination of contract and even criminal prosecution.

SECTION 01010 SUMMARY OF WORK

1.1 WORK COVERED BY CONTRACT DOCUMENTS

- A. Modernize Elevators 1 and 2.
 - 1. Modernize Elevator 4.
 - Modernize Elevator 6.
- B. Provide all labor, engineering, tools, transportation, services, supervision, materials, and equipment necessary for and incidental to satisfactory completion of required work as indicated in Contract Documents.
- C. Provide all required staging, hoisting, and movement of new equipment, reused equipment, or removal of existing equipment.
- D. Applicable conditions of Purchaser's General, Special, and Supplemental Conditions.
- E. Prime contracts are defined below and each is recognized to be a major part of required work to be performed concurrently in close coordination with work of other Contractors.
 - 1. This Contract: Elevator Modernization, including associated work specified in Section 01900.
- F. Scope of Contract includes, but is not limited to, the following:
 - Coordination, scheduling, and management of work of component suppliers and subcontractors.
 - 2. Modernize or furnish and install equipment as specified utilizing existing and/or modified hoistways and machine rooms.
 - 3. Specific item of required work which cannot be determined to be included in another contract is thereby determined to be included in prime contract.
 - 4. Elevator contractor to install all required wiring/cabling for a complete system. Include in the base bid the required time to install LAN cable installation for remote monitoring.

1.2 CONTRACTOR'S DUTIES

- A. Contractor's duties include the following:
 - Provide and pay for labor, materials and equipment, tools, construction equipment and machinery, and other facilities and services necessary for proper execution and completion of required work.
 - 2. Pay for legally required sales, consumer, and state remodel taxes.
 - 3. Secure and pay for required permits, fees and licenses necessary for proper execution and completion of required work, as applicable at time of quotation due date.

- 4. Give required notices.
- 5. Comply with codes, ordinances, rules, regulations, orders, and other legal requirements of public authorities which bear on performance of required work.
- 6. Promptly submit written notice to Consultant of observed variance of Contract Documents from legal requirements.
- 7. Enforce strict discipline and good order among employees. Do not employ persons unskilled in assigned task.

1.3 WORK SEQUENCE

A. Construct work in stages. Description and sequence dates are as listed in Section 00800 Supplementary Conditions.

1.4 CONTRACT TYPE

A. Competitively bid lump sum single prime contract.

END OF SECTION

APPENDIX B¹ INDEMNITY AND INSURANCE

Article 1. Indemnification

The Contractor shall indemnify, hold harmless, and defend the contracting agency from and against any claim of, or liability for error, omission or negligent act of the Contractor under this agreement. The Contractor shall not be required to indemnify the contracting agency for a claim of, or liability for, the independent negligence of the contracting agency. If there is a claim of, or liability for, the joint negligent error or omission of the Contractor and the independent negligence of the Contracting agency, the indemnification and hold harmless obligation shall be apportioned on a comparative fault basis. "Contractor" and "Contracting agency", as used within this and the following article, include the employees, agents and other contractors who are directly responsible, respectively, to each. The term "independent negligence" is negligence other than in the Contracting agency's selection, administration, monitoring, or controlling of the Contractor and in approving or accepting the Contractor's work.

Article 2. Insurance

Without limiting contractor's indemnification, it is agreed that contractor shall purchase at its own expense and maintain in force at all times during the performance of services under this agreement the following policies of insurance. Where specific limits are shown, it is understood that they shall be the minimum acceptable limits. If the contractor's policy contains higher limits, the state shall be entitled to coverage to the extent of such higher limits. Certificates of Insurance must be furnished to the contracting officer prior to beginning work and must provide for a notice of cancellation, non-renewal, or material change of conditions in accordance with policy provisions. Failure to furnish satisfactory evidence of insurance or lapse of the policy is a material breach of this contract and shall be grounds for termination of the contractor's services. All insurance policies shall comply with and be issued by insurers licensed to transact the business of insurance under AS 21.

- **2.1 Workers' Compensation Insurance:** The Contractor shall provide and maintain, for all employees engaged in work under this contract, coverage as required by AS 23.30.045, and; where applicable, any other statutory obligations including but not limited to Federal U.S.L. & H. and Jones Act requirements. The policy must waive subrogation against the State.
- **2.2 Commercial General Liability Insurance:** covering all business premises and operations used by the Contractor in the performance of services under this agreement with minimum coverage limits of \$300,000 combined single limit per claim.
- **2.3 Commercial Automobile Liability Insurance:** covering all vehicles used by the Contractor in the performance of services under this agreement with minimum coverage limits of \$300,000 combined single limit per claim.

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SECTION 01010 SUMMARY OF WORK

1.1 WORK COVERED BY CONTRACT DOCUMENTS

- A. Modernize Elevators 1 and 2.
 - 1. Modernize Elevator 4.
 - Modernize Elevator 6.
- B. Provide all labor, engineering, tools, transportation, services, supervision, materials, and equipment necessary for and incidental to satisfactory completion of required work as indicated in Contract Documents.
- C. Provide all required staging, hoisting, and movement of new equipment, reused equipment, or removal of existing equipment.
- D. Applicable conditions of Purchaser's General, Special, and Supplemental Conditions.
- E. Prime contracts are defined below and each is recognized to be a major part of required work to be performed concurrently in close coordination with work of other Contractors.
 - 1. This Contract: Elevator Modernization, including associated work specified in Section 01900.
- F. Scope of Contract includes, but is not limited to, the following:
 - Coordination, scheduling, and management of work of component suppliers and subcontractors.
 - 2. Modernize or furnish and install equipment as specified utilizing existing and/or modified hoistways and machine rooms.
 - 3. Specific item of required work which cannot be determined to be included in another contract is thereby determined to be included in prime contract.
 - 4. Elevator contractor to install all required wiring/cabling for a complete system. Include in the base bid the required time to install LAN cable installation for remote monitoring.

1.2 CONTRACTOR'S DUTIES

- A. Contractor's duties include the following:
 - 1. Provide and pay for labor, materials and equipment, tools, construction equipment and machinery, and other facilities and services necessary for proper execution and completion of required work.
 - 2. Pay for legally required sales, consumer, and state remodel taxes.
 - Secure and pay for required permits, fees and licenses necessary for proper execution and completion of required work, as applicable at time of bid due date.

- 4. Give required notices.
- 5. Comply with codes, ordinances, rules, regulations, orders, and other legal requirements of public authorities which bear on performance of required work.
- 6. Promptly submit written notice to Consultant of observed variance of Contract Documents from legal requirements.
- 7. Enforce strict discipline and good order among employees. Do not employ persons unskilled in assigned task.

1.3 WORK SEQUENCE

A. Construct work in stages. Description and sequence dates are as listed in Section 00800 Supplementary Conditions.

1.4 CONTRACT TYPE

A. Competitively bid lump sum single prime contract.

END OF SECTION

SECTION 01027 SCHEDULE OF VALUES/ REQUEST FOR PAYMENT

PART 1 GENERAL

1.1 SCHEDULE OF VALUES

- A. Submit Schedule of Values with Final Schedule required in Section 01310, and with each Request for Payment.
- B. Schedule of values should include the following information:
 - 1. Item or Activity Number
 - 2. Description of Work.
 - 3. Scheduled Value (\$).
- C. Examples of item to be described include; Items for each separate stage of work, Site Mobilization, Demolition, installation of various modernized systems etc. Work items to be accomplished by a subcontractor should include subcontractor's name.
- D. Schedule of Values must be reviewed and approved by Owner prior to approval of first Request for Payment.

1.2 REQUEST FOR PAYMENT

- A. Submit typed request for payment on forms provided by the Owner including the Progress Report form and Request for Payment form. Forms shall use the item number, description of work and scheduled value as approved on the Schedule of Values.
- B. Submit one draft copy of each request for payment by the first of the month. Draft copy shall be reviewed and adjusted as needed and agreed by the Owner and Contractor to reflect actual project progress and withholdings.
- C. Submit three original signed and notarized adjusted copies of each request for payment by the 10th of the month. No more than one request for payment shall be allowed each month.
- D. Contractor shall provide all substantiating information, including but not limited to, updated progress schedule, material invoices, freight receipts, test reports, daily field reports, data sheets and data justifying amounts questioned when requested by the Owner to confirm amounts shown on request for payment.

END OF SECTION 01027 (Except for sample forms of Request for Payment and Progress report Form)

ACS 07/20/20 01027-1

Alaska Court System

NEGUES	IIOKIAIN			
Project No.: ANC-C-19-	Project:			
Pay Period:		Request No.:		
Contractor:	_			
_				
ORIGINAL CONTRACT SUM:		\$		
CONTRACT SUM TO DATE: (Line a + Line b):		\$	\$	
WORK COMPLETED TO DATE (see post sheet).		ф.	Ψ	
STORED MATERIALS:		\$		
TOTAL EARNED TO DATE (Line d + Line e):			\$	
LESS RETAINAGE (see below*):		\$		
LESS PREVIOUS PAYMENTS: (amount certified + Line h from prior Request for Payment)		\$		
CURRENT PAYMENT DUE (Line f less Line g & h	n) <u>:</u>		<u> </u>	
BALANCE TO FINISH (including retainage amoun	nt):		 \$	
ason for withheld amounts:				
EXECUTE	D CHANGE ORDE	ERS		
Description	Increase	Decrease	Net Amount	
Total Change Orders:	\$ -		\$ -	
CE	EPTIFICATION			
The undersigned Contractor certifies that all items and amoun Contractor has complied with the labor provisions of said Cont	ts are in accordance with are in accordance with areast, including payment			
CONTRACTOR'S SIGNATURE:		DATE:		
STATE OF: COUNTY OF	₹:			
Subscribed and sworn before me this day of	of ,	19		
Notary Public	My Co	mmission expires: _		
REVIEWED AND APPROVED FOR PAYMENT B	Y:	ARCHITECT:		
AMOUNT CERTIFIED:		DATE:		
		ality of the work is in ac		
ACHITIC MANACED.	DDO			
ACILITIES MANAGER:	PRU	JECT MANAGER:		
	Project No.: ANC-C-19-0011 Pay Period: Contractor: Analysis Contractor: ORIGINAL CONTRACT SUM: CHANGE ORDERS TO DATE: CONTRACT SUM TO DATE (Line a + Line b): WORK COMPLETED TO DATE (see next sheet): STORED MATERIALS: TOTAL EARNED TO DATE (Line d + Line e): LESS RETAINAGE (see below*): LESS PREVIOUS PAYMENTS: (amount certified + Line h from prior Request for Payment) CURRENT PAYMENT DUE (Line f less Line g & h BALANCE TO FINISH (including retainage amount ason for withheld amounts: EXECUTE Description Total Change Orders: CE The undersigned Contractor certifies that all items and amount to the Contractor has complied with the labor provisions of said Control to the Contractor for work included in previous Requests for P CONTRACTOR'S SIGNATURE: STATE OF: COUNTY OF Subscribed and sworn before me this day of the Contractor for work included in previous Requests for P CONTRACTOR'S SIGNATURE: STATE OF: COUNTY OF Subscribed and sworn before me this day of the Contractor for work included in previous Requests for P CONTRACTOR'S SIGNATURE: STATE OF: Subscribed and sworn before me this day of the Contractor for work included in previous Requests for P CONTRACTOR'S SIGNATURE: The Architect certifies to the Owner that the work has progress contract Documents and the Contractor is entitled to payment	Project No.: ANC-C-19- 0011 Project: Pay Period: Contractor: Analysis of Work Performance of Work Performance of Contractor: Analysis of Work Performance of Work Performance of Contractor: Analysis of Work Performance of Work Performance of Contractor Sum To DATE: CONTRACT SUM TO DATE: CONTRACT SUM TO DATE: CONTRACT SUM TO DATE (Line a + Line b): WORK COMPLETED TO DATE (see next sheet): STORED MATERIALS: TOTAL EARNED TO DATE: LESS RETAINAGE (see below*): LESS PREVIOUS PAYMENTS: (amount certified + Line h from prior Request for Payment) CURRENT PAYMENT DUE (Line f less Line g & h): BALANCE TO FINISH (including retainage amount): Isson for withheld amounts: EXECUTED CHANGE ORDE Description Increase Total Change Orders: \$ - CONTRACTOR'S SIGNATURE: STATE OF: CONTRACTOR'S SIGNATURE: STATE OF: COUNTY OF: Subscribed and sworn before me this day of Notary Public My Co REVIEWED AND APPROVED FOR PAYMENT BY: AMOUNT CERTIFIED: The Architect certifies to the Owner that the work has progressed as indicated, the que Contract Documents and the Contractor is entitled to payment of the AMOUNT CERTIFIED: The Architect certifies to the Owner that the work has progressed as indicated, the que Contract Documents and the Contractor is entitled to payment of the AMOUNT CERTIFIED:	Project No.: 0011 Project: Modernizatio Modernizatio Request No.: Contractor: Analysis of Work Performed ORIGINAL CONTRACT SUM: \$ CHANGE ORDERS TO DATE: \$ CONTRACT SUM TO DATE (Line a + Line b): WORK COMPLETED TO DATE (see next sheet): \$ STORED MATERIALS: \$ TOTAL EARNED TO DATE (Line d + Line e): LESS RETAINAGE (see below*): \$ LESS PREVIOUS PAYMENTS: \$ (amount certified + Line h from prior Request for Payment) CURRENT PAYMENT DUE (Line f less Line g & h): BALANCE TO FINISH (including retainage amount); soon for withheld amounts: EXECUTED CHANGE ORDERS Description Increase Decrease Total Change Orders: \$ - CERTIFICATION The undersigned Contractor certifies that all items and amounts are in accordance with the contract requirem Contractor has complied with the labor provisions of said Contract, including payment to subcontractor and st to the Contractor for work included in previous Requests for Payment. CONTRACTOR'S SIGNATURE: DATE: STATE OF: COUNTY OF: Subscribed and sworn before me this day of ,19 Notary Public My Commission expires: REVIEWED AND APPROVED FOR PAYMENT BY: ARCHITECT: AMOUNT CERTIFIED: DATE: The Architect certifies to the Owner that the work has progressed as indicated, the quality of the work is in accordinate to the Contractor of the AMOUNT CERTIFIED.	Project No.: ANC-C-19-0011 Project: Mesbett Courthouse Elevator Modernization Pay Period: Request No.: Request No.: Pay Period: Request No.: Request N

SECTION 01027.1 PROGRESS REPORT FORM

Building: Nesbett Cour	th Ave., A	Anchorage	e, Alaska	Elevator:			
Elevator Company:							
	Total Material	%		Total Labor	%		
Item	Price	Comp	Billing	Price	Comp	Billing	Total
Adjusting							
Misc.							
Bond							
Hoist Machine							
Drive Unit							
Controller							
Deflector Sheave							
Governor and Rope							
Hoist Ropes							
Guide Rails and Brackets							
Buffers							
Entrances, Sills, and Hardware							
Sling and Platform							
Counterweight							
Safety							
Compensation							
Guides							
Enclosure							
Door Operator Hardware and Protection							
Signal Fixtures							
Wiring							
Adjusting							
Misc.							
Reviewed By Lerch Bate	S		Approv	ed For Payme	nt		
Name:			Purcha	ser:			
Date:			Date:				
Approved			Rejecte	ed 🗌			
See Attached Review Co	mments						

SECTION 01027.3 PROGRESS REPORT FORM

Building: Nesbett Cour	thouse, 825 W 4	Ith Ave., A	Anchorage	e, Alaska	Traction Elevator: 1 and 2			
Elevator Company:								
	Total Material	%		Total Labor	%			
Item	Price	Comp	Billing	Price	Comp	Billing	Total	
Adjusting								
Misc.								
Bond								
Hoist Machine								
Drive Unit								
Controller								
Deflector Sheave								
Governor and Rope								
Hoist Ropes								
Guide Rails and Brackets								
Buffers								
Entrances, Sills, and Hardware								
Sling and Platform								
Counterweight								
Safety								
Compensation								
Guides								
Enclosure								
Door Operator Hardware and Protection								
Signal Fixtures								
Wiring								
Adjusting								
Misc.								
Reviewed By Lerch Bates	S		Approv	ed For Payme	nt			
Name:			Purcha	ser:				
Date:			Date:					
Approved			Rejecte	ed 🗌				
See Attached Review Co	mments							

Building: Nesbett Cour	thouse, 825 W 4	th Ave., A	Anchorage	Traction Elevator: 4			
Elevator Company:							
	Total Material	%		Total Labor	%		
Item	Price	Comp	Billing	Price	Comp	Billing	Total
Adjusting							
Misc.							
Bond							
Hoist Machine							
Drive Unit							
Controller							
Deflector Sheave							
Governor and Rope							
Hoist Ropes							
Guide Rails and Brackets							
Buffers							
Entrances, Sills, and Hardware							
Sling and Platform							
Counterweight							
Safety							
Compensation							
Guides							
Enclosure							
Door Operator Hardware and Protection							
Signal Fixtures							
Wiring							
Adjusting							
Misc.							
Reviewed By Lerch Bate	s		Approv	ed For Payme	nt		
Name:			Purcha	ser:			
Date:			Date:		_		
Approved			Rejecte	ed 🗌			
See Attached Paview Co	nmonte						

Building: Nesbett Cour	thouse, 825 W 4	th Ave., A	Anchorage	Traction Elevator: 6			
Elevator Company:							
	Total Material	%		Total Labor	%		
Item	Price	Comp	Billing	Price	Comp	Billing	Total
Adjusting							
Misc.							
Bond							
Hoist Machine							
Drive Unit							
Controller							
Deflector Sheave							
Governor and Rope							
Hoist Ropes							
Guide Rails and Brackets							
Buffers							
Entrances, Sills, and Hardware							
Sling and Platform							
Counterweight							
Safety							
Compensation							
Guides							
Enclosure							
Door Operator Hardware and Protection							
Signal Fixtures							
Wiring							
Adjusting							
Misc.							
Reviewed By Lerch Bate	S		Approv	ed For Payme	nt		
Name:			Purcha	ser:			
Date:			Date:		_		
Approved			Rejecte	ed 🗌			
See Attached Paview Co	nmonte						

SECTION 01027 SCHEDULE OF VALUES / REQUEST FOR PAYMENT

PART 1 GENERAL

1.1 SCHEDULE OF VALUES

- A. Submit Schedule of Values with Final Schedule required in Section 01310, and with each Request for Payment.
- B. Schedule of values should include the following information:
 - 1. Item or Activity Number
 - 2. Description of Work.
 - 3. Scheduled Value (\$).
- C. Examples of item to be described include; Items for each separate stage of work, Site Mobilization, Demolition, installation of various modernized systems etc. Work items to be accomplished by a subcontractor should include subcontractor's name.
- D. Schedule of Values must be reviewed and approved by Owner prior to approval of first Request for Payment.

1.2 REQUEST FOR PAYMENT

- A. Submit typed request for payment on forms provided by the Owner including the Progress Report form and Request for Payment form. Forms shall use the item number, description of work and scheduled value as approved on the Schedule of Values.
- B. Submit one draft copy of each request for payment by the first of the month. Draft copy shall be reviewed and adjusted as needed and agreed by the Owner and Contractor to reflect actual project progress and withholdings.
- C. Submit three original signed and notarized adjusted copies of each request for payment by the 10th of the month. No more than one request for payment shall be allowed each month.
- D. Contractor shall provide all substantiating information, including but not limited to, updated progress schedule, material invoices, freight receipts, test reports, daily field reports, data sheets and data justifying amounts questioned when requested by the Owner to confirm amounts shown on request for payment.

END OF SECTION 01027 (Except for sample forms of Request for Payment and Pay Request Itemization Sheet)

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SECTION 01040 — PROJECT PROCEDURES

PART 1 - GENERAL

1.1 APPLICABLE CODES

- A. Compliance with Regulatory Agencies: Comply with most stringent applicable provisions of following codes, laws, and/or authorities, including revisions and changes in effect:
 - 1. Safety Code for Elevators and Escalators, ASME A17.1
 - 2. Guide for Inspection of Elevators, Escalators, and Moving Walks, ASME A17.2
 - 3. Elevator and Escalator Electrical Equipment, ASME A17.5
 - 4. National Electrical Code, NFPA 70
 - 5. Americans with Disabilities Act, ADA
 - 6. Anchorage Fire Department
 - 7. Requirements of most stringent provision of local applicable building code
 - 8. Life Safety Code, NFPA 101
 - 9. Uniform Federal Accessibility Standard, UFAS
 - 10. State of Alaska and Municipality of Anchorage
- B. All work covered by these Contract Documents is to be done in full accord with national code; state and local codes; ordinances; and elevator safety orders as are in effect at time of Contract Award. All requirements of local Building Department; Authorities Having Jurisdiction, including fire jurisdiction, are to be fulfilled by Contractor and its Subcontractors.

1.2 OCCUPANCY AND WORK BY OTHERS

- A. Contractor expressly affirms Owner's rights to let other contracts and employ other Contractors in connection with required work. Contractor will afford other Contractors and their workmen reasonable opportunity for introduction and storage of materials and equipment, for execution of their work and will properly connect and coordinate his work with theirs. Contractor will also incorporate comparable provisions in all its subcontracts.
- B. Contractor declares that other Contractors employed by Owner on basis of separate contracts may proceed at such times as necessary to install items of work required by Owner.
- C. Contractor declares that it will cooperate with other Contractors employed by Owner and, in addition to other coordination and expediting efforts, will coordinate their work by written notices regarding necessity of such work to be done on or before certain dates.
- D. Contractor declares that it is responsible for review, stamped, and signed approval of all shop drawings for required work.
- E. Contractor hereby declares that content of foregoing paragraphs and influence they may have on project:
 - 1. Shall not cause a change in stipulated Contract Sum
 - 2. Shall not cause a change in Construction Time Schedule

END OF SECTION

01140-1

SECTION 01140 WORK RESTRICTIONS

PART 1 GENERAL

1.1 RELATED SECTIONS

- A. Section 01500 Temporary Facilities and Controls
- B. Section 01710 Cleaning
- C. Section 00050 Invitation to Bid

1.2 **DEFINITIONS**

A. Alaska Court System (ACS) Normal Working Hours: 7:00am until 5:00pm, Monday through Friday, not including State holidays.

1.3 DESCRIPTION

- A. Work limitations
 - 1. Site restrictions affecting this project include, but are not necessarily limited to:
 - a. Parking
 - b. Off Loading areas for construction deliveries
 - c. Waste Receptacle.
 - 2. Interior Building restrictions affecting this project include, but are not necessarily limited to:
 - a. Limits of interior staging and material storage areas.
 - b. Use of Owner's vertical transportation (elevators and stairs)
 - c. Conditions of Owner's Occupancy
 - d. Security and Clearances

1.3 PRODUCT HANDLING

- A. Protection Use all materials and means necessary to maintain temporary traffic controls, barriers for material storage areas, and protection of Owner's facilities, walkways, and stairways, throughout progress of the work.
- B. Replacements In the event of loss or damage, immediately make all repairs and replacements necessary at no additional cost to the Owner.

PART 2 PRODUCTS - Not Used

PART 3 EXECUTION

3.1 SITE ACCESS

A. Vehicular:

- 1. Contractor to park in the streets in the vicinity of the courthouse.
- 2. Off-loading of materials and equipment:
 - a. Coordinate and schedule off- loading of materials and equipment; product delivery; product unloading; and product staging with Owner.
 - b. Off-loading of materials and equipment permitted only after Normal Business Hours unless pre-approved otherwise by Owner.
 - c. After coordination with the Owner, Contractor will be allowed to use the exterior overhead door located on I Street.
- 3. Contractor is responsible for protection of site work including concrete and asphalt paving, curbs, landscaping, and striping.
- 4. Contractor is responsible to obtain and provide all permits/approval required to perform the Work. Including but not limited to ACS, Local, State, Muni, sidewalk closing; street closing; parking; meter/space hooding/closing.
- B. Pedestrian: All employee and vendor entrances must be secured, and remain secured (locked) throughout the Work session.

3.2 SITE STAGING, STORAGE, MATERIAL DELIVERIES, AND WASTE DISPOSAL

- A. As necessary, store materials off site in a Bonded and Insured warehouse until needed at the job site to maintain a clutter free environment.
- B. Material deliveries shall be off-loaded and transported to approved storage areas without delay. Arrange product deliveries in accordance with construction scheduling requirements in such a way as to minimize a build up of materials at traffic areas. The Contractor shall be present and responsible for protection of materials during delivery, off-loading, and transportation to acceptable storage areas. It is the Contractor's responsibility to safely and securely enclose and secure materials and equipment from the public and weather and to handle/transport materials to the project site in coordination with the Work.
- C. Interior areas approved for staging and storage include:
 - 1. The 7th floor penthouse and Mechanical rooms on every floor.

- 2. Construction work areas during the course of construction only. Material storage in construction areas is limited to non-occupied periods after start of construction in that area.
- D. There are no exterior areas approved for staging or storage.
- E. Site Waste Disposal
 - 1. The Contractor shall remove waste consistently as needed by project conditions in order to keep a clean and organized Work site; to prevent windblown debris; and as directed by Owner.
 - 2. No construction waste shall be deposited in facility receptacles. No construction liquids, waste or debris shall be deposited into plumbing fixtures.

3.3 VERTICAL TRANSPORTATION

- A. During ACS Normal Working Hours: Use of stairways and elevators for transportation of materials and equipment is not allowed unless approved otherwise by Owner. Contractor to protect stairway and elevator walls from damage.
- B. Provide temporary signage at lobby walls and within elevator to notify the users that elevator is being used for construction activities. Remove signs and restore elevator to original state of cleanliness prior to ACS Normal Working Hours.
- C. Provide and maintain adequate protection for the Owner's property and equipment while in use, and ensure that loads do not exceed posted elevator load capacity. Leave elevator and stairwells in neat and clean condition for use by the building occupants by 7:00 A.M daily.
- E. Do not store materials in stairways or elevators. Comply with fire exiting regulations, which prohibit limiting free access within stairways, and at entrances to stairways, at any time.

3.4 OWNER'S OCCUPANCY

- A. The Owner and tenants will continue to occupy and operate the building. The Contractor shall coordinate with the Owner to allow normal business operations, in all areas, and shall cooperate with Owner and Tenants in construction operations to minimize conflict and to facilitate Owner and Tenant usage. Contractor shall at all times conduct his operation to insure the least inconvenience to staff, visitors, employee parking, and the general public.
 - 1. Contractor must maintain the existing fire exiting, and public and private

circulation pathways.

- B. Contractor shall schedule any Work which could interfere with the Owner's operation to be conducted after ACS Normal Working Hours. Specific schedules and Work activities which will be required to be performed after Normal Work Hours unless agreed to with the Owner, in advance, in writing, include:
 - 1. All demolition work.
 - 2. Any concrete drilling or saw cutting.
 - 3. Any water, heat, or power shut offs.
 - 4. All work with loud power tools.
 - 5. All fire alarm and security system disruption or testing.
 - 6. All work in common circulation areas, including hallways; courtrooms; office space; Jury rooms; lobbies; toilets; corridors; and stairwells.
- C. Office space:
 - a. Contractor shall provide the Owner with a written 5 day notice prior to the commencement of work in individual office spaces or courtrooms.
 - b. Contractor shall move and cover office furniture, furnishing, and equipment as needed to perform the Work and prior to the following business day shall return the office/chambers to the configuration and level of cleanliness and functionality in which it was found.
- F. Disruption of Operations: Any work deemed by the Owner or Contractor to be disruptive to ACS or other facility operations due to excessive noise, vibration, or other reasons will be required to be performed after Normal Working Hours. The Contractor must schedule and coordinate such work with the Owner prior to proceeding with work. Contractor shall coordinate with the Owner periods of time when construction work is producing strong odors for the remedial operation of HVAC systems.
 - 1. Upon notice by court staff that the Contractors work is disrupting operations the Contractor must immediately cease work.
- G. Include in contract sum sufficient funds as may be required for any "after hours" work caused by these requirements. No additional payment to Contractor will be authorized because of Contractor's failure to anticipate required "after hours work".
- H. Construction areas, including individual floor landings, must be segregated by closed doors or temporary barriers from the occupied areas of the facility to allow

Contractor's work to occur during ACS Working Hours when approved, and to prevent public access to secure areas.

3.5 SECURITY AND CLEARANCES

- A. Contractors, agents, principals, officers or employees who supply goods or services to the court system must have completed background checks. Any person with the following conditions is restricted from entering or working on the premises.
- B. Person has been convicted of a violent crime or crime of theft within last 5 years;
- C. Person has been convicted of more than 2 misdemeanors in last 5 years;
- D. Person has been convicted of more than one felony in previous 10 years;
- E. Person has an on on-going case in the court where work is being performed with ACS (until case is resolved);
- F. The ACS may limit or reject certain individuals if their presence is determined by the Contracting Officer to be detrimental to the normal conduct of the ACS business.
- G. <u>A Security Clearance</u>: Prior to commencement of any work on the Premises, the ACS requires each contractor and subcontractors, agents, principal, officer and employees, who will work on court Premises, to provide a State of Alaska, Criminal History Report. ACS may also require fingerprints be taken. The General Contractor shall ensure these requirements are met and pay for all costs associated with obtaining the Report and Fingerprints at:

State of Alaska Department of Public Safety Building Security Section 5700 East Tudor Road Anchorage, Alaska 99504

- H. The Owner will advise the Contractor of acceptance or denial for each individual to work on this project within 4 days of submittal.
- I. Much of the business of the ACS is necessarily confidential and not subject to public disclosure. The confidentiality of draft opinions, internal memoranda, conversations regarding pending issues and other court business are essential to the court's function. Additionally, records related to personnel issues, procurement proceedings, internal policy discussions, and other administrative issues are also confidential. Prior to commencing any work under this contract, the contractors,

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agents, principals, officers or employees who supply goods or services to the premises shall inform all contractors, agents, principals, officers, and employees working on the premises that the disclosure of any confidential court business observed or overheard may result in permanent removal form the premises and may be grounds for termination of contract and even criminal prosecution.

3.6 ACCESS PROCEDURES

A. Access Requirements

- 1. Once an individual background check is approved to work on this project in accordance with Section 3.5, the Project Manager will make arrangements for a limited amount of key cards/keys to be given to the Contractor. The Contractor's staff must fill out and sign an Access Card & Key Authorization Agreement for each set of keys received. Individuals without approved background checks will not be given access.
- 2. The Contractor's staff shall use the building keys and keycards only after they have been approved by the Owner and only while actively working on this project within a pre-approved schedule. Should any of the Contractor's staff be found to be using issued keys or keycard when not actively working on this project or during the times periods not pre-approved by the Owner, it may result in permanent removal from the premises and may be grounds for termination of contract.
- 3. The Contractor is responsible for tracking and safeguarding the keys and must return them as a condition of final payment. No duplications are to be made by the Contractor. Should loss of keys occur, the Contractor shall advise the Owner immediately, and will be responsible for replacement keys and re-keying costs.

3.7 OCCUPANCY AND WORK BY OTHERS

- A. Contractor expressly affirms Owners rights to let other contracts and employ other Contractors in connection with required work. Contractor will afford other Contractors and their workmen reasonable opportunity for introduction and storage of materials and equipment, for execution of their work, and will properly connect and coordinate its work with theirs. Contractor will also incorporate comparable provisions in all its subcontracts.
- B. Contractor declares that other Contractors employed by Owner on basis of separate contracts may proceed at such times as necessary to install items of work required by Owner.

- C. Contractor declares that it will cooperate with other Contractors employed by Owner and, in addition to other coordination and expediting efforts will coordinate their work by written notices regarding necessity of such work to be done on or before certain dates.
- D. Contractor declares that it is responsible for review, stamped, and signed approval of all shop drawings for required work.
- E. Contractor hereby declares that content of foregoing paragraphs and influence they may have on project:
 - 1. Shall not cause a change in stipulated Contract Sum
 - 2. Shall not cause a change in Construction Time Schedule

3.8 APPLICABLE CODES

- A. Compliance with Regulatory Agencies: Comply with most stringent applicable provisions of following Codes, laws, and/or Authorities, including revisions and changes in effect:
 - 1. Safety codes for elevators and escalators, ASME A17.1
 - 2. Guide for inspection of elevators, escalators, and moving walks, ASME A17.2
 - 3. Elevator and Escalator Electrical Equipment, ASME A17.5
 - 4. National Electrical Code, NFPA 70
 - 5. Americans with Disabilities Act, ADA
 - 6. Local Fire Authority
 - 7. Requirements of IBC, OSHPD, DSA, and all other codes, ordinances and laws applicable within the governing jurisdiction.
 - 8. Life Safety Code, NFPA 101
 - 9. Uniform Federal Accessibility Standard, UFAS

END OF SECTION 01140

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SECTION 01200 PROJECT MEETINGS

PART 1 GENERAL

1.1 PRECONSTRUCTION MEETING

- A. The Owner's Project Manager will conduct this meeting and the on-site attendance of Contractor, Contractors Project Manager, Contractors Superintendent, and first-tier subcontractors is required.
- B. Suggested Agenda:
 - 1. Distribution (by Contractor) and discussion of:
 - a. Superintendent's name, local address, e-mail address, and 24-hour telephone or cell number.
 - Review of earlier submitted list of major Subcontractors and Suppliers. Contractor to give notice of any changes to the submitted Subcontractor list. Contractor to provide names and phone numbers of Subcontractor contacts.
 - c. Progress Schedule.
 - 1) Commencement of Work on Site 10 day advance notice for onsite commencement required by Section 00700.
 - 2) Review of progress schedule milestones and critical path.
 - 3) Projected Substantial Completion date.
 - 4) Discussion of Contractor's proposed work hours and methods.
 - 2. Procedures and processing of Requests for Information, Submittals, Request for Proposals and Change Orders.
 - Review of Contract Documents.
 - 4. Use of premises: work restrictions, Owner occupancy, construction facilities and temporary facilities. Review of Contractor's proposed interior and exterior material and equipment staging and storage areas.
 - 5. Safety, security and housekeeping, including designation of safety representative at the site.
 - 6. Review of security clearance procedures and current list of personnel with approved criminal history reports.
 - 7. Status of building permit and any required government notices (EPA notifications, road closures, etc.)
 - 8. Communication and interfacing with court staff on site.

1.2 PROGRESS AND SPECIAL MEETINGS

- A. The Owners representative will conduct Progress meetings weekly to coordinate the Work, answer questions, and resolve problems.
- B. Required Attendance: Contractor's Project Manager and, Owner's Project Manager. First tier subs to attend upon request.
- C. Suggested Meeting Agenda includes attendance, review of minutes, outstanding action items, submittals, RFIs, RFPs, upcoming inspections, concerns and schedule.

1.3 PREINSTALLATION CONFERENCES

- A. When required in individual specification section, a pre-installation conference will be scheduled by the Contractor and convened prior to commencing Work of the section. These meetings will require attendance of entities directly affecting, or affected by, Work of the section.
- B. Review conditions of installation, preparation and installation procedures, and coordination with related work.

1.4 MINUTES

- A. The Owner will compile minutes of each project meeting and will distribute copies to all interested parties prior to the next meeting. Each item shall be carried forward until resolved. The minutes compiled by the Owner will be the official record minutes and all clarifications and/or corrections shall be transmitted in writing to the Owner within 3 days of date of receipt of the minutes.
- PART 2 PRODUCTS Not Used
- PART 3 EXECUTION Not Used

END OF SECTION 01200

SECTION 01300

SUBMITTALS

PART 1 GENERAL

1.1 SUBMITTAL PROCEDURES

- A. Contractor is required to provide electronic PDF copies of all required submittals to both Lerch Bates and the Owner within 4 weeks of Notice of Award. Submittals and resubmittals will be reviewed and returned with comments within 2 weeks after receipt.
 - 1. Scaled or Fully Dimensioned Layout: Plan of pit, hoistway, and machine room indicating equipment arrangement, elevation section of hoistway, details of car enclosures, and car/hall signal fixtures.
 - 2. Design Information: Indicate equipment lists, reactions, and design information on layouts.
 - 3. Power Confirmation Information: Design for existing conditions.
 - 4. Fixtures: Cuts, samples, or shop drawings.
 - 5. Finish Material: Submit 3" x 12" samples of actual finished material for review of color, pattern, and texture. Compliance with other requirements is the exclusive responsibility of the Contractor. Include, if requested, signal fixtures, lights, graphics, Braille plates, and detail of mounting provisions.
 - 6. Design Information: Provide calculations verifying the following:
 - Adequacy of existing electrical provisions.
 - Adequacy of retained equipment relative to code requirements if car weight increased by more than 5%.

Machine room heat emissions in B.T.U.

Adequacy of existing retained elevator machine beams.

Adequacy of existing car platform structure for intended loading.

- B. Submittals for each section shall be submitted individually, complete, and all at one time. **Partial submittals will not be considered.** The data shall be arraigned and indexed under basic categories in order of the Specification Sections. An index shall be included with bookmarks and identifying tabs between sections and references to sections of specifications.
- C. Identify Project, Contractor, subcontractor or supplier; pertinent contract drawings sheet and detail numbers and specification section number and location in Work.
- D. Apply Contractor's stamp, signed, certifying that review, verification of products required, field dimensions, adjacent construction Work and coordination of information is in accordance with the requirements of the Work and the Contract Documents.

- E. Identify variations from Contract Documents and product or system limitations which may be detrimental to successful performance of the completed Work.
- F. The Contractor shall perform no portion of the Work for which the Contract documents require submittal and review of Shop Drawings, product data, Samples, Qualifications, or similar submittals until the respective submittal has been approved by the Owner.
- G. Contractor required to submit required resubmittals within 7 days.

1.2 SHOP DRAWINGS AND PRODUCT DATA, CERTIFICATES, INSTRUCTIONS

- A. Submittal copy size for most submittals shall be 8-½" by 11" or 8-½" by 14" Copy size for electrical and design drawings shall be 11"x17" minimum and 24"x36" maximum.
- B. Mark each copy to identify applicable products, details, models, options and other project specific data.
- C. Supplement manufacturer's standard data to provide information unique to this project. Show reference standards, performance characteristics and capacities, electrical characteristics, wiring and piping diagrams and controls, component parts finishes, dimensions, details and required clearances.

1.3 SAMPLES

- A Submit one full set of samples to the Owner and Consultant to review, select, and retain. Provide additional sets of samples as needed for return to Contractor. Contractor shall keep one set of samples at the project site for on site reference. Include identification on each sample with full project information.
- B. Submit samples to illustrate functional and aesthetic characteristics of the product with integral parts and attachment devices. Coordinate samples submittals for interfacing work.
- C. Submit samples of finishes from the full range of manufacturer's standard colors, textures and patterns or in custom finishes if specified, for Owner's selection.
- D. Following Owner final selection, provide a large (minimum of 5"x8") sample of the selected finish(s) for Owner's records.

1.4 SUBSTITUTIONS

A. No items will be considered for Substitution, or for equal approval prior to Bid

Opening. No request will be considered from sub-bidders or suppliers directly. To be considered, requests for Substitution should conform to this Section.

- B. In connection with the use of any substitute item approved by the Owner, it shall be in the Contractor's responsibility to see that such items meet all space requirements, and that any alterations to connecting items necessitated by use of the alternate items are properly made, at no increase in cost to the Owner.
- C. Specific reference in the specifications to any article, device, product, materials, form or type of construction, etc., by name, make or catalog number, shall be interpreted as establishing a standard of quality and/or color and shall not be construed as limiting competition.
- D. In making a request for substitution, Contractor represents:
 - He has personally investigated proposed product or method, and determined that: It is equal or superior in all aspects to that specified; and that any significant variation between the product specified and the proposed product that would affect the use of product, operation of building systems, or exposed appearance of product has been identified to the Owner.
 - 2. He will provide the same guarantee for substitution as for product or method specified and that he waives all claims for additional costs related to substitution which consequently becomes apparent.
 - 3. He will coordinate installation of accepted substitution as for product or method specified.

E. Substitution review:

- 1. Owner will be sole judge of acceptability of any proposed substitution and only approved substitutions may be used on Contract Work.
- 2. Each request for substitution approval shall include:
 - a. The identity of product for which substitution is requested, identity of substitution and quality comparison of proposed substitution with specified product.
 - b. Changes required in other work because of substitution and effect on construction progress schedule.
 - c. Availability of local (Anchorage, Alaska) maintenance service and source of replacement materials.

1.5 CERTIFIED PAYROLL

- A. The Contractor shall provide one copy of all certified payrolls which are submitted to the State Department of Labor to the Owner for review.
 - 1. Certified payrolls shall be submitted weekly while work is proceeding for all Contractor and subcontractor personnel on site.
 - 2. At completion of each subcontractor's on-site work, a final certified payroll shall be submitted with the wording "FINAL" denoted on it.
- PART 2 PRODUCTS Not Used.
- PART 3 EXECUTION Not Used.

END OF SECTION 01300

SECTION 01310 PROJECT SCHEDULES

PART 1 GENERAL

1.01 DESCRIPTION

- A. Work Included: To assure adequate planning and execution of the Work so that the Work is completed within the number of calendar days allowed in the Contract and to assist the Owner in appraising the reasonableness of the proposed schedule and in evaluating progress of the Work, prepare and maintain the schedules and report described under this Section.
- B. Definitions: "Day" used throughout this section, unless otherwise stated, means "calendar day".

1.02 FORMAT

- A. Prepare network analysis system using the critical path method, as outlined in the Associated General Contractors of America (AGC) publication The Use of CPM in Construction A Manual for General Contractors.
- B. Scheduling Software to be used shall be either: Microsoft "Project" or Primavera "Suretrak, Project Manager for Windows. No substitutions allowed.
- C. Schedule shall be of sufficient detail to show the actual detail of all Work to be performed. Schedule to include identification of long lead items and anticipated delivery times as well as all milestones.
- D. Schedule shall be formatted in calendar days.

1.03 SUBMITTALS

- A. Submit preliminary progress schedule within 14 days after the Notice of Award for review; comment; revision process.
- B. Upon Owner's review of preliminary, revised, and Final progress schedules, resubmit schedule incorporating Owner's comments within 4 days.
- Continue Progress Schedule submittal/revision process until a Final Progress Schedule is agreed upon.
- D. Submit Final Project Schedule 3 weeks prior to the beginning of Work On-Site. Provide updates to schedule as needed to maintain schedule accuracy.

- E. Submit Progress Schedule with Pay Request.
- F. For each required submittal provide two paper copies of the Bar Chart and Activity Report, and transmit via e-mail, the updated electronic schedule in software program used.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

Not Used.

END OF SECTION 01310

SECTION 01450 SAFETY PROCEDURES

PART 1 GENERAL

1.01 PRELIMINARY WORK

A. Prior to start of and during course of the Work (above and below ground), Contractor shall make a thorough survey of entire work site to determine all potential hazards. Workmen shall be made aware of those hazards and shall be instructed in procedures and use of equipment for their protection. Contractor shall verify location and condition (live or dead) of all utilities on and near worksite and take precautions to protect his employees, general public, and property.

1.02 IMMINENT DANGER

A. Contractor shall be wholly responsible for any accidents (including death) occurring at any time during progress of Work and until final acceptance of Work by Owner, which may happen to any of his workmen or those of any Subcontractor employed on the project, or for any damage or injuries (including death) which his work and operations may cause to Work being constructed, or to existing buildings, or to any tenants and occupants of property, or of adjoining properties, or to public, or to any public or private property.

1.03 SAFETY

- A. Contractor shall ensure that all employees, visitors, subcontractors' employees, and suppliers' employees, while on worksite, comply with requirements of OSHA, these requirements and safety precautions contained in several specifications sections. Contractor shall promptly and fully comply with, execute, and without separate charge thereof to Owner, shall enforce compliance with provisions of the latest issue of the Alaska Department of Labor Occupational Safety and Health Standards.
- B. Contractor shall immediately advise Owner of inspections conducted by OSHA at worksite, and shall transmit copies of citations and violations to Owner.

1.04 CONTRACTOR'S SAFETY PROGRAM / SUBMITTAL

A. A safety program shall be submitted in writing to Owner for review, 14 days prior to issuance of Notice to Proceed with Work on Site. Proposed safety program shall include name, experience, and qualifications of Contractor's proposed Safety Supervisor. Implementation and enforcement of safety program for forces of Contractor and all Subcontractors shall be the responsibility of Contractor.

- B. Responsibilities of Safety Personnel For each of the responsibilities named below, Contractor shall list name and title of responsible individual, scope of his authority, title of person he reports to, and outside duties assigned to him.
 - 1. Safety Program execution responsibility.
 - 2. Worksite Inspection responsibility.
 - 3. Worksite first aid medical treatment responsibility and emergency first aid program.

C. Safety Program shall include

- Accident Prevention including indoctrination and safety education of new employees; Worksite Inspections -- Scope and Frequency; Employee Protective Devices including personal devices required and available, safety devices required and available.
- 2. Protection of Public, including pedestrian control, traffic control, and protective devices available.
- 3. Accident Procedures including Doctor/Hospital arrangements emergency and non-emergency; Worksite Accident Devices: First aid supplies, substitute ambulance, other; Accident investigation and paperwork handling.
- 4. Subcontractor Safety including responsibility for subcontractor safety; inclusion of safety program in subcontract; specific requirements of subcontractor to promote safety and health.
- 5. Other Safety and Health Features of Program including site conditions/security, housekeeping procedures and security responsibilities and procedures.
- 6. Health Facilities and Concerns: Changing rooms, adverse weather plans, other.
- 7. Other loss control procedures to be used beyond specification minimum requirements, and as required in Contract Documents.

PART 2 PRODUCTS - Not Used

PART 3 EXECUTION -Not Used

END OF SECTION 01450

SECTION 01500 TEMPORARY FACILITIES AND CONTROLS

PART 1 GENERAL

1.1 RELATED REQUIREMENTS SPECIFIED ELSEWHERE

- A. General and Supplementary Conditions
- B. Section 1140 Work Restrictions

1.2 DESCRIPTION

- A. Work included Temporary facilities and controls required for this work include, but are not necessarily limited to:
 - 1. Temporary utilities.
 - 2. Enclosures such as tarpaulins, barricades, and canopies.
 - 3. Fire protection.
 - 4. Temporary hoisting facilities
 - 5. Traffic maintenance and control.
 - 6. Add temporary barricades at openings during site work.

1.2 PRODUCT HANDLING

- A. Protection Use all means necessary to maintain temporary facilities and controls in proper and safe condition throughout progress of the work.
- B. Replacements In the event of loss or damage, immediately make all repairs and replacements necessary at no additional cost to the Owner.

PART 2 PRODUCTS

2.1 UTILITIES

- A. Temporary Utilities: Provide and pay all costs for telephone required for performance of the Work unless otherwise noted below. The Owner will pay for reasonable utility costs for natural gas, electricity, water and sewer.
 - 1. Temporary water: Contractor may use on-site water supply and shall protect from freezing. Provide required connections and extend system to work area. Upon completion of the work, remove all such temporary piping.
 - 2. Temporary electricity:
 - a. Furnish and install all necessary temporary wiring and associated equipment. Upon completion of the work, remove all temporary wiring.

b. Ascertain where electrical service is available; provide required connections and extend system to work area.

2.2 TEMPORARY SANITARY FACILITIES

A. Only the employee restrooms on the east and west end of the first floor adjacent to the secure hallways may be used. Contractor shall clean the toilets used by their personnel on a daily basis prior to 7:00 A.M. The flooring outside of construction areas shall be kept clean and protected from construction materials and debris. No additional cleaning by janitorial personnel will be provided without charge to the Contractor. If additional cleaning by janitorial personnel is required due to Contractor's use of toilets after hours, the Contractor will be charged.

2.3 ENCLOSURES AND BARRICADES

- A. Furnish, install, maintain, throughout the duration of construction, all required barricades, scaffolds, enclosures, warning signs, and other temporary construction necessary for proper completion of the Work in compliance with all pertinent safety regulations, other regulations, and Manufactures Installation Recommendations.
- B. Provide barriers and signage required to prevent public and ACS employee entry to the construction; staging; and storage areas and to protect adjacent areas from damage by construction operations.
- C. Provide barriers; temporary doors with secure locks; and signage as needed to prevent public entry to the construction; staging; secure and storage areas.
 - 1. The Contractor shall provide signage and barriers at the elevator(s) taken out of service during the Modernization project.
 - The Contractor shall provide and maintain signage and barriers around all material storage and equipment at any interior and exterior staging areas. Barriers must allow public access at public sidewalks, building parking areas, public and secured entries.
 - 3. The Contractor shall provide and maintain signage, fencing and barriers as needed to protect public from overhead hazards from cranes and lifting equipment while equipment is in operation.
 - 4. The Contractor shall provide facility wide barriers and signage as necessary to allow normal, safe, and secure business operation.

2.4 TEMPORARY FIRE PROTECTION

- A. Provide fire protection equipment during entire construction period as required by authority having jurisdiction.
- B. Provide and maintain necessary facilities and equipment to safeguard project against fire damage.

2.5 TEMPORARY HEAT AND VENTILATION

- A. Provide temporary heat, fuel and services as necessary to protect all Work and materials against injury and damage from dampness and cold until final acceptance of all Work and material in the Contract.
- B. Provide temporary heat and ventilation throughout enclosed construction area to maintain existing ambient temperatures and humidity levels at occupied areas of building, and to provide adequate ventilation to meet health regulations for safe working environment.
- C. Maintain ventilated areas in clean condition to avoid undue circulation of dust and air-borne particles.

2.6 TRAFFIC MAINTENANCE CONTROL

A. Whenever Contractor's operations affect public vehicular or pedestrian traffic, Contractor shall be responsible for installation and maintenance of any and all traffic control devices as deemed necessary by authority having jurisdiction.

PART 3 EXECUTION

3.1 REMOVAL

A. Maintain all temporary facilities and controls as long as needed for safe and proper completion of work. Remove all such temporary facilities and controls as rapidly as progress of the work will permit, or as directed by Owner. Clean and repair damage caused by temporary installations or use of temporary facilities. Restore existing facilities used for temporary services to specified or original condition.

END OF SECTION 01500

SECTION 01600 Materials and Handling

Part 1 - GENERAL

1.1 Site condition inspection

- A. Prior to beginning installation of equipment, examine hoistway and machine room areas. Verify no irregularities exist which affect execution of work specified.
- B. Do not proceed with installation until work in place conforms to project requirements.

1.2 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver material in Contractor's original, unopened protective packaging.
- B. Store material in original protective packaging. Prevent soiling, physical damage, or moisture damage.
- C. Protect equipment and exposed finishes from damage and stains during transportation, erection, and construction.
- D. Allocate available site storage areas and coordinate their use with Owner and other Contractors.
- E. Provide suitable temporary weather-tight storage facilities as may be required for materials which will be stored in the open.

1.3 INSTALLATION REQUIREMENTS

- A. Install all equipment in accordance with Contractor's instructions, referenced codes, specification, and approved submittals.
- B. Install machine room equipment with clearances in accordance with referenced codes and specification.
- C. Install all equipment so it may be easily removed for maintenance and repair.
- D. Install all equipment for ease of maintenance.
- E. Install all equipment to afford maximum accessibility, safety, and continuity of operation.
- F. Remove oil, grease, scale, and other foreign matter from the following equipment and apply one coat of field-applied machinery enamel.
 - 1. All exposed equipment and metal work installed as part of this work which does not have architectural finish.

- - 2. Machine room equipment, hoistway equipment including guide rail brackets and pit equipment.
 - 3. Hoistway equipment including guide rails, guide rail brackets, and pit equipment.
 - 4. Neatly touch up damaged factory-painted surfaces with original paint color. Protect machine-finish surfaces against corrosion.

1.4 MANUFACTURER'S NAMEPLATES

- A. Manufacturer's name plates and other identifying markings shall not be affixed on surfaces exposed to public view. This requirement does not apply to Underwriter's Laboratories and code required labels.
- B. Each major component of mechanical and electrical equipment shall have identification plate with the Manufacturer's name, address, model number, rating, and any other information required by governing codes.

1.5 COLORS OF FACTORY-FINISHED EQUIPMENT

- A. All colors will be selected from the Manufacturer's standard range unless custom colors are specified herein.
- B. Submit samples of all standard colors available and/or specified custom colors for review and approval. See Section 01300, Submittals
- C. Submit samples of all specified architectural metals specified for review and approval. See Section 01300, Submittals.

1.6 MATERIALS AND FINISHES

A. Steel:

- 1. Sheet Steel (Furniture Steel for Exposed Work): Stretcher-leveled, cold-rolled, commercial quality carbon steel, complying with ASTM A366, matte finish.
- 2. Sheet Steel (for Unexposed Work): Hot-rolled, commercial quality carbon steel, pickled and oiled, complying with ASTM A568/A568M-03.
- 3. Structural Steel Shapes and Plates: ASTM A36.
- B. Stainless Steel: Type 302 or 304 complying with ASTM A240, with standard tempers and hardness required for fabrication, strength and durability. Apply mechanical finish on fabricated work in the locations shown or specified, Federal Standard and NAAMM nomenclature, with texture and reflectivity required to match Architect's sample. Protect with adhesive paper covering.
 - 1. No. 4 Satin: Directional polish finish. Graining directions as shown or, if not shown, in longest dimension.
 - 2. No. 8 Mirror: Reflective polish finish with no visible graining.
 - 3. Textured: Approved finish with .050 inches mean pattern depth with bright directional polish (satin finish).
 - 4. Burnished: Non-directional, random abrasion pattern.
- C. Aluminum: Extrusions per ASTM B221; sheet and plate per ASTM B209.
- D. Plastic Laminate: ASTM E84 Class A and NEMA LD3.1, Fire-Rated Grade (GP-50), Type 7, 0.050" ±.005" thick, color and texture as follows:
 - 1. Exposed Surfaces: Color and texture selected by Architect.

- 2. Concealed Surfaces: Contractor's standard color and finish.
- E. Fire-Retardant Treated Particle Board Panels: Minimum 3/4" thick backup for natural finished wood and plastic laminate veneered panels, edged and faced as shown, provided with suitable anti-warp backing; meet ASTM E84 Class "I" rating with a flame-spread rating of 25 or less, registered with local authorities for elevator finish materials.
- F. Paint: Clean exposed metal parts and assemblies of oil, grease, scale, and other foreign matter and factory paint one shop coat of standard rust-resistant primer. After erection, provide one finish coat of industrial enamel paint. Galvanized metal need not be painted.
- G. Refinishing of natural metals: Remove existing protective finish. Buff as necessary to remove scratches. Regrain or finish as specified and protect as indicated for particular metal type.
- H. Entrance Support Equipment within Hoistway: Include strut angles, headers, sill support angles, fascia, hanger covers, etc. Clean, remove, and check for corrosive activity. Replace components that exhibit severe deterioration. Tighten all fastenings. Repaint exposed surfaces with two coats of rust preventive primer.

END OF SECTION

SECTION 01710 CLEANING

PART 1 GENERAL

1.1 GENERAL

- A. Work included: Throughout the construction period, maintain the project site where Work is carried out in a standard of cleanliness as described in this section.
- B. Related Work described elsewhere: In addition to standards described in this section, comply with all requirements for cleaning as described in other various sections of the Contract Documents.

1.2 QUALITY ASSURANCE

- A. Inspection: Conduct daily inspections and more often if necessary, to verify that requirements of cleanliness are being met.
- B. Codes and Standards: In addition to the standard described in this section, comply with all pertinent requirements of governmental agencies having jurisdiction.

PART 2 PRODUCTS

2.1 CLEANING MATERIALS AND EQUIPMENT

A. Provide all required personnel, equipment, and materials needed to maintain specified standard of cleanliness.

PART 3 EXECUTION

3.1 PROGRESS CLEANING

- A. General: Do not allow the accumulation of scrap, debris, waste material, and other items not required for construction of this Work or caused as a result of the Work. Provide adequate storage for all items, awaiting removal from the job site, observing all requirements for fire prevention and protection of the ecology.
 - Retain all stored items in an orderly arrangement allowing maximum access. Do not impede drainage or traffic and provide required protection of materials.
 - 2. Weekly, and more often if necessary, inspect all arrangements of materials stored on the site; restack, tidy or otherwise service.
 - 3. Maintain the interior and exterior areas of Work in a neat and orderly condition at all times to the satisfaction of the Owner.

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- 4. Construction activities shall be monitored on a daily basis to determine if tracking of dirt and debris from construction areas onto the adjacent areas, floors, desks, office furniture or equipment has occurred. Any cleanup necessary, including sweeping, vacuuming, dusting, or stain removal, shall be accomplished on a daily basis by the Contractor. Washing dirt and debris into the storm drains is not permitted.
- 5. If additional cleaning by janitorial personnel is required due to contractor related construction activities the contractor will be charged.

B. Dust Control:

- Maintain continuous cleaning and wetting procedures to control dust pollution at the project site and haul routes as required by governing authorities and Contract Documents. Use power sweepers for street cleaning. Schedule cleaning so that resultant dust and contaminants will not fall on newly coated surfaces.
- 2. Prevent dust and particle infiltration into diffusers, vents and ductwork to remain, and to above ceiling plenum areas. Contain all dust and debris within project areas, removed from occupied spaces. Provide cleaning as needed to control and contain dust.
- 3. Any additional cleaning deemed necessary by the Owner shall be provided by the Contractor as soon as requested.
- C. Clean toilet facilities provided by Owner and used by Contractor on a daily basis, after completion of daily work shift. If additional cleaning by janitorial personnel is required due to Contractor's use of toilets after hours, the Contractor will be charged.

3.2 CLOSEOUT CLEANING

- A. Execute prior to Substantial Completion.
- B. Employ skilled workmen for final cleaning.
- C. Elevator hoistways and all equipment therein shall be cleaned and left free of rust, filings, welding slag, rubbish, loose plaster, mortar drippings, extraneous construction materials, dirt, and dust. Include walls, building beams, sill ledges, and hoistway divider beams.
- D. Fully clean all project work areas, project storage, staging and transport areas.
- E. Remove grease, mastic, adhesives, dust, dirt, stains, fingerprints, temporary labels, protection films, and other foreign matter from sight exposed interior and exterior surfaces.

- F. Clean all interior and exterior surfaces exposed to view. Polish wood, clean transparent and glossy surfaces, vacuum carpeted and soft surfaces, clean hard flooring surfaces as per manufacturer recommendations. Clean equipment and fixtures to a sanitary condition.
- D. Hose clean exterior paved surfaces at material and equipment storage locations.
- E. Clean all light fixture and lenses of dust and dirt. Clean or replace filters on mechanical equipment.
- F. As necessary and as may be directed by Owner, clean elevator hoistway, grilles, louvers, dampers of all dust and dirt.

END OF SECTION 01710

SECTION 01720 PROJECT RECORD DRAWINGS

PART 1 GENERAL

1.1 MAINTENANCE OF DOCUMENTS AND SAMPLES

- A. In addition to requirements in General Conditions, maintain at the site for Owner one record copy of:
 - 1. Contract Drawings, Specifications and Addenda
 - 2. Change Orders and other modifications to the Contract.
 - 3. Approved shop drawings, product data, manufacturer's certificates and samples.
 - 4. Copies of Permits, Approvals, and Inspection Certificates.
 - 5. RFIs and Field Memos
- B. Store record documents and samples in the field office apart from the document used for construction.
- C. Label and file record documents and samples in accordance with section number listings in Table of Contents of this Project Manual. Label each document "PROJECT RECORD" in neat, large, printed letters.
- D. Maintain record documents in a clean, dry and legible condition. Do not use record documents for construction purposes.
- E. Keep record documents and samples available for inspection by the Architect/Engineer and Owner.

1.2 RECORDING

- A. Record information on a set of blue line opaque Drawings.
- B. Record information concurrently with construction progress. Do not conceal any work until required information is recorded.
- C. Contract Drawings and Shop Drawings: Legibly mark each item to record actual construction, including:
 - 1. Measured locations of internal utilities and appurtenances concealed in construction, referenced to visible and accessible features of construction.
 - 2. Field changes of dimensions and detail.

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- Changes made by modifications; Field orders; Design Clarification Verification Request (DCVR's); Information Bulletin's (IB's); Change Orders.
- 4. Clarifications, verifications, or annotations to drawings made by Requests for Information.
- 5. Details not on original Contract Drawings.
- 6. References to related Shop Drawings and modifications.
- D. Specifications: Legibly mark each item to record actual construction, including:
 - 1. Changes made by modifications; Field orders; Design Clarification Verification Request (DCVR's); Information Bulletin's (IB's); Change Orders.
 - 2. Manufacturer, trade name and catalog number of each product actually installed particularly optional items and substitute items.
 - 3. Changes made by addenda, RFIs, substitution approvals, and other modifications.
 - 4. Other matter not ordinarily specified.
- E. Other Documents: Maintain manufacturer certifications, inspection certifications, field test records, required by individual specification sections.
- F. Keep record documents current. No progress payments will be made until record documents are verified by the Owner as being current.

1.3 SUBMITTALS

- A. At Contract closeout, deliver record documents/samples for Owner review and approval prior to final pay request under provisions of Section 01740.
- B. Transmit with cover letter in duplicate, listing:
 - 1. Date.
 - 2. Project title and number.
 - Contractor's name, address and telephone number.
 - 4. Title and number of each record document.
 - 5. Certification that each document as submitted is complete and accurate.
 - 6. Signature of Contractor, subcontractor if applicable, or authorized representative.

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

Not Used

END OF SECTION 01720

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SECTION 01730 OPERATIONS AND MAINTENANCE MANUALS

PART 1 GENERAL - Not Used.

PART 2 PRODUCTS

2.1 MANUALS

- A. Provide three sets of neatly bound written information necessary for proper maintenance and adjustment of equipment within thirty days following final acceptance. Final retention will be withheld until data is received and approved by the Purchaser and Consultant. Include the following as minimums:
 - 1. Straight-line wiring diagrams of "as-installed" elevator circuits with index of location and function of components. Provide one set reproducible master. Mount one set wiring diagrams on panels, racked, or similarly protected, in elevator machine room. Provide remaining set rolled and in a protective drawing tube. Maintain all drawing sets with addition of all subsequent changes. These diagrams are Purchaser's property. A legend sheet shall be furnished with each set of drawings to provide the following information:
 - a. Name and symbol of each relay, switch, or other apparatus.
 - b. Location on drawings, drawing sheet number and area, and location of all contacts.
 - c. Location of apparatus, whether on controller or on car.
 - 2. Printed instructions explaining all operating features.
 - 3. Complete software documentation for all installed equipment.
 - 4. Lubrication instructions including recommended grade of lubricants.
 - 5. Parts catalogs for all replaceable parts including Contractor's identifying numbers, ordering forms, and instructions.
 - 6. Four sets of keys for all switches and control features properly tagged and marked.
 - 7. Diagnostic test devices together with all supporting information necessary for interpretation of test data and troubleshooting of elevator system, and performance of routine safety tests.
 - 8. The elevator installation shall be a design which can be maintained by any licensed elevator maintenance company employing journeymen mechanics, without the need to purchase or lease additional diagnostic devices, special tools, or instructions from the original equipment Contractor.
 - a. Provide onsite capability to diagnose faults to the level of individual circuit boards and individual discrete components for the solid state elevator controller.

- b. Provide a separate, detachable device, as required to the Purchaser as part of this installation if the equipment for fault diagnosis is not completely self-contained within the controller. Such device shall be in possession of and become property of the Purchaser.
- c. Installed equipment not meeting this requirement shall be removed and replaced with conforming equipment at no cost to the Purchaser.
- 9. Provide upgrades and/or revisions of software during the progress of the work, warranty period, and the term of the ongoing maintenance agreement between the Purchaser and Contractor.
- 10. Prepare and include in manuals, operating and/or maintenance instructions for all equipment and/or materials that will require any adjustment, servicing, or attention for its proper operation or use.
- B. Acceptance of such records by Purchaser/Consultant shall not be a waiver of any Contractor deviation from Contract Documents or shop drawings or in any way relieve Contractor from his responsibility to perform work in accordance with Contract Documents.

2.2 MANUAL CONTENT, GENERAL

- A. Neatly typewritten table of contents for each volume, arranged in systematic order.
- B. List:
 - 1. Contractor, address and telephone number.
 - 2. Each product including name, address and telephone number of subcontractor or installer, recommended maintenance contractor, and local source of replacement parts or materials. Product name and other identifying symbols as set forth in Contract Documents.
 - 3. Product Data:
 - a. Include only those sheets which are pertinent to specific product.
 - b. Annotate each sheet to clearly identify specific product or part installed, and clearly identify data applicable to installation.
 - 4. Drawings: Supplement product data with Drawings where necessary to clearly illustrate relations of component parts, scope and configuration of materials, and control and flow diagrams.
 - 5. Warranties, Bonds and Maintenance Contracts:

- a. Provide notarized copies of all warranties. Assemble executed copies of warranties and bonds in order of specification section.
- b. For equipment put into use with the Owner's permission during construction, submit warranty within ten (10) days after first operation. For items of work delayed materially beyond Date of Substantial Completion, provide updated submittal within ten (10) days after acceptance, listing date of acceptance as start of warranty period.
- c. Include proper procedures in event of failure, and instances which might affect validity of warranties, bonds or Contracts.

2.3 MANUAL FOR ARCHITECTURAL MATERIALS AND FINISHES

- A. Submit all operation and maintenance information as specified as well as all Manufactures Data for all materials in all Divisions.
 - 1. Information to include but not be limited to:
 - a. Catalog number, size, profile, configuration and composition.
 - b. Color, pattern, style, and texture designations.
 - c. Recommended cleaning materials and methods, including cautions against detrimental cleaning materials and methods.
 - d. Instructions for adjusting and operating building hardware, systems and components.
 - e. Recommended cleaning and maintenance schedule.
 - f. Sample of each finish material including but not limited to wood trim; wood base; wood veneers, wall paint, rubber base; plastic laminates, solid surface, stair noising, wood panel system.

2.4 MANUALS FOR MECHANICAL & ELECTRICAL EQUIPMENT AND SYSTEMS

- A. Submit operation and maintenance information for the following:
 - 1. Conveying Systems Elevators and equipment within Division 14.
 - 2. Mechanical equipment within Division 15.
 - 3. Electrical equipment within Division 16.

PART 3 EXECUTION

3.1 INSTRUCTION OF OWNER'S PERSONNEL

A. Prior to Substantial Completion, final acceptance, and payment, provide 3 hours of instruction to Owner's personnel in necessary operation, adjustment and maintenance of products, equipment and systems. Operation and Maintenance Manuals shall be the basis of instruction. Contractor shall provide written documentation at the completion of this Instruction.

END OF SECTION 01730

SECTION 01740 CONTRACT CLOSEOUT COMPLIANCE REVIEW

PART 1GENERAL

1.1 RELATED REQUIREMENTS SPECIFIED ELSEWHERE

- A. Section 01710 Cleaning.
- B. Section 01720 Project Record Drawings
- C. Section 01730 Operations and Maintenance Manuals

1.2 CONSULTANTS FINAL OBSERVATION AND REVIEW REQUIREMENTS / SUBSTANTIAL COMPLETION

- A. Review procedure shall apply for individual elevators, portions of groups of elevators and completed groups of elevators accepted on an interim basis, or elevators and groups of elevators completed, accepted, and placed in operation.
- B. Contractor shall perform review and evaluation of all aspects of its work prior to requesting Consultant's final review. Work shall be considered ready for Consultant's final contract compliance review when all Contractor's tests are complete and all elements of work or a designated portion thereof are in place and elevator or group of elevators are deemed ready for service as intended.
- C. Furnish labor, materials, and equipment necessary for Consultant's review. Notify Consultant five working days in advance when ready for final review of elevator or group of elevators.
- D. Consultant's written list of observed deficiencies of materials, equipment and operating systems will be submitted to Contractor for corrective action. Consultant's review shall include as a minimum:
- 1. Workmanship and equipment compliance with Contract Documents.
- 2. Contract speed, capacity, floor-to-floor, and door performance comply with Contract Documents.
- 3. Performance of following is satisfactory:
 - a. Starting, accelerating, running
 - b. Decelerating, stopping accuracy
 - c. Door operation and closing force
 - d. Equipment noise levels
 - e. Signal fixture utility
 - f. Overall ride quality
 - g. Performance of door control devices
 - h. Operations of emergency two-way communication device
 - i. Operations of firefighters' service
 - j. Operations of seismic devices
 - k. Operations of special security features and floor lock-off provisions
 - I. Operations of emergency brake device

4. Test Results:

a. In all test conditions, obtain specified contract speed, performance times, and stopping accuracy without re-leveling, and ride quality to satisfaction of

Purchaser and Consultant. Tests shall be conducted under both no load and full load condition.

- b. Temperature rise in motor windings limited to 50° Celsius above ambient. A full-capacity one-hour running test, stopping at each floor for ten seconds in up and down directions, may be required.
- E. Performance Guarantee: Should Consultant's review identify defects, poor workmanship, variance or noncompliance with requirements of specified codes and/or ordinances, or variance or noncompliance with the requirements of Contract Documents, Contractor shall complete corrective work in an expedient manner to satisfaction of Purchaser and Consultant at no cost as follows:
 - 1. Replace equipment which does not meet code or Contract Document requirements.
 - 2. Perform work and furnish labor, materials, and equipment necessary to meet specified operation and performance.
 - 3. Perform retesting required by governing code authority, Purchaser and Consultant.
- F. A follow-up final contract compliance review shall be performed by Consultant after notification by Contractor that all deficiencies have been corrected. Provide Consultant with copies of the initial deficiency report marked to indicate items which Contractor considers complete. If additional reviews are required due to Contractor's gross non-compliance with initial and follow-up deficiency reports, Consultant shall bill Contractor at normal billing rates plus expenses, and Contractor acknowledges it will pay for additional compliance reviews.

1.3 CONTRACTOR'S CLOSEOUT SUBMITTALS TO PROJECT MANAGER

- A. Wage Certification: Submit final payroll certificates and Department of Labor Notice of Completion and Wage Certification.
- B. Signed Warranty of Work After Final Payment form in this section.
- C. Fire Marshal's Certificate of Occupancy
- D. Extra Stock materials as specified elsewhere in these Specifications. Additionally, provide Inventory Report of stock materials and salvaged materials and equipment. Receipt and approval of inventory by Project Manager to be recorded on Inventory Report.
- E. Operation and Maintenance Manuals in accordance with Section 01730.
- F. Project Record Documents in accordance with Section 01720.
- G. Executed Warranties: Sections 01749.6
- H. Completed Test Results: As required by the (AHJ) Authority Having Jurisdiction.

1.4 EVIDENCE OF PAYMENTS AND RELEASE OF LIENS

A. Contractor shall submit to the Project Manager the following items, duly signed and executed (forms attached):

- 1. Consent of Surety Company to Final Payment
- 2. Contractor's Affidavit of Payment of Debt and Claims
- 3. Contractor's Affidavit of Release of Liens
- 4. Complete and legally effective releases or waivers signed by each of Contractor's direct subcontractors and direct material suppliers, and all persons who have notified Contractor or Owner of a right to make a claim under AS 36.35.020.
- 5. Certificate of Compliance form in this section.

END OF SECTION

(Except for Sample Forms listed below:
Consent of Surety Company to Final Payment
Contractor's Affidavit of Payment of Debts and Claims and Release of Liens Against Payment Bond
Certificate of Compliance
Warranty of Work After Final Payment)

CERTIFICATE OF SUBSTANTIAL COMPLETION

Project: Nesbett Elevator Moderniz	Project # ANC-C-19-0011		
TO OWNER: Alaska Court System	CONSULTANT: Lerch Bates	CONTRACTOR:	
820 W. 4 th Avenue Anchorage, AK 99501	19515 North Creek Parkway, Suite 304 Bothell, WA 98011		
WORK ON THE ABOVE PROJECT Elevator(s) Modernization.			
the Work is the date determined by t accordance with, and as defined in,	he Owner when the		
CERTIFICATION BY CONTRACTOR			
I certify that the Work is substantic contract documents. A list of items and the Architect, and appended he alter the responsibility of the understant.	ally complete, in act to be completed or correto. The failure to igned to complete the ment of warranties to the complete the corrections.	cordance with, and as defined in, the corrected will be prepared by the Owner include any items on such list does not be Work in accordance with the contract for items on the attached list will be the	
CONTRACTOR:		For	
(Signa	ture)	(Company)	
		Data	
(Name	Printed)	Date	
CERTIFICATION BY ARCHITECT C I certify that I have inspected the V accordance with, and as defined in,	Vork and certify tha	t the Work is substantially complete, in	
CONSULTANT:		For Lerch Bates	
(Signation	ture)	(Company)	
	<i>,</i>	Date	
(Name	Printed)		
CERTIFICATION BY PROJECT MA I certify that I have inspected the V accordance with, and as defined in,	Vork and certify tha	t the Work is substantially complete, in	
PROJECT MANAGER:		For ALASKA COURT	
SYSTEM (Signar	ture)	(Company)	
		Date	
(Name	Printed)		

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DATE OF SUBSTANTIAL COMPLETION:

Owner here hereby estated for all areas	eby accepts the ablished as s and componer		ally complete.	The date of	substan	tial com	pletion is
of the Work		tain all insurance,	ncluding prop	berty insuran	ice, unui	iinai ad	ceptance
OWNER:	ALA:	SKA COURT SYST	EM		Date		
The respondamage	nsibilities of the to the	Owner and the C	ontractor for insurance		aintenand be	ce, heat as	t, utilities,

CONSENT OF SURETY COMPANY TO FINAL PAYMENT 01740.2

Project: Nesbett Elevator Mode	rnization	Project # ANC-C-19-0011	
	Contract Date:		
TO OWNER: Alaska Court System 820 W. 4 th Avenue Anchorage, AK 99501	CONTRACTOR:		
In accordance with the provision on above, the	of the Agreement between O	wner and the Contractor as indicated	
	Surety Company		
on bond of Contractor	carety company		
	Contractor		
HEREBY APPROVES OF THE F to the Contractor shall not relieve		ractor, and agrees that final payment yof its obligations to	
AL	ASKA COURT SYSTEM, O	WNER	
	persons supplying labor or m	oressly agrees that any and all valid aterials to the project will be satisfied	
	EOF, the Surety Company h	nas hereunto set its hand	
	Surety Company		
Attest:	Signature of Authorized	I Poprosontativo	
(Seal):	•	·	
	Title:		

CONTRACTOR'S AFFIDAVIT OF PAYMENT OF DEBTS AND CLAIMS AND RELEASE LIENS AND CLAIMS AGAINST PAYMENT BOND

Pro	ject: Nesbett Elevator Modernization				No.:
				Contract Date:	
Ala: 820	OWNER: ska Court System W. 4 th Avenue shorage, AK 99501		CONTRACTOR	<i>:</i>	
Let said in e their their mates of the control of their subsections.	te of: ALASKA it be known that the said deponent is d d company and/or corporation; that dep very particular by said contractor and t re are no bills remaining unpaid for labor re are no suits pending against the un rerials furnished or otherwise under sa mitted to the Owner simultaneously with ner on account of said contract or oth rate as a full and final release and dis tractor under the above contract. Depo- re from the date of this release as spell	onent knows on that all parts of or, material, or ndersigned as aid contract. If the making erwise, and the charge of the onent further age	of his own knowled the work have be otherwise, in co- contractor or an Deponent further of this affidavit on the acceptance of Owner from any grees that all guar	edge that said contract has been approved by the Own nnection with said contract nyone in connection with says that the final estime constitutes all claims and of the sum specified in sa further claims, demands arantees under this contract	s been complied with ner's Engineers; that ct and work, and that the work done and nate which has been demands against the hid final estimate will or compensation by
The undersigned, in consideration of the final payment in the amount first mentioned above, hereby waives it right to claim against the payment bond for labor, services, or materials furnished through the date first mentioned above, to the Alaska Court System, for improvements to the project described above. This waiver does not cover any retention or any labor, services, or materials furnished after the date specified.					
	eptions: (If none, write "None." If requieach exception.)	red by the Ow	ner, the Contract	or shall furnish bond satis	sfactory to the Owner
SUPPORTING DOCUMENTS ATTACHED HERETO: 1. Consent of Surety to Final Payment. Whenever Surety is involved, Consent of Surety is required. Indicate attachment: (yes) (no)					
2.	Contractor's Release or Waiver of Claims, conditional upon receipt of final payment.				
3.	Complete and legally effective releases or waivers signed by each of Contractor's direct subcontractors and direct material suppliers, and all persons who have notified Contractor or Owner of a right to make a claim under AS 36.35.020,				
СО	NTRACTOR:		Address:		
BY: Sub	oscribed and sworn to before me this	day of	1	9	
Not	ary Public:				
Му	Commission Expires:				

CERTIFICATE OF COMPLIANCE

Nesbett Elevator Modernization Project # C-19-0011

No final payment shall be made until the CONTRACTOR shall file with the OWNER, prior to acceptance of the Work, a notarized Certificate of Compliance on the following form:

- A. The CONTRACTOR does hereby certify that all work has been performed and materials supplied in accordance with the DRAWINGS, SPECIFICATIONS, and Contract Documents for the above work, and that;
 - 1. No less than the prevailing rates of wages as ascertained by the governing body of the Contracting Agency has been paid to laborers, workmen and mechanics employed on this Work;
 - There have been no unauthorized substitutions of subcontractors; nor have any subcontracts been entered into without the names of the subcontractors having been submitted to the OWNER prior to the start of such subcontracted Work;
 - No subcontract was assigned or transferred or performed by any subcontractor other than the original subcontractor, without prior notice having been submitted to the OWNER together with the names of all subcontractors;
 - 4. All claims for material and labor and other service performed in the connection with these specifications have been paid.

/HEREOF, the undersigned has signed and sealed this instrument this, year

(SEAL IF BIDDER IS A CORPORATION)

As determined necessary, evidence of compliance may be required to be submitted with and made a part of this Certificate of Compliance.

WARRANTY OF WORK AFTER FINAL PAYMENT

Nesbett Courthouse Elevator Modernization Project # ANC-C-19-0011

Prior to final payment, the Contractor shall furnish to the Owner a Warranty of Work After Final Payment in the following form:

The CONTRACTOR does hereby warrant all work and materials to be in full and complete accordance with the Contract Documents and Agreement Between Owner and Contractor and requirements appertaining thereto; that all work and materials are free from any defects and imperfections and fully suitable for the use and purposes for which each and every part is intended. The contractor also agrees that, should any defect develop or appear which the Project Manager or Architect finds was not caused by improper use, the Contractor shall promptly, upon demand, fully correct, substitute and make good any such defective material, without any cost to the Owner, and will save the Owner harmless against any claim, demand, loss, or damage by reasons of any breach of this warranty.

This period of this warranty shall commence on the date of Final Acceptance of the Owner.

The warranty shall continue to be in full force and effect for the period of one year, except for those items for which a longer period of warranty is specifically stated in the Warranties for work in Technical Sections of the Specifications. Warranties for work stated in Technical Sections shall continue in full force and effect for the respective periods expressly stated.

	HEREOF, the undersigned has signed and sealed this instrument this, year
(Firm Name):	
(Signature):	
(Title):	
(Attest):	
(SEAL IF BIDD	ER IS A CORPORATION)

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SECTION 01800 - MAINTENANCE

Part 1 - GENERAL

1.1 WARRANTY MAINTENANCE

- A. Provide warranty maintenance and 24-hour emergency callback service for one year commencing on date of final acceptance by Purchaser. Examine, adjust, clean, and lubricate all equipment no less than monthly and keep a written log of Work in the machine room. These documents will become part of the Maintenance Control Plan (MCP). Repair or replace defective parts. Equipment shall be standard products of reputable manufacturers. Furnish equipment complete with all parts necessary for proper operation. Maintain elevator machine room, hoistway, and pit in clean condition.
- B. Response time for callback service:
 - During regular hours of regular working days of the Elevator Trade, Contractor shall arrive at Property within 60 minutes from time of notification of equipment problem or failure by Purchaser.
 - 2. After hours, Contractor shall arrive at Property within 120 minutes from time of notification of equipment problem or failure by Purchaser.
 - 3. During regular hours of regular working days of the Elevator Trade, Contractor shall arrive at Property in response to passenger entrapment calls within 30 minutes from time of notification by the entrapped or the Purchaser.
 - 4. After hours, Contractor shall arrive at Property in response to passenger entrapment calls within 60 minutes from time of notification by the entrapped or the Purchaser.
- C. Callback is defined as any request for service or assistance by Purchaser or Purchaser's representative when any unit is not available for beneficial usage due to equipment shutdown or malfunction.
- D. Removal of units from beneficial usage to facilitate Services shall be coordinated with and approved by the Purchaser unless removal is necessitated for emergency repair or emergency adjustment. Purchaser agrees to permit Contractor to remove units from service for a reasonable time during Normal Work Hours to perform Services.
- E. Use competent personnel, acceptable to the Purchaser, supervised and employed by Contractor.
- F. The warranty maintenance period specified above shall be extended one month for each three-month period in which equipment related failures average more than .25 per unit per month.

END OF SECTION

SECTION 01900 - RELATED WORK

PART 1 - GENERAL

1.1 RELATED WORK

- A. Hoistway and Pit:
 - 1. Cutting and patching walls and floors.
 - 2. Protect open hoistways and entrances during construction per OSHA Regulations.
 - 3. Protect car enclosure, hoistway entrance assemblies, and special metal finishes from damage.
 - 4. Hoistway venting smoke control per IBC 3004 requires louvers that are normally closed and open on power loss or fire alarm.
 - 5. Seal fireproofing to prevent flaking.
 - 6. Pit lighting must be minimum 10 ftc confirm and add additional lighting if required.
 - 7. Pit outlet must be GFCI A17.1.2.2.5.1 confirm and make additions if required.
 - 8. Pits with sprinklers must have NEMA 4 wiring identified for use in wet locations per NFPA 70.
 - 9. Modify hoistway fan supply to eliminate pressurization. Seal hoistway shaft to complete fire assembly.
- B. Machine Room and Machinery Spaces:

- 1. Machine room light switches are required in the elevator machine room. Make necessary modifications to control lights from the elevator machine room.
- 2. Retaining self-closing and locking access door with store room type lock is required.
- 3. Maintain minimum temperature of 55° F, maximum 90° F. Maintain maximum 80% relative humidity, non-condensing.
- 4. Class "ABC" fire extinguisher in each elevator machine room.
- 5. Seal fireproofing to prevent flaking.
- 6. Relocate fire sprinklers where required.
- 7. Relocate power connection of mainline disconnect to new controllers.
- 8. Machine room lighting must be minimum of 19 ftc A17.1.1.7.3.1
- 9. Car lighting overcurrent protection must be located in the machine room make necessary modifications.
- 10. Relocate as necessary main line disconnect power supply to meet code requirements.
- 11. Relocate fire control signals to new controllers.
- 12. Relocate car lighting circuit to new controllers.
- 13. Heat detectors for shunt trip activation must be monitored at fire control panel make necessary adjustments.
- 14. Minimum of 7'-0" overhead clearance in machine room A17.1.2.7.4.1.
- C. Electrical Service, Conductors, and Devices:

- 1. Lighting and GFCI convenience outlets in pit, machine room, are required make necessary adjustments.
- 2. Three-phase mainline copper power feeder with ground wire to terminals of each elevator controller in the machine room with protected lockable "open" disconnecting means.
- 3. Single-phase copper power feeder to each elevator controller for car lighting and exhaust blower with individual protected lockable "open" overcurrent protection and disconnecting means located in machine room.
- 4. Emergency telephone line to each individual elevator control panel in elevator machine room.
- 5. Fire alarm initiating devices in each elevator lobby, for each group of elevators or single elevator and each machine room to initiate firefighters' return feature. Device at top of hoistway if sprinklered. Provide alarm initiating signal wiring from hoistway or machine room connection point to elevator controller terminals. Device in machine room and at top of hoistway to provide signal for general alarm and discrete signal for Phase II firefighters' operation.
- 6. Means to automatically disconnect power to affected elevator drive unit and controller prior to activation of machine room fire sprinkler system and/or hoistway fire sprinkler system.
- 7. When sprinklers are provided in the hoistway all electrical equipment, located less than 4'-0" above the pit floor shall be identified for use in wet locations. Exception: Seismic protection devices.
- 8. Single-phase power feeder to elevator intercom amplifier in the elevator machine room.

D. Standby Power Provision:

- 1. Standby power of normal voltage characteristics via normal electrical feeders to run one elevator at a time and/or single elevator unit at full-rated car speed and capacity.
- 2. Conductor from auxiliary form "C" dry contacts, located in the standby power transfer switch to a designated elevator control panel. Provide a time delay of 30-45 seconds for pre-transfer signal in either direction.

- 3. Standby single-phase power to controller of designated elevator for car lighting and exhaust blower.
- 4. Standby power to machine room ventilation or air conditioning of designated elevator.

END OF SECTION

SECTION 14220 - ELECTRIC TRACTION ELEVATOR MODERNIZATION

Part 1 - GENERAL

1.1 WORK INCLUDED

- A. Two traction passenger elevators, car 1, and 2,
- B. One traction passengers/prisoner elevators 4
- C. One traction passenger/prisoner elevator 6
- D. All engineering, equipment, labor, and permits required to satisfactorily complete elevator modernization required by Contract Documents.
- E. Applicable conditions of General, Special, and Supplemental Conditions, Division 1, and all sections listed in Contract Documents "Table of Contents."
- F. Additional equipment or finishes furnished under other sections, installed under this section:
 - 1. In car Firefighters' telephone jacks
 - 2. CCTV system provisions
 - 3. Card reader security system provisions
 - 4. Car interior finishes elevators 1 and 2.
- G. Cartage and Hoisting: All required staging, hoisting, and movement to, on, and from the site including new equipment, reused equipment, or dismantling and removal of existing equipment.
- H. Unless specifically identified as "Reuse," "Retain," or "Refurbish," provide new equipment.
- I. Protective barriers between cars in normal operation and adjacent cars in the modernization process. Full depth and height of hoistway.
- J. Hoistway, pit, and machine room barricades as required.

1.2 RELATED WORK PROVIDED UNDER OTHER SECTIONS

A. See Section 01900, Related Work Provided Under Other Sections.

1.3 **DEFINITIONS**

- A. Terms used are defined in the latest edition of the Safety Code for Elevators and Escalators, ASME A17.1.
- B. Reference to a device or a part of the equipment applies to the number of devices or parts required to complete the installation.

C. Provisions of this specification are applicable to all elevators unless identified otherwise.

1.4 QUALITY ASSURANCE

- A. Approved Contractors:
 - 1. Geared Elevator: Otis, ThyssenKrupp.
 - 2. Gearless Elevator: Otis, ThyssenKrupp
 - 3. Car Interior: Quick cab, Snap cab or equivalent
- B. Compliance with Regulatory Agencies: See Section 01140, Work Restrictions.

C. Warranty:

- Material and workmanship of installation shall comply in every respect with Contract Documents. Correct defective material or workmanship which develops within one year from date of final acceptance of all work to satisfaction of Architect, Purchaser and Consultant at no additional cost, unless due to ordinary wear and tear or improper use or care by Purchaser.
- 2. Defective is defined to include, but not be limited to: Operation or control system failures, car performance below required minimum, excessive wear, unusual deterioration, or aging of materials or finishes, unsafe conditions, the need for excessive maintenance, abnormal noise, or vibration, and similar unsatisfactory conditions.
- Retained Equipment: All retained components, parts, and materials shall be cleaned, checked, modified, repaired, or replaced so each component and its parts are in like new operating condition. Retained equipment must be compatible for integration with new systems. All retained equipment shall be covered under the warranty provisions..
- 4. Make modifications, requirements, adjustments, and improvements to meet performance requirements of Sections 01710; 01740; and 14220.

1.5 DOCUMENT and site VERIFICATION

A. In order to discover and resolve conflicts or lack of definition which might create problems, Contractor must review Contract Documents and site conditions for compatibility with its product prior to submittal of quotation. Review existing structural, electrical, and mechanical provisions for compatibility with Contractor's products. Purchaser will not pay for change to structural, mechanical, electrical, or other systems required to accommodate Contractor's equipment.

1.6 SUBMITTALS

A. See Section 01300, Submittals, and Section 01740, Close Out

1.7 PERMIT, TEST AND INSPECTION

A. Obtain and pay for permit, license, and inspection fee necessary to complete installation. Verify compliance with MOA Electrical Plan Review Section with inspection division document R-10-14-14.

- B. Perform test required by Governing Authority in accordance with procedure described in ASME A17.2 Guide for Inspection of Elevators, Escalators, and Moving Walks in the presence of Authorized Representative.
- C. Supply personnel and equipment for test and final review by Consultant as required in Section 01740.

1.8 MAINTENANCE

A. Warranty Maintenance: See Section 01800, Maintenance, Article 1.2, A.

Part 2 - PRODUCTS

2.1 SUMMARY

A. Two Passenger Elevators: Unless specifically identified as "retain existing," provide new equipment.

Elevator 1, 2	Existing Equipment	Disposition
Capacity:	4,000 lbs.	Retain existing
Class Loading:	Passenger Class A	Retain existing
Contract Speed:	350 fpm	Retain existing
Roping:	1:1	Retain existing
Machine:	Geared	Recondition existing with new AC motor. ALTERNATE for new gearless machine
Machine Location:	Overhead	Retain existing
Operational Control:	Duplex relay logic	Duplex Selective collective microprocessor- based system
Motor Control:	AC variable voltage microprocessor-based with digital closed-loop feedback	AC variable voltage variable frequency microprocessor-based with digital closed-loop feedback
Power Characteristics:	480 volts, 3 phase, 60 hertz Field verify	Retain existing
Stops and Openings:	7, all front	Retain existing
Floors Served:	B, L, 1-5	Retain existing
Travel:	Field verify	Retain existing
Platform Size:	7'-8" wide x 6'-0" deep Field verify	Retain existing
Minimum Clear Inside Car:	7'-2" wide x 5'-0" deep	Retain existing
Entrance Size:	4'-0" wide x 7'-0" high	Retain existing
Entrance Type:	Single-speed center-opening	Retain existing

ELECTRIC TRACTION ELEVATOR MODERNIZATION

Elevator 1, 2	Existing Equipment	Disposition	
Door Operator:	HD-90	High-speed heavy-duty with 2½ fps minimum opening speed	
Door Protection:	Infrared full screen device	Provide new infrared full screen device with differential timing, nudging, and interrupted beam time	
Safety:	Flexible guide clamp – Type B	Retain existing	
Guide Rails:	Planed steel tees	Retain existing. Reinforce to meet seismic requirements	
Buffers:	Oil-spring	Retain existing	
Car Enclosure:			
		Steel shell as specified contractor's standard and 400 lbs. weight allowance for interior finishes. Stainless steel ceiling laminate walls	
		Car interior finishes provided under this section	
		8'-0" clear height under canopy	
		Battery powered emergency car lighting. Provide separate constant pressure test buttor in car service compartment	
Signal Fixtures:		LED illumination. Contractor's vandal resistant assembly	
Hall Pushbutton Stations:		Single hall pushbutton riser Car 1, 2	
Car Pushbutton Stations:		Main and Auxiliary car operating panel	
		Vandal resistant car and hall pushbuttons	
Car Position	n Indicators:	Main and Auxiliary with car direction arrows	
		Security and firefighters' control panel	
Hall Lanterns:		All floors with volume adjustable electronic chime or tone. Sound twice for down direction. Vandal resistant assembly	
Hall Car Position Indicator:		Digital with car direction arrows at Lobby level floor Vandal resistant assembly	
Communication System:		Intercom with distress signal	
·		Self-dialing, vandal resistant, push to call, two- way telephone system with recall, tracking, an voiceless communication	

ELECTRIC TRACTION ELEVATOR MODERNIZATION

Elevator 1, 2	Existing Equipment	Disposition	
Fixture Submittal:		Submit brochure depicting contractor's proposed designs with bid	
Additional Features:		Car top inspection station	
		Car and counterweight roller guides	
		Firefighters' service, Phases I and II, including alternate floor return	
		Standby power transfer (automatic to main floor) with manual override in firefighters' control panel	
		Accessibility signage	
		Swing car operating panel	
		Hoistway access switches, top and bottom floors	
		Hoistway door unlocking device, all floors	
		Platform isolation	
		Load-weighing device	
		Anti-nuisance feature	
		Independent service feature	
		Card reader provisions	
		CCTV provisions	
		Digital video display provisions	
		Security and firefighters' control panel and remote wiring	
		Machine, power conversion unit, and controller sound isolation	
		Tamper resistant fasteners for all fastenings exposed to the public	
		One year warranty maintenance with 24-hour call-back service	
		Firefighters' telephone jack	
		Seismic devices	
		Signage engraving filled with black paint or approved etching process	

Elevator 1, 2	Existing Equipment	Disposition
		No visible company name or logo
		Wiring diagrams, operating instructions, and parts ordering information
		System diagnostic means and instructions
		Non-proprietary control system and diagnostics provisions
Alternates:		See Section 01030

B. Two Prisoner Elevators 4, 6: Unless specifically identified as "retain existing," provide new equipment.

Elevator 4, 6	Existing Equipment	Disposition
Capacity:	3,500 lbs.	Retain existing
Class Loading:	Passenger Class A	Retain existing
Contract Speed:	350 fpm	Retain existing
Roping:	1:1	Retain existing
Machine:	Geared	Recondition existing with new AC motor. ALTERNATE for new gearless machine
Machine Location:	Overhead	Retain existing
Operational Control:	Duplex relay logic	Duplex Selective collective microprocessor- based system
Motor Control:	AC variable voltage microprocessor-based with digital closed-loop feedback	AC variable voltage variable frequency microprocessor-based with digital closed-loop feedback
Power Characteristics:	480 volts, 3 phase, 60 hertz Field verify	Retain existing
Stops and Openings:	6, all front	Retain existing
Floors Served:	B, 1-4	Retain existing
Travel:	Field verify	Retain existing
Platform Size:	7'-0" wide x 6'-0" deep Field verify	Retain existing
Minimum Clear Inside Car:	6'-8" wide x 5'-5" deep	Retain existing
Entrance Size:	3'-6" wide x 7'-0" high	Retain existing
Entrance Type:	Single-speed center-opening	Retain existing

ELECTRIC TRACTION ELEVATOR MODERNIZATION

Elevator 4, 6	Existing Equipment	Disposition
Door Operator:	HD-90	High-speed heavy-duty with 2½ fps minimum opening speed
Door Protection:	Infrared full screen device	Provide new infrared full screen device with differential timing, nudging, and interrupted beam time
Safety:	Flexible guide clamp – Type B	Retain existing
Guide Rails:	Planed steel tees	Retain existing. Reinforce to meet seismic requirements
Buffers:	Oil-spring	Retain existing
Car Enclosure:		
		Car interior finishes retain existion
		8'-0" clear height under canopy
		Battery powered emergency car lighting. Provide separate constant pressure test button in car service compartment
Signal Fixtures:		LED illumination. Contractor's vandal resistant assembly
Hall Pushbutton Stations:		Single hall pushbutton riser
Car Pushbutton Stations:		Main car operating panel
		Vandal resistant car and hall pushbuttons
Car Position Indicators:		Main with car direction arrows
		Security and firefighters' control panel
Hall Lanterns:		All floors with volume adjustable electronic chime or tone. Sound twice for down direction. Vandal resistant assembly
Hall Car Position Indicator:		Digital with car direction arrows at Lobby level floor Vandal resistant assembly
Communication System:		Intercom with distress signal
		Self-dialing, vandal resistant, push to call, two- way telephone system with recall, tracking, and voiceless communication
Fixture Submittal:		Submit brochure depicting contractor's proposed designs with bid

ELECTRIC TRACTION ELEVATOR MODERNIZATION

Elevator 4, 6	Existing Equipment	Disposition	
Additional Feature	s:	Car top inspection station	
		Car and counterweight roller guides	
		Firefighters' service, Phases I and II, including alternate floor return	
		Standby power transfer (automatic to main floor) with manual override in firefighters' control panel	
		Accessibility signage	
		Fixed panel with applied car operating panel	
		Hoistway access switches, top and bottom floors	
		Hoistway door unlocking device, all floors	
		Platform isolation	
		Load-weighing device	
		Anti-nuisance feature	
		Independent service feature	
		Card reader provisions	
		CCTV provisions	
		Riot return key switch in car	
		Security and firefighters' control panel and remote wiring	
		Machine, power conversion unit, and controlle sound isolation	
		Tamper resistant fasteners for all fastenings exposed to the public	
		One year warranty maintenance with 24-hour call-back service	
		Firefighters' telephone jack	
		Seismic devices	
		Hall station key switch at lowest landing allows doors to open after return on riot operation	
		Signage engraving filled with black paint or approved etching process	

Elevator 4, 6	Existing Equipment	Disposition
		No visible company name or logo
		Wiring diagrams, operating instructions, and parts ordering information
		System diagnostic means and instructions
		Non-proprietary control system and diagnostics provisions
Alternates:		See section 01030

2.2 MATERIALS

A. See Section 01600, Materials and Handling

2.3 CAR PERFORMANCE

- A. Car Speed: ± 3% of contract speed under any loading condition.
- B. Car Capacity: Safely lower, stop and hold 125% of rated load.
- C. Car Stopping Zone: ±1/4" under any loading condition.
- D. Door Opening Time: Seconds from start of opening to fully open.
 - 1. Car 1. 2: 2.1 seconds.
 - 2. Cars 4-6: 2.3 seconds
- E. Door Closing Time: Seconds from start of closing to fully closed.
 - 1. Cars 1, 2: 3.4 seconds
 - 2. Cars 4, 6: 4.1 seconds
- F. Car Floor-to-Floor Performance Time: Seconds from start of doors closing until doors are 3/4 open, and car is level and stopped at next successive floor under any loading condition or travel direction (12'-0" typical floor height).
 - 1. Cars 1, 2: 11.4 seconds
 - 2. Cars 4, 6: 12.1 seconds

G. Car Ride Quality:

- Horizontal and vertical acceleration within car during all riding and door operating conditions. Not more than 20 mg peak to peak (adjacent peaks) in the 1-10 Hz range.
- 2. Acceleration and Deceleration: Smooth constant and not less than 3 feet/second² with an initial ramp between 0.5 and 0.75 second.
- 3. Sustained Jerk: Not more than 6 feet/second3.
- 4. Measurement Standards: Measure and evaluate ride quality consistent with ISO 18738, using low pass cutoff frequency of 10 Hz and A95 peak-to-peak average calculations.

H. Noise and Vibration Control

- 1. Airborne Noise: Measured noise level of elevator equipment and its operation shall not exceed 60 dBA inside car under any condition including door operation and car ventilation exhaust blower on its highest speed. Limit noise level in the machine room relating to elevator equipment and its operation to no more than 80 dBA. All dBA readings to be taken 3'-0" off the floor and 3'-0" from the equipment using the "A" weighted scale.
- Vibration Control: All elevator equipment provided under this contract, including power unit, controller, oil supply lines, and their support shall be mechanically isolated from the building structure and electrically isolated from the building power supply and to each other to minimize the possibility of objectionable noise and vibrations being transmitted to occupied areas of the building.

2.4 OPERATION

- A. Duplex Selective Collective Microprocessor-Based, Cars 1, and 2:
 - 1. Operate cars without attendants from pushbuttons in cars and located at each floor. When cars are available, park one car at main floor ("home" car). Park other car where last used ("free" car).
 - 2. Respond to car calls and hall calls above main floor using the free car. Once a car has started, respond to registered calls in the direction of travel and in the order the floors are reached.
 - 3. Do not reverse car direction until all car calls have been answered, or until all hall calls ahead of the car and corresponding to the direction of car travel have been answered.
 - 4. Slow cars and stop automatically at floors corresponding to registered calls in the order in which they are approached in either direction of travel. As slowdown is initiated for a hall call, automatically cancel hall call. Cancel car calls in the same manner. Hold car at arrival floor an adjustable time interval to allow passenger transfer.
 - 1. Answer calls corresponding to direction in which car is traveling unless call in the opposite direction is the highest (or lowest) call registered.
 - 2. When the free car is clearing calls, start home car to respond to:
 - (a) A call registered on home car pushbuttons.
 - (b) An up hall call registered below free car.
 - (c) An up or a down call registered above free car while free car is traveling down.
 - (d) A hall call when free car is delayed in its normal operation for a predetermined period.
 - 3. When both cars are clearing calls, stop only one car in response to any registered hall call. Return the first car to clear its calls to main floor. Should last service required bring both cars to main floor, the first arriving car becomes the free car.
 - 4. Illuminate appropriate pushbutton to indicate call registration. Extinguish light when call is answered.

B. Selective Collective Microprocessor-Based: Cars 4, 6

1. Operate car without attendant from pushbuttons in car and located at each floor. When car is available, automatically start car and dispatch it to floor corresponding to registered car or hall call. Once car starts, respond to registered calls in direction of travel and in the order the floors are reached.

- 2. Do not reverse car direction until all car calls have been answered, or until all hall calls ahead of car and corresponding to the direction of car travel have been answered.
- Slow car and stop automatically at floors corresponding to registered calls, in the
 order in which they are approached in either direction of travel. As slowdown is
 initiated for a hall call, automatically cancel hall call. Cancel car calls in the same
 manner. Hold car at arrival floor an adjustable time interval to allow passenger
 transfer.
- 4. Answer calls corresponding to direction in which car is traveling unless call in the opposite direction is highest (or lowest) call registered.
- 5. Illuminate appropriate pushbutton to indicate call registration. Extinguish light when call is answered
- 6. Riot operation Feature: provide the means for automatic return to the B floor. Return car nonstop after activation of in car riot key switch, and park with doors closed until lobby key switch is activated allowing doors to open.

C. Other Items:

- Load Weighing: Provide means for weighing car passenger load. Control system
 to provide dispatching at main floor in advance of normal intervals when car fills to
 capacity. Provide hall call by-pass when the car is filled to preset percentage of
 rated capacity and traveling in down direction. Field adjustment range: 10%100%.
- 2. Anti-Nuisance Feature: If car loading relative to weight in car is not commensurate with number of registered car calls, cancel car calls. Systems employing either load weighing or door protective device for activation of this feature are acceptable.
- 3. Independent Service: Provide controls for operation of each car from its pushbuttons only. Close doors by constant pressure on desired destination floor button or door close button. Open doors automatically upon arrival at selected floor.
- D. Firefighters' Service: Provide equipment and operation in accordance with Code requirements. Confirm building key switch compatibility with JHA.
- E. Automatic Car Stopping Zone: Stop car within 1/4" above or below the landing sill. Maintain stopping zone regardless of load in car, direction of travel, distance between landings, hoist rope slippage, or stretch.
- F. Motion Control: Microprocessor based AC variable-voltage variable frequency (ACV³F) with digitally encoded closed-loop velocity feedback suitable for operation specified and capable of providing smooth, comfortable car acceleration, retardation, and dynamic braking. Limit the difference in car speed between full load and no load to not more than ±3% of the contract speed.
- G. Door Operation: Automatically open doors when car arrives at main floor. At expiration of normal dwell time, close doors. Reopen doors when car is designated for loading. Provide "heavy door/variable air pressure" feature for consistent specified door operation within appropriate speed and inertia limits.
- H. Standby Lighting and Alarm: Car mounted battery unit with solid-state charger to operate alarm bell and car emergency lighting. Battery to be rechargeable with

minimum five-year life expectancy. Include required transformer. Provide constant pressure test button in service compartment of car operating panel.

- I. Emergency Power Operation: Upon loss of normal power, adequate emergency power will be supplied via building electrical feeders to simultaneously start and run one car in each group and single cars at contract car speed and capacity.
 - 1. Automatically return one car at a time in each group and single car(s) nonstop to designated floor, open doors for approximately 3.0 seconds, close doors, and park car. During return operation, car and hall call pushbuttons shall be rendered inoperative. As each car parks, system shall immediately select the next car until all cars in a group have returned to the designated floor. If a car fails to start or return within 30 seconds, system shall automatically select the next car in the group to automatically return.
 - 2. When all cars in a group have returned to the designated floor, one car in each group shall be designated for automatic operation. When a service demand exists for 30 seconds and designated car fails to start, next available car in the group shall be automatically selected for operation.
 - 3. Provide separate group selection switches in firefighters' control panel and security control panel.
 - a. Switches shall be labeled "STANDBY POWER OVERRIDE" with positions marked "AUTO" and appropriate car numbers controlled by each respective switch. Key shall be keyed same as key utilized for firefighters' Phase I and II key switch. Key shall be removable in "AUTO" position only.
 - b. Switch shall override automatic return and automatic selection functions, and cause the manually selected car to operate. Manual selection shall cause car to start and proceed to designated floor and open and close its doors before standby power is manually transferred to next selected car.
 - c. Provide "STANDBY POWER" indicator lights, one per car, in firefighters' control panel. Indicator light illuminates when corresponding car is selected, automatically or manually, to operate on standby power.
 - 4. Successive Starting: When normal power is restored or there has been a power interruption, individual cars in each bank shall restart at five second intervals.
- J. Card/Proximity Reader Security System: Provide provisions inside elevator for reader unit. Mount reader unit as directed by Building Owner and cross connect from car pushbuttons to control module in machine room. Reader control unit, mounting brackets, wiring materials, logic circuits, etc., by Security Subcontractor. Elevator control systems shall facilitate system tracking of persons accessing secure floors via printout by passenger I.D. number, floor accessed, and time of entry. Provide all elevator control software to allow future interface only.

2.5 MACHINE ROOM EQUIPMENT

- A. Arrange equipment in existing machine room spaces.
- B. Geared Traction Hoist Machine: Provide new. ALTERNATE for new gearless machine; see Section 0300.1
 - 1. Single worm geared or helical geared traction type with AC induction or P.M.S.M. ACV³F motor, brake, gear, drive shaft, deflector sheave, and gear case mounted in proper alignment on an isolated bedplate. Provide bedplate blocking to elevate deflector sheave above machine room floor.

- 2. Provide hoist machine mounted direct drive, digital, closed-loop velocity encoder.
- 3. Provide hoist machine drip pans to collect lubricant seepage.
- C. Solid State Power Conversion and Regulation Unit:
 - 1. Provide solid state, alternating current, variable voltage, variable frequency (ACV³F), I.G.B.T. converter/inverter drives.
 - 2. Design unit to limit current, suppress noise, and prevent transient voltage feedback into building power supply. Provide internal heat sink cooling fans for the power drive portion of the converter panels. Conform to IEEE standards 519-1992 for line harmonics and switching noise.
 - 3. Isolate unit to minimize noise and vibration transmission. Provide isolation transformers, filter networks, and choke inductors.
 - Suppress solid-state converter noises, radio frequency interference, and eliminate regenerative transients induced into the mainline feeders or the building standby power generator.
 - 5. Supplemental direct-current power for the operation of hoist machine brake, door operator, dispatch processor, signal fixtures, etc., from separate static power supply.
 - 6. ALTERNATE: ACV³F Drives for gearless elevators shall be regenerative and utilize IGBT converter/inverter and dynamic braking during overhauling condition.
- D. Encoder: Direct drive, solid-state, digital type. Update car position at each floor and automatically restore after power loss.
- E. Controller: UL/CSA labeled.
 - 1. Compartment: Securely mount all assemblies, power supplies, chassis switches, relays, etc., on a substantial, self-supporting steel frame. Completely enclose equipment with covers. Provide means to prevent overheating.
 - Relay Design: Magnet operated with contacts of design and material to insure maximum conductivity, long life, and reliable operation without overheating or excessive wear. Provide wiping action and means to prevent sticking due to fusion. Contacts carrying high inductive currents shall be provided with arc deflectors or suppressors.
 - 3. Microprocessor-Related Hardware:
 - a. Provide built-in noise suppression devices which provide a high level of noise immunity on all solid-state hardware and devices.
 - b. Provide power supplies with noise suppression devices.
 - c. Isolate inputs from external devices (such as pushbuttons) with optoisolation modules.
 - d. Design control circuits with one leg of power supply grounded.
 - e. Safety circuits shall not be affected by accidental grounding of any part of the system.
 - f. System shall automatically restart when power is restored.
 - g. System memory shall be retained in the event of power failure or disturbance.
 - h. Equipment shall be provided with Electro Magnetic Interference (EMI) shielding within FCC guidelines.
 - 4. Wiring: CSA labeled copper for factory wiring. Neatly route all wiring interconnections and securely attach wiring connections to study or terminals.
 - 5. Permanently mark components (relays, fuses, PC boards, etc.) with symbols shown on wiring diagrams.

- 6. Provide controller or machine mounted auxiliary, lockable "open," disconnect if mainline disconnect is not in sight of controller and/or machine.
- F. Guards: Provide 2" steel angle around cable or duct slots through floor slabs or grating. Provide rope for sheaves, cables, and cable slots in machine room.
- G. Machine and Equipment Support Beams: Retain existing in place. Provide all required supplemental supports and attachments.
- H. Governor: Retain existing.
 - 1. Clean.
 - 2. Check bearings and replace if required.
 - 3. Recalibrate and seal.
 - 4. Retrofit bi-directional electrical shutdown switch
- I. Emergency Brake:
 - 1. Provide means to prevent ascending car over-speed and unintended car movement per Code.
 - 2. Acceptable emergency brake devices:
 - a. BODE Rope Brake
 - b. Hollister-Whitney Rope Gripper
 - 3. Mount the auxiliary brake on suitable structural steel supports. Provide a drawing showing the supports, stamped by Professional Engineer verifying the adequacy of the support provided.
 - 4. Provide control circuits to enable the device to function as required by Code.

2.6 HOISTWAY EQUIPMENT

- A. Guide Rails: Retain main and counterweight guide rails in place.
 - 1. Clean rails and brackets. Remove rust.
 - 2. Check all rail and bracket fastenings and tighten.
 - 3. Realign rails as required to provide smooth car ride.
 - 4. Provide supplemental rail brackets and/or backing as required by Code or to enhance car ride quality.
- B. Buffers, Car and Counterweight: Retain existing.
 - 1. Drain, flush, refill, and test.
 - 2. Rebuild as required and paint.
- C. Sheaves: Retain existing with geared machine
 - 1. Re-groove or replace if required.
 - 2. Check all fastenings and tighten.
 - 3. Replace worn bearings.
- D. Counterweight: Retain existing.
- E. Counterweight Guide Shoes: Provide new spring-dampened roller guide shoes.
- F. Counterweight Guard: Metal guard in pit. Retain existing.

- G. Governor and Encoder Pit-Tensioning Sheaves: Retain existing. Rebuild as required. As a minimum completely disassemble, clean, replace worn or faulty parts, and recalibrate governor.
- H. Hoist and Governor Ropes: Retain existing or replace if estimated remaining life is less than five years. No proration is allowed under terms of Maintenance Agreement.
- I. Terminal Stopping: Provide normal and final devices. Provide emergency terminal speed limiting devices.
- J. Electrical Wiring and Wiring Connections:
 - 1. Conductors and Connections:
 - a. Copper throughout with individual wires coded and connections on identified studs or terminal blocks.
 - b. Use no splices or similar connections in wiring except at terminal blocks, control compartments, or junction boxes.
 - c. Provide 10% spare conductors throughout. Run spare wires from car connection points to individual elevator controllers in the machine room.
 - d. Provide eight pair of spare shielded communication wires in addition to those required to connect specified items.
 - e. Tag spares in machine room.
 - 2. Conduit:
 - a. Painted or galvanized steel conduit, EMT, or duct.
 - b. Minimum Conduit Size: 1/2".
 - c. Flexible heavy-duty service cord may be used between fixed car wiring and car door switches for door protective devices.
 - 3. Traveling Cables:
 - a. Flame and moisture-resistant outer cover. Prevent traveling cable from rubbing or chafing against hoistway or equipment within hoistway.
 - b. Provide five pair of shielded wires and two RG-6/U type coaxial cables for card reader.
 - c. Provide two pair 14-gauge wire for CCTV power.
 - 4. Auxiliary Wiring: Connect fire alarm initiating devices, emergency two-way communication system, firefighters' phone jack, paging speaker, CCTV, digital video display, card reader, intercom, and announcement speaker and/or background music in car controller in machine room.
- K. Entrance Equipment: Retain existing. Refurbish/replace and adjust assemblies to ensure smooth and quiet mechanical open and close of doors.
 - 1. Door Hangers and Rollers: Replace rollers.
 - 2. Door Track: Refurbish and/or replace as required.
 - 3. Door Interlocks: replace contacts and shorting bars..
 - 4. Door Closers: Refurbish and/or replace as required
- L. Hoistway Door Unlocking Device: Provide new unlocking device with escutcheon in door panel at all floors, with finish to match adjacent surface.
- M. Hoistway Access Switches: Provide new. Mount in wall at top and bottom floors. Provide switch with faceplate.

N. Floor Numbers: Stencil paint 4" high floor designations in contrasting color on inside face of hoistway doors or hoistway fascia in location visible from within car.

2.7 HOISTWAY ENTRANCES

- A. Frames: Retain existing.
- B. Door Panels: Retain existing. Provide new door gibs with fire tabs at all floors. Minimum two gibs per panel, one at leading edge, and one at trailing edge of each panel.
- C. Sight Guards: Retain existing. Replace damaged sight guards.
- D. Sills: Retain existing. Clean and polish. Check and tighten all fastenings.
- E. Sill Supports: Retain existing. Check and tighten all fastenings.
- F. Fascia, Toe Guards, and Hanger Covers:
 - 1. Fascia: Retain existing. Provide as required where damaged or missing. Check and tighten all fastenings.
 - 2. Toe Guards: Provide new.
 - 3. Hanger Covers: Retain and recondition existing.
- G. Struts and Headers: Retain and recondition existing. Check and tighten all fastenings.
- H. Finish of Frames and Doors: Retain existing.

2.8 CAR EQUIPMENT

- A. Frame: Retain existing. Check and tighten all fastenings.
- B. Safety Device: Retain existing. Check and tighten all fastenings. Disassemble, clean, and inspect components. Replace all worn or damaged parts. Reassemble and test for proper operation.
- C. Platform: Retain existing. Reinforce if required. Check and tighten all fastenings.
- D. Guide Shoes: Provide new roller type with three or more spring dampened, sound-deadening rollers per shoe. Maximum roller rotation speed, 350 rpm.
- E. Finish Floor Covering: Retain existing.
- F. Sills: Retain existing. Clean and polish. Check and tighten all fastenings.
- G. Doors: Retain existing. Retrofit dual gibs, one at trailing edge and one at leading edge of each panel.
- H. Door Hangers: Retain existing. Replace roller or complete hanger assembly as required. Check and tighten all fastenings.
- I. Door Track: Retain existing. Clean and sand for smooth, quiet operation. Check and tighten all fastenings.

- J. Door Header: Retain existing. Check and tighten all fastenings.
- K. Door Electrical Contact: Provide new to prohibit car operation unless car door is closed.
- L. Door Clutch: Provide new heavy-duty clutch, linkage arms, drive blocks, and pickup rollers or cams to provide positive, smooth, quiet door operation. Design clutch so car doors can be closed, while hoistway doors remain open.
- M. Restricted Opening Device: Provide new to restrict opening of car doors outside unlocking zone. Plunger type restrictors not acceptable.
- N. Door Operator:
 - 1. High-speed heavy-duty door operator capable of opening doors at no less than 2½ fps. Accomplish reversal in no more than 2½" of door movement. Provide solid-state door control with closed loop circuitry to constantly monitor and automatically adjust door operation based upon velocity, position, and motor current. Maintain consistent, smooth, and quiet door operation at all floors, regardless of door weight or varying air pressure.
 - 2. Acceptable closed-loop door operators:
 - a. Otis: iMotion, Pglide
 - b. ThyssenKrupp: HD91 StarTrac
 - c. G.A.L.: MOVFR
- O. Door Control Device: Provide new.
 - 1. Infrared Reopening Device:
 - a. Black fully enclosed device with full screen infrared matrix or multiple beams extending vertically along leading edge of each door panel to minimum height of 7'-0" above finished floor. Device shall prevent doors from closing and reverse doors at normal opening speed if beams are obstructed while doors are closing, except during nudging operation. In event of device failure, provide for automatic shutdown of car at floor level with doors open
 - b. Acceptable Infrared Reopening Device:
 - 1) Gatekeeper by Adams
 - 2) Lambda II by Otis
 - 3) Magic Edge by Tri-Tronics
 - 4) Microscan E by T.L. Jones
 - 5) Pana40 Plus by Janus
 - Nudging Operation: After beams of door control device are obstructed for a
 predetermined time interval (minimum 20.0-25.0 seconds), warning signal shall
 sound and doors shall attempt to close with a maximum of 2.5 foot pounds kinetic
 energy. Activation of the door open button shall override nudging operation and
 reopen doors.
 - 3. Interrupted Beam Time: When beams are interrupted during initial door opening, hold door open a minimum of 3.0 seconds. When beams are interrupted after the initial 3.0 second hold open time, reduce time doors remain open to an adjustable time of approximately 1.0-1.5 seconds after beams are reestablished.
 - 4. Differential Door Time: Provide separately adjustable timers to vary time that doors remain open after stopping in response to calls.
 - a. Car Call: Hold open time adjustable between 3.0 and 5.0 seconds.

- b. Hall Call: Hold open time adjustable between 5.0 and 8.0 seconds. Use hall call time when car responds to coincidental calls.
- P. Car Operating Panel cars 1 and 2: Provide new.
 - 1. Main and auxiliary car operating swing panel, consisting of a metal box containing vandal resistant operating fixtures, mounted behind the car swing front return panel. satin finish stainless steel.
 - 2. Suitably identify floor buttons, alarm button, door open button, door close button and emergency push-to-call button with SCS, Visionmark, or Entrada cast tactile symbols surface rear mounted. Configure plates per local building code accessibility standards including Braille. Locate operating controls no higher than 48" above the car floor; no lower than 35" for emergency push-to-call button and alarm button
 - 3. Provide minimum 3/4" diameter raised or flush floor pushbuttons which illuminate to indicate call registration. Include 5/8" high floor designation on face of pushbutton.
 - 4. Provide alarm button to ring bell located on car, and sound distress signal at control panel. Illuminate button when actuated.
 - 5. Provide keyed stop switch at bottom of car operating panel in locked car service compartment. Mark device to indicate "run" and "stop" positions.
 - 6. Provide "door open" button to stop and reopen doors or hold doors in open position.
 - 7. Provide "door close" button to activate door close cycle. Cycle shall not begin until normal door dwell time for a car or hall call has expired, except firefighters' operation.
 - 8. Provide firefighters' Phase II key switch with engraved instructions filled red. Include light jewel, buzzer, and call cancel button.
 - 9. Install firefighters' telephone jack with approved mounting bezel matching adjacent controls.
 - 10. Provide lockable service compartment with recessed flush door. Door material and finish shall match car return panel or car operating panel faceplate.
 - 11. Include the following controls in lockable service cabinet with function and operating positions identified by permanent signage or engraved legend:
 - a. Inspection switch.
 - b. Light switch.
 - c. Three-position exhaust blower switch.
 - d. Independent service switch.
 - e. Constant pressure test button for battery pack emergency lighting.
 - f. 120-volt, AC, GFCI protected electrical convenience outlet.
 - g. Stop switch.
 - h. Switch to select either floor voice annunciation, floor passing tone, or chime.
 - 12. Provide black paint filled (except as noted), engraved, or approved etched signage as follows with approved size and font:
 - a. Phase II firefighters' operating instructions on main operating panel above corresponding keyswitch filled red.
 - b. Car number on main.
 - c. Car capacity in pounds on service compartment door.

- Q. Car Operating Panel cars 4, 6: Provide new.
 - 1. Main car operating panel with faceplate, consisting of a metal box containing vandal resistant operating fixtures, mounted behind the car swing front return panel. satin finish stainless steel.
 - 2. Suitably identify floor buttons, alarm button, door open button, door close button and emergency push-to-call button with SCS, Visionmark, or Entrada cast tactile symbols surface rear mounted. Configure plates per local building code accessibility standards including Braille. Locate operating controls no higher than 48" above the car floor; no lower than 35" for emergency push-to-call button and alarm button.
 - 3. Provide minimum 3/4" diameter raised or flush floor pushbuttons which illuminate to indicate call registration. Include 5/8" high floor designation on face of pushbutton.
 - 4. Provide alarm button to ring bell located on car, and sound distress signal at control panel. Illuminate button when actuated.
 - 5. Provide keyed stop switch at bottom of car operating panel in locked car service compartment. Mark device to indicate "run" and "stop" positions.
 - 6. Provide "door open" button to stop and reopen doors or hold doors in open position.
 - 7. Provide "door close" button to activate door close cycle. Cycle shall not begin until normal door dwell time for a car or hall call has expired, except firefighters' operation.
 - 8. Provide firefighters' Phase II key switch with engraved instructions filled red. Include light jewel, buzzer, and call cancel button.
 - 9. Install firefighters' telephone jack with approved mounting bezel matching adjacent controls.
 - 10. Provide lockable service compartment with recessed flush door. Door material and finish shall match car return panel or car operating panel faceplate.
 - 11. Include the following controls in lockable service cabinet with function and operating positions identified by permanent signage or engraved legend:
 - a. Inspection switch.
 - b. Light switch.
 - c. Three-position exhaust blower switch.
 - d. Independent service switch.
 - e. Constant pressure test button for battery pack emergency lighting.
 - f. 120-volt, AC, GFCI protected electrical convenience outlet.
 - g. Stop switch.
 - h. Switch to select either floor voice annunciation, floor passing tone, or chime.
 - 12. Provide riot key switch on panel Riot operation as defined in document.
 - 13. Provide black paint filled (except as noted), engraved, or approved etched signage as follows with approved size and font:
 - a. Phase II firefighters' operating instructions on main operating panel above corresponding keyswitch filled red.
 - b. Car number on main.
 - c. Car capacity in pounds on service compartment door.
- R. Car Top Control Station: Provide new. Mount to provide safe access and utilization while standing in an upright position on car top.

- S. Work Light and Duplex Plug Receptacle: Provide new. GFCI protected outlet at top of car. Include on/off switch and lamp guard. Provide additional GFCI protected outlet on car top for installation of car CCTV.
- T. Communication System: Provide new.
 - "Push to Call," two-way communication instrument in car with automatic dialing, tracking, and recall features with shielded wiring to car controller in machine room. Provide dialer with automatic rollover capability with minimum two numbers.
 - a. "Push to Call" button or adjacent light jewel shall illuminate and flash when call is acknowledged. Button shall match car operating panel pushbutton design. Provide uppercase "PUSH TO CALL" "HELP ON THE WAY" engraved signage adjacent to button.
 - b. Provide "Push to Call" button tactile symbol, engraved signage, and Braille adjacent to button mounted integral with car front return panel.
 - 2. Firefighters' telephone jack in car and firefighters' panel, with four shielded wires to machine room junction box. Jack bezel shall match adjacent controls.
 - 3. Install remote speakers provided under Item 1.1, E., 1, in car behind front return panel with drilled speaker pattern, with shielded wiring to machine room junction box
 - 4. Provide two-way communication between car and machine room if required.

2.9 CAR ENCLOSURE

- A. Retain existing. Modify as required for application of new signal and pushbutton fixtures. Check and tighten all fasteners.
- B. Passenger Cars 1, 2: Retain existing car shell. Remove existing interior finishes, weigh, and document. Check and tighten all fastenings. Provide new interior finishes as specified and/or detailed on architectural drawings. Verify weight of new interior finishes does not exceed weight of removed finishes by more than Code allowable. Modify shell for application of new signal and pushbutton fixtures
- C. Prisoner Cars 4, 6: Retain existing car shell and interior finishes. Check and tighten all fastenings

2.10 HALL CONTROL STATIONS

A. Pushbuttons Elevators 1, 2: Provide new. Provide 1 riser with flush mounted faceplates. Include pushbuttons for each direction of travel which illuminate to indicate call registration. Include approved engraved message and pictorial representation prohibiting use of elevator during fire or other emergency situation as part of faceplate. Pushbutton design shall match car operating panel pushbuttons. Provide vandal resistant pushbutton and light assemblies. Provide enlarged faceplate to cover existing wall blockout and facilitate handicapped access requirements. Include approved engraved message and pictorial representation prohibiting use of elevator during fire or other emergency situation as part of faceplate. Provide any cutting and patching required. Provide an illuminated signal marked "Elevator Emergency Power" to indicate emergency or standby power is in effect

B. Pushbuttons Elevators 4, 6: Provide new. Provide 1 riser with flush mounted faceplates for each simplex elevator. Include pushbuttons for each direction of travel which illuminate to indicate call registration. Include approved engraved message and pictorial representation prohibiting use of elevator during fire or other emergency situation as part of faceplate. Pushbutton design shall match car operating panel pushbuttons. Provide vandal resistant pushbutton and light assemblies. Provide enlarged faceplate to cover existing wall blockout and facilitate handicapped access requirements. Include approved engraved message and pictorial representation prohibiting use of elevator during fire or other emergency situation as part of faceplate. Provide any cutting and patching required. Provide an illuminated signal marked "Elevator Emergency Power" to indicate emergency or standby power is in effect.

2.11 SIGNALS

- A. Car Direction Lantern: Provide flush-mounted car lantern in all car entrance columns. Illuminate up or down LED lights and sound electronic tone once for up and twice for down direction travel as doors open. Sound tone once for up direction and twice for down direction. Sound level shall be adjustable from 0-80 dBA measured at 5'-0" in front of hall control station and 3'-0" off floor. Provide adjustable car door dwell time to comply with ADA requirements relative to hall call notification time. Car direction lenses shall be arrow shaped with faceplates. Lenses shall be minimum 2½" in their smallest dimension. Provide vandal resistant lantern and light assemblies consisting of series of dots or lines for maximum visibility.
- B. Car Position Indicator: Alpha-numeric digital indicator containing floor designations and direction arrows a minimum of 1/2" high to indicate floor served and direction of car travel. Locate fixture in each car operating panel. When a car leaves or passes a floor, illuminate indication representing position of car in hoistway. Illuminate proper direction arrow to indicate direction of travel. Provide multi-numeral vandal resistant indicator and light assemblies.
- C. Hall Position Indicator: Alpha-numeric digital indicator containing floor designations and direction arrows a minimum of 1/2" high to indicate floor served and direction of car travel. Mount at Main lobby floor. Provide vandal resistant indicator and light assemblies.
- D. Faceplate Material and Finish: Satin finish stainless steel, all fixtures.
- E. Floor Passing Tone: Provide an audible tone of no less than 20 decibels and frequency of no higher than 1500 Hz, to sound as the car passes or stops at a floor served.
- F. Voice Synthesizer: Provide electronic device with easily reprogrammable message and female voice to announce car direction, floor, emergency exiting instructions, etc.

2.12 INTERCOM AND DISTRESS SIGNAL SYSTEM

A. General: Provide intercommunication system to car. Include all wiring between elevator hoistway and control panel. Include the following stations:

Station Location	Type Station	Selection Buttons to Call
Elevator Machine Room	Master	Control Panels, Car
Lobby Control Panel	Master	Machine Rooms, Car
Firefighters' Control Panel	Master	Machine Rooms, Car

B. Basic Equipment:

- 1. Amplifier providing static-free voice transmission with adequate volume and minimum distortion at all stations, with pre-amplifier capable of receiving voice and music inputs from building and emergency building communication system.
- 2. Activation of emergency building communication system overrides all other conversations and permits one-way conversation to all master stations in system.
- 3. Master Stations:
 - a. Speaker-microphone combination, and/or handset for two-way communication.
 - Selection buttons to enable communication with all master stations.
 Maintain continual reception of hands-free reply from station when a selected button is depressed.
 - c. Two-Position "Talk/Listen" Button: Press to talk; release to listen.
 - d. Illuminate "in use" light when any master station is being used.
 - e. Reset button to make system available for use by any master station.
 - f. Volume control knob for adjustment of incoming volume.
 - g. Button to establish communications with all stations.
 - *h*. Distress light in lobby panel which illuminates when "push to call" button or alarm button in car is actuated. Energize distress light and buzzer or chime until intercom selection button for that car has been depressed. Sound buzzer or chime in lobby panel simultaneously with illumination of distress light.

4. Remote Stations:

 Locate car microphone and speaker, or transceiver/speaker combination in car canopy behind front return panel with drilled speaker pattern, with shielded wiring to machine room junction box.

C. Station Housings:

- House master station in machine room in a metal compartment with baked enamel finish. Attach to the group elevator supervisory control panel or wall mount. Provide communication handset with 25'-0" long cord.
- 2. Provide control center master intercoms with stainless steel satin finish faceplates and engraved operating instructions. Coordinate faceplate size and installation of units with existing building Console.

2.13 SEISMIC OPERATIONS AND EQUIPMENT

A. Provide design, components, and operation per governing code. Provide dual counterweight derailment sensing wires vertically each side of counterweight the entire height of travel. The counterweight frame shall be equipped with a minimum of four

derailment rings. A dual axis seismic switch shall be provided that will activate at no less than 0.15 times gravity in the vertical or horizontal directions. A minimum of one seismic switch shall be provided per single or group of elevators. Counterweight retainer plates must be bolted.

Part 3 - EXECUTION

3.1 SITE CONDITION INSPECTION

- A. Prior to beginning installation of equipment, examine hoistway and machine room areas. Verify no irregularities exist which affect execution of work specified.
- B. Do not proceed with installation until work in place conforms to project requirements.

3.2 PRODUCT DELIVERY, STORAGE, AND HANDLING

- A. Deliver material in Contractor's original, unopened protective packaging.
- B. Store material in original protective packaging. Prevent soiling, physical damage, or moisture damage.
- C. Protect equipment and exposed finishes from damage and stains during transportation, erection, and construction.

3.3 INSTALLATION

- A. Install all equipment in accordance with Contractor's instructions, referenced codes, specification, and approved submittals.
- B. Install machine room equipment with clearances in accordance with referenced codes, and specification.
- C. Install all equipment so it may be easily removed for maintenance and repair.
- D. Install all equipment for ease of maintenance.
- E. Install all equipment to afford maximum accessibility, safety, and continuity of operation.
- F. Remove oil, grease, scale, and other foreign matter from the following equipment and apply one coat of field-applied machinery enamel.
 - 1. All exposed equipment and metal work installed as part of this work which does not have architectural finish.
 - 2. Machine room equipment, and pit equipment.
 - 3. Neatly touch up damaged factory-painted surfaces with original paint color. Protect machine-finish surfaces against corrosion.

3.4 FIELD QUALITY CONTROL

- A. Work at jobsite will be checked during course of installation. Full cooperation with reviewing personnel is mandatory. Accomplish corrective work required prior to performing further installation.
- B. Have Code Authority acceptance inspection performed and complete corrective work.

3.5 ADJUSTMENTS

- A. File any rail irregularities to a smooth surface.
- B. Static balance car to equalize pressure of guide shoes on guide rails.
- C. Lubricate all equipment in accordance with Contractor's instructions.
- D. Adjust motors, power conversion units, brakes, controllers, leveling switches, limit switches, stopping switches, door operators, interlocks, and safety devices to achieve required performance levels.

3.6 CLEANUP

- A. Keep work areas orderly and free from debris during progress of project. Remove packaging materials on a daily basis.
- B. Remove all loose materials and filings resulting from work.
- C. Clean machine room equipment and floor.
- D. Clean hoistways, car, car enclosure, entrances, operating and signal fixtures.

3.7 ACCEPTANCE REVIEW AND TESTS

A. See Section 01740, Close Out

3.8 PURCHASER'S INFORMATION

A. See Section 01730, O.M. Manuals

END OF SECTION