# STATE OF ALASKA INFORMAL REQUEST FOR PROPOSALS (IRFP)



# AMERICAN SIGN LANGUAGE INTERPRETER SERVICES IRFP #20-20-105

ISSUED JULY 2, 2020

ISSUED BY: PRIMARY CONTACT:

DEPARTMENT OF CORRECTIONS

DIVISION OF HEALTH AND REHABILITATION

APRIL AKERS
PROCUREMENT OFFICER
APRIL.AKERS@ALASKA.GOV

(907) 334-0851

# OFFERORS ARE NOT REQUIRED TO RETURN THIS FORM.

IMPORTANT NOTICE: IF YOU RECEIVED THIS SOLICITATION FROM THE STATE OF ALASKA'S "ONLINE PUBLIC NOTICE" WEB SITE, YOU MUST REGISTER WITH THE PROCUREMENT OFFICER LISTED IN THIS DOCUMENT TO RECEIVE NOTIFICATION OF SUBSEQUENT AMENDMENTS. FAILURE TO CONTACT THE PROCUREMENT OFFICER MAY RESULT IN THE REJECTION OF YOUR OFFER.

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# SECTION 1. INTRODUCTION & INSTRUCTIONS

# SEC. 1.01 PURPOSE OF THE IRFP

The Department of Corrections, Division of Health and Rehabilitation, is soliciting proposals for an American sign Language (ASL) Interpreter for deaf/hearing impaired offenders under the supervision of the Department of Corrections statewide. **SCOPE OF WORK IS TO BE PROVIDED IN SECTION 3.** 

# SEC. 1.02 BUDGET

Department of Corrections, Division of Health and Rehabilitation, \$4,080.00 per year. Offerors may propose below the budget however, proposals exceeding the identified budget will be considered non-responsive.

Approval or continuation of a contract resulting from this IRFP is contingent upon legislative appropriation.

# SEC. 1.03 DEADLINE FOR RECEIPT OF PROPOSALS

Proposals must be received no later than 2:00 p.m. prevailing Alaska Time on **JULY 13, 2020**. Late proposals or amendments will be disqualified and not opened or accepted for evaluation.

# SEC. 1.04 PRIOR EXPERIENCE AND QUALIFICATIONS

In order for offerors to be considered responsive offerors must meet these minimum prior experience and qualification requirements:

- a) Qualifications:
  - The interpreter shall have National Interpreter Certification (NIC) or other nationally recognized interpreter certification.

Offerors must clearly indicate in their proposals that they meet the minimum qualifications and experience. An offeror's failure to meet these requirements will cause their proposal to be considered non-responsive and rejected.

# SEC. 1.05 REQUIRED REVIEW

Offerors should carefully review this solicitation for defects and questionable or objectionable material. Comments concerning defects and questionable or objectionable material should be made in writing and received by the procurement officer at least ten days before the deadline for receipt of proposals. This will allow time for the issuance of any necessary amendments. It will also help prevent the opening of a defective proposal and exposure of offeror's proposals upon which award could not be made.

# SEC. 1.06 QUESTIONS PRIOR TO DEADLINE FOR RECEIPT OF PROPOSALS

All questions must be in writing and directed to the procurement officer. The interested party must confirm telephone conversations in writing.

Two types of questions generally arise. One may be answered by directing the questioner to a specific section of the IRFP. These questions may be answered over the telephone. Other questions may be more complex and may require a written amendment to the IRFP. The procurement officer will make that decision.

PROCUREMENT OFFICER: April Akers – PHONE (907) 334-0851- FAX (907) 269-7345- TDD 907-269-7340

# SEC. 1.07 RETURN INSTRUCTIONS

Offerors must submit one hard copy of their proposal, in writing, to the procurement officer in a sealed envelope. It must be addressed as follows:

Department of Corrections

Division of Health and Rehabilitation

Attention: April Akers

Request for Proposal (IRFP) Number: 20-20-105

IRFP Title: American Sign Language (ASL) Interpreter Services

550 W. 7<sup>TH</sup> AVE., SUITE 1800 ANHCORAGE, AK, 99501

If using U.S. mail, please use the following address:

550 W. 7<sup>TH</sup> AVE., SUITE 1800 ANCHORAGE, AK, 99501

If using a delivery service, please use the address above:

If submitting a proposal via email, the technical proposal and cost proposal must be saved as separate PDF documents and emailed to <a href="mailto:gary.bailey@alaska.gov">gary.bailey@alaska.gov</a> as separate, clearly labeled attachments, such as "Vendor A – Technical Proposal.pdf" and "Vendor A – Cost Proposal.pdf" (Vendor A is the name of the offeror). The email must contain the IRFP number in the subject line.

The **maximum** size of a single email (including all text and attachments) that can be received by the state is **20mb** (**megabytes**). If the email containing the proposal exceeds this size, the proposal must be sent in multiple emails that are each less than 20 megabytes and each email must comply with the requirements described above.

Please note that email transmission is not instantaneous. Similar to sending a hard copy proposal, if you are emailing your proposal, the state recommends sending it enough ahead of time to ensure the email is delivered by the deadline for receipt of proposals.

It is the offeror's responsibility to contact the issuing agency at (907) 334-0851 to confirm that the proposal has been received. The state is not responsible for unreadable, corrupt, or missing attachments.

# SEC. 1.08 PROPOSAL CONTENTS

The following information must be included in all proposals.

#### (a) AUTHORIZED SIGNATURE

All proposals must be signed by an individual authorized to bind the offeror to the provisions of the IRFP. Proposals must remain open and valid for at least 90-days from the date set as the deadline for receipt of proposals.

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#### (b) OFFEROR'S CERTIFICATION

By signature on the proposal, offerors certify that they comply with the following:

- A. the laws of the State of Alaska;
- B. the applicable portion of the Federal Civil Rights Act of 1964;
- C. the Equal Employment Opportunity Act and the regulations issued thereunder by the federal government;
- D. the Americans with Disabilities Act of 1990 and the regulations issued thereunder by the federal government;
- E. all terms and conditions set out in this IRFP;
- F. a condition that the proposal submitted was independently arrived at, without collusion, under penalty of perjury; and
- G. that the offers will remain open and valid for at least 90 days.

If any offeror fails to comply with [a] through [g] of this paragraph, the state reserves the right to disregard the proposal, terminate the contract, or consider the contractor in default.

# (c) VENDOR TAX ID

A valid Vendor TaxID must be submitted to the issuing office with the proposal or within five days of the state's request.

# (d) CONFLICT OF INTEREST

Each proposal shall include a statement indicating whether or not the firm or any individuals working on the contract has a possible conflict of interest (e.g., currently employed by the State of Alaska or formerly employed by the State of Alaska within the past two years) and, if so, the nature of that conflict. The procurement officer reserves the right to **consider a proposal non-responsive and reject it** or cancel the award if any interest disclosed from any source could either give the appearance of a conflict or cause speculation as to the objectivity of the contract to be performed by the offeror.

# SEC. 1.09 ASSISTANCE TO OFFERORS WITH A DISABILITY

Offerors with a disability may receive accommodation regarding the means of communicating this IRFP or participating in the procurement process. For more information, contact the procurement officer no later than ten days prior to the deadline for receipt of proposals.

# SEC. 1.10 AMENDMENTS TO PROPOSALS

Amendments to or withdrawals of proposals will only be allowed if acceptable requests are received prior to the deadline that is set for receipt of proposals. No amendments or withdrawals will be accepted after the deadline unless they are in response to the state's request in accordance with 2 AAC 12.290.

# SEC. 1.11 AMENDMENTS TO THE IRFP

If an amendment is issued, it will be provided to all who were notified of the IRFP and to those who have registered with the procurement officer after receiving the IRFP from the State of Alaska Online Public Notice website.

# SEC. 1.12 IRFP SCHEDULE

The IRFP schedule set out herein represents the State of Alaska's best estimate of the schedule that will be followed. If a component of this schedule, such as the deadline for receipt of proposals, is delayed, the rest of the schedule may be shifted accordingly. All times are Alaska Time.

- Issue IRFP JULY 2, 2020
- Deadline for Receipt of Proposals July 13, 2020,
- Proposal Evaluation Committee complete evaluation by July 24, 2020,
- State of Alaska issues Notice of Award July 28, 2020,
- State of Alaska issues contract July 31, 2020,
- Contract start 8/1/20.

This IRFP does not, by itself, obligate the state. The state's obligation will commence when the contract is approved by the Commissioner of the Department of Corrections, or the Commissioner's designee. Upon written notice to the contractor, the state may set a different starting date for the contract. The state will not be responsible for any work done by the contractor, even work done in good faith, if it occurs prior to the contract start date set by the state.

# SEC. 1.13 ALTERNATE PROPOSALS

Offerors may only submit one proposal for evaluation.

In accordance with 2 AAC 12.830 alternate proposals (proposals that offer something different than what is asked for) will be rejected.

# SECTION 2. BACKGROUND INFORMATION

# SEC. 2.01 BACKGROUND INFORMATION

The Anchorage Probation Department has a specialized sex offender unit to supervise adult men and women on probation/parole that have been convicted of a felony sexual offense. Over the years there have been hearing impaired sex offenders that require American Sign Language interpreter services to allow the offender and the probation/parole officer to communicate with each other during office visits and polygraph testing. Additionally, if the offender is found to be in need of sex offender specific treatment a sign language interpreter would be needed for those appointments as well. Historically, there has been at least one hearing impaired sex offender under Anchorage Probation Department supervision each year. Occasionally interpreter services have been needed at department institutions other than the Anchorage Probation office.

# SECTION 3. SCOPE OF WORK & CONTRACT INFORMATION

# SEC. 3.01 SCOPE OF WORK

The Department of Corrections, Division of Health and Rehabilitation, is soliciting proposals for American Sign Language Interpreter Services between convicted sex offenders and their probation/parole officer, polygrapher, and treatment provider (if needed). The department shall request these services on an as needed basis and the department does not guarantee a minimum or maximum amount to be paid to the offeror.

These services may also be requested by other department institutions. If another institution requests this service, all invoices shall be provided to the requestor for their approval.

The interpreter would be required to be physically present during the required probation/parole meetings held at the Anchorage Probation office. These meetings could be as frequent as weekly to once a month depending on the risk level of the offender. The meetings usually last approximately thirty (30) minutes. Additionally, the interpreter would be needed at the polygraph sessions which would be scheduled by the assigned probation officer. These take place approximately every six (6) months and last approximately an hour. If the offender is found to be in need of sex offender specific treatment, the interpreter would need to attend treatment sessions once every month and should last approximately an hour. The interpreter will always be given at least forty-eight (48) hour notice of all appointments.

Specific Responsibilities: The overall responsibility of the offeror shall be to facilitate communication between the probation officer, or other official or participant and the probationer.

- Interpretation: The offeror must be able to fluently interpret from sign language to English and English to sign language.
- Interpreter's Role: The interpreter must be sure the intended audience can understand the
  interpretation. The interpreter should avoid going beyond the role of interpreter in drawing conclusions
  or inferences not contained in the remarks. However, the interpreter should offer explanations and
  explications if necessary, to make an interpretation more fully comprehensible.
- Accuracy: Interpreted speech must adhere to the highest levels of accuracy. Conveying the correct meaning is of utmost importance.
- Interpreter shall participate in discussions with department staff and the treatment provider as needed regarding services.

# SEC. 3.02 CONTRACT TERM AND WORK SCHEDULE

The length of the contract will be from the date of award, approximately July 1, 2020, with optional renewal periods to be exercised at the sole discretion of the State up to June 30, 2025. Approval or continuation of a contract resulting from this IRFP is contingent upon legislative appropriation.

The maximum amount of hours per month shall be four (4), with an annual maximum amount of hours being forty-eight (48). These services shall be needed year-round. Services shall only be needed during regular working hours 8 a.m. – 5 p.m. Monday through Friday.

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The approximate contract schedule is as follows:

Initial period: 8/1/20 to 6/30/21 Renewal: 7/1/21 to 6/30/22 Renewal: 7/1/22 to 6/30/23 Renewal: 7/1/23 to 6/30/24 Renewal: 7/1/24 to 6/30/25

Unless otherwise provided in this IRFP, the State and the successful offeror/contractor agree: (1) that any extension of the contract excluding any exercised renewal options, will be considered as a month-to-month extension, and all other terms and conditions shall remain in full force and effect and (2) the procurement officer will provide written notice to the contractor of the intent to cancel such month-to-month extension at least 30 days before the desired date of cancellation. A month-to-month extension may only be executed by the procurement officer via a written contract amendment.

# SEC. 3.03 DELIVERABLES

The contractor will be required to provide the following deliverables:

a) Certified sign language interpreting

# SEC. 3.04 CONTRACT TYPE

This contract is a fix priced contract.

#### SEC. 3.05 PROPOSED PAYMENT PROCEDURES

The state will make payments based on a negotiated payment schedule. Each billing must consist of an invoice and progress report. No payment will be made until the progress report and invoice has been approved by the project director.

# SEC. 3.06 CONTRACT PAYMENT

No payment will be made until the contract is approved by the Commissioner of the Department of Corrections or the Commissioner's designee. Under no conditions will the state be liable for the payment of any interest charges associated with the cost of the contract. The state is not responsible for and will not pay local, state, or federal taxes. All costs associated with the contract must be stated in U.S. currency.

# SEC. 3.07 LOCATION OF WORK

The primary location (s) the work is to be performed, completed, and managed at Anchorage Probation Office located at 800 A Street, Suite 100, Anchorage Alaska 99501.

Additional services may be requested at other department institutions. For a complete physical work location (s) addresses please see following department website: <a href="https://doc.alaska.gov/institutions">https://doc.alaska.gov/institutions</a>

The state will provide workspace for the contractor.

By signature on their proposal, the offeror certifies that all services provided under this contract by the contractor and all subcontractors shall be performed in the United States.

If the offeror cannot certify that all work will be performed in the United States, the offeror must contact the procurement officer in writing to request a waiver at least 10 days prior to the deadline for receipt of proposals.

The request must include a detailed description of the portion of work that will be performed outside the United States, where, by whom, and the reason the waiver is necessary.

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Failure to comply with these requirements may cause the state to reject the proposal as non-responsive or cancel the contract.

# SEC. 3.08 SUBCONTRACTORS

Subcontractors may be used to perform work under this contract. If an offeror intends to use subcontractors, the offeror must identify in the proposal the names of the subcontractors and the portions of the work the subcontractors will perform.

Subcontractor experience shall be considered in determining whether the offeror meets the requirements set forth in SEC. 1.04 PRIOR EXPERIENCE.

If a proposal with subcontractors is selected, the offeror must provide the following information concerning each prospective subcontractor within five working days from the date of the state's request:

- complete name of the subcontractor;
- complete address of the subcontractor;
- type of work the subcontractor will be performing;
- percentage of work the subcontractor will be providing;
- evidence that the subcontractor holds a valid Alaska business license; and
- a written statement, signed by each proposed subcontractor that clearly verifies that the subcontractor is committed to render the services required by the contract.

An offeror's failure to provide this information, within the time set, may cause the state to consider their proposal non-responsive and reject it. The substitution of one subcontractor for another may be made only at the discretion and prior written approval of the project director.

Note that if the subcontractor will not be performing work within Alaska, they will not be required to hold an Alaska business license.

#### SEC. 3.09 JOINT VENTURES

Joint ventures will not be allowed.

# SEC. 3.10 RIGHT TO INSPECT PLACE OF BUSINESS

At reasonable times, the state may inspect those areas of the contractor's place of business that are related to the performance of a contract. If the state makes such an inspection, the contractor must provide reasonable assistance.

#### SEC. 3.11 CONTRACT PERSONNEL

Any change of the project team members or subcontractors named in the proposal must be approved, in advance and in writing, by the project director or procurement officer. Changes that are not approved by the state may be grounds for the state to terminate the contract.

# SEC. 3.12 CONTRACT CHANGES - UNANTICIPATED AMENDMENTS

During the course of this contract, the contractor may be required to perform additional work. That work will be within the general scope of the initial contract. When additional work is required, the project director will provide the contractor a written description of the additional work and request the contractor to submit a firm

time schedule for accomplishing the additional work and a firm price for the additional work. Cost and pricing data must be provided to justify the cost of such amendments per AS 36.30.400.

The contractor will not commence additional work until the procurement officer has secured any required state approvals necessary for the amendment and issued a written contract amendment, approved by the Commissioner of the Department of Corrections or the Commissioner's designee.

# SEC. 3.13 NONDISCLOSURE AND CONFIDENTIALITY

Contractor agrees that all confidential information shall be used only for purposes of providing the deliverables and performing the services specified herein and shall not disseminate or allow dissemination of confidential information except as provided for in this section. The contractor shall hold as confidential and will use reasonable care (including both facility physical security and electronic security) to prevent unauthorized access by, storage, disclosure, publication, dissemination to and/or use by third parties of, the confidential information. "Reasonable care" means compliance by the contractor with all applicable federal and state law, including the Social Security Act and HIPAA. The contractor must promptly notify the state in writing if it becomes aware of any storage, disclosure, loss, unauthorized access to or use of the confidential information.

Confidential information, as used herein, means any data, files, software, information or materials (whether prepared by the state or its agents or advisors) in oral, electronic, tangible or intangible form and however stored, compiled or memorialized that is classified confidential as defined by State of Alaska classification and categorization guidelines provided by the state to the contractor or a contractor agent or otherwise made available to the contractor or a contractor agent in connection with this contract, or acquired, obtained or learned by the contractor or a contractor agent in the performance of this contract. Examples of confidential information include, but are not limited to: technology infrastructure, architecture, financial data, trade secrets, equipment specifications, user lists, passwords, research data, and technology data (infrastructure, architecture, operating systems, security tools, IP addresses, etc.).

If confidential information is requested to be disclosed by the contractor pursuant to a request received by a third party and such disclosure of the confidential information is required under applicable state or federal law, regulation, governmental or regulatory authority, the contractor may disclose the confidential information after providing the state with written notice of the requested disclosure (to the extent such notice to the state is permitted by applicable law) and giving the state opportunity to review the request. If the contractor receives no objection from the state, it may release the confidential information within 30 days. Notice of the requested disclosure of confidential information by the contractor must be provided to the state within a reasonable time after the contractor's receipt of notice of the requested disclosure and, upon request of the state, shall seek to obtain legal protection from the release of the confidential information.

The following information shall not be considered confidential information: information previously known to be public information when received from the other party; information freely available to the general public; information which now is or hereafter becomes publicly known by other than a breach of confidentiality hereof; or information which is disclosed by a party pursuant to subpoena or other legal process and which as a result becomes lawfully obtainable by the general public.

# SEC. 3.14 INDEMINFICATION

The contractor shall indemnify, hold harmless, and defend the state from and against any claim of, or liability for error, omission or negligent act of the contractor under this agreement. The contractor shall not be required to indemnify the contracting agency for a claim of, or liability for, the independent negligence of the state. If there

is a claim of, or liability for, the joint negligent error or omission of the contractor and the independent negligence of the state, the indemnification and hold harmless obligation shall be apportioned on a comparative fault basis. "Contractor" and "state", as used within this and the following article, include the employees, agents and other contractors who are directly responsible, respectively, to each. The term "independent negligence" is negligence other than in the contracting agency's selection, administration, monitoring, or controlling of the contractor and in approving or accepting the contractor's work.

# SEC. 3.15 POLICIES AND PROCEDURES

The department shall require personnel providing direct services within correctional facilities to comply with background investigations and/or security checks prior to starting work under the contract. When background investigations are required, they shall be performed by the department at no charge to the contractor. The department reserves the right to restrict an individual's access to the facility or program if they are determined to pose a threat to security, or if they fail to provide the information required for a background check.

The successful contractor will assure that all individuals providing services under the terms of the contract complete the following forms and scan completed copies to the procurement officer of record and the program manager.

- Security Clearance Form
- PREA Employment Disclosure Form
- Code of Ethical Conduct 202.01a
- Standards of Conduct 202.15a
- ACOMS form and agreement, and
- Criminal Justice Information Services Addendum (CJIS) form.
  - The department may require the contractor to obtain 2 fingerprint "blue cards" form FD-258 for personnel performing service under this contract.
  - The completed forms must be submitted to the procurement officer or designee.
  - The department will send individual personnel a link to an online security awareness training that should take no longer than 2 hours to complete. Training is required bi-annually. The contractor shall not directly charge the department for this time.

# SEC. 3.16 INSURANCE REQUIREMENTS

Without limiting contractor's indemnification, it is agreed that contractor shall purchase at its own expense and maintain in force at all times during the performance of services under this agreement the following policies of insurance. Where specific limits are shown, it is understood that they shall be the minimum acceptable limits. If the contractor's policy contains higher limits, the state shall be entitled to coverage to the extent of such higher limits.

Certificates of Insurance must be furnished to the contracting officer prior to beginning work and must provide for a notice of cancellation, non-renewal, or material change of conditions in accordance with policy provisions. Failure to furnish satisfactory evidence of insurance or lapse of the policy is a material breach of this contract and shall be grounds for termination of the contractor's services. All insurance policies shall comply with and be issued by insurers licensed to transact the business of insurance under AS 21.

**Workers' Compensation Insurance:** The contractor shall provide and maintain, for all employees engaged in work under this contract, coverage as required by AS 23.30.045, and; where applicable, any

other statutory obligations including but not limited to Federal U.S.L. & H. and Jones Act requirements. The policy must waive subrogation against the State.

**Commercial General Liability Insurance:** covering all business premises and operations used by the Contractor in the performance of services under this agreement with minimum coverage limits of \$300,000 combined single limit per claim.

**Commercial Automobile Liability Insurance:** covering all vehicles used by the contractor in the performance of services under this agreement with minimum coverage limits of \$300,000 combined single limit per claim.

# SEC. 3.17 TERMINATION FOR DEFAULT

If the project director or procurement officer determines that the contractor has refused to perform the work or has failed to perform the work with such diligence as to ensure its timely and accurate completion, the state may, by providing written notice to the contractor, terminate the contractor's right to proceed with part or all of the remaining work.

This clause does not restrict the state's termination rights under the contract provisions of Appendix A, attached in **SECTION 8. ATTACHMENTS**.

# SECTION 4. PROPOSAL FORMAT AND CONTENT

# SEC. 4.01 PROPOSAL FORMAT AND CONTENT

The state discourages overly lengthy and costly proposals, however, in order for the state to evaluate proposals fairly and completely, offerors must follow the format set out in this IRFP and provide all information requested.

# SEC. 4.02 INTRODUCTION

Proposals must include the complete name and address of offeror's firm and the name, mailing address, and telephone number of the person the state should contact regarding the proposal.

Proposals must confirm that the offeror will comply with all provisions in this IRFP; and, if applicable, provide notice that the firm qualifies as an Alaskan bidder. Proposals must be signed by a company officer empowered to bind the company. An offeror's failure to include these items in the proposals may cause the proposal to be determined to be non-responsive and the proposal may be rejected.

#### SEC. 4.03 UN DERSTANDING OF THE PROJECT

Offerors must provide comprehensive narrative statements that illustrate their understanding of the requirements of the project and the project schedule.

## SEC. 4.04 METHODOLOGY USED FOR THE PROJECT

Offerors must provide comprehensive narrative statements that set out the methodology they intend to employ and illustrate how the methodology will serve to accomplish the work and meet the state's project schedule.

# SEC. 4.05 MANAGEMENT PLAN FOR THE PROJECT

Offerors must provide comprehensive narrative statements that set out the management plan they intend to follow and illustrate how the plan will serve to accomplish the work and meet the state's project schedule.

# SEC. 4.06 EXPERIENCE AND QUALIFICATIONS

Offerors must provide reference names and phone numbers for similar projects the offeror's firm has completed.

#### SEC. 4.07 COST PROPOSAL

Offeror must use the attached Cost Proposal form. Rates must include all direct and indirect costs associated with the performance of the contract, including, but not limited to, total number of hours at various hourly rates, direct expenses, payroll, supplies, overhead assigned to each person working on the project, percentage of each person's time devoted to the project, and profit.

# SEC. 4.08 EVALUATION CRITERIA

All proposals will be reviewed to determine if they are responsive. Proposals determined to be responsive will be evaluated using the criterion that is set out in **SECTION 5. EVALUATION CRITERIA AND CONTRACTOR SELECTION**.

An evaluation may not be based on discrimination due to the race, religion, color, national origin, sex, age, marital status, pregnancy, parenthood, disability, or political affiliation of the offeror.

# SECTION 5. EVALUATION CRITERIA AND CONTRACTOR SELECTION

# THE TOTAL NUMBER OF POINTS USED TO SCORE THIS PROPOSAL IS 100

# SEC. 5.01 UN DERSTANDING OF THE PROJECT (10%)

# Proposals will be evaluated against the questions set out below:

- a) How well has the offeror demonstrated a thorough understanding of the purpose and scope of the project?
- b) How well has the offeror identified pertinent issues and potential problems related to the project?
- c) To what degree has the offeror demonstrated an understanding of the deliverables the state expects it to provide?
- d) Has the offeror demonstrated an understanding of the state's time schedule and can meet it?

# SEC. 5.02 METHODOLOGY USED FOR THE PROJECT (5%)

# Proposals will be evaluated against the questions set out below:

- a) How comprehensive is the methodology and does it depict a logical approach to fulfilling the requirements of the IRFP?
- b) How well does the methodology match and achieve the objectives set out in the IRFP?
- c) Does the methodology interface with the time schedule in the IRFP?

# SEC. 5.03 MANAGEMENT PLAN FOR THE PROJECT (5%)

# Proposals will be evaluated against the questions set out below:

- a) How well does the management plan support all of the project requirements and logically lead to the deliverables required in the IRFP?
- b) How well is accountability completely and clearly defined?
- c) Is the organization of the project team clear?
- d) How well does the management plan illustrate the lines of authority and communication?
- e) To what extent does the offeror already have the hardware, software, equipment, and licenses necessary to perform the contract?
- f) Does it appear that the offeror can meet the schedule set out in the IRFP?
- g) Has the offeror gone beyond the minimum tasks necessary to meet the objectives of the IRFP?

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- h) To what degree is the proposal practical and feasible?
- i) To what extent has the offeror identified potential problems?

# SEC. 5.04 EXPERIENCE AND QUALIFICATIONS (25%)

#### Proposals will be evaluated against the questions set out below:

#### 1) Questions regarding the personnel:

- a) Do the individuals assigned to the project have experience on similar projects?
- b) Do the individuals have at least five (5) years' experience providing ASL Interpreter Services?
- c) Are resumes complete and do they demonstrate backgrounds that would be desirable for individuals engaged in the work the project requires?
- d) How extensive is the applicable education of the personnel designated to work on the project?

# 2) Questions regarding the firm and subcontractor (if used):

- a) How well has the firm demonstrated experience in completing similar projects on time and within budget?
- b) How successful is the general history of the firm regarding timely and successful completion of projects?
- c) Has the firm provided letters of reference from previous clients?
- d) If a subcontractor will perform work on the contract, how well do they measure up to the evaluation used for the offeror?

# SEC. 5.05 CONTRACT COST (45%)

Overall, a minimum of 45% of the total evaluation points will be assigned to cost. The cost amount used for evaluation may be affected by one or more of the preferences referenced under Section 6.11.

# **Converting Cost to Points**

The lowest cost proposal will receive the maximum number of points allocated to cost. The point allocations for cost on the other proposals will be determined through the method set out in Section 6.15.

# SEC. 5.06 ALASKA OFFEROR PREFERENCE (10%)

If an offeror qualifies for the Alaska Bidder Preference, the offeror will receive an Alaska Offeror Preference. The preference will be 10% of the total available points. This amount will be added to the overall evaluation score of each Alaskan offeror.

# SECTION 6. GENERAL PROCESS INFORMATION

# SEC. 6.01 ALASKA BUSINESS LICENSE AND OTHER REQUIRED LICENSES

Prior to the award of a contract, an offeror must hold a valid Alaska business license. However, in order to receive the Alaska Bidder Preference and other related preferences, such as the Alaska Veteran Preference and Alaska Offeror Preference, an offeror must hold a valid Alaska business license prior to the deadline for receipt of proposals. Offerors should contact the **Department of Commerce, Community and Economic Development, Division of Corporations, Business, and Professional Licensing, PO Box 110806, Juneau, Alaska 99811-0806, for information on these licenses.** Acceptable evidence that the offeror possesses a valid Alaska business license may consist of any one of the following:

- copy of an Alaska business license;
- certification on the proposal that the offeror has a valid Alaska business license and has included the license number in the proposal;
- a canceled check for the Alaska business license fee;
- a copy of the Alaska business license application with a receipt stamp from the state's occupational licensing office; or
- a sworn and notarized statement that the offeror has applied and paid for the Alaska business license.

You are not required to hold a valid Alaska business license at the time proposals are opened if you possess one of the following licenses and are offering services or supplies under that specific line of business:

- fisheries business licenses issued by Alaska Department of Revenue or Alaska Department of Fish and Game,
- liquor licenses issued by Alaska Department of Revenue for alcohol sales only,
- insurance licenses issued by Alaska Department of Commerce, Community and Economic Development,
   Division of Insurance, or
- Mining licenses issued by Alaska Department of Revenue.

Prior the deadline for receipt of proposals, all offerors must hold any other necessary applicable professional licenses required by Alaska Statute.

# SEC. 6.02 SITE INSPECTION

The state may conduct on-site visits to evaluate the offeror's capacity to perform the contract. An offeror must agree, at risk of being found non-responsive and having its proposal rejected, to provide the state reasonable access to relevant portions of its work sites. Individuals designated by the procurement officer at the state's expense will make site inspection.

# SEC. 6.03 CLARIFICATION OF OFFERS

In order to determine if a proposal is reasonably susceptible for award, communications by the procurement officer or the proposal evaluation committee (PEC) are permitted with an offeror to clarify uncertainties or eliminate confusion concerning the contents of a proposal. Clarifications may not result in a material or

substantive change to the proposal. The evaluation by the procurement officer or the PEC may be adjusted as a result of a clarification under this section.

# SEC. 6.04 DISCUSSIONS WITH OFFERORS

The state may conduct discussions with offerors in accordance with AS 36.30.240 and 2 AAC 12.290. The purpose of these discussions will be to ensure full understanding of the requirements of the IRFP and proposal. Discussions will be limited to specific sections of the IRFP or proposal identified by the procurement officer. Discussions will only be held with offerors who have submitted a proposal deemed reasonably susceptible for award by the procurement officer. Discussions, if held, will be after initial evaluation of proposals by the procurement officer or the PEC. If modifications are made as a result of these discussions, they will be put in writing. Following discussions, the procurement officer may set a time for best and final proposal submissions from those offerors with whom discussions were held. Proposals may be reevaluated after receipt of best and final proposal submissions.

If an offeror does not submit a best and final proposal or a notice of withdrawal, the offeror's immediate previous proposal is considered the offeror's best and final proposal.

Offerors with a disability needing accommodation should contact the procurement officer prior to the date set for discussions so that reasonable accommodation can be made. Any oral modification of a proposal must be reduced to writing by the offeror.

# SEC. 6.05 EVALUATION OF PROPOSALS

The procurement officer, or an evaluation committee made up of at least three state employees or public officials, will evaluate proposals. The evaluation will be based solely on the evaluation factors set out in **SECTION 5. EVALUATION CRITERIA AND CONTRACTOR SELECTION**.

After receipt of proposals, if there is a need for any substantial clarification or material change in the IRFP, an amendment will be issued. The amendment will incorporate the clarification or change, and a new date and time established for new or amended proposals. Evaluations may be adjusted as a result of receiving new or amended proposals.

# SEC. 6.06 CONTRACT NEGOTIATION

After final evaluation, the procurement officer may negotiate with the offeror of the highest-ranked proposal. Negotiations, if held, shall be within the scope of the request for proposals and limited to those items which would not have an effect on the ranking of proposals. If the highest-ranked offeror fails to provide necessary information for negotiations in a timely manner, or fails to negotiate in good faith, the state may terminate negotiations and negotiate with the offeror of the next highest-ranked proposal. If contract negotiations are commenced, they may be held in the Department of Corrections conference room on the 18<sup>th</sup> floor of the Robert B. Atwood Building in Anchorage, Alaska.

If the contract negotiations take place in Anchorage, Alaska, the offeror will be responsible for their travel and per diem expenses.

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#### SEC. 6.07 FAILURE TO NEGOTIATE

If the selected offeror

fails to provide the information required to begin negotiations in a timely manner; or

- fails to negotiate in good faith; or
- indicates they cannot perform the contract within the budgeted funds available for the project; or
- if the offeror and the state, after a good faith effort, simply cannot come to terms,

the state may terminate negotiations with the offeror initially selected and commence negotiations with the next highest ranked offeror.

# SEC. 6.08 OFFEROR NOTIFICATION OF SELECTION

After the completion of contract negotiation, the procurement officer will issue a written Notice of Intent to Award (NIA) and send copies to all offerors. The NIA will set out the names of all offerors and identify the proposal selected for award.

# SEC. 6.09 PROTEST

2 AAC 12.695 provides that an interested party may protest the content of the IRFP or the award of a contract.

An interested party is defined in 2 AAC 12.990(a)(7) as "an actual or prospective bidder or offeror whose economic interest might be affected substantially and directly the issuance of a contract solicitation, the award of a contract, or the failure to award a contract."

Per 2 AAC 12.695, an interested party must first attempt to informally resolve the dispute with the procurement officer. If that attempt is unsuccessful, the interested party may file a written protest to the solicitation or the award of the contract. The protest must be filed with the Commissioner of the purchasing agency or the Commissioner's designee. The protester must also file a copy of the protest with the procurement officer. The protest must include the following information:

- the name, address, and telephone number of the protester;
- the signature of the protester or the protester's representative;
- identification of the contracting agency and the solicitation or contract at issue;
- a detailed statement of the legal and factual grounds of the protest including copies of relevant documents; and
- the form of relief requested.

If an interested party wishes to protest the content of a solicitation, the protest must be filed before the date and time that proposals are due.

If an offeror wishes to protest the award of a contract not greater than \$50,000, the protest must be filed within 10 days from the date of the solicitation or award, whichever is later.

If an offeror wishes to protest the award of a contract greater than \$50,000, the protest must be filed within 10 days from the date that notice of award is made.

A protester must have submitted a proposal in order to have sufficient standing to protest the award of a contract.

The procurement officer shall immediately give notice of the protest to the contractor or, if no award has been made, to all offerors who submitted proposals.

If the protestor agrees, the Commissioner of the purchasing department or the Commissioner's designee may assign the protest to the procurement officer or other state official for alternate dispute resolution. In other cases, the Commissioner or the Commissioner's designee may issue a decision denying the protest and stating the reasons for denial, issue a decision sustaining the protest, in whole or in part, and instruct the procurement officer to implement an appropriate remedy, or conduct a hearing using procedures set out in AS 36.30.670(b).

# SEC. 6.10 APPLICATION OF PREFERENCES

Certain preferences apply to all contracts for professional services, regardless of their dollar value. The Alaska Bidder, Alaska Veteran, and Alaska Offeror preferences are the most common preferences involved in the IRFP process. Additional preferences that may apply to this procurement are listed below. Guides that contain excerpts from the relevant statutes and codes, explain when the preferences apply and provide examples of how to calculate the preferences are available at the **Department of Administration**, **Division of Shared Service's** web site:

# http://doa.alaska.gov/dgs/pdf/pref1.pdf

- Alaska Products Preference AS 36.30.332
- Recycled Products Preference AS 36.30.337
- Local Agriculture and Fisheries Products Preference AS 36.15.050
- Employment Program Preference AS 36.30.321(b)
- Alaskans with Disabilities Preference AS 36.30.321(d)

The Division of Vocational Rehabilitation in the Department of Labor and Workforce Development keeps a list of qualified employment programs and individuals who qualify as persons with a disability. As evidence of a business' or an individual's right to the Employment Program or Alaskans with Disabilities preferences, the Division of Vocational Rehabilitation will issue a certification letter. To take advantage of these preferences, a business or individual must be on the appropriate Division of Vocational Rehabilitation list prior to the time designated for receipt of proposals. Offerors must attach a copy of their certification letter to the proposal. An offeror's failure to provide this certification letter with their proposal will cause the state to disallow the preference.

# Sec. 6.11 ALASKA BIDDER PREFERENCE

An Alaska Bidder Preference of 5% will be applied to the price in the proposal. The preference will be given to an offeror who:

- 1) holds a current Alaska business license prior to the deadline for receipt of proposals;
- 2) submits a proposal for goods or services under the name appearing on the offeror's current Alaska business license;
- 3) has maintained a place of business within the state staffed by the offeror, or an employee of the offeror, for a period of six months immediately preceding the date of the proposal;
- 4) is incorporated or qualified to do business under the laws of the state, is a sole proprietorship and the proprietor is a resident of the state, is a limited liability company (LLC) organized under AS 10.50 and all

members are residents of the state, or is a partnership under AS 32.06 or AS 32.11 and all partners are residents of the state; and

5) if a joint venture, is composed entirely of ventures that qualify under (1)-(4) of this subsection.

#### Alaska Bidder Preference Certification Form

In order to receive the Alaska Bidder Preference, the proposal must include the Alaska Bidder Preference Certification Form attached to this IRFP. An offeror does not need to complete the Alaska Veteran Preference questions on the form if not claiming the Alaska Veteran Preference. An offeror's failure to provide this completed form with their proposal will cause the state to disallow the preference.

# SEC. 6.12 ALASKA VETERAN PREFERENCE

An Alaska Veteran Preference of 5%, not to exceed \$5,000, will be applied to the price in the proposal. The preference will be given to an offeror who qualifies under AS 36.30.990(2) as an Alaska bidder and is a:

- A. sole proprietorship owned by an Alaska veteran;
- B. partnership under AS 32.06 or AS 32.11 if a majority of the partners are Alaska veterans;
- C. limited liability company organized under AS 10.50 if a majority of the members are Alaska veterans; or
- D. corporation that is wholly owned by individuals, and a majority of the individuals are Alaska veterans.

In accordance with AS 36.30.321(i), the bidder must also add value by actually performing, controlling, managing, and supervising the services provided, or for supplies, the bidder must have sold supplies of the general nature solicited to other state agencies, other government, or the general public.

#### **Alaska Veteran Preference Certification**

In order to receive the Alaska Veteran Preference, the proposal must include the Alaska Bidder Preference Certification Form attached to this IRFP. An offeror's failure to provide this completed form with their proposal will cause the state to disallow the preference.

#### SEC. 6.13 ALASKA OFFEROR PREFERENCE

2 AAC 12.260(e) provides Alaska offerors a 10% overall evaluation point preference. Alaska bidders, as defined in AS 36.30.990(2), are eligible for the preference. An Alaska offeror will receive 10 percent of the total available points added to their overall evaluation score as a preference.

# SEC. 6.14 FORMULA USED TO CONVERT COST TO POINTS

The distribution of points based on cost will be determined as set out in 2 AAC 12.260(c). The lowest cost proposal will receive the maximum number of points allocated to cost. The point allocations for cost on the other proposals will be determined using the formula:

[(Price of Lowest Cost Proposal) x (Maximum Points for Cost)]  $\div$  (Cost of Each Higher Priced Proposal)

# SEC. 6.15 EXAMPLES: CONVERTING COST TO POINTS & APPLYING PREFERENCES

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(a) FORMULA USED TO CONVERT COST TO POINTS

#### STEP 1

Rev. 02/20

List all proposal prices, adjusted where appropriate by the application of applicable preferences claimed by the offeror.

Offeror #1	\$40,000
Offeror #2	\$42,750
Offeror #3	\$47,500

#### STEP 2

In this example, the IRFP allotted 40% of the available 100 points to cost. This means that the lowest cost will receive the maximum number of points.

#### Offeror #1 receives 40 points.

The reason they receive that amount is because the lowest cost proposal, in this case \$40,000, receives the maximum number of points allocated to cost, 40 points.

# Offeror #2 receives 37.4 points.

\$40,000 lowest cost x 40 maximum points for cost = 1,600,000  $\div$  \$42,750 cost of Offeror #2's proposal = 37.4

# Offeror #3 receives 33.7 points.

\$40,000 lowest cost x 40 maximum points for cost = 1,600,000  $\div$  \$47,500 cost of Offeror #3's proposal = **33.7** 

# (b) ALASKA OFFEROR PREFERENCE

#### STEP 1

Determine the number of points available to qualifying offerors under this preference.

100 Total Points Available in IRFP x 10% Alaska offerors preference = 10 Points for the Preference

#### STEP 2

Determine which offerors qualify as Alaska bidders and thus, are eligible for the Alaska offerors preference. For the purpose of this example, presume that all of the proposals have been completely evaluated based on the evaluation criteria in the IRFP. The scores at this point are:

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Offeror #1	83 points	No Preference	0 points
Offeror #2	74 points	Alaska Offerors Preference	10 points
Offeror #3	80 points	Alaska Offerors Preference	10 points

#### STEP 3

Add the applicable Alaska offerors preference amounts to the offeror's scores:

Offeror#3	90 points	(80 points + 10 points)
Offeror #2	84 points	(74 points + 10 points)
Offeror #1	83 points	

#### STEP 4

**Offeror #3** is the highest scoring offeror and would get the award, provided their proposal is responsible and responsive.

# SECTION 7. GENERAL LEGAL INFORMATION

# SEC. 7.01 STANDARD CONTRACT PROVISIONS

The contractor will be required to sign and submit the State's Standard Agreement Form for Professional Services Contracts (form SAF.DOC/Appendix A) OR Standard Contract Form for Goods and Non-Professional Services (form SCF.DOC/Appendix A). This form is attached in **SECTION 8. EXHIBITS** for your review. The contractor must comply with the contract provisions set out in this attachment. No alteration of these provisions will be permitted without prior written approval from the Department of Law. Objections to any of the provisions in Appendix A must be set out in the offeror's proposal in a separate document. Please include the following information with any change that you are proposing:

- 1. Identify the provision the offeror takes exception with.
- 2. Identify why the provision is unjust, unreasonable, etc.
- 3. Identify exactly what suggested changes should be made.

# SEC. 7.02 QUALIFIED OFFERORS

Per 2 AAC 12.875, unless provided for otherwise in the IRFP, to qualify as an offeror for award of a contract issued under AS 36.30, the offeror must:

- 1) Add value in the contract by actually performing, controlling, managing, or supervising the services to be provided; or
- 2) Be in the business of selling and have actually sold on a regular basis the supplies that are the subject of the IRFP.

If the offeror leases services or supplies or acts as a broker or agency in providing the services or supplies in order to meet these requirements, the procurement officer may not accept the offeror as a qualified offeror under AS 36.30.

# SEC. 7.03 PROPOSALAS PART OF THE CONTRACT

Part of all of this IRFP and the successful proposal may be incorporated into the contract.

# SEC. 7.04 ADDITONAL TERMS AND CONDITIONS

The state reserves the right to add terms and conditions during contract negotiations. These terms and conditions will be within the scope of the IRFP and will not affect the proposal evaluations.

# SEC. 7.05 HUMAN TRAFFICKING

By signature on their proposal, the offeror certifies that the offeror is not established and headquartered or incorporated and headquartered in a country recognized as Tier 3 in the most recent United States Department of State's Trafficking in Persons Report.

The most recent United States Department of State's Trafficking in Persons Report can be found at the following website: https://www.state.gov/trafficking-in-persons-report/

Failure to comply with this requirement will cause the state to reject the proposal as non-responsive or cancel the contract.

# SEC. 7.06 RIGHT OF REJECTION

Offerors must comply with all of the terms of the IRFP, the State Procurement Code (AS 36.30), and all applicable local, state, and federal laws, codes, and regulations. The procurement officer may reject any proposal that does not comply with all of the material and substantial terms, conditions, and performance requirements of the IRFP.

Offerors may not qualify the proposal nor restrict the rights of the state. If an offeror does so, the procurement officer may determine the proposal to be a non-responsive counteroffer and the proposal may be rejected.

#### Minor informalities that:

- do not affect responsiveness;
- are merely a matter of form or format;
- do not change the relative standing or otherwise prejudice other offers;
- do not change the meaning or scope of the IRFP;
- are trivial, negligible, or immaterial in nature;
- do not reflect a material change in the work; or
- do not constitute a substantial reservation against a requirement or provision;

may be waived by the procurement officer.

The state reserves the right to refrain from making an award if it determines that to be in its best interest.

A proposal from a debarred or suspended offeror shall be rejected.

# SEC. 7.07 STATE NOT RESPONSIBLE FOR PREPARATION COSTS

The state will not pay any cost associated with the preparation, submittal, presentation, or evaluation of any proposal.

# SEC. 7.08 DISCLOSURE OF PROPOSAL CONTENTS

All proposals and other material submitted become the property of the State of Alaska and may be returned only at the state's option. AS 40.25.110 requires public records to be open to reasonable inspection. All proposal information, including detailed price and cost information, will be held in confidence during the evaluation process and prior to the time a Notice of Intent to Award is issued. Thereafter, proposals will become public information.

Trade secrets and other proprietary data contained in proposals may be held confidential if the offeror requests, in writing, that the procurement officer does so, and if the procurement officer agrees, in writing, to do so. The offeror's request must be included with the proposal, must clearly identify the information they wish to be held confidential, and include a statement that sets out the reasons for confidentiality. Unless the procurement officer agrees in writing to hold the requested information confidential, that information will also become public after the Notice of Intent to Award is issued.

# SEC. 7.09 ASSIGNMENTS

Per 2 AAC 12.480, the contractor may not transfer or assign any portion of the contract without prior written approval from the procurement officer. Proposals that are conditioned upon the state's approval of an assignment will be rejected as non-responsive.

## SEC. 7.10 DISPUTES

A contract resulting from this IRFP is governed by the laws of the State of Alaska. If the contractor has a claim arising in connection with the agreement that it cannot resolve with the state by mutual agreement, it shall pursue the claim, if at all, in accordance with the provisions of AS 36.30.620 – AS 36.30.632. To the extent not otherwise governed by the preceding, the claim shall be brought only in the Superior Court of the State of Alaska and not elsewhere.

# SEC. 7.11 SEVERABILITY

If any provision of the contract is found to be invalid or declared by a court to be illegal or in conflict with any law, the validity of the remaining terms and provisions will not be affected; and, the rights and obligations of the parties will be construed and enforced as if the contract did not contain the particular provision held to be invalid.

# SEC. 7.12 SUPPLEMENTAL TERMS AND CONDITIONS

Proposals must comply with **SEC. 7.06 RIGHT OF REJECTION**. However, if the state fails to identify or detect supplemental terms or conditions that conflict with those contained in this IRFP or that diminish the state's rights under any contract resulting from the IRFP, the term(s) or condition(s) will be considered null and void. After award of contract:

if conflict arises between a supplemental term or condition included in the proposal and a term or condition of the IRFP, the term or condition of the IRFP will prevail; and

if the state's rights would be diminished as a result of application of a supplemental term or condition included in the proposal, the supplemental term or condition will be considered null and void.

# SECTION 8. ATTACHMENTS

# SEC. 8.01 ATTACHMENTS

#### Attachments:

- 1) Evaluation Form
- 2) Cost Proposal
- 3) Offeror Information and Assurance Form
- 4) Certification of Entitlement to the AK Bidder
- 5) Request for Clearance
- 6) PREA Employment Disclosure Form
- 7) 202.01a Code of Ethical Professional Conduct
- 8) 202.15a Standards of Conduct
- 9) Standard Agreement Form Appendix A
- 10) Notice of Award
- 11) Checklist

# ATTACHMENT 1: PROPOSAL EVALUATION FORM

All pr	oposals will b	be reviewed for responsiveness and then evaluated using the criteria set out herein.	
Offeror Name: Evaluator Name: Date of Review: IRFP Number:			
		20-20-105	
		EVALUATION CRITERIA AND SCORING	
	TI	HE TOTAL NUMBER OF POINTS USED TO SCORE THIS PROPOSAL IS <b>100</b>	
5.01	Understandir	ng of the Project—10 Percent	
Maxi	mum Point V	alue for this Section – 10 Points	
100 F	Points x 10 Pe	ercent = 10 Points	
Prop	osals will be	evaluated against the questions set out below.	
	low well has the offeror demonstrated a thorough understanding of the purpose and scope of the roject?		
NOTE	ES		
b) proje		has the offeror identified pertinent issues and potential problems related to the	
NOTE	ES:		
c)		egree has the offeror demonstrated an understanding of the deliverables the state to provide?	
NOTE	ES:		

# STATE OF ALASKA-REQUEST FOR PROPOSALS Department of Corrections

IRFP#20-20-105 American Sign Language Interpreter

eet it?

# 5.02 Methodology Used for the Project—5 Percent

**Maximum Point Value for this Section - 5 Points** 

100 Points x 5 Percent = 5 Points			
Propos	sals will be evaluated against the questions set out below.		
a)	How comprehensive is the methodology and does it depict a logical approach to fulfilling the requirements of the RFP?		
NOTES	:		
b)	How well does the methodology match and achieve the objectives set out in the IRFP?		
NOTES	:		
c)	How well does the methodology interface with the time schedule in the IRFP?		
NOTES	:		
EVALUATOR'S POINT TOTAL FOR 5.02:			

# 5.03 Management Plan for the Project—5 Percent

**Maximum Point Value for this Section - 5 Points** 

**100** Points x 5 Percent = 5 Points

Proposals will be evaluated against the questions set out below.

a)	How well does the management plan support all the project requirements and logically lead to the deliverables required in the RFP?
NOTES:	
b) NOTES:	How well is accountability completely and clearly defined?
c) NOTES:	Is the organization of the project team clear?
d) NOTES:	How well does the management plan illustrate the lines of authority and communication?
e) NOTES:	To what extend does the offeror already have the hardware, software, equipment and licenses necessary to perform the contract?

f) Does it appear that the offeror can meet the schedule set out in the IRFP?  Notes:
g) Has the offeror gone beyond the minimum tasks necessary to meet the objectives of the RFP? NOTES:
h) To what degree is the proposal practical and feasible?  Notes:
i) To what extent has the offeror identified potential problems?  NOTES:
EVALUATOR'S POINT TOTAL FOR 5.03:
5.04 Experience and Qualifications—25 Percent
Maximum Point Value for this Section – 25 Points
100 Points x 25 Percent = 25 Points

Proposals will be evaluated against the questions set out below.

1)	Questic	ons regarding the personnel.
	a)	Do the individuals assigned to the project have experience on similar projects?
NOTES:		
	b) services	Do the individuals have at least five (5) years' experience providing ASL Interpreter 5?
NOTES:		
	c)	Are resumes complete and do they demonstrate backgrounds that would be desirable
NOTES:		viduals engaged in the work the RFP requires?
NOTES.		
	d)	How extensive is the applicable education and experience of the Contract Administrator
	designa	ted to work on the project?
NOTES:		

Questions regarding the firm and subcontractor (if used).

2)

	a)	How well has the firm demonstrated experience in completing similar projects on time and within budget?
NOTES:		
NOTES:	b)	How successful is the general history of the firm regarding timely and successful completion of projects?
NOTES:	c)	Has the firm provided letters of reference from previous clients?
NOTES:		If a subcontractor will perform work on the contract, how well do they measure up to the evaluation used for the offeror?
		POINT TOTAL FOR 5.04:
		COMBINED POINT TOTAL FOR ALL EVALUATED SECTIONS:
5.05 Co	ntract C	ost — 45 PERCENT

#### Maximum Point Value for this Section — 45 Points

#### 100 Points x 45 PERCENT = 45 Points

Overall, a minimum of **45** percent of the total evaluation points will be assigned to cost. The cost amount used for evaluation may be affected by one or more of the preferences referenced under **SECTION 6.11**.

# **Converting Cost to Points**

The lowest cost proposal will receive the maximum number of points allocated to cost. The point allocations for cost on the other proposals will be determined through the method set out in **SECTION 6.15**.

5.06 Alaska Offeror Preference — 10 Percent

Point Value for this Section — 10 Points

100 Points x 10 Percent = 10 Points

If an offeror qualifies for the Alaska Bidder Preference, the offeror will receive an Alaska Offeror Preference. The preference will be 10 percent of the total available points. This amount will be added to the overall evaluation score of each Alaskan offeror.

# **COST PROPOSAL FORM**

# IRFP #20-20-105 ASL Interpreter Services

Offerors must use this format enter data that will be utilized to determine the proposed cost for provision of services. Do not modify the quantity listed as it will also be used for evaluation purposes to convert the cost to points.

Costs on this form are the maximum total estimated on an annual basis. Partial fiscal year periods will be pro-rated accordingly. The purpose is to submit costs in a manner the Department can evaluate and score, and then use to establish billing rates for the resultant contract.

ASL Interpreter Services Anchorage, Alaska				
Service Description Est. Hours / Hours / Year Cost/Hour Total				
Sign Language Interpretation (one hour minimum)	4	48		
Proposed Budget (for evaluation purposes) \$				

Option blank:	al Information, if applicable	please complete the following, if not it may be left
	wish to propose rates that	uture needs could require the following items. If you would be different from the rate proposed above for w, they may be included for inclusion in the contract, points during evaluation.
Hourly	Rate for court testimony:	\$
Hourly	Rate for standby time	\$

Offeror signature \_\_\_\_\_ date \_\_\_\_

# OFFEROR INFORMATION AND ASSURANCE FORM

A.	Offeror's (Agency or Inc	dividual) Name	:		
B.	Offeror's Address: _				
	Telephone Number:		Fax:	E-Mail: _	
C.	Status: For Profit:	_ Non-Pro	ofit:	Other:	
D.	Alaska Business Licens	se Number:			
E.	Internal Revenue or So	cial Security N	umber:		
F.	Professional Registration	on Number (if a	applicable): _		
G.	Recipient Contact Pers	on:			
H.	Authorized Representa	tive:			
l.	TERMS AND CONDIT complying with all terms				certifies that it is
J.	The Offeror(s), by execute be bound by the terms days after the proposal	of the RFP an			
K.	By signature of this page per RFP section 2.08 P	•	,	at it meets the Minim	um Requirements
	or's Authorized Signature t be sworn before a nota			Date (Month	n, Day and Year)
Sworn	n to and subscribed befor	e me this	day of _		, 20
		_			NOTARY PUBLIC
		N	My commission	on expires:	

<sup>\*</sup> Proposals must be signed by an individual authorized to bind the offeror to its provisions, see section 1.08.

#### CERTIFICATION OF ENTITLEMENT TO THE ALASKA BIDDER PREFERENCE

I am the offeror or a duly authorized agent of the offeror, and I certify that the offeror is entitled to the Alaska Bidder Preference. I know and understand that the Alaska Bidder Preference provides for substantial benefits which could be favorable to the offeror and which could affect the award of the Request for Proposals to the offeror's benefit. I am aware that falsely claiming the Alaska Bidder Preference is a violation of the State of Alaska Procurement Code (AS 36.30) and may be cause for felony prosecution and conviction.

I offer the following evidence or statements in support of my Certification of Entitlement to the Alaska Bidder Preference:

- 1. As of the deadline for receipt of the proposals, the offeror possesses a valid Alaska business license in any one of the following forms:
  - a copy of an Alaska business license;
  - certification on the proposal that the offeror has a valid Alaska business license and has included the license number in the proposal;
  - a canceled check for the Alaska business license fee;
  - a copy of the Alaska business license application with a receipt stamp from the state's occupational licensing office; OR
  - a sworn notarized affidavit that the offeror has applied and paid for the Alaska business license.
- 2. In addition to holding a current Alaska business license prior to the deadline for receipt of proposals, the offeror:
  - (a) is submitting a proposal for goods or services under the name appearing on the offeror's current Alaska business license;
  - (b) has maintained a place of business within the state staffed by the offeror, or an employee of the offeror, for a period of six months immediately preceding the date of the proposal;
  - (c) is incorporated or qualified to do business under the laws of the state, is a sole proprietorship, and the proprietor is a resident of the state, is a limited liability company\* (LLC) organized under AS 10.50 and all members are residents of the state, or is a partnership\* under AS 32.06 or AS 32.11 and all partners are residents of the state; AND
  - (d) if a joint venture\*, is composed entirely of ventures that qualify under items (a)-(c) of this subsection.

Signature of Offeror or Offeror's Authorized Agent	Date	
Printed Name	-	

<sup>\*</sup> See additional required information at RFP section 6.13 Alaska Bidder Preference

# State of Alaska Department of Corrections

# **REQUEST FOR CLEARANCE**

for

Contractor/Contract Staff Background Checks

Date:	
Applicant Name:	
Mailing Address:	
Purpose of this check:	
Date of Birth: Social Security # :	
Alaska driver's license #:	
Other states applicant has resided in and the dates:	
Prior criminal history (including the state the offense occurred in)	
Is applicant currently on probation or parole?If yes, where?	
Does applicant have any relatives or acquaintances presently incarcers Corrections supervision? If yes, state the person's name/location	
Clearance requested by (Contractor):	
Address:	Phone:
The information that I have provided is true and accurate to the best of of Corrections to perform a background investigation for any and all pri	
Signature of applicant:	Date:
Contractor's signature:	Date:
Department Use Only	* * * * * * * * * *
APSIN/WANTS: Clear: Wants: See Attached	•
NCIC/WANTS: Clear: Wants: See Attached Criminal History Check (Alaska) No record found: No	See Attached:
Criminal History Check (other states) No record found:	See Attached:
Approved by:  Contract Oversight Officer/Superintendent, Division of Institutions	Date:
Request Granted: Request Denied:	
Reason for denial:	
DOC Staff Signature/Title:	Date:



# **PREA Employment Disclosure**

**Pursuant to the Priso	on Rape Elimination Act of	2003 (PREA)**	
Name		PCN #	Date
screened prior to e that house or provi	employment. This inc de services to offende	cludes a review of all rs, youths, vulnerable p	contract staff, and volunteers be carefully prior employment/service with employers persons, or others in a correctional facility, sonal care program, group home, etc.
prison, jail, lockup provided care or handicapped, resid	o, community confine treatment for the me	ment facility, juvenile ntally ill, disabled or nt facilities for juveni	ices on a contract or volunteer basis in a e facility or other facilities in which you mentally challenged, chronically ill, or les; facility that provided skilled nursing, all
		Facility Name	
Position Title	Location (City, State)	Start End date (00/0000)	Facility contact phone
	☐ Verification complete	e Date completed:	
		Facility Name	
		Tuemty Nume	
Position Title	Location (City, State)	Start End date (00/0000)	Facility contact phone
	☐ Verification complete	e Date completed:	
		Facility Name	
Position Title	Location (City, State)	Start End date (00/0000)	Facility contact phone
	☐ Verification complete	e Date completed:	
		Facility Name	
Position Title	Location (City, State)	Start End date (00/0000)	Facility contact phone
	☐ Verification complete	e Date completed:	



# **PREA Employment Disclosure**

\*\*Pursuant to the Prison Rape Elimination Act of 2003 (PREA)\*\*

		Facility Name	
Position Title	Location (City, State)	Start End date (00/0000)	Facility contact phone
	☐ Verification complete	e Date completed:	
		Facility Name	
		•	
Position Title	Location (City, State)	Start End date (00/0000)	Facility contact phone
	☐ Verification complete	e Date completed:	
		Facility Name	
Position Title	Location (City, State)	Start End date (00/0000)	Facility contact phone
	☐ Verification complete	e Date completed:	
		Facility Name	
		•	
Position Title	Location (City, State)	Start End date (00/0000)	Facility contact phone
	☐ Verification complete	e Date completed:	
Acknowledgment	and Release		
Acknowledgment	and Keicase		
and contract/volunomissions may be employment with t	teer service. I understa cause for rejection of rather Department of Corn	and that, if hired, untru ny application and rem rections. By signing th	ng, but not limited to, prior employment thful or misleading answers or deliberate oval of my name for consideration for is form, I am acknowledging that the g my authorization to the release of my
Print Name		PCN #	
Signature		Date	



# **Institutional Employment / Service Disclosure**

\*\*Pursuant to the Prison Rape Elimination Act of 2003 (PREA)\*\*

It is necessary that all Department of Corrections employees, contract staff, and volunteers be carefully screened prior to employment. This includes a review of all prior employment/service with employers that house or provide services to offenders, youths, vulnerable persons, or others in a correctional facility, juvenile facility, residential treatment center, nursing home, personal care program, group home, etc.

Applicant Name:	PCN #:
Verification completed by:	Date:
FACILITY:	CONTACT PERSON:
	or not this person engaged in sexual abuse of an offender, t your facility? If <b>yes</b> , please elaborate (e.g. outcomes,
engaging, or attempting to engage in sex	ot this person has ever been the subject of an investigation for ual activity in the community facilitated by force, overt or e victim did not consent or was unable to consent or refuse?
	or not this person has ever been civilly or administratively described in the prior questions above related to sexual abuse



# **Institutional Employment / Service Disclosure**

Name	PCN#	Date	
-	e of whether or not this person re of sexual abuse of an offender, deta	•	while under
C C	or sexual douse of all offender, deta	•	
investigation of an allegation  ☐ Yes ☐ No	or sexual ususe of all offender, deta		

<b>Employer Attempts</b>	Method	Date	Comments
1 <sup>st</sup> Attempt			
2 <sup>nd</sup> Attempt			
3 <sup>rd</sup> Attempt			



# **Department of Corrections – Background Information**

Applicant Name:	PCN #:
Date:	Completed by: ☐ Employee ☐ Hiring Manger
Question 1: Please select each state or territory in whi	ch you have ever lived:
☐ I have never lived in the United	☐ Nevada
States or one of its territories	☐ New Hampshire
☐ Alabama	☐ New Jersey
☐ Alaska	☐ New Mexico
☐ Arizona	☐ New York
☐ Arkansas	☐ North Carolina
☐ California	☐ North Dakota
☐ Colorado	□ Ohio
□ Delaware	□ Oklahoma
☐ Florida	☐ Oregon
☐ Georgia	☐ Pennsylvania
☐ Hawaii	☐ Rhode Island
☐ Idaho	☐ South Carolina
☐ Illinois	☐ South Dakota
☐ Indiana	☐ Tennessee
□ Iowa	□ Texas
☐ Kansas	□ Utah
☐ Kentucky	☐ Vermont
□ Louisiana	□ Virginia
☐ Maine	☐ Washington
☐ Maryland	☐ West Virginia
☐ Massachusetts	☐ Wisconsin
☐ Michigan	☐ Wyoming
☐ Minnesota	☐ District of Columbia
☐ Mississippi	☐ American Samoa
☐ Missouri	☐ Guam
☐ Montana	☐ Puerto Rico
□ Nebraska	□ U.S. Virgin Islands



# State of Alaska Department of Corrections Policies and Procedures

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 202.01
 Page 1 of 2

 Effective:
 10/17/14
 Reviewed:

 Distribution:
 Public
 Due for Rev:
 10/2018

Chapter: | Personnel

**Subject:** Code of Ethical Professional Conduct

#### I. Authority

In accordance with 22 AAC 05.155, the Department will maintain a manual composed of policies and procedures established by the Commissioner to interpret and implement relevant sections of the Alaska Statutes and 22 AAC.

#### II. References

Alaska Statutes

AS 18.80.200, AS 39.52.010-.960, AS 39.90.010-.150

Alaska Administrative Code

13 AAC 85.230

# III. Purpose

To establish uniform procedures within the Department for ethical and professional conduct of staff, contractors and volunteers.

#### IV. Application

All staff, contractors and volunteers

#### V. Definitions

- A. <u>Ethical:</u> Conforming to a standard of what is right and good.
- B. <u>Professional:</u> Behavior and conduct befitting a person employed in a position of public trust.

#### VI. Policy

Every employee, volunteer and contractor shall abide by the Alaska Police Standards Council Code of Ethics for Correctional, Probation, and Parole Officers.

# VII. Procedures

- A. All employees and contractors shall review and sign the Code of Ethical Professional Conduct for Employees (Form 202.01A). Failure to do so may result in disciplinary action.
- B. A copy of the signed form shall be maintained in the employee's permanent personnel file, or for a contractor, in a file maintained by the Division of Administrative Services for this purpose.
- C. If employees, supervisors, or managers do not understand any portion of the Code, it is their responsibility to request clarification from their supervisors prior to signing the form.
- D. Employees, including supervisors and managers, who violate the Code of Ethical Professional Conduct are subject to corrective or disciplinary action.
- E. All volunteers shall review and sign the Code of Ethical Professional Conduct for Volunteers (Form 202.01B). A copy of the signed form will be retained by the Institutional Volunteer Supervisor.

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# VIII. <u>Implementation</u>

This policy and procedure is effective as of the date signed by the Commissioner. Each manager shall incorporate the contents of this document into local policy and procedure within fourteen (14) days of the effective date. All local policies and procedures must conform to the contents of this document.

10.17.2014	SIGNATURE ON FILE
Date	Joseph D. Schmidt, Commissioner
	Department of Corrections

Applicable Forms to this Policy: 202.01A (Code of Ethical Professional Conduct for Employees) 202.01B (Code of Ethical Professional Conduct for Volunteers)

Original: 1/21/1985 (Code of Ethics and Standards of Conduct)

Revised 4/17/1985 Revised: 4/2/1990 Revised: 7/25/1991

Revised: 4/15/2000 (Code of Ethical Professional Conduct)

Revised: 11/22/2002 Revised 12/3/2007

# DEPARTMENT OF CORRECTIONS EMPLOYEES CODE OF ETHICAL PROFESSIONAL CONDUCT

As an employee of the Department of Corrections, whether a Correctional, Probation, or Parole Officer, or in another capacity, my fundamental duty is to respect the dignity and individuality of all people, to provide professional and compassionate service, and to be unfailingly honest. I will not discriminate against any person on the basis of race, religion, color, national origin, sex, age, physical or mental disability, marital status, changes in marital status, pregnancy, parenthood, or any other class protected by law, and will respect and protect the civil and legal rights of all inmates, probationers, and parolees.

I will respect the right of the public to be safeguarded from criminal activity, and will be diligent in recording and making available for review all case information that could contribute to sound decisions affecting the public safety, or an inmate, probationer, or parolee. I will maintain the integrity of private information, and will neither seek personal data beyond that needed to perform my duties, nor reveal case information to anyone not having a proper professional use for the information. In making public statements, I will clearly distinguish between those that are my personal views and those that are made on behalf of the agency. I will not use my official position to secure privileges or advantages for myself, and will not accept any gift or favor that implies an obligation inconsistent with the objective exercise of my professional duties.

I will not act in my official capacity in any matter in which I have a personal interest that could in the least degree impair my objectivity. I will not engage in undue familiarity with inmates, probationers, or parolees. I will report any corrupt or unethical behavior of a fellow correctional, probation, or parole officer that could affect either an inmate, probationer, or parolee, or the integrity of the agency, but will not make statements critical of colleagues or other criminal justice agencies unless the underlying facts are verifiable. I will respect the importance of, and cooperate with, all elements of the criminal justice system, and will develop relationships with colleagues to promote mutual respect for the profession and improvement of the quality of service provided.

I have read the Code of Ethical Professional Conduct, and did not understand. I recognize that failure to abide by the appropriate action, up to and including dismissal.	· · · · · · · · · · · · · · · · · · ·
Printed Name	Signature
Date	

Rev. 10/2014

# STATE OF ALASKA DEPARTMENT OF CORRECTIONS



# **POLICIES & PROCEDURES**

# ATTACHMENTS / FORMS:

(A.) Standards Of Conduct Certificate Of Review And Compliance.

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200	202.15	Public

Standards Of Conduct

TITLE:

DATE: 02/15/17 Dean R. Williams, Commissioner

**AUTHORITY / REFERENCES:** 

22 AAC 05.045 AS 33.30.011 22 AAC 05.060 AS 33.30.021 22 AAC 05.095 AS 39.28 22 AAC 05.155 AS 39.52 22 AAC 05.196 AS 39.90.010-150 AS 12.62.120 AS 44.09.015 AS 12.62.900 AS 44.28.030 AS 18.80.200 DOC P&P 202.01 AS 33.05.010 **FBI CJIS Security Policy.** AS 33.16.180 State Of Alaska Constitution, Art. I, Sec. 3, Civil

Rights.

HIPAA, Pub. L. 104-191. ADA, 42 U.S.C. 12101 et seq.

# POLICY:

It is the policy of the Department of Corrections (DOC) that in the daily performance of their duties, employees will demonstrate honesty, integrity, and respect for the worth and individuality of all persons. Department employees shall also demonstrate a strong commitment to professional and ethical correctional service.

#### **APPLICATION:**

This policy and procedure will apply to all Department employees.

#### **DEFINITIONS:**

As used in this policy, the following definitions shall apply:

#### **Business Relationships:**

A relationship between individuals or companies entered in to for commercial purposes and usually some kind of financial gain. Such relationships are sometimes formalized with legal contracts or agreements.

#### **Conflict Of Interest:**

A situation that has the potential to undermine the impartiality of a person because of the possibility of a clash between the person's self-interest and a competing professional or public interest.

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# **Egregious Misconduct:**

Misconduct that is extraordinary in some bad way, and includes but is not limited to, the definition of egregious misconduct found in applicable collective bargaining agreement.

#### Illegal Behavior:

Behavior that falls outside the law.

## **Investigations:**

The formal or systematic examination or research of an event or person based on the study of factual information. There are various types of investigations including:

## • Official Investigations:

Investigations that are conducted with the intent of being formally recorded. Such investigations usually follow a set format and would have an outcome that is documented in some official manner.

### • Internal Investigations:

Investigations undertaken by the Department of Corrections and kept within the Department. Such investigations may not involve outside agencies and the outcome of the investigations would typically not be released outside of the Department.

## • Administrative Investigations:

Investigations based on the possibility that the event or conduct of the person in question may have involved some form of misadministration (such as the breach of a policy), with the aim of corrective action or discipline when warranted.

#### • Criminal Investigations:

Investigations to determine whether the event or conduct of the person in question may have been criminal in nature.

#### **Medical Information:**

Any written, verbal or electronic information about a person's health status (past or present) or the provision of health care.

#### **Professional Conduct:**

Behavior befitting a person employed in a position of public trust.

#### **Unethical Behavior:**

Behavior that falls outside of what is considered morally right or proper for a person, profession or an industry, including behavior which conflicts with the Alaska Executive Branch Ethics Act (AS 39.52) or the Department's Code of Ethical and Professional Conduct (DOC P&P 202.01).

## PROCEDURES:

The following rules and standards express in general terms the conduct expected of DOC employees. Violations

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of these principles may result in corrective or disciplinary action, up to and including dismissal. Instances of egregious misconduct may result in immediate dismissal. The following list is not all-inclusive. In addition to generally accepted principles of employment (e.g., employees may not steal from their employers), the State of Alaska and each DOC office or institution has site specific policies and procedures, the violation of which may result in corrective or disciplinary action.

#### I. General Provisions:

- A. Employees shall comply with and obey all federal, state and municipal laws.
- B. Employees shall comply with and obey all DOC regulations, policies and procedures, operational memoranda, orders, and instruction. Employees shall not aid, abet, or incite another employee to violate these guidelines.
- C. Employees shall promptly obey directives given by supervisors. If a directive is in conflict with a previous directive, the employee shall inform the supervisor of the conflict. If the supervisor does not retract or alter the directive, it shall stand; however, employees shall not be compelled to obey any directive that would require them to commit or abet an unlawful act.
- D. Failure to obey an order lawfully issued by a supervisor or the use of abusive language toward a supervisor shall be deemed an act of insubordination.
- E. Unlawful discrimination, workplace harassment, or creating a disrespectful workplace will not be tolerated. Employees, offenders, and their families shall be treated professionally at all times regardless of their race, religion, color, creed, national origin, physical or mental disability, sex, marital status, changes in marital status, pregnancy, parenthood or age.
- F. The DOC complies with the State's Equal Employment Opportunity (EEO) Act (AS 39.28) that prohibit retaliation against an employee in any aspect of employment including promotion, job assignment, or any other terms or conditions of employment because that employee filed a charge of discrimination, complained about illegal discrimination, or because they participated in an employment discrimination proceeding such as an investigation.
- G. When dealing with the public, offenders, and each other, employees shall be courteous and respectful. Employees shall not use violent, profane or abusive language or gestures.
- H. Employees shall be truthful and forthright in their statements and communications regarding other employees or offenders.
- I. Employees will avoid any conduct, on or off duty, which compromises their integrity and betrays the trust, faith, and public confidence in the DOC.
- J. Employees are obligated to be accountable and efficient in the use of state resources. Employees shall not use or allow the use of state time, supplies, or state-owned or leased property and equipment for their

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personal gain. Use of state equipment and resources must not violate the State's Executive Branch Ethics Act (AS 39.52). Loss, misuse, misplacement, theft or destruction of state property must be reported to the appropriate supervisor immediately. Employees shall not appropriate any lost, found, evidential, or DOC property for their own use.

- K. Employees shall report fit for their duty assignment, punctually at the time and place directed. Employees are prohibited from engaging in unprofessional conduct which prohibits immediate response in case of emergency.
- L. Employees are required to remain alert and attentive during duty hours. Sleeping, or being distracted by non-job related activity that in itself constitutes an unprofessional use of state time is strictly forbidden. Examples of unprofessional use of state time include playing games, extended and recreational reading of newspapers, books and magazines; extended periods of non-work related internet use, including web surfing; engaging in lengthy personal phone calls and any other action which results in a failure to be attentive of the security and safety of the institution / office. This list is not all inclusive, and there are other activities that are non-job related which may also constitute an unprofessional use of state time warranting discipline, up to and including dismissal.
- M. Employees shall not knowingly falsify any document nor willfully depart from the truth in giving testimony or in connection with any official duty or investigation.
- N. Employees shall not interfere with any action or investigation assigned to another employee or interfere with DOC operations.
- O. Any level of intoxication or the use or possession of any kind of alcoholic beverage, legal intoxicant or illegal or non-prescribed controlled substance, or drug paraphernalia on the job or on DOC property is prohibited. Employees on duty are not to smell of or to be under the influence of alcohol or marijuana. Employees smelling of or appearing to be under the influence of alcohol or marijuana may be requested to be the subject of an alcohol / drug test. Nor may employees report for duty under the influence of any over-the-counter or prescription controlled substance if that substance adversely impacts the employee's ability to perform their duties.

#### II. Conflicts Of Interest:

- A. Employees shall avoid situations that give rise to a financial or personal conflict of interest, and shall abide by the provisions of the Alaska Executive Branch Ethics Act (AS 39.52).
- B. Employees shall refuse to accept any gifts, presents, subscriptions, favors, gratuities, scholarships, or promises that could be interpreted as being offered to the employee in order to cause a DOC employee to refrain from performing his or her official duties, or to provide special favor or status to offenders or contractors providing services to the DOC.
- C. Employees shall not accept private or special advantage from their official status as employees of the DOC. DOC credentials, uniforms, identification cards, or badges may not be used to coerce, intimidate,

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or deceive others or to obtain any privilege or articles not otherwise authorized in the performance of official duties.

- D. Employees shall not engage in any other employment during scheduled work hours, nor shall any State resources be used in furtherance of off-duty employment (paid or unpaid), volunteer, or business activities. Time off for volunteer activities (emergency search and rescue, volunteer fire service, etc.) is subject to the normal leave provisions. This restriction shall not apply to employees involved in legitimate military service, such as the AK State Defense Force or Army National Guard.
- E. Employees shall accept no position, paid or unpaid, that conflicts with their duty to report wrongdoing by offenders, volunteers, staff or members of the public.
- III. Relationships Between Supervisors And Subordinates And Relationships Between Peers:
  - A. Dating, romantic, sexual relations, or engaging in joint business relationships between supervisors and subordinates when the subordinates are within the direct supervisory chain of command of the supervisor is prohibited. If such a relationship exists or develops, the employees involved shall report it to the office or institution manager to discuss the arrangements that must be made (e.g., shift or supervisory reassignments) to comply with this policy. Exceptions to the policy require written approval by the Commissioner. It is understood that a transfer of one party may be the result of such a relationship and that such an action shall not be considered an act of discipline.
  - B. Dating, romantic, sexual relations, or engaging in joint business relationships between employees and a supervisor in their chain-of-command at any level is also prohibited. If such a relationship exists or develops, the employees involved shall report it to the appropriate Division Director and duty reassignments may be made to comply with this policy.
  - C. Dating, romancing, sexual relations, engaging in joint business or the ending of such relationships with a coworker shall not interfere with the performance of the employee's duties nor create a hostile workplace for co-workers.
  - D. Supervisors shall not give, accept or solicit gifts, money, or favors to or from peers or employees under their supervision. Gifts of minor monetary value (e.g., Christmas, birthday, and retirement presents) or collections for flowers or gifts on occasions of grief or celebration are not considered contrary to the spirit of this policy. At no time shall such gifts be purchased with State funds.
  - E. Supervisors shall not use abusive or obscene language toward a subordinate nor shall subordinates use abusive or obscene language toward a supervisor.
  - F. At no time shall a supervisor show undue favoritism to a subordinate. Undue favoritism is a conflict of interest with an employee's obligation to exercise fairness and professional judgment in the conduct of State business. Employees shall avoid participation in situations that may create undue favoritism.
- IV. Relationships With Offenders And Family Members Of Offenders:

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- A. Brutality, physical violence, or verbal abuse of offenders by employees will not be permitted. Employees are authorized to use only that level of force necessary to control an offender or to enforce legitimate and legal commands as provided in the Department's use of force policy.
- B. Employees will not exchange special treatment or favors, or make threats to obtain information from offenders.
- C. Except as set out in D. below, employees may not knowingly maintain social, sexual, business or financial associations with offenders that are under the supervision of the DOC, or a member of the offender's immediate family. This prohibition applies to any state or federal offender under the supervision of the DOC, whether the offender is actively detained or incarcerated at a DOC institution, or whether the offender is not incarcerated but is on probation, parole, or furlough supervised by the DOC. This includes, but is not limited to, telephone calls, letters, notes, social media communications or other communications outside the normal scope of employment. Business relationships do not include the purchase of merchandise or groceries from a legitimate retail outlet or the purchase of services from a legal business. Exceptions to this rule include pretrial defendants released on bail unless the defendant is under the supervision of a probation office and those employees who are actively involved in common pro-social activities with offenders such as work, school, treatment programs, sports leagues and supportive re-entry efforts.
- D. Employees shall not directly or indirectly give to, or accept from any offender or member of the offender's family anything in the nature of a gift or promise of a gift.
- E. Employees shall not engage in any unauthorized game, contest, sport, or betting with any offender. Exceptions to this rule include pro-social, organized sports activities.
- F. During the performance of their duties or while acting as representatives of the DOC, employees may not sign any petition, letter, or recommendation to the courts or to representatives of the courts regarding leniency, pardon, probation, parole or any other form of criminal case disposition on behalf of an offender unless:
  - 1. To do so is a requirement of his or her position; or
  - 2. The employee has received authorization from the institution or office manager.
- G. Regardless of where the employee works in the DOC, in cases where a close personal relationship with an offender or offender's family member existed prior to the offender coming under the supervision of the DOC, the employee shall notify their chain-of-command in writing immediately. The institution or office manager shall determine the appropriate parameters of the employee's conduct toward the offender or offender's family. It is understood that a transfer of one party may be the appropriate reaction to such circumstance and will not be considered disciplinary.
- H. Employees shall not discuss their personal life or another employee's personal life with offenders.

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I. Employees shall not bring into or carry out of any DOC institution any items for offenders. All items received or purchased from offenders or given to offenders will be through official sanctioned channels and will have prior approval of the office or institution manager. The introduction of any items of contraband onto the grounds of any secure institution is prohibited.

# V. Illegal or Unethical Behavior:

- A. Employees are expected to obey all federal, state, and local laws. Neither the absence of a criminal complaint or conviction, nor the dismissal of or acquittal on a criminal charge, shall preclude internal administrative investigation and discipline regarding allegations of illegal or unethical conduct, on or off duty.
- B. DOC employees have an affirmative obligation to report immediately in writing to their office or institution manager any knowledge of criminal activity or unethical action on the part of other employees while on duty or on DOC premises.
- C. When an employee is the subject of an external criminal investigation, has been arrested for, charged with, or convicted of any felony or misdemeanor (except minor traffic violations), or is required to appear as a defendant in any criminal court, that employee shall immediately inform and provide a written report to the employee's chain-of-command. The officer or institution manager shall inform the Director of the division in which the employee is a member and the appropriate Human Resource Manager.
- D. While off duty, employees shall not associate or deal with persons who are known to be involved in illegal activities.

# VI. Reports and Investigations:

- A. Reports and logs submitted by employees shall be truthful and complete. No employee shall knowingly enter or cause to be entered any inaccurate, false or improper information, nor shall they fail to include pertinent information known to them regarding the matter at issue.
- B. Employees shall not convert to their own use, conceal, falsify, destroy, remove, tamper with, or withhold any property or evidence.
- C. During the course of an official investigation an employee can be ordered to cooperate in an internal / administrative investigation and must truthfully answer questions that are specifically, directly and narrowly related to the employee's official conduct, to include providing a signed statement or affidavit if requested. Statements made pursuant to an order to cooperate in an internal / administrative investigation and evidence that is derived from the statements cannot be used against the employee in any criminal proceeding.

An employee will not be compelled in any criminal investigation to be a witness against themselves. Employees interviewed in conjunction with a criminal investigation will be afforded all rights under

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Miranda v. Arizona (1966) and the Fifth Amendment of the US Constitution.

#### VII. Medical Information:

- A. Employees are reminded that medical information that the DOC gathers and maintains is protected by federal and state laws and regulations, such as the Health Insurance Portability and Accountability Act (HIPAA).
- B. All medical information (including mental health and substance abuse information) is considered confidential and employees may not disseminate or release any medical information without first ensuring that:
  - 1. The release is authorized by law or the person whose information it is; and
  - 2. The person (or entity) requesting the information is authorized to receive it.
- C. Employees are expected to handle medical information in a way that preserves its confidentiality at all times. This means restricting access to stored medical information, not leaving medical information accessible when it is not being used and transmitting medical information in a secure manner so that it may not be viewed or intercepted by those not authorized to view or receive it.
- D. Employees who come in to contact with medical information indirectly, such as officers escorting offenders to medical appointments or clerical staff working in clinics, are also expected to keep the information confidential. Any medical information that employees may see or hear as part of their normal duties should be handled appropriately and kept confidential.
- E. If an employee is witness to any misuse or mishandling of medical information they shall immediately report it to their supervisor. Reports of misuse or mishandling of medical information will be taken seriously and will be investigated. Employees found to have misused or mishandled medical information may face discipline.

#### VIII. Criminal Justice Information:

- A. Employees are reminded that criminal justice information that the DOC gathers and maintains (whether hand written or electronic) is protected by federal and state laws and regulations, such as the Federal Bureau of Investigations (FBI) Criminal Justice Information Services (CJIS) Security Policy and Alaska Statute 12.62.160.
- B. According to the FBI (CJIS Security Policy, Appendix A) and Alaska Statute (AS 12.62.900) criminal justice information may include:
  - 1. Biometric data:
  - 2. Identity history;
  - 3. Person data:
  - 4. Organization data;

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- 5. Property (when accompanied by any personally identifiable information) data;
- 6. Case / incident history data;
- 7. Non-conviction information;
- 8. Correctional treatment information; and
- 9. Information relating to a person to be located, whether or not that person is wanted in connection with the commission of a crime.
- C. The DOC makes use of several different repositories for criminal justice information including the Alaska Public Safety Information Network (APSIN) operated by the Department of Public Safety and the DOC's own Alaska Corrections Offender Management System (ACOMS). This policy shall apply to any additional criminal justice information systems that are either created or adopted in the future for DOC use.
- D. Access to these databases is restricted and employees shall only access information in these databases when a legitimate business need exists. No employee shall access these databases for personal use.
- E. DOC employees are also prohibited from altering or deleting any documentation or criminal justice information entered in to a criminal justice information system (such as the DOC offender management system) by another person, without first securing the approval of their Superintendent, Chief Probation Officer or equivalent supervisor or their designee. Before granting approval for an employee to alter or delete documentation or criminal justice information entered by another person, the supervisor or designee shall be satisfied that the alteration / deletion is legitimate and necessary for business needs.
- F. All criminal justice information is considered confidential and employees may not disseminate or release any criminal justice information without first ensuring that:
  - 1. The release is authorized by law or the person whose information it is; and
  - 2. The person (or entity) requesting the information is authorized to receive it.
- G. Employees are expected to handle criminal justice information in a way that preserves its confidentiality at all times. This means restricting access to stored criminal justice information, not leaving criminal justice information accessible when it is not being used and transmitting criminal justice information in a secure manner so that it may not be viewed or intercepted by those not authorized to view or receive it.
- H. If an employee is witness to any misuse or mishandling of criminal justice information they shall immediately report it to their supervisor. Reports of misuse or mishandling of criminal justice information will be taken seriously and will be investigated. Employees found to have misused or mishandled criminal justice information may face discipline, as well as legal action.
- IX. Public Statements and Disclosure of Information:
  - A. All official statements for public release concerning the affairs of the DOC must be authorized by the Commissioner, a Deputy Commissioner, a Division Director, or designee.

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- B. In any public statement, employees will clearly distinguish between those that are positions of the DOC and those that are personal views. Employees are responsible for the accuracy of their statements.
- C. Employees shall not disclose confidential information (ranging from personal data concerning employees and offenders to information that would breach security or endanger any person) unless authorized in policy or having been directed to do so by the employee's Director or designee. Employees who receive such a request for information will refer the inquiring party to the office or institution manager.

#### D. Social Media:

- 1. When identifying yourself as a DOC employee on social media <u>or</u> if you have a position for which your DOC association is known to the general public, ensure your profile and related content is consistent with how you wish to present yourself as a professional employee, appropriate with the state and public trust associated with your position. DOC employees shall have no expectation of privacy when using social media tools.
- 2. All posts or comments on social media that may be related, directly or indirectly, to your employment by the State of Alaska and the Department of Corrections shall be preceded by a disclaimer that clearly states that the opinions or views expressed are yours alone and do not represent the views of the DOC or your institution or office.
- 3. Without written permission from the Commissioner, or designee, the use of any image or photograph of images that belong to the DOC is prohibited, including:
  - a. Department shoulder patch;
  - b. Department official logo;
  - c. Photographs or any graphic rendition of any DOC building, office, institution, or grounds; and
  - d. Any image of an offender (with or without permission).
- 4. Without written permission from the Lieutenant Governor, the use of the state seal is prohibited.
- 5. In a publicly accessible forum, employees shall not discuss any DOC related information that is not already considered public information. The discussion of sensitive, privileged or protected information is strictly prohibited. This rule applies even in circumstances where password or other privacy controls are implemented.
- E. Employees are prohibited from accessing official records of any kind unless doing so is a part of the employees' job requirements.
- F. Employees shall not remove any documents or other items from files or make copies of records or documents, except in accordance with established procedures or upon proper authorization. Employees shall not use, or release for use, official information for private purposes.

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G. Former employees will be granted access only to DOC information available to other members of the public, and will have no greater standing than members of the public.

# X. Clothing and Uniforms:

While on duty all employees will adhere to the DOC's policies on uniforms and appearance.

#### XI. Egregious Misconduct:

Egregious misconduct may warrant immediate dismissal on a first offense. The following lists are not all inclusive. Executive Branch employees are subject to additional statutes, regulations, policies, and other directives, the violation of which may result in dismissal for a first offense. Also, the totality of circumstances in a given circumstance may warrant immediate dismissal on a first offense whether or not a specific violation is listed below.

# A. All DOC employees are prohibited from:

- 1. Engaging in unlawful discrimination or harassment;
- 2. Engaging in dishonesty, including dishonesty during an investigation into misconduct alleged to have been committed by the employee or by the employee's co-workers;
- 3. Theft of State time or resources;
- 4. Gross disobedience or insubordination;
- 5. Use, possession or being under the influence of alcohol or any illegal controlled substance on DOC's time or premises;
- 6. Engaging in physical assault or misconduct, abusive, or lewd behavior;
- 7. Abandonment of duties;
- 8. Involvement in illegal activities, including but not limited to conviction of a felony or misdemeanor when the activity or offense giving rise to the conviction or the conviction itself (including a conviction based on a plea of no contest), could adversely affect the employee's availability, ability, or fitness to perform the employee's duties, or adversely impacts the DOC 's ability to carry out its mission;
- 9. Intentionally aiding or abetting on offender's escape or attempted escape;
- 10. Introducing contraband onto the grounds of a secure institution;
- 11. Using excessive force on an offender;

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- 12. Engaging in undue familiarity, including but not limited to sexual contact, with an offender;
- 13. Intentionally or negligently endangering or breaching security, including releasing of confidential information when such release has the effect of endangering security; and
- 14. Being involved in illegal activities, on or off duty, regardless of whether charged or convicted of a crime.
- B. Egregious misconduct includes:
  - 1. Conviction of any felony; and
  - 2. Conviction of a misdemeanor when the activity or offense giving rise to the conviction or the conviction itself (including a conviction based on a plea of no contest) adversely affects the employee's availability, ability or fitness to perform the employee's duties, or may adversely impact the DOC 's reputation or ability to carry out its mission. Misdemeanor convictions involving domestic violence (whether or not charged as a crime of domestic violence), DUI, refusal of chemical breath test, sexual assault or abuse, or the illegal possession, use, transport, transfer or sale of a controlled substance, by their nature may subject an employee to disciplinary action up to and including termination.

# XII. Responsibilities:

- A. Division Directors shall ensure dissemination, posting, training, and enforcement of this policy.
- B. Office and institution managers and supervisors shall ensure that all employees or persons from other agencies, whose assignment is primarily on the premises of DOC institutions or offices, have read, understand, and adhere to this policy. Failure of managers or supervisors to do so may result in discipline up to and including dismissal.
- C. Failure on the part of any employee to implement this policy may constitute grounds for disciplinary action up to and including dismissal from public service.
- D. The Human Resources Office shall provide all new employees with a copy of this policy as part of the new employee information packet.
- E. All employees shall sign the *Standards Of Conduct Certificate Of Review And Compliance* (Attachment A), which will be placed in the employee's permanent personnel record. If an employee does not understand any section of this policy, it is the employee's responsibility to obtain clarification from the employee's supervisor prior to signing the Certificate.
- F. Any variance from this policy must have prior written authorization from the Commissioner or their designee.

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# Standards of Conduct: Certificate of Review and Compliance

I have read Policy 202.15 Standards of Conduct (12/07) and have sought and obtained clarification of any portions which I did not understand. I recognize that failure to abide by the Standards of Conduct may result in corrective, disciplinary, or other appropriate action.

Printed Name	
Signature	
Date	

# STANDARD AGREEMENT FORM FOR PROFESSIONAL SERVICES

The parties' contract comprises this Standard Agreement Form, as well as its referenced Articles and their associated Appendices

1. Agency Contract Number	2. DGS Solicitation Nu	ımber 3	Financial Coding	4. Agency Assigned	Encumbrance Number
5. Vendor Number	6. Project/Case Number	er	7. Alaska E	Business License Number	
This contract is between the Stat	te of Alaska,				
8. Department of	Divis	sion			hereafter the State, and
9. Contractor					The state, and
					hereafter the Contractor
Mailing Address	Street or P.O. Box		City	State	ZIP+4
ARTICLE 2. Performance 2.1 Appendix A (G 2.2 Appendix B se 2.3 Appendix C se  ARTICLE 3. Period of Perf ends ARTICLE 4. Consideration 4.1 In full consider  \$	seneral Provisions), Articles 1 the test forth the liability and insurances forth the services to be performance: The period of performance:  The period of performance:  The period of performance:  The period of performance:  The period of performance:  The period of performance:  The period of performance:  The period of performance:  The period of performance:  The period of performance:  The period of performance:  The period of performance:  The period of performance:	hrough 16, go ce provisions ormed by the or rmance for thi mance under the common that the properties of the common that the province with the properties of the common that the common that the common that the common that the properties of the common that the	verns the performance of this contract. contractor. s contract begins  his contract, the State s rovisions of Appendix D	of services under this contract.	
2					
Mailing Address		A	ttention:		
12. CON	TRACTOR				
Name of Firm  Signature of Authorized Representative  Date  Typed or Printed Name of Authorized Representative  Title			documents are congagainst funds and encumbered to pain the appropriation knowingly make record, or knowing otherwise impair to constitutes tamped	I certify that the facts here brrect, that this voucher considered, that y this obligation, or that there in cited to cover this obligation or allow false entries or alter gly destroy, mutilate, suppressible verity, legibility or available ering with public records potential.	titutes a legal charge sufficient funds are is a sufficient balance in. I am aware that to ernations on a public s, conceal, remove or dity of a public record bunishable under AS
	CTING AGENCY	S	ignature of Head of Con	tracting Agency or Designee	Date
Department/Division	Date	•			
Signature of Project Director	I	Т	yped or Printed Name		<u>I</u>
Typed or Printed Name of Project D	irector	Т	tle		
Title					

NOTICE: This contract has no effect until signed by the head of contracting agency or designee.

**02-093 (Rev. 04/14)** SAF.DOC

#### GENERAL PROVISIONS

#### Article 1. Definitions.

- 1.1 In this contract and appendices, "Project Director" or "Agency Head" or "Procurement Officer" means the person who signs this contract on behalf of the Requesting Agency and includes a successor or authorized representative.
- 1.2 "State Contracting Agency" means the department for which this contract is to be performed and for which the Commissioner or Authorized Designee acted in signing this contract.

#### Article 2. Inspections and Reports.

- 2.1 The department may inspect, in the manner and at reasonable times it considers appropriate, all the contractor's facilities and activities under this contract.
- 2.2 The contractor shall make progress and other reports in the manner and at the times the department reasonably requires.

#### Article 3. Disputes.

3.1 If the contractor has a claim arising in connection with the contract that it cannot resolve with the State by mutual agreement, it shall pursue the claim, if at all, in accordance with the provisions of AS 36.30.620 – 632.

#### Article 4. Equal Employment Opportunity.

- 4.1 The contractor may not discriminate against any employee or applicant for employment because of race, religion, color, national origin, or because of age, disability, sex, marital status, changes in marital status, pregnancy or parenthood when the reasonable demands of the position(s) do not require distinction on the basis of age, disability, sex, marital status, changes in marital status, pregnancy, or parenthood. The contractor shall take affirmative action to insure that the applicants are considered for employment and that employees are treated during employment without unlawful regard to their race, color, religion, national origin, ancestry, disability, age, sex, marital status, changes in marital status, pregnancy or parenthood. This action must include, but need not be limited to, the following: employment, upgrading, demotion, transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training including apprenticeship. The contractor shall post in conspicuous places, available to employees and applicants for employment, notices setting out the provisions of this paragraph.
- 4.2 The contractor shall state, in all solicitations or advertisements for employees to work on State of Alaska contract jobs, that it is an equal opportunity employer and that all qualified applicants will receive consideration for employment without regard to race, religion, color, national origin, age, disability, sex, marital status, changes in marital status, pregnancy or parenthood.
- 4.3 The contractor shall send to each labor union or representative of workers with which the contractor has a collective bargaining agreement or other contract or understanding a notice advising the labor union or workers' compensation representative of the contractor's commitments under this article and post copies of the notice in conspicuous places available to all employees and applicants for employment.
- 4.4 The contractor shall include the provisions of this article in every contract, and shall require the inclusion of these provisions in every contract entered into by any of its subcontractors, so that those provisions will be binding upon each subcontractor. For the purpose of including those provisions in any contract or subcontract, as required by this contract, "contractor" and "subcontractor" may be changed to reflect appropriately the name or designation of the parties of the contract or subcontract.
- 4.5 The contractor shall cooperate fully with State efforts which seek to deal with the problem of unlawful discrimination, and with all other State efforts to guarantee fair employment practices under this contract, and promptly comply with all requests and directions from the State Commission for Human Rights or any of its officers or agents relating to prevention of discriminatory employment practices.
- 4.6 Full cooperation in paragraph 4.5 includes, but is not limited to, being a witness in any proceeding involving questions of unlawful discrimination if that is requested by any official or agency of the State of Alaska; permitting employees of the contractor to be witnesses or complainants in any proceeding involving questions of unlawful discrimination, if that is requested by any official or agency of the State of Alaska; participating in meetings; submitting periodic reports on the equal employment aspects of present and future employment; assisting inspection of the contractor's facilities; and promptly complying with all State directives considered essential by any office or agency of the State of Alaska to insure compliance with all federal and State laws, regulations, and policies pertaining to the prevention of discriminatory employment practices.
- 4.7 Failure to perform under this article constitutes a material breach of contract.

#### Article 5. Termination.

The Project Director, by written notice, may terminate this contract, in whole or in part, when it is in the best interest of the State. In the absence of a breach of contract by the contractor, the State is liable only for payment in accordance with the payment provisions of this contract for services rendered before the effective date of termination.

#### Article 6. No Assignment or Delegation.

The contractor may not assign or delegate this contract, or any part of it, or any right to any of the money to be paid under it, except with the written consent of the Project Director and the Agency Head.

#### Article 7. No Additional Work or Material.

No claim for additional services, not specifically provided in this contract, performed or furnished by the contractor, will be allowed, nor may the contract of any work or furnish any material not covered by the contract unless the work or material is ordered in writing by the Project Director and approved by the Agency Head.

#### Article 8. Independent Contractor.

The contractor and any agents and employees of the contractor act in an independent capacity and are not officers or employees or agents of the State in the performance of this contract.

#### Article 9. Payment of Taxes.

As a condition of performance of this contract, the contractor shall pay all federal, State, and local taxes incurred by the contractor and shall require their payment by any Subcontractor or any other persons in the performance of this contract. Satisfactory performance of this paragraph is a condition precedent to payment by the State under this contract.

#### Article 10. Ownership of Documents.

All designs, drawings, specifications, notes, artwork, and other work developed in the performance of this agreement are produced for hire and remain the sole property of the State of Alaska and may be used by the State for any other purpose without additional compensation to the contractor. The contractor agrees not to assert any rights and not to establish any claim under the design patent or copyright laws. Nevertheless, if the contractor does mark such documents with a statement suggesting they are trademarked, copyrighted, or otherwise protected against the State's unencumbered use or distribution, the contractor agrees that this paragraph supersedes any such statement and renders it void. The contractor, for a period of three years after final payment under this contract, agrees to furnish and provide access to all retained materials at the request of the Project Director. Unless otherwise directed by the Project Director, the contractor may retain copies of all the materials.

#### Article 11. Governing Law; Forum Selection

This contract is governed by the laws of the State of Alaska. To the extent not otherwise governed by Article 3 of this Appendix, any claim concerning this contract shall be brought only in the Superior Court of the State of Alaska and not elsewhere.

#### Article 12. Conflicting Provisions.

Unless specifically amended and approved by the Department of Law, the terms of this contract supersede any provisions the contractor may seek to add. The contractor may not add additional or different terms to this contract; AS 45.02.207(b)(1). The contractor specifically acknowledges and agrees that, among other things, provisions in any documents it seeks to append hereto that purport to (1) waive the State of Alaska's sovereign immunity, (2) impose indemnification obligations on the State of Alaska, or (3) limit liability of the contractor for acts of contractor negligence, are expressly superseded by this contract and are void.

#### Article 13. Officials Not to Benefit.

Contractor must comply with all applicable federal or State laws regulating ethical conduct of public officers and employees.

#### Article 14. Covenant Against Contingent Fees.

The contractor warrants that no person or agency has been employed or retained to solicit or secure this contract upon an agreement or understanding for a commission, percentage, brokerage or contingent fee except employees or agencies maintained by the contractor for the purpose of securing business. For the breach or violation of this warranty, the State may terminate this contract without liability or in its discretion deduct from the contract price or consideration the full amount of the commission, percentage, brokerage or contingent fee.

#### Article 15. Compliance.

In the performance of this contract, the contractor must comply with all applicable federal, state, and borough regulations, codes, and laws, and be liable for all required insurance, licenses, permits and bonds.

#### Article 16. Force Majeure:

The parties to this contract are not liable for the consequences of any failure to perform, or default in performing, any of their obligations under this Agreement, if that failure or default is caused by any unforeseeable Force Majeure, beyond the control of, and without the fault or negligence of, the respective party. For the purposes of this Agreement, Force Majeure will mean war (whether declared or not); revolution; invasion; insurrection; riot; civil commotion; sabotage; military or usurped power; lightning; explosion; fire; storm; drought; flood; earthquake; epidemic; quarantine; strikes; acts or restraints of governmental authorities affecting the project or directly or indirectly prohibiting or restricting the furnishing or use of materials or labor required; inability to secure materials, machinery, equipment or labor because of priority, allocation or other regulations of any governmental authorities.

# **NOTICE OF AWARD**

(Informal RFP)

(miorital in	- 5 / 1		
<ol> <li>Name of Program, Project, or Service (Use IRFP Title)</li> <li>American Sign Language (ASL) Interpreter Service</li> </ol>		2. Date Issued	
3. Authority Number(s)	4. Name of Procurement	Officer	
20-20-105	April Akers		
This is notice of the State's award of a contract. The offeror, idea instructed not to proceed until a signed contract or other written a person who proceeds prior to receiving a signed contract or other AS 36.30.365.	notice of award is received t	from the State. A firm or	
An offeror who wishes to protest this Notice of Award must file a date this notice is issued. If the tenth day falls on a weekend or hworking day following the tenth day.			
The protest shall be filed with the procurement officer in writing a	nd include the following info	ormation:	
<ul> <li>(1) the name, address, and telephone number of the protester;</li> <li>(2) the signature of the protester or the protester's representative;</li> <li>(3) identification of the contracting agency and the solicitation or contract at issue;</li> <li>(4) a detailed statement of the legal and factual grounds of the protest, including copies of relevant documents;</li> <li>and</li> <li>(5) the form of relief requested (AS 36.30.560 and AS 36.30.565).</li> </ul>			
5. Name and Address of Apparent Successful Offeror	,		
6. Names and Addresses of All Other Respondents (in alphabeti	cal order)		

Small Procurement, Notice of Award

#### **IRFP Checklist**

Offerors are encouraged to use this checklist in preparation of proposals. This checklist may not be all inclusive of the items required to be submitted in the proposal. In case of a conflict between this checklist and the IRFP, the requirements of the IRFP will prevail.

Offerors who do not respond to each item as specified below may be considered "non-responsive" and the proposal may not be accepted for evaluation and possible award of contractual services.

Section	Description	✓
1.08	Sealed original proposal submitted by IRFP due date and time	
1.08 (a)	Authorized Signature	
1.08 (b)	Offeror's Certification	
1.08 (d)	Conflict of Interest Statement	
Attachment 3	Offeror Information & Assurance Form – signed & notarized	
4.01	Proposal meets and includes items in Proposal Format and Content	
4.03	Understanding of the Project	
4.04	Methodology plane for the project	
4.05	Management plan for the project	
4.06	Experience and Qualifications	
4.07	Cost Proposal Form (sealed separately)	
6.11	Certification of Entitlement to the Alaska Bidder Preference and other preferences, if applicable (Attachment 4)	