

**APPENDIX D – GLOSSARY****MESSAGE FIELD CODES – NICS****DEFINITIONS**

The definitions that follow describe the Message Field Codes (MFCs) used in the messages and responses exchanged between the states and the NICS. Each MFC definition includes a description and, where appropriate, format, length, applicable special edits or characters, and a list of the applicable messages for each MFC. The applicable message will be an annotated response if the MFC is applicable only to the response to a message.

**ACTION CODE - RECORD ACTION CODE**

FORMAT: Alpha

LENGTH: 1 character

DESCRIPTION: This is a code displayed on NICS Index records submitted by the ATF to differentiate between Add, Delete, and Modify records.

**PERMISSIBLE VALUES:**

Code	Description
=====	=====
A	Add
M	Modify
D	Delete

APPLICABLE TO: XDP, EDP, and MDP

**ADD\_TRAN\_COUNT – TOTAL ADD TRANSACTION COUNT**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Count of the number of Add transactions in an ATF NICS Index submission.

APPLICABLE TO: EDP, XDP, AND MDP

**AKA - ALSO KNOWN AS**

FORMAT: Alphanumeric

LENGTH: Maximum 150 characters

DESCRIPTION: This is an alternate name for a subject that is different from the parent name entered for a subject. It may be derived by the NICS based on the parent name or a nickname relationship. It may also be provided by the contributor of the record data. The AKA must include a minimum of first and last name. Special formatting is delineated under the NAM definition. The AKA may appear in a message an unlimited number of times.

APPLICABLE TO: QDP response, EDP, MDP, SDP, REJECT

**ALT\_SRCH\_ID – ALTERNATE SEARCH ID**

FORMAT: Alphanumeric

LENGTH: 9 characters

DESCRIPTION: An Alternate Search ID entered by the FBI. An ASI can be an ORI for a law enforcement agency who has requested call and request searches by phone. Other ASIs entered will represent authorized NICS uses for the NICS Section, such as TRAINING, etc.

PERMISSIBLE VALUES: Must be ASCII alphanumeric characters.

**ARI - AGENCY RECORD IDENTIFIER**

FORMAT: Alphanumeric

LENGTH: Maximum 25 characters

DESCRIPTION: This is the record number assigned to a NICS Index record by the data source providing the record data. The combination of DATA-SRC and ARI must always be unique.

APPLICABLE TO: QDP response, EDP, MDP, SDP, XDP, and REJECT

**ASI\_STATUS – ASI Status**

FORMAT: Numeric

LENGTH: 1 character

DESCRIPTION: The current status (Active or Inactive) of an Alternate Search ID record.

PERMISSIBLE VALUES:

Code	Description
=====	=====
0	Inactive
1	Active

**ASI\_TYPE – ALTERNATE SEARCH ID TYPE**

FORMAT: Numeric

LENGTH: 1 character

DESCRIPTION: A flag that designates the Alternate Search ID as an Originating Agency Number (ORI) or non-ORI.

PERMISSIBLE VALUES:

Code	Description
=====	=====
0	Not ORI
1	ORI

**ATF\_FIREARM\_RETRIEVAL\_CODES – ATF FIREARM RETRIEVAL CODES**

FORMAT: Numeric

LENGTH: 2 characters

DESCRIPTION: This is a number defined by the ATF that indicates the priority of denied transactions marked as firearm retrievals.

**PERMISSIBLE VALUES:**

Code	Description
01	Violent Felony (Rape, Murder, Burglary, etc)
02	Non-violent Felonies (all other felonies)
03	MCDV Conviction
04	Protection Order
05	Under Indictment/Information
06	Fugitive from Justice
07	Drug User
08	Mental Defective
09	Illegal Alien
10	Renounced Citizenship
11	Dishonorable Discharge
12	Active Probation prohibiting firearm possession
13	Misdemeanor punishable by more than 2 years
14	Identification for Firearms Sales (IFFS)
15	State Prohibitor
16	ATF Priority
17	ATF Priority
18	ATF Priority
19	ATF Priority
20	ATF Priority
99	Denials that do not require a firearm retrieval

**BRD – BRADY INDICATOR**

FORMAT: Alpha

LENGTH: 1 character

DESCRIPTION: Indicates whether candidates under protection order are prohibited by the Brady Act from purchasing/possessing a firearm

**PERMISSIBLE VALUES:**

Code	Description
Y	Brady Act Disqualifier
N	Not a Brady Act Disqualifier
U	Unknown

**CADENCE – CADENCE**

FORMAT: Alpha

LENGTH: Maximum of 4 characters

DESCRIPTION: The cadence associated with the subject's name.

PERMISSIBLE VALUES: JR, SR, II, III, IV, V, VI, VII, VIII, IX, X

**CALLBACK\_INFO – CALLBACK INFORMATION**

FORMAT: Alphanumeric

LENGTH: Maximum of 100 characters

DESCRIPTION: Information used to contact a Federal Firearms Licensee (FFL) or User if a firearm search is delayed.

**CITY - ADDRESS: CITY**

FORMAT: Alpha

LENGTH: Maximum of 20 characters

DESCRIPTION: This is the city where the Federal Firearms Licensee's (FFL) business is located.

APPLICABLE TO: QDP response, EDP, MDP, SDP, REJECT, and XDP

**CMMNTS – COMMENTS**

FORMAT: Alphanumeric; Special characters

LENGTH: Unlimited

DESCRIPTION: These are the research comments associated with a search request, Illegal Alien Response (IAR) data (if present), and ATF Relief of Disabilities Database (AFTRDD) data (if present).

**COC – COUNTRY OF CITIZENSHIP**

FORMAT: Alpha

LENGTH: 2 characters;

DESCRIPTION: This code specifies the country or countries, up to 3, in which the subject claims citizenship.

PERMISSIBLE VALUES:

CODE_TYPE	CODE_VALUE	CODE_DESC
=====	=====	=====
8	AA	ALBANIA
8	AD	ANDORRA
8	AF	AFGHANISTAN
8	AI	ANTIGUA AND BARBUDA
8	AJ	ARUBA
8	AN	ALGERIA
8	AO	ANGOLA
8	AP	ARMENIA
8	AQ	AZORES ISLANDS
8	AS	AUSTRALIA
8	AT	ARGENTINA
8	AU	AUSTRIA
8	AV	AZERBAIJAN
8	BB	BARBADOS
8	BD	BAHAMAS, THE
8	BE	BAHRAIN/BAHREIN
8	BF	BASSA DA INDIA
8	BG	BELGIUM
8	BH	BELIZE/BRITISH HONDURAS

8	BI	BURUNDI
8	BL	BANGLADESH
8	BN	BHUTAN
8	BP	BOSNIA AND HERCEGOVENIA
8	BR	BURMA
8	BS	SOLOMON ISLAND
8	BT	BOTSWANA
8	BU	BULGARIA
8	BV	BOLIVIA
8	BW	BALEARIC ISLANDS
8	BX	BRUNEI
8	BY	BYELARUS
8	BZ	BRAZIL
8	CB	COLOMBIA
8	CC	CUBA
8	CD	CANADA
8	CF	CHAD
8	CJ	CAMBODIA
8	CM	CAMEROON
8	CQ	CHILE
8	CR	COSTA RICA
8	CS	CYPRUS
8	CV	CAPE VERDE
8	CW	CENTRAL AFRICAN REP
8	CY	SRI LANKA
8	DG	COMOROS, FED ISLAMIC REP
8	DH	BENIN
8	DI	COOK ISLANDS
8	DK	DENMARK
8	DM	DOMINICA
8	DN	DJIBOUTI
8	DR	DOMINICAN REPUBLIC
8	EK	EQUATORIAL GUINEA
8	EL	EL SALVADOR
8	EN	ENGLAND
8	EO	ETHIOPIA
8	ES	ESTONIA
8	ET	ERETRIA
8	EU	ECUADOR
8	EY	EGYPT
8	EZ	CZECH REPUBLIC
8	FD	FINLAND
8	FJ	FIJI ISLANDS
8	FN	FRANCE
8	FO	FAROE ISLANDS
8	FP	FRENCH POLYNESIA

8	FR	FRENCH SOUTHERN/ANTARTIC LANDS
8	FS	FED STATES OF MICRONESIA
8	GB	GABON
8	GC	GREECE
8	GD	GEORGIA
8	GE	FEDERAL REP OF GERMANY
8	GG	GHANA
8	GI	GUINEA
8	GJ	GRENADA
8	GK	GAMBIA
8	GN	GREENLAND
8	GS	S GEORGIA/S SANDWICH ISLANDS
8	GT	GUATEMALA
8	GY	GUYANA/INCL FRENCH GUIANA
8	GZ	GAZA
8	HD	HONDURAS
8	HK	HONG KONG
8	HN	VANUATU, REP
8	HT	HAITI
8	HU	HUNGARY
8	IC	ICELAND
8	IE	IRELAND
8	II	INDIA
8	IO	INDONESIA
8	IQ	IRAQ
8	IR	IRAN
8	IS	ISRAEL
8	IT	ITALY
8	IU	NIUE
8	IY	IVORY COAST
8	JA	JAPAN
8	JM	JAMAICA
8	JO	JORDAN
8	JU	JUAN DE NOVA ISLAND
8	KB	KIRIBATI
8	KC	CROATIA
8	KE	KENYA
8	KH	MANAHIKI ISLAND
8	KN	NORTH KOREA
8	KO	SOUTH KOREA
8	KT	KAZAKHSTAN
8	KU	KUWAIT
8	KZ	KYRGYZSTAN
8	LB	LIBERIA
8	LD	MOLDOVA
8	LE	LESOTHO

8	LF	SLOVAKIA
8	LH	LITHUANIA
8	LI	LIECHTENSTEIN
8	LN	LEBANON
8	LO	SLOVENIA
8	LS	LAOS
8	LT	LATVIA
8	LU	SAINT LUCIA
8	LX	LUXEMBOURG
8	LY	LIBYA
8	MF	MALAWI
8	MG	MONGOLIA
8	MJ	MONACO
8	ML	MALI
8	MM	MEXICO
8	MP	MADAGASCAR/MALAGASY REPUBLIC
8	MQ	MOROCCO
8	MU	MAURITANIA
8	MV	MALDIVES
8	MY	MALTA
8	MZ	MALAYSIA
8	NE	NETHERLANDS
8	NG	NIGERIA
8	NN	NIGER
8	NO	PAPUA NEW GUINEA
8	NP	NEPAL
8	NR	NAURU
8	NU	NICARAGUA
8	NW	NORWAY
8	NX	NETHERLANDS ANTILLES/BONAIRE
8	NZ	NEW ZEALAND
8	OC	MACAU
8	OM	OMAN
8	PD	PALAU, REPUBLIC OF
8	PF	PARCEL ISLANDS
8	PG	GUINEA-BISSAU
8	PI	PHILIPPINES
8	PK	PAKISTAN
8	PM	PANAMA
8	PO	POLAND
8	PT	PORTUGAL
8	PU	PERU
8	PV	PARAGUAY
8	QA	QATAR
8	RA	RUSSIA
8	RB	REP OF CONGO

8	RC	PEOPLES REPUBLIC OF CHINA
8	RH	ZIMBABWE
8	RS	WESTERN SAHARA
8	RU	ROMANIA/RUMANIA
8	RV	SOCIALIST REP OF VIETNAM
8	RW	RWANDA
8	RY	REP OF YEMEN
8	SA	SIERRE LEONE
8	SB	SAUDI ARABIA
8	SE	SEYCHELLES
8	SF	SOUTH AFRICA
8	SG	SENEGAL
8	SH	SAN MARINO
8	SJ	SOUTH-WEST AFRICA/NAMIBIA
8	SM	SOMALIA
8	SP	SPAIN
8	SQ	SWEDEN
8	SR	SINGAPORE
8	SS	SCOTLAND
8	SU	SUDAN
8	SW	SWAZILAND
8	SY	SYRIA
8	SZ	SWITZERLAND
8	TC	UNITED ARAB EMIRATES
8	TE	SPRATLY ISLANDS
8	TF	TUAMOTU ARCHIPELAGO
8	TG	TONGA
8	TH	THAILAND
8	TJ	TAJIKISTAN
8	TO	TOGO
8	TP	SAO TOME AND PRINCIPE
8	TQ	TONGAREVA
8	TS	ST CHRISTOPHER/ST KITTS AND NEVIS
8	TT	TRINIDAD AND TOBAGO
8	TU	TUNISIA
8	TV	TUVALU
8	TW	TAIWAN, REPUBLIC OF CHINA
8	TY	TURKEY
8	TZ	TANZANIA, UNITED REP OF
8	UG	UGANDA
8	UK	UKRAINE
8	UM	MAURITIUS
8	UR	TURKMENISTAN
8	US	UNITED STATES OF AMERICA
8	UV	BURKINA FASO
8	UY	URUGUAY



8	UZ	UZBEKISTAN, REPUBLIC OF
8	VV	SAINT VINCENT AND THE GRENADINES
8	VZ	VENEZUELA
8	WB	WEST BANK
8	WL	WALES
8	WN	WEST INDIES ISLANDS
8	WS	WESTERN SAMOA
8	XB	MONTENEGRO
8	XX	UNKNOWN
8	XY	UNREPORTED
8	YG	YUGOSLAVIA
8	ZR	ZAIRE

APPLICABLE TO: QDP response, EDP, and MDP

#### **CREATED-BY - CREATED BY**

FORMAT: Alphanumeric

LENGTH: Maximum 15 characters

DESCRIPTION: This is the PERSONNEL\_ID of the person who places the request being recorded and processed, the initiating State ORI, or the ORI for NCIC. If the action recorded is not in response to an external stimulus, the data element is null.

APPLICABLE TO: QDP response

#### **CREATED-DATE - DATE CREATED**

FORMAT: YYYYMMDD XXXXXXXX

DESCRIPTION: This is the date and time a record was created. It is assigned by the NICS/FBI element when the record is written to the database.

APPLICABLE TO: QDP response

#### **CTZ – CITIZENSHIP**

FORMAT: Alpha

LENGTH: 1 character

DESCRIPTION: An optional field in a NICS background check indicating whether or not the subject is a citizen of the United States.

#### **PERMISSIBLE VALUES:**

Code	Description
====	=====
C	U.S. Citizen
F	Non U.S. Citizen
N	Non U.S. Citizen, no IAQ requested

#### **DATA-SRC - DATA SOURCE**

FORMAT: Alphanumeric

LENGTH: 2 characters for state agencies; 3 characters for federal agencies

DESCRIPTION: This is the Federal Agency or State that is the source for a NICS Index record. Refer to **Appendix D** of the *NICS/FBI Element Specification* for information on which data sources are currently active.

PERMISSIBLE VALUES:

Code	Description
=====	=====
AFO	U.S. Air Force
AMX	Amtrax Railroad Police
ATF	Bureau of Alcohol, Tobacco, Firearms, and Explosives (Used for FFL List Updates only)
BAT	Bureau of Alcohol, Tobacco, Firearms, and Explosives (Used for NICS Index Submissions only)
BEP	Bureau of Engraving and Printing
BOM	Bureau of Mint Police
CAP	U.S. Capitol Police
CBP	Customs and Border Protection
CIA	Central Intelligence Agency
CID	U.S. Army Criminal Investigations Divisions
DCO	Department of Commerce
DEA	Drug Enforcement Administration
DHS	Department of Homeland Security
DIF	Fish and Wildlife Services
DIO	Department of Interior
DOA	Department of Agriculture
DOD	Department of Defense
DOE	Department of Energy
DOJ	Department of Justice
DOL	Department of Labor
DOS	Department of State
DOT	Department of Transportation
DPP	Defense Protective Services
DSS	Defense Security Services
DVA	Department of Veterans Affairs
EPA	Environmental Protection Agency
FAA	Federal Aviation Agency
FAM	Federal Air Marshal Service
FBI	Federal Bureau of Investigation
FDA	Food and Drug Administration
FEM	Federal Emergency Management Agency
FPS	Federal Protective Services
FRB	Federal Reserve System
GAO	National Gallery of Art
GPO	Government Printing Office
GSA	General Services Administration
HHS	Department of Health and Human Services

ICC	Interstate Commerce Commission
ICE	Bureau of Immigration and Customs Enforcement
INS	Immigration and Naturalization Services
INT	Interpol
IRS	Internal Revenue Service
MCO	U.S. Marines
NAS	National Aeronautics and Space Administration
NIS	Naval Investigative Service
NRC	Nuclear Regulatory Commission
NSA	National Security Agency
NVA	Naval Clemency and Parole Board
OIG	Department of Education
OPM	Office of Personnel Management
OSI	U.S. Air Force Office of Special Investigations
POO	Postal Inspection Service
PPD	U.S. Park Police
SIO	Smithsonian Institute
SSA	Social Security Administration
SSO	U.S. Secret Service
TIX	Treasury Inspector General
TRE	Department of Treasury
TTA	Treasury OIG Tax Administration
UCG	U.S. Coast Guard
USA	U.S. Army
USC	Bureau of Customs
USM	U.S. Marshals Service
USN	U.S. Navy
VAO	Transportation Security Administration
ZPP	Zoological Park Police
**	Two-letter Code for State or U.S. Province or Territory

**The Data Source for the following agencies will be the letter “F” followed by the following codes:**

Code	Description
====	=====
7A	Federal Prosecuting Attorneys
7B	Federal Pre-Trial Services
7C	Federal Prisons
7G	Federal Probation and Parole
7J	Federal Courts
7Y	Department of Health and Human Services, Social Security Admin
9Q	Housing and Urban Development
APPLICABLE TO: QDP response	

#### **DB – DATABASE**

FORMAT: Numeric

LENGTH: 1 character

DESCRIPTION: Identification of the NICS, NCIC or III/FBI database.

PERMISSIBLE VALUES:

Code	Description
=====	=====
1	NICS
2	NCIC
3	III/FBI
4	None
5	ICE

#### **DB\_RESP\_DATE – DATABASE RESPONSE DATE**

FORMAT: Date

DESCRIPTION: The date and time when a response is returned from NCIC, III/FBI, or the NICS Index for a database search request or database record request.

#### **DB\_RESP\_STATUS – DATABASE RESPONSE STATUS**

FORMAT: Numeric

LENGTH: 1 character

DESCRIPTION: Status applied to track individual database actions for searches and record requests.

PERMISSIBLE VALUES:

Code	Description
=====	=====
0	In Progress
1	Complete

#### **DEL\_TRAN\_COUNT – TOTAL DEACTIVATION TRANSACTION COUNT**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Count of the number of Deactivation transactions in an ATF NICS Index submission.

APPLICABLE TO: XDP, MDP, AND EDP

#### **DNY - DENYING AGENCY**

FORMAT: Alphanumeric or Special Characters

LENGTH: Maximum 50 characters

DESCRIPTION: This is information on the denying agency for a disqualified person record.

SPECIAL CHARACTERS: ` ~ ! @ # \$ % ^ & \* ( ) - = + [ ] { } \ | ' " : / ? , < >

APPLICABLE TO: QDP response, EDP, and MDP

#### **DOB - DATE OF BIRTH**

FORMAT: Numeric

LENGTH: 6 or 8 characters

DESCRIPTION: This is the subject's date of birth composed of the individual day, month, and year. If a DOB is provided as a six-digit format, a full DOB will be accepted. If a DOB is provided as an eight-digit format, a full DOB, a partial DOB, or a DOB with all zeros, including an alternate unique identifying number, will be accepted. Note 1: An alternate unique identifying number is either a Social Security Number (SOC) or a Miscellaneous Number (MNU). Note 2: A partial DOB is defined as two of the three DOB values. Values in the DOB field that are not known must be submitted using zeros.

SPECIAL FORMATTING: YYYYMMDD or MMDDYY

APPLICABLE TO: QNR, EDP, MDP, and REJECT

### **EXC – EXCEPTION DOCUMENTATION CODE**

FORMAT: Alpha

LENGTH: 1 character

DESCRIPTION: This is documentation required for non-immigrants attempting to purchase a firearm in the United States.

#### **PERMISSIBLE VALUES:**

Code	Description
=====	=====
A	Valid Hunting License/Permit
B	Official Representative of a Foreign Government
C	Foreign Government Official/Distinguished Visitor
D	Foreign Law Enforcement Officer
E	Waiver from Prohibition
F	Other
G	No Documentation Provided

### **EXP - EXPIRATION DATE**

FORMAT: YYYYMMDD or MMDDYY

LENGTH: 6 or 8 characters

DESCRIPTION: This is the last date (either current or future date) for which the disqualifying information in a NICS Index record will be valid.

APPLICABLE TO: QDP response, EDP, and MDP

### **EYE - EYE COLOR**

FORMAT: Alpha

LENGTH: 3 characters

DESCRIPTION: This is the eye color of a subject.

PERMISSIBLE VALUES: See *NCIC 2000 Code Manual*, Part 4.

Code	Color	Code	Color
=====	=====	=====	=====
BLK	Black	BLU	Blue
BRO	Brown	GRY	Gray
GRN	Green	HAZ	Hazel

MAR	Maroon	MUL	Multicolored
PNK	Pink	XXX	Unknown
APPLICABLE TO: QDP response, EDP, and MDP			

**FFL\_CITY – FFL ADDRESS CITY**

FORMAT: Alphanumeric

LENGTH: Maximum of 20 characters

DESCRIPTION: The city associated with the Federal Firearms Licensee (FFL) address.

**FFL\_CODEWD – FFL CODE WORD**

FORMAT: Alphanumeric

LENGTH: Maximum of 10 characters

DESCRIPTION: The code word associated with the Federal Firearms License, captured when the FFL enrolls with NICS. Both FFL\_ID and FFL\_CODEWD must be entered to authenticate an FFL caller contacting NICS.

**FFL\_CONTACT\_NAME – FFL CONTACT NAME**

FORMAT: Alphanumeric

LENGTH: Maximum of 25 characters

DESCRIPTION: A name provided as a contact for the Federal Firearms Licensee (FFL).

SPECIAL CHARACTERS: parentheses ()

**FFL\_CONTACT\_PHONE – FFL CONTACT PHONE NUMBER**

FORMAT: Numeric

LENGTH: Maximum of 25 characters

DESCRIPTION: The phone number associated with the FFL Contact Name.

SPECIAL EDITS: No dashes

**FFL\_EXP – FFL LICENSE EXPIRATION DATE**

FORMAT: Date

LENGTH: Maximum of 10 characters

DESCRIPTION: The date that the FFL License expires.

PERMISSIBLE VALUES: Entered as MM/DD/YY OR MM/DD/YYYY.

**FFL\_GROUP\_ID – FEDERAL FIREARMS LICENSE GROUP NUMBER**

FORMAT: Alphanumeric

LENGTH: 5 characters

DESCRIPTION: A unique identifier for Federal Firearms Licensee Groups.

**FFL\_GROUP\_NAME – FEDERAL FIREARMS LICENSE GROUP NAME**

FORMAT: Alphanumeric

LENGTH: Maximum of 25 characters

DESCRIPTION: A name associated with a Federal Firearms Licensee Group.

**FFL\_ID – FFL ID**

FORMAT: Alphanumeric

LENGTH: 15 characters

DESCRIPTION: A unique identifier for a Federal Firearms Licensee (FFL).

SPECIAL FORMATTING:

- 1) Position 1      1 - 9 ,excluding 2
- 2) Position 2-3    01 - 99
- 3) Position 4-6    000 - 999
- 4) Position 7-8
  - 01 - Dealer in Firearms other than Destructive Devices
  - 02 - Pawnbroker FFL
  - 07 - Manufacturer of Firearms other than Destructive Devices
  - 08 - Importer of firearms other than Destructive Devices
  - 09 - Dealer in Destructive Devices
  - 10 - Manufacturer of Destructive Devices
  - 11 - Importer of Destructive Devices
  - 98 – Explosives
  - 99 - Explosives
- 5) Position 9, One-character numeric denoting last number of year. Valid values are 0-9.
- 6) Position 10, One-character alpha representing the month (e.g., A=January). Valid values are A - M, excluding I.
- 7) Position 11-15   Must be numeric.

#### **FFL\_LIC\_TYPE – FFL LICENSE TYPE**

FORMAT: Numeric

LENGTH: 2 characters

DESCRIPTION: This indicates the type of Federal Firearms License (FFL) that was issued by the ATF. For NICS, the type will represent either a pawnbroker or regular FFL.

PERMISSIBLE VALUES:

Code	Description
=====	=====
01	Regular FFL
02	Pawnbroker FFL
07	Manufacturers of firearms other than destructive devices
08	Importers of firearms other than destructive devices
09	Dealer in destructive devices
10	Manufacturer of destructive devices
11	Importer of destructive devices
98	Explosives
99	Explosives

APPLICABLE TO: EDP and MDP

#### **FFL\_NAME\_BUS – FEDERAL FIREARMS LICENSE BUSINESS NAME**

FORMAT: Alphanumeric

LENGTH: Maximum of 50 characters

DESCRIPTION: This is the name of the business associated with the Federal Firearms License Number (FFL) and the store identification code.

SPECIAL CHARACTERS: & ' / \ - + # . , ; \$ ! ( ) @

APPLICABLE TO: EDP and MDP

#### **FFL\_NAME\_LIC – FEDERAL FIREARMS LICENSEE NAME**

FORMAT: Alphanumeric

LENGTH: Maximum of 50 characters

DESCRIPTION: This is the name of the individual associated with the Federal Firearms License (FFL) or the corporate name.

SPECIAL CHARACTERS: & ' / \ - + # . , ; \$ ! ( ) @

APPLICABLE TO: EDP and MDP

#### **FFL\_PHONE\_NBR – FFL PHONE NUMBER**

FORMAT: Alphanumeric

LENGTH: Maximum of 25 characters

DESCRIPTION: The phone number associated with the Federal Firearms Licensee (FFL).

SPECIAL CHARACTERS: parentheses ( )

#### **FFL\_RDS\_KEY – REGION, DISTRICT, SEQUENCE NUMBER KEY**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Unique identifier for each FFL record. Identifier is provided to NICS/FBI by the ATF. It represents the first 3 positions and the last 5 positions of the FFL-ID.

SPECIAL FORMATTING:

- 1) Position 1      1 - 9 ,excluding 2
- 2) Position 2-3    01 - 99
- 3) Position 4-8    Must be numeric

#### **FFL\_REASON – FFL REASON FOR MODIFICATION**

FORMAT: Alpha

LENGTH: Maximum of 30 characters

DESCRIPTION: When the NICS user modifies FFL data, a reason for modification must be selected.

PERMISSIBLE VALUES: "FFL Code Word", "New Enrollment", "New Phone Number", "New Contact Name", "New Contact Phone/Fax Number", "Verify Mailing Information", "Activate", "Deactivate".

SPECIAL CHARACTERS: slash /

#### **FFL\_STATE – FFL ADDRESS STATE**

FORMAT: Alpha

LENGTH: 2 characters

DESCRIPTION: The state associated with the FFL address.



**FFL\_STATUS – FFL STATUS**

FORMAT: Alpha

LENGTH: 1 character

DESCRIPTION: A status that indicates whether an FFL is active or inactive. Status must be “active” for the FFL\_ID to pass authentication for a NICS search request.

## PERMISSIBLE VALUES:

Code	Description
=====	=====
0	Inactive
1	Active

**FFL\_STREET – FFL ADDRESS STREET**

FORMAT: Alphanumeric

LENGTH: Maximum of 50 characters

DESCRIPTION: This is the street associated with the Federal Firearms Licensee (FFL) address.

APPLICABLE TO: EDP and MDP

**FFL\_ZIP – FFL ADDRESS ZIP CODE**

FORMAT: Alphanumeric

LENGTH: Maximum of 10 characters

DESCRIPTION: This is the zip code for the Federal Firearms Licensee (FFL) address.

PERMISSIBLE VALUES: Position 6 must be numeric or a hyphen. All other positions must be numeric.

SPECIAL EDITS: Nine characters are accepted if all are numeric. Ten characters are accepted if a hyphen is used in position 6.

SPECIAL CHARACTERS: hyphen

APPLICABLE TO: EDP and MDP

**FIR – FIRST NAME**

FORMAT: Alpha

LENGTH: Maximum of 30 characters

DESCRIPTION: The subject's first name.

SPECIAL EDITS: Maximum length of FIR + MID + SURNAME = 100 characters

**GENDER – GENDER**

FORMAT: Alpha

LENGTH: 1 character

DESCRIPTION: The sex of a subject.

## PERMISSIBLE VALUES:

## PERMISSIBLE VALUES:

Code	Description
=====	=====
F	Female

M Male  
U Unknown (For NICS Index Records Only)

APPLICABLE TO: EDP,QDP and MDP responses

APPLICABLE TO: EDP and MDP responses

NOTE: The use of U in any transactions other than MDP and QDP will result in a reject message.

### **HAI - HAIR COLOR**

FORMAT: Alpha

LENGTH: 3 characters

DESCRIPTION: This is the hair color of a subject.

PERMISSIBLE VALUES: See *NCIC 2000 Code Manual*, Part 4.

Code	Color	Code	Color
=====	=====	=====	=====
BAL	Bald	BLK	Black
BLN	Blonde (or Strawberry)	BLU	Blue
BRO	Brown	GRY	Gray (or Partially Gray)
GRN	Green	ONG	Orange
PNK	Pink	PLE	Purple
RED	Red (or Auburn)	SDY	Sandy
WHI	White	XXX	Unknown

APPLICABLE TO: QDP response, EDP, and MDP

### **HDR - HEADER**

FORMAT: Alphanumeric

LENGTH: 9-19 characters

DESCRIPTION: This identifies type and source of a request (within agency). The first four characters of all NICS messages are 6L01, except for the NICS unsolicited messages.

SPECIAL FORMATTING: For characters 5-19, interspersed blanks, commas, dollars signs, ampersands, dashes or hyphens, slashes, right and left parentheses, asterisks, semicolons, plus signs, and percent signs are also valid.

APPLICABLE TO: QDP response, EDP, MDP, SDP, XDP, and REJECT

### **HGT - HEIGHT**

FORMAT: Alphanumeric

LENGTH: 3 characters

DESCRIPTION: The height of a subject.

PERMISSIBLE VALUES: 400-711 or 000 for missing or unknown height

SPECIAL FORMATTING:

1. First position must be feet.
2. Positions 2-3 must be inches with fractions of an inch rounded off to the nearest inch.
3. If the first position is an alpha character, it must be either "N" or "n".

4. If the first character is an “N” or “n”, the next two digits must represent the individual’s height in inches (i.e., “N72” would be converted to 6 feet 0 inches).
5. If nothing is entered in the HGT field, it will be converted to 000.

APPLICABLE TO: EDP and MDP

#### **LIC\_TYPE – LICENSE TYPE CODE**

FORMAT: Numerical

LENGTH: 2 characters

DESCRIPTION: This indicates the type of Federal Firearms License (FFL) that was issued by the ATF.

#### **PERMISSIBLE VALUES:**

Code	Description
====	=====
01	Firearm Dealer
02	Pawnbroker
07	Firearm Manufacturer
08	Firearm Importer
09	Destructive Device Dealer
10	Destructive Device Manufacturer
11	Destructive Device Importer

APPLICABLE TO: EDP and MDP

#### **MID – MIDDLE NAME**

FORMAT: Alpha

LENGTH: Maximum of 50 characters

DESCRIPTION: This is the subject’s middle name or initial.

SPECIAL FORMATTING: Maximum length of FIR + MID + SURNAME = 100 bytes

#### **MIS - MISCELLANEOUS COMMENTS**

FORMAT: Alphanumeric

LENGTH: Maximum of 1000 characters, special characters allowed included: , # - . / : ; & = @ & \* ( ) ‘ “ ”

DESCRIPTION: These are comments captured against a NICS Index record (e.g., reason for cancellation).

SPECIAL FORMATTING: Must be ASCII printable character.

APPLICABLE TO: EDP, MDP, and SDP

#### **MKE - MESSAGE KEY**

FORMAT: Alpha

LENGTH: 3 characters

DESCRIPTION: This is a code in the message key field of an incoming or outgoing NICS message indicating the type of action required for processing the message. The message key field is the second data element in the message following a period delimiting the header.

PERMISSIBLE VALUES: EDP, MDP, SDP, XDP, QN, QNP, QNR, NDN, NDO, and QDP

APPLICABLE TO: QDP response, EDP, MDP, SDP, XDP, and REJECT

### **MNU - MISCELLANEOUS IDENTIFICATION NUMBER**

FORMAT: Alphanumeric

LENGTH: 4-15 characters

DESCRIPTION: These are selected types of miscellaneous numbers associated with a person. MNUs may occur an unlimited number of times.

PERMISSIBLE VALUES: It consists of: 1) Two-letter prefix (MNA) + 2) Unique number (MNN), unless the MNU is AR or I9.

SPECIAL\_EDITS:

1. First two characters must be in the MNU Code Table shown below.
2. Interspersed blanks are invalid.
3. Only the third character must be a hyphen.
4. All other non-numeric and non-alpha characters will be stripped out of the subject search field and data to be entered into the NICS Index.
5. AR: Maximum length is 10. If length is less than 10, then the first character may be either the letter "A" or numeric (0 or 1 through 9 inclusive) and the remaining characters must be numeric only. If length is exactly 10, then the first character may only be the letter "A", and the remaining characters must be numeric only.
6. I9: Minimum length is 11. Maximum length is 11. Allowable characters are numeric only. These values do not include the prefix.

SPECIAL CHARACTERS: hyphen

Code	Number
====	=====
AF	Air Force Serial Number
AN	Non-Immigrant Admission Number
AR	Alien Registration Number
AS	Air National Guard Serial Number regardless of state
AS	Army Serial Number
AS	National Guard Serial Number
CI	Canadian Social Insurance Number
CG	United States Coast Guard Serial Number
DL*	Driver's License Number
I9	Non-Immigrant Admission Number
MC	Marine Corps Serial Number
MD	Mariner's Document or Identification Number
MP	Royal Canadian Mounted Police Identification or Fingerprint Section (FPS) Number
NS	Navy Serial Number
OA	Originating Agency Police or Identification Number
PI	Personal Identification Number (This applies only to state-issued numbers)
PP	Passport Number

PS	Port Security Card Number
SS	Selective Service Number
VA	Veterans Administration Claim Number

\*Although a Driver's License can be provided as an MNU value, it does not generate a Driver's License Query in NCIC. It is only to be used as an additional identifier.

**SPECIAL FORMATTING:**

1. All characters following the hyphen (-) for external codes "AF" and "AS" must be numeric.

2. I9 is NICS-unique and should not be passed to NCIC in a QW.

APPLICABLE TO: QDP response, EDP, MDP, SDP, and REJECT

**MOD\_TRAN\_COUNT – TOTAL MODIFY TRANSACTION COUNT**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Count of the number of Modify transactions in an ATF submission.

APPLICABLE TO: EDP, XDP, AND MDP

**NAM - NAME**

FORMAT: Alpha

LENGTH: Maximum 138 characters

DESCRIPTION: This is the name by which a person is known or designated. The NAM must include a minimum of last and first name. Middle name or initial and cadence are optional.

**SPECIAL FORMATTING:**

1. Format: SURNAME (50),[space] FIR(30)[space] MID(50)[space] CADENCE(4)

2. First position must not be a blank, comma, or hyphen.

3. Must contain at least one, and only one, comma.

4. Comma must not be preceded by a blank or hyphen.

5. Two or more consecutive blanks or hyphens between characters are invalid.

6. Hyphen must be preceded and followed by alpha characters.

**EXAMPLE:**

Smith, John Henry

Smith, John Henry Jr

Smith, John H Q

Smith, John Henry Lewis

SPECIAL CHARACTERS: comma, hyphen, blank

ALIASES: AKA

APPLICABLE TO: QNR, QDP response, EDP, MDP, SDP, XDP, and REJECT

**NAM\_BUS – BUSINESS NAME**

FORMAT: Alphanumeric

LENGTH: Maximum of 50 characters

DESCRIPTION: This is the name of the business associated with the Federal Firearms License Number (FFL) and the store identification code.

APPLICABLE TO: EDP and MDP

#### **NAM\_LIC - NAME OF LICENSEE**

FORMAT: Alpha

LENGTH: Maximum of 50 characters

DESCRIPTION: This is the name of the individual associated with the Federal Firearms License (FFL) or the corporate name.

APPLICABLE TO: EDP and MDP

#### **NRI - NICS RECORD IDENTIFIER**

FORMAT: Numeric

LENGTH: 10 characters

DESCRIPTION: This uniquely identifies a record in the NICS Index.

APPLICABLE TO: QDP response, EDP, MDP, SDP, XDP, and REJECT

The NRI is generated by the NICS system upon an EDP.

#### **NRI\_STATUS – NRI STATUS**

FORMAT: Alpha

LENGTH: 1 character

DESCRIPTION: An indicator for the status of a NICS Index Record.

#### **PERMISSIBLE VALUES:**

Code	Description
=====	=====
A	Active
C	Cancelled
E	Expired
M	Will soon expire

#### **NTN – NICS TRANSACTION NUMBER**

FORMAT: Alphanumeric

LENGTH: 9 (stored); up to 10 (data entry if hyphen is included)

DESCRIPTION: A unique number assigned to every search request entering NICS. All associated transactions (e.g., record requests, status updates, etc.) are related to the original search through the NTN. The NTN combined with NTN-EXT creates a unique transaction number for Audit log records.

SPECIAL FORMATTING: NTNs may be entered with hyphens; however hyphens are not stored in the Audit Log.

APPLICABLE TO: QDP response, MDP, and EDP

#### **NTN\_EXT – NICS TRANSACTION NUMBER EXTENSION**

FORMAT: Numeric

LENGTH: 3 characters

**DESCRIPTION:** An extension assigned to search-related transactions. In combination with the NICS Transaction Number (NTN), it is always unique.

### **OCA - ORIGINATING AGENCY CASE NUMBER**

**FORMAT:** Alphanumeric

**LENGTH:** Maximum 20 characters

**DESCRIPTION:** The local identification number assigned to the subject by the local agency. A local identification number can be stored as an Arrest Case Number, Judicial Case Number, or Originating Agency Case Number.

**SPECIAL CHARACTERS:** Commas, hyphens, blanks, and slashes are valid.

**APPLICABLE TO:** QDP response, EDP, and MDP

### **ODAT – OVERTURNED DATE**

**FORMAT:** Alphanumeric

**LENGTH:** Maximum of 12 characters

**DESCRIPTION:** This is the date and time that the denial was overturned.

**SPECIAL FORMATTING:** MMDDYYYYhhmm

### **ORI - ORIGINATING AGENCY IDENTIFICATION NUMBER**

**FORMAT:** Alphanumeric

**LENGTH:** 9 characters

**DESCRIPTION:** This is an identifying number assigned by the NCIC (or the CJIS Division) to each agency interacting with a CJIS Division system, which includes the NICS/FBI Element.

**SPECIAL FORMATTING:** The format of this number varies from agency to agency, except that the first two characters always designate the state, territory, province, or country of the ORI. The ORI is also used in the CREATED-BY and UPDATED-BY Fields.

**SPECIAL EDITS:**

1. Positions 1 and 2 must be alpha codes from the Code Table POB (Place of Birth).
2. Position 9 must be numeric or one of the following alphabetic characters: J (Courts, Magistrate's Offices) Y (Local, County, State, or Federal Agency that is a CJIS Agency), A (Prosecuting Attorney's Offices), B (Pre-Trial Services), C (Prisons), G (Probation and Parole), M (Mental Health Agencies), or Q (Local, County, State, or Federal Agency).

**APPLICABLE TO:** QDP response, EDP, MDP, SDP, XDP, and REJECT

### **ORI\_TYPE – ORI TYPE**

**FORMAT:** Alphanumeric

**LENGTH:** 1 character

**DESCRIPTION:** Code that identifies the class of an originating agency or contributor.

**PERMISSIBLE VALUES:**

External Code	Literal
=====	=====
1	State Agency

2	County or Parish
3	Local
4	Federal
5	NATB, N or W Numbers
6	Criminal Justice
7	Foreign/Local
8	SCIA Agencies
A	Canadian Provincial
B	Canadian Federal (RCMP)
C	Canadian Local
E	Editorial
F	Federal Service Coordinator
R	Retired
S	State CJIS Systems Agency
X	Computer Testing
Y	Uniform Crime Reporting ORIs
Z	Division 1 ORIs

SPECIAL EDITS: Currently, the NICS System only allows the ORI Types of 1, 2, 3, 4, 6, 7, and 8.

#### **PCA - CATEGORY OF PROHIBITED PERSONS**

FORMAT: Alphanumeric

LENGTH: Maximum of 2 characters

DESCRIPTION: This field contains a code representing the reason(s) for the denial or the reason for a NICS Index Submission. It can be one of the Brady Act categories or represent a Denied Person Record provided by a state.

PERMISSIBLE VALUES:

#### **PCA Codes available for Denials and NICS Index Submissions:**

Code	United States Code
=====	=====
A1	18 USC 922(g)(1)
A2	18 USC 922(n)
B	18 USC 922(g)(2)
C	18 USC 922(g)(3)
D	18 USC 922(g)(4)
E	18 USC 922(g)(5)
F	18 USC 922(g)(6)
G	18 USC 922(g)(7)
*H	18 USC 922(g)(8)
*I	18 USC 922(g)(9)

#### **PCA Codes available for Denials Only:**

Code	Prohibited Category
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=====	=====
J	State Prohibitor
M	Federally Denied Persons File

**PCA Codes available for NICS Index Submissions Only:**

Code	United States Code
=====	=====
M1	18 USC 922(g)(1)
M2	18 USC 922(n)
MB	18 USC 922(g)(2)
MC	18 USC 922(g)(3)
MD	18 USC 922(g)(4)
ME	18 USC 922(g)(5)
MF	18 USC 922(g)(6)
MG	18 USC 922(g)(7)
MH	18 USC 922(g)(8)
MI	18 USC 922(g)(9)

**PCA Codes used by the System for Routing Purposes Only:**

Code	Prohibited Category
=====	=====
S	Special NCIC Records
T	Possible Terrorist Organization Member
Z	Criminal Gang Member

\*Denotes PCA values that are not available to examiners for denial if the transaction is an explosives check.

**POB - PLACE OF BIRTH**

FORMAT: Alpha

LENGTH: 2 characters

DESCRIPTION: This code specifies the state, territory, province, or country in which a subject was born.

**PERMISSIBLE VALUES:**

CODE_TYPE	CODE_VALUE	CODE_DESC
=====	=====	=====
1	AA	ALBANIA
1	AB	ALBERTA
1	AC	AFRICA
1	AD	ANDORRA
1	AE	ANGUILLA
1	AF	AFGHANISTAN
1	AG	AGUASCALIENTES
1	AH	ASHMORE AND CARTIER ISLANDS
1	AI	ANTIGUA AND BARBUDA
1	AJ	ARUBA

1	AK	ALASKA
1	AL	ALABAMA
1	AM	AMERICAN SAMOA
1	AN	ALGERIA
1	AO	ANGOLA
1	AP	ARMENIA
1	AQ	AZORES ISLANDS
1	AR	ARKANSAS
1	AS	AUSTRALIA
1	AT	ARGENTINA
1	AU	AUSTRIA
1	AV	AZERBAIJAN
1	AX	APACHE TRIBE
1	AY	ANTARCTICA
1	AZ	ARIZONA
1	BA	NORTHERN BAJA CALIFORNIA
1	BB	BARBADOS
1	BC	BRITISH COLUMBIA
1	BD	BAHAMAS, THE
1	BE	BAHRAIN/BAHREIN
1	BF	BASSAS DA INDIA
1	BG	BELGIUM
1	BH	BELIZE/BRITISH HONDURAS
1	BI	BURUNDI
1	BJ	SOUTHERN BAJA CALIFORNIA
1	BK	BAKER ISLAND
1	BL	BANGLADESH
1	BM	BERMUDA
1	BN	BHUTAN
1	BO	BRITISH INDIAN OCEAN TERRITORY
1	BP	BOSNIA AND HERCEGOVENIA
1	BQ	BOUVET ISLAND
1	BR	BURMA
1	BS	BRITISH SOLOMON ISLAND/SOLOMON ISLANDS
1	BT	BOTSWANA
1	BU	BULGARIA
1	BV	BOLIVIA
1	BW	BALEARIC ISLANDS
1	BX	BRUNEI
1	BY	BYELARUS
1	BZ	BRAZIL
1	CA	CALIFORNIA
1	CB	COLOMBIA
1	CC	CUBA
1	CD	CANADA

1	CE	CAMPECHE
1	CF	CHAD
1	CG	CAROLINE ISLANDS
1	CH	CHIHUAHUA
1	CI	CHIAPAS
1	CJ	CAMBODIA (KHMER REPUBLIC & KAMPUCHEA)
1	CK	CZECHOSLOVAKIA
1	CL	COLIMA
1	CM	CAMEROON
1	CN	CHINA
1	CO	COLORADO
1	CP	CAYMAN ISLANDS
1	CQ	CHILE
1	CR	COSTA RICA
1	CS	CYPRUS
1	CT	CONNECTICUT
1	CU	COAHUILA
1	CV	CAPE VERDE ISLANDS
1	CW	CENTRAL AFRICAN REPUBLIC
1	CX	CONGO/CONGO REPUBLIC
1	CY	SRI LANKA/CEYLON
1	CZ	CANAL ZONE
1	DA	CHEYENNE AND ARAPAHO TRIBES
1	DB	CLIPPERTON ISLAND
1	DC	DISTRICT OF COLUMBIA
1	DD	COCOS (KEELING) ISLANDS
1	DE	DELAWARE
1	DF	MEXICO, DISTRITO FEDERAL
1	DG	COMOROS ISLANDS
1	DH	BENIN/DAHOMEY
1	DI	COOK ISLANDS
1	DJ	CORAL SEA ISLANDS
1	DK	DENMARK
1	DL	DEVIL'S LAKE SIOUX TRIBE
1	DM	DOMINICA
1	DN	DJIBOUTI
1	DO	DURANGO
1	DP	COMANCHE NATION
1	DR	DOMINICAN REPUBLIC
1	DS	MIAMI TRIBE
1	DT	MUSCOGEE (CREEK) TRIBE
1	DV	SENECA-CAYUGA TRIBES
1	DW	CITIZEN BAND POTTAWATOMIE TRIBE
1	EE	ABSENTEE SHAWNEE
1	EK	EQUATORIAL GUINEA

1	EL	EL SALVADOR
1	EM	EAST GERMANY/1945-1989, Incl EAST BERLIN
1	EN	ENGLAND
1	EO	ETHIOPIA
1	ER	EUROPA ISLAND
1	ES	ESTONIA
1	ET	ERETRIA
1	EU	ECUADOR
1	EY	EGYPT/UNITED ARAB REP
1	EZ	CZECH REPUBLIC
1	FA	FALKLAND ISLANDS
1	FC	FOND DU LAC
1	FD	FINLAND
1	FG	FRENCH GUIANA
1	FJ	FIJI
1	FL	FLORIDA
1	FN	FRANCE
1	FO	FAROE ISLANDS
1	FP	FRENCH POLYNESIA
1	FR	FRENCH SOUTHERN AND ANTARTIC LANDS
1	FS	FEDERATED STATES OF MICRONESIA
1	FX	SAC & FOX
1	GA	GEORGIA (STATE)
1	GB	GABON
1	GC	GREECE
1	GD	GEORGIA (COUNTRY)/GRUZINSKAYA
1	GE	GERMANY/FEDERAL REPUB OF GERMANY
1	GF	GUERNSEY
1	GG	GHANA
1	GI	GUINEA
1	GJ	GRENADA
1	GK	GAMBIA, THE
1	GL	GILBERT & ELLICE ISLAND
1	GM	GUAM
1	GN	GREENLAND
1	GO	GLORIOSO ISLANDS
1	GP	GUADELOUPE
1	GR	GUERRERO
1	GS	SOUTH GEORGIA & SOUTH SANDWICH ISLANDS
1	GT	GUATEMALA
1	GU	GUANAJUATO
1	GY	GUYANA
1	GZ	GAZA
1	HC	HERCEGOVENA

1	HD	HONDURAS
1	HE	HEARD ISLAND AND MCDONALD ISLAND
1	HI	HAWAII
1	HK	HONG KONG
1	HL	HIDALGO
1	HN	VANUATU, REP/NEW HEBRIDES
1	HO	HOWLAND ISLAND
1	HR	CHRISTMAS ISLAND
1	HS	SAINT HELENA
1	HT	HAITI
1	HU	HUNGARY
1	IA	IOWA
1	IB	ISLE OF MAN
1	IC	ICELAND
1	ID	IDAHO
1	IE	IRELAND (NOT NORTHERN IRELAND)
1	II	INDIA
1	IL	ILLINOIS
1	IM	MADEIRA ISLANDS
1	IN	INDIANA
1	IO	INDONESIA
1	IQ	IRAQ
1	IR	IRAN
1	IS	ISRAEL
1	IT	ITALY
1	IU	NIUE
1	IW	IOWA TRIBE
1	IX	MENOMINEE
1	IY	IVORY COAST
1	JA	JAPAN
1	JE	JERSEY
1	JI	JOHNSTON ISLAND
1	JL	JALISCO
1	JM	JAMAICA
1	JN	JAN MAYEN
1	JO	JORDAN
1	JR	JARVIS ISLAND
1	JU	JUAN DE NOVA ISLAND
1	KB	KIRIBATI
1	KC	CROATIA
1	KE	KENYA
1	KH	MANAHIKI ISLAND
1	KI	KINGMAN REEF
1	KK	KICKAPOO TRIBE
1	KN	NORTH KOREA
1	KO	SOUTH KOREA

1	KP	SHAKOPEE
1	KR	KOREA
1	KS	KANSAS
1	KT	KAZAKHSTAN
1	KU	KUWAIT
1	KW	KIOWA
1	KY	KENTUCKY
1	KZ	KYRGYZSTAN
1	LA	LOUISIANA
1	LB	LIBERIA
1	LC	MILLE LACS
1	LD	MOLDOVA
1	LE	LESOTHO
1	LF	SLOVAKIA
1	LH	LITHUANIA
1	LI	LIECHTENSTEIN
1	LL	LEECH LAKE BAND OF CHIPPEWA
1	LN	LEBANON
1	LO	SLOVENIA
1	LP	LAC DU FLAMBEAU BAND OF CHIPPEWA
1	LS	LAOS
1	LT	LATVIA
1	LU	SAINT LUCIA
1	LX	LUXEMBOURG
1	LY	LIBYA
1	MA	MASSACHUSETTS
1	MB	MANITOBA
1	MC	MICHOACAN
1	MD	MARYLAND
1	ME	MAINE
1	MF	MALAWI
1	MG	MONGOLIA
1	MH	MARSHALL ISLANDS
1	MI	MICHIGAN
1	MJ	MONACO
1	MK	MARIANA ISLANDS
1	ML	MALI
1	MM	MEXICO
1	MN	MINNESOTA
1	MO	MISSOURI
1	MP	MALAGASY REPUBLIC
1	MQ	MOROCCO
1	MR	MORELOS
1	MS	MISSISSIPPI
1	MT	MONTANA
1	MU	MAURITANIA

1	MV	MALDIVES
1	MW	MIDWAY ISLANDS
1	MX	MEXICO (STATE)
1	MY	MALTA
1	MZ	MALAYSIA
1	NA	NAYARIT
1	NB	NEBRASKA
1	NC	NORTH CAROLINA
1	ND	NORTH DAKOTA
1	NE	NETHERLANDS
1	NF	NEWFOUNDLAND
1	NG	NIGERIA
1	NH	NEW HAMPSHIRE
1	NI	NORTHERN IRELAND
1	NJ	NEW JERSEY
1	NK	NEW BRUNSWICK
1	NL	NUEVO LEON
1	NM	NEW MEXICO
1	NN	NIGER
1	NO	PAPUA NEW GUINEA/NEW GUINEA
1	NP	NEPAL
1	NQ	NEW CALEDONIA
1	NR	NAURU
1	NS	NOVA SCOTIA
1	NT	NORTHWEST TERRITORIES
1	NU	NICARAGUA
1	NV	NEVADA
1	NW	NORWAY
1	NX	NETHERLANDS ANTILLES/BONAIRE
1	NY	NEW YORK
1	NZ	NEW ZEALAND
1	OA	OAXACA
1	OC	MACAU
1	OF	NORFOLK ISLAND
1	OG	OSAGE NATION
1	OH	OHIO
1	OI	OKINAWA
1	OK	OKLAHOMA
1	OM	OMAN
1	ON	ONTARIO
1	OO	OTOE-MISSOURIA TRIBE
1	OR	OREGON
1	OS	OGLALA SIOUX
1	OT	ONEIDA TRIBE OF INDIANS OF WISCONSIN
1	PA	PENNSYLVANIA
1	PB	PUEBLA

1	PC	PITCAIRN, HENDERSON, DUCIE & OENO ISLANDS
1	PD	PALAU, REPUBLIC OF
1	PE	PRINCE EDWARD ISLAND
1	PF	PARCEL ISLANDS
1	PG	GUINEA-BISSAU/PORTUGUESE GUINEA
1	PI	PHILIPPINES
1	PK	PAKISTAN
1	PL	PALMYRA ATOLL
1	PM	PANAMA
1	PN	PONCA TRIBE
1	PO	POLAND
1	PQ	QUEBEC
1	PR	PUERTO RICO
1	PS	SAINT PIERRE AND MIQUELON
1	PT	PORTUGAL
1	PU	PERU
1	PV	PARAGUAY
1	PW	PAWNEE TRIBE
1	QA	QATAR
1	QR	QUINTANA ROO
1	QU	QUERETARO
1	RA	RUSSIA
1	RB	REPUBLIC OF CONGO, BRAZZAVILLE
1	RC	PEOPLE'S REPUBLIC OF CHINA
1	RE	REUNION
1	RF	RUSSIAN FEDERATION
1	RG	GIBRALTAR
1	RH	ZIMBABWE/RODESIA
1	RI	RHODE ISLAND
1	RL	RED LAKE
1	RR	MONTSERRAT
1	RS	WESTERN SAHARA/SPANISH SAHARA
1	RU	ROMANIA/RUMANIA
1	RV	SOCIALIST REPUBLIC OF VIETNAM
1	RW	RWANDA
1	RY	YEMEN ARAB REPUBLIC/REPUBLIC OF YEMEN
1	SA	SIERRE LEONE
1	SB	SAUDI ARABIA
1	SC	SOUTH CAROLINA
1	SD	SOUTH DAKOTA
1	SE	SEYCHELLES
1	SF	SOUTH AFRICA
1	SG	SENEGAL
1	SH	SAN MARINO



1	SI	SINALOA
1	SJ	NAMIBIA/SOUTH-WEST AFRICA
1	SK	SEMINOLE NATION
1	SL	SAN LUIS POTOSI
1	SM	SOMALIA
1	SN	SASKATCHEWAN
1	SO	SONORA
1	SP	SPAIN
1	SQ	SWEDEN
1	SR	SINGAPORE
1	SS	SCOTLAND
1	ST	PEOPLES DEMOCRATIC REP OF YEMEN
1	SU	SUDAN
1	SV	SVALBARD
1	SW	SWAZILAND
1	SX	SOVIET UNION/USSR/RUSSIA
1	SY	SYRIA
1	SZ	SWITZERLAND
1	TA	TAMAULIPAS
1	TB	TABASCO
1	TC	UNITED ARAB EMIRATES/TRUCIAL STATES
1	TD	TRUST TERRITORY OF THE PACIFIC ISLANDS
1	TE	SPRATLY ISLANDS
1	TF	TUAMOTU ARCHIPELAGO
1	TG	TONGA
1	TH	THAILAND
1	TJ	TAJIKISTAN
1	TK	TOKELAU
1	TL	TLAXCALA
1	TM	TROMELIN ISLAND
1	TN	TENNESSEE
1	TO	TOGO
1	TP	SAO TOME AND PRINCIPE
1	TQ	TONGAREVA
1	TR	TURKS AND CALCOS ISLANDS
1	TS	NEVIS AND SAINT CHRISTOPHER "KITTS"
1	TT	TRINIDAD AND TOBAGO
1	TU	TUNISIA
1	TV	TUVALU
1	TW	TAIWAN, REPUBLIC OF CHINA
1	TX	TEXAS
1	TY	TURKEY
1	TZ	UNITED REPUBLIC OF TANZANIA
1	UA	UNITED ARAB REP
1	UC	TURTLE MTN. BAND OF CHIPEWA

1	UG	UGANDA
1	UK	UKRAINE
1	UM	MAURITIUS
1	UR	TURKMENISTAN
1	US	UNITED STATES OF AMERICA
1	UT	UTAH
1	UV	BURKINA FASO/UPPER VOLTA
1	UY	URUGUAY
1	UZ	UZBEKISTAN
1	VA	VIRGINIA
1	VB	BRITISH VIRGIN ISLANDS
1	VC	VERACRUZ
1	VI	U.S. VIRGIN ISLANDS
1	VL	NAVASSA ISLAND
1	VM	VIETNAM
1	VN	NORTH VIETNAM
1	VS	SOUTH VIETNAM
1	VT	VERMONT
1	VV	SAINT VINCENT AND THE GRENADINES
1	VY	VATICAN CITY
1	VZ	VENEZUELA
1	WA	WASHINGTON
1	WB	WEST BANK
1	WD	WYANDOTTE TRIBE
1	WE	WHITE EARTH
1	WF	WALLIS AND FUTUNA
1	WG	WEST GERMAN, Incl WEST BERLIN
1	WI	WISCONSIN
1	WK	WAKE ISLAND
1	WL	WALES
1	WN	WEST INDIES ISLANDS
1	WS	WESTERN SAMOA
1	WT	WICHITA TRIBE
1	WV	WEST VIRGINIA
1	WY	WYOMING
1	XX	UNKNOWN PLACE OF BIRTH
1	YE	YEMEN ARAB REP
1	YG	YUGOSLAVIA
1	YO	MAYOTTE
1	YT	YUKON TERRITORY
1	YU	YUCATAN
1	YY	OTHER NOT LISTED
1	ZA	ZACATECAS
1	ZB	MARTINIQUE
1	ZC	SURINAM
1	ZD	MACEDONIA

1	ZI	CANARY ISLANDS
1	ZM	ZAMBIA
1	ZO	MOZAMBIQUE
1	ZR	ZAIRE/CONGO KINSHASA

Postal codes, except for Nebraska (NB) and Guam (GM), are used for persons born in the United States or a U.S. Territory. The POB returned in data from the NICS Index will be provided as clear text with a maximum of 40 characters.

APPLICABLE TO: QDP response, EDP, and MDP

### **PUR – PURPOSE ID**

FORMAT: Alpha

LENGTH: 1 character

DESCRIPTION: A unique identifier that identifies the reason or purpose of the background check search using NICS. The Purpose ID is determined by the type of transaction (e.g. sale, redemption, pre-pawn, explosives, etc).

#### **PERMISSIBLE VALUES:**

ID	Description	Legacy Purpose Code (For Reference Only)
==	=====	=====
01	Sale of hand gun	H
02	Sale of long gun	L
03	Sale of other (Frame, Receiver, etc.)	O
05	Pre-pawn of hand gun	D
06	Pre-pawn of long gun	E
07	Pre-pawn of other (Frame, Receiver, etc.)	
09	Redemption of hand gun	S
10	Redemption of long gun	T
11	Redemption of other (Frame, Receiver, etc.)	
13	Test	A
14	Gun permit of any type	P
15	Explosives – Responsible Persons	W
16	Explosives – Possessor	X
17	Explosives – State Check	Y
18	Explosives – Responsible Person – Renewal	Z
19	National Firearms Act background check	N
20	ATF Firearms Licensee background check	V
21	Nuclear Regulatory Commission background check	U

**RAC - RACE**

FORMAT: Alpha

LENGTH: 1 character

DESCRIPTION: This is the race of a subject.

## PERMISSIBLE VALUES:

Code	Definition
=====	=====
A	Asian
B	Black or African American
H	Hispanic or Latino
I	American Indian or Alaskan Native
P	Native Hawaiian or other Pacific Islander
U	Unknown
W	White

APPLICABLE TO: QDP response, EDP, and MDP

**RDQ – RELIEF FROM DISABILITIES QUESTION**

FORMAT: Alpha

LENGTH: 1 character

DESCRIPTION: An optional question that can be submitted when attempting to cancel a NICS Index record. The question is: Is the deletion of this NICS Index record due to an ATF-approved state relief from disabilities program?

## PERMISSIBLE VALUES:

Code	Description
=====	=====
Y	Yes
N	No

APPLICABLE TO: XDP

**REGION\_ID – REGION ID**

FORMAT: Alphanumeric

LENGTH: Maximum of 3 characters

DESCRIPTION: A region is a group of related State-IDs. Within NICS/FBI, the region identifier is assigned to an NTN based on the State of Purchase (SOP). If there is no SOP for an NTN, it is assigned to the default REGION-ID, "ZZZ". FBI local users may also be assigned a REGION-ID. This latter assignment determines which NTN is presented to the user from the Delayed Queue.

PERMISSIBLE VALUES: 1-25, 27-28, TP, TST, TNT, ZZZ, VGT

**RTV – RELATIONSHIP TO VICTIM**

FORMAT: Alphanumeric

LENGTH: 2

**DESCRIPTION:** The relationship of the defendant to the victim of a misdemeanor crime of domestic violence.

**PERMISSIBLE VALUES:**

- 01 - Current or former spouse of victim (can be same sex)
- 02 - Parent/step-parent of victim
- 03 - Guardian of victim
- 04 - Child in common (child must be born)
- 05 - Person is cohabiting or has cohabited as spouse of victim (can be same sex)
- 06- Person is cohabiting or has cohabited as parent of victim
- 07 - Person is cohabiting or has cohabited as guardian of victim
- 08 - Person similarly situated to spouse (can be same sex)
- 09 - Person similarly situated to parent of victim
- 10 - Person similarly situated to guardian of victim

**APPLICABLE TO:** EDP and MDP

### **SEX - GENDER**

**FORMAT:** Alpha

**LENGTH:** 1 character

**DESCRIPTION:** This is the sex of a subject.

**PERMISSIBLE VALUES:**

Code	Definition
=====	=====
F	Female
M	Male
U	Unknown

**APPLICABLE TO:** EDP, MDP, XDP, SDP and QDP responses

### **SFL – STATE FIREARMS LICENSE**

**FORMAT:** Alphanumeric

**LENGTH:** 15 characters maximum

**DESCRIPTION:** A state unique number for a firearm licensee.

### **SMT - SCARS, MARKS, TATTOOS, AND OTHER CHARACTERISTICS**

**FORMAT:** Alpha

**LENGTH:** Maximum 10 characters

**DESCRIPTION:** This code specifies any scars, marks, or tattoos for a subject. The SMT may appear in messages an unlimited number of times.

**PERMISSIBLE VALUES:** See *NCIC 2000 Code Manual*, Part 4.

**SPECIAL CHARACTERS:** Blanks are valid.

**APPLICABLE TO:** QDP response, EDP, MDP, SDP, and REJECT

### **SOC - SOCIAL SECURITY NUMBER**

**DESCRIPTION:** This is the Social Security number of a subject. The SOC may appear in messages an unlimited number of times. Note: The Social Security Number will not be validated when entered in the SOC field on the NTN Inquiry Window.

**FORMAT:** Numeric

**LENGTH:** 9 characters

**SPECIAL FORMATTING:**

1. Cannot be all zeros.
2. Cannot be all 9s.
3. Must be all numeric.
4. Must not be less than 001010001.
5. Must not have 8 or 9 in first character.
6. Must not have 00 in 4th and 5th positions.

**APPLICABLE TO:** QDP response, EDP, MDP, SDP, and REJECT

### **SOP – STATE OF PURCHASE**

**FORMAT:** Alpha

**LENGTH:** 2 characters

**DESCRIPTION:** This is the state, U.S. province, or U.S. territory where the potential firearm transferee is attempting to purchase the firearm.

**PERMISSIBLE VALUES:** Except for Nebraska (use NB) and Guam (use GM), postal codes should be used.

Code	Definition	Code	Definition
AA	Test State	MS	Mississippi
AK	Alaska	MT	Montana
AL	Alabama	NB	Nebraska
AM	American Samoa	NC	North Carolina
AR	Arkansas	ND	North Dakota
AZ	Arizona	NH	New Hampshire
CA	California	NJ	New Jersey
CO	Colorado	NM	New Mexico
CT	Connecticut	NV	Nevada
DC	District of Columbia	NY	New York
DE	Delaware	OH	Ohio
FL	Florida	OK	Oklahoma
FS	Federated States of Micronesia	OR	Oregon
GA	Georgia	PA	Pennsylvania
GM	Guam	PD	Palau Republic of
HI	Hawaii	PR	Puerto Rico
IA	Iowa	RI	Rhode Island
ID	Idaho	SC	South Carolina
IL	Illinois	SD	South Dakota
IN	Indiana	TN	Tennessee
KS	Kansas	TX	Texas
KY	Kentucky	UT	Utah

LA	Louisiana	VA	Virginia
MA	Massachusetts	VI	Virgin Islands
MD	Maryland	VT	Vermont
ME	Maine	WA	Washington
MI	Michigan	WI	Wisconsin
MK	Northern Mariana Islands	WV	West Virginia
MN	Minnesota	WY	Wyoming
MO	Missouri		

APPLICABLE TO: QDP response, EDP, and MDP

### **SOR - STATE OF RESIDENCE**

FORMAT: Alpha

LENGTH: 2 characters

DESCRIPTION: This is the state, U.S. province, or U.S. territory where the potential firearm transferee resides.

PERMISSIBLE VALUES: Except for Nebraska (use NB) and Guam (use GM), postal codes should be used.

Code	Definition	Code	Definition
=====	=====	=====	=====
AA	Test State	MS	Mississippi
Code	Definition	Code	Definition
=====	=====	=====	=====
AK	Alaska	MT	Montana
AL	Alabama	NB	Nebraska
AM	American Samoa	NC	North Carolina
AR	Arkansas	ND	North Dakota
AZ	Arizona	NH	New Hampshire
CA	California	NJ	New Jersey
CO	Colorado	NM	New Mexico
CT	Connecticut	NV	Nevada
DC	District of Columbia	NY	New York
DE	Delaware	OH	Ohio
FL	Florida	OK	Oklahoma
FS	Federated States of Micronesia	OR	Oregon
GA	Georgia	PA	Pennsylvania
GM	Guam	PD	Palau Republic of
HI	Hawaii	PR	Puerto Rico
IA	Iowa	RI	Rhode Island
ID	Idaho	SC	South Carolina
IL	Illinois	SD	South Dakota
IN	Indiana	TN	Tennessee
KS	Kansas	TX	Texas
KY	Kentucky	UT	Utah
LA	Louisiana	VA	Virginia

MA	Massachusetts	VI	Virgin Islands
MD	Maryland	VT	Vermont
ME	Maine	WA	Washington
MI	Michigan	WI	Wisconsin
MK	Northern Mariana Islands	WV	West Virginia
MN	Minnesota	WY	Wyoming
MO	Missouri		

APPLICABLE TO: QDP response, EDP, and MDP

#### **SRI – STATE RECORD ID**

FORMAT: Alphanumeric

LENGTH: 15 characters maximum

DESCRIPTION: State identifier submitted to NICS that is used to deny an NTN.

#### **SST – STATE STATUTE AND SUBSECTION**

LONG NAME: State Statute and Subsection

DESCRIPTION: The state statute and subsection of the crime entered as a prohibiting record in the NICS Index.

LENGTH: 50

FORMAT: Alphanumeric

SPECIAL CHARACTERS: parentheses (), colon (:), semi-colon (;), dash (-), brackets [], curly braces {}, ampersand (&), number (#), period (.), comma (,), Section (§), star (\*), underscore (\_), and blank spaces.

APPLICABLE TO: EDP and MDP

#### **STN – STATE TRANSACTION NUMBER**

FORMAT: Alphanumeric

LENGTH: Maximum of 12 characters

DESCRIPTION: This is a state-assigned transaction number for a NICS background check.

#### **STORE\_NUMBER – STORE NUMBER**

FORMAT: Alphanumeric

LENGTH: Maximum of 6 characters

DESCRIPTION: An optional number assigned to a store (not provided by the ATF).

#### **STREET - ADDRESS STREET**

FORMAT: Alphanumeric

LENGTH: Maximum of 50 characters

DESCRIPTION: This is the street address associated with the Federal Firearms Licensee (FFL).

APPLICABLE TO: EDP and MDP

#### **SURNAME – SURNAME**

FORMAT: Alpha

LENGTH: Maximum of 50 characters



DESCRIPTION: This is the subject's last name.

SPECIAL EDITS: Maximum length of FIR + MID + SURNAME = 100 characters

**TRAN\_ID – TRANSACTION ID NUMBER**

FORMAT: Numeric

LENGTH: 14 characters

DESCRIPTION: This is a unique identifier assigned to FFL Add and Modify records submitted by the ATF.

SPECIAL FORMATTING: Consists of the Julian Date and a sequential transaction number that uniquely distinguishes the transaction.

APPLICABLE TO: XDP, EDP, and MDP

**TYPE\_01\_TRAN\_COUNT – TOTAL FFL TYPE 01 TRANSACTIONS**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Count of the number of transactions for FFL Type 01 in an ATF submission.

APPLICABLE TO: EDP, MDP, AND XDP

**TYPE\_02\_TRAN\_COUNT – TOTAL FFL TYPE 02 TRANSACTIONS**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Count of the number of transactions for FFL Type 02 in an ATF submission.

APPLICABLE TO: EDP, MDP, AND XDP

**TYPE\_07\_TRAN\_COUNT – TOTAL FFL TYPE 07 TRANSACTIONS**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Count of the number of transactions for FFL Type 07 in an ATF submission.

APPLICABLE TO: EDP, MDP, AND XDP

**TYPE\_08\_TRAN\_COUNT – TOTAL FFL TYPE 08 TRANSACTIONS**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Count of the number of transactions for FFL Type 08 in an ATF submission.

APPLICABLE TO: EDP, MDP, AND XDP

**TYPE\_09\_TRAN\_COUNT – TOTAL FFL TYPE 09 TRANSACTIONS**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Count of the number of transactions for FFL Type 09 in an ATF submission.

APPLICABLE TO: EDP, MDP, AND XDP

**TYPE\_10\_TRAN\_COUNT – TOTAL FFL TYPE 10 TRANSACTIONS**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Count of the number of transactions for FFL Type 10 in an ATF submission.

APPLICABLE TO: EDP, MDP, AND XDP

**TYPE\_11\_TRAN\_COUNT – TOTAL FFL TYPE 11 TRANSACTIONS**

FORMAT: Numeric

LENGTH: 8 characters

DESCRIPTION: Count of the number of transactions for FFL Type 11 in an ATF submission.

APPLICABLE TO: EDP, MDP, AND XDP

**UPDATED-BY - UPDATED BY**

FORMAT: Alphanumeric

LENGTH: Maximum of 15 characters

DESCRIPTION: This entity is the source of the update. This is the Personnel ID of the person who places the request to update the record or the initiating ORI for the State or for NCIC (May also indicate update is a result of batch data received via tape (i.e., ORI of the federal agency) or an automatic purge function performed by the NICS/FBI element).

APPLICABLE TO: QDP response

**UPDATED-DATE - DATE UPDATED**

FORMAT: YYYYMMDD XXXXXXXX

DESCRIPTION: The date and time a record was updated. It is assigned by the NICS/FBI element when the record is written to the database.

APPLICABLE TO: QDP response

**UPN – UNIQUE PERSONAL IDENTIFICATION NUMBER**

FORMAT: Alphanumeric

LENGTH: Maximum of 8 characters

DESCRIPTION: A unique number assigned to every record entered into the Voluntary Appeal File (VAF).

SPECIAL FORMATTING: “V” followed by 5 to 7 numbers and/or uppercase or lowercase consonants. Vowels will not be accepted in this field.

APPLICABLE TO: QNR and REJECT

**WGT - WEIGHT**

FORMAT: Numeric

LENGTH: 3 characters

DESCRIPTION: This is the weight of the subject in pounds.

APPLICABLE TO: QDP response, EDP, and MDP

