### Alaska Behavioral Health Provider Standards and Administrative Procedures Manual

### **Preamble Language**

**Background.** The goal of the Alaska Section 1115 SUD demonstration is for Alaska to maintain critical access to treatment services for opioid use disorder (OUD) and all other substance use disorder (SUD) treatment services and continue delivery system improvements for these services to provide a more coordinated and comprehensive OUD/SUD treatment for Alaska Medicaid beneficiaries.

**Recipient Eligibility.** Medicaid recipients aged 12 – 17 or adults 18 older who have at least one diagnosis from the Diagnostic and Statistical Manual of Mental Disorders (DSM-5 or the most current version of the DSM) for substance-related and addictive disorders are eligible for waiver services.

The Division of Public Assistance (DPA) determines initial and ongoing eligibility for Medicaid in accordance with federal and state regulations as set forth in the Alaska Medicaid state plan. Medicaid recipient eligibility standards for the waiver are the same as standards set forth under the state plan.

All individuals who qualify for 1115 SUD waiver services derive their eligibility through the Alaska Medicaid state plan, and are subject to all applicable Medicaid laws and regulations in accordance with the Alaska Medicaid state plan. All Medicaid eligibility standards and methodologies for these eligibility groups remain applicable.

Individuals in need of medical or other assistance may contact <u>DPA</u> or may consult the <u>Medicaid</u> <u>Recipient Handbook</u>.

Medicaid Billing: Several steps are required to meet requirements to bill Medicaid for 1115 SUD demonstration services:

Provider Enrollment. Providers must be enrolled with the Alaska Medical Assistance program in order to receive reimbursement for services rendered to eligible recipients. Additionally, a service rendered based on a referral, order, or prescription is reimbursable only if the referring, ordering, or prescribing provider is enrolled as an Alaska Medical Assistance program provider.

Behavioral health service providers may enroll with Alaska Medical Assistance by submitting an application through <u>Alaska Medicaid Health Enterprise</u>, a secure website that is accessible 24 hours a day, seven days a week. Health Enterprise includes links to numerous websites that can help you complete your provider enrollment.

Online training is available to guide providers through enrollment. To view this training, visit the Alaska Medicaid Learning Portal.

If extenuating circumstances prevent a provider from enrolling online, please contact the <u>Provider Enrollment Department</u>.

When your enrollment is approved you will receive a Medicaid Provider ID and a welcome packet.

II. Provider Agreement. As part of the enrollment process, providers must sign and submit a Provider Agreement certifying that the provider agrees to comply with applicable federal and

state laws and regulations. The provider agreement remains in effect so long as the provider renders services to Alaska Medical Assistance recipients and applies to the provider and all of the provider's employees and contractors.

The provider agreement is available as part of the enrollment application process.

- III. Changes in Provider Enrollment. Providers must report all changes to their enrollment information within 30 days of the change. Notifications of enrollment changes must be made in writing and an original signature is required; changes will not be made based on oral requests. Use the Update Provider Information Request Form to report any change in the following:
  - Ownership
  - Licensure, certification, or registration status
  - Federal tax identification number
  - Type of service or area of specialty
  - Additions, deletions, or replacements in group membership
  - Mailing address or phone number
  - Medicare provider identification number
- IV. Department Approval. Behavioral health service providers that are described in 7 AAC 70.010 must have Departmental Approval in order to operate in Alaska. The Departmental Approval types are:
  - Behavioral health clinic services
  - Behavioral health rehabilitation services
  - Withdrawal management services
  - Residential substance use treatment services under 7 AAC 70.120
  - Opioid use disorder treatment services under 7 AAC 70.125
  - Substance use treatment under 7 AAC 70.130

To obtain Department Approval, submit an application to MPASS Unit.

V. Regulations. Providers must meet the requirements specific to their accrediting authority and those are not included in this document. Behavioral health service providers must also meet the requirements in the Behavioral Health Services Integrated Regulations 7 AAC 70 and 7 AAC 135.

The Department of Health and Social Services (DHSS) is granted statutory authority to allow the Division of Behavioral Health (DBH) on-site access to all documents related to Medicaid service delivery (including client files), per AS 47.05 for mental health treatment and AS 47.37 for substance use treatment.

All behavioral health service providers are required to have a written grievance policy and procedure that will be posted and made available to all individuals upon admission. The Department encourages individuals currently enrolled with a provider to follow that provider's grievance policies and procedures. The Department may investigate complaints made by a patient or interested parties, per AS.47.30.660 (b) (12).

At the request of the Department, a provider must provide records in accordance with 7 AAC 105.240. The Department may review records of Medicaid providers without prior notice from Medicaid providers if the Department has cause that is based on reliable evidence to do so, per 7 AAC 160.110 (e).

Individual Qualified Behavioral Health Professional Enrollment: Each individual WITHIN an agency must be an approved Behavioral Health Professional with a designation of Qualified Addictions Professional (QAP) and/ or a Peer Support Specialist (PSS). In order for facilities to bill 1115 SUD services, the Qualified Behavioral Health Professional (QBHP) who is providing services must be enrolled in 1115 SUD Medicaid and is affiliated with said facility. The following bullet points are the steps for Individual Enrollment:

- A National Provider Identification Number (NPI) is required for all individuals rendering services. Applications that do not have an NPI number will not be processed
- An Application is required for all individuals applying for approval as a QBHP
- Individuals can enroll as a Qualified Addictions Professional (QAP), a Peer Support Specialist (PSS) or both. Applications and requirements are as follows:
  - A) **Provisional** QBHP Applications are required for individuals who do not have any qualifying credentialing under the 1115 SUD Waiver.
    - 1) Under the provisional, the **QAP** applicant (who does not have a master's degree or above, medical license, RN license, LPN license or ONE certification(s) listed below) must obtain one of the following qualifying credentials within a three (3) year period:
      - a. Alaska Behavioral Health Certification: □CDC II □CDCS □ CDC Admin
      - b. Behavioral Health Aide Certification: □BHA II □BHA III BHA / P
      - c. National Certification Commission for Addiction Professionals: ☐ NCAC I☐ ☐ NCAC II ☐ MAC
- A. The provisional accommodation outlined in (A) above is available on an ongoing basis. The three year provisional period begins on the date of the applicant's provisional application approval. Applicants who fail to meet the required credentialing during the three year provisional period may apply for a one year extension. Extension requests will be reviewed on a case by case basis. Applicants requesting a one year extension must show proof they have participated in required trainings and supervision during the initial three year provisional period.
  - 2) Under the provisional, the **PSS** applicant and their supervisor must attest to meeting at least (A) of the following
    - a. Able to self-identify as someone who has lived experience of recovery from mental illness and/or addiction and/or is a family member of someone with lived experience of recovery from mental illness and/or addiction
    - b. Family members of people with SED, SMI, SUD, or Co-Occurring disorders are applicable to provide services to other individuals with similar experiences.
    - c. Has skills learned in formal training and/or supervised work experience, to deliver services in behavioral health settings to promote mind-body recovery and resiliency.
    - d. Has training and/or experience in providing direct services reflective of, and consistent with the Alaska Core Competencies for Direct Service Providers and/or the SAMHSA Core Competencies for Peer Support Specialists, (<a href="https://www.samhsa.gov/brss-tacs/recovery-support-tools/peers/core-competencies-peer-workers">https://www.samhsa.gov/brss-tacs/recovery-support-tools/peers/core-competencies-peer-workers</a>)
  - 3) Applicants who are ALREADY CREDENTIALED and have one or more of the required credentials (listed in A of this section) will be automatically approved and will not need to go through the three year provisional process. However, the already

credentialed individual will need to fill out an application and attach their required credential (s) to the application. The individual's approval as a QBHP will have an expiration date that matches their credentialing expiration date. The following are accepted credentials to avoid the provisional process:

- a. Alaska Behavioral Health Certification: □CDC II □CDCS □ CDC Admin
- b. Behavioral Health Aide Certification: □BHA II □BHA III BHA / P
- c. National Certification Commission for Addiction Professionals: ☐ NCAC I ☐ NCAC II ☐ MAC
- B) Master's Level or Above (Licensed, Unlicensed, PhD/PsyD,) will qualify to be a QAP and/or a PSS to provide SUD services under the 1115 SUD Waiver. Additional education will be required under the 1115 SUD Waiver. Agency/ Clinical Supervisor must attests that the applicant has obtained or is working toward obtaining continuing education units that are necessary for the provision of 1115 SUD services. Each unit is approximately one hour of education. The CEU requirements are as follows:
  - a. Addiction (4 CEU)
  - b. ASAM (2 CEU)
  - c. Cultural Competency (2 CEU)
- The professional under this section will have a provisional approval and have three (3) years to obtain the necessary CEU's for full approval.
  - These CEU requirements differ from the licensing boards for these professionals. This requirement is specific to the provision of 1115 SUD waiver services
  - These professionals must also attach a copy of their diploma and/or license to the application.
  - If a professional under this section has completed the CEU's within the last two years, proof of these must be attached to the application and the three (3) year provisional will be waived.
  - If this individual has obtained all the required CEU's, check the appropriate boxes and attach proof of these CEU's with the individual provider application for a full approval.
  - C) Nursing Professionals must also apply to be a QAP and/or PSS to provide SUD services under the 1115 SUD Waiver. This section applies to Registered Nurses and Licensed Practical Nurses ONLY. Agency/ Clinical Supervisor must attests that the applicant has obtained or is working toward obtaining continuing education units (CEU's) that are necessary for the provision of 1115 SUD services. Each unit is approximately one hour of education. The CEU requirements are as follows:
    - a. Addiction (4 CEU)
    - b. ASAM (2 CEU)
    - c. Cultural Competency (2 CEU)
- The professional under this section will have a provisional approval and have three (3) years to obtain the necessary CEU's for a full approval.

- These CEU's requirements differ from the licensing boards for these professionals. This requirement is specific to the provision of 1115 SUD waiver services
- These professionals must also attach a copy of their diploma and/or license to the application.
- o If a professional under this section has completed the additional education requirements within the last two years, proof of these CEU's must be attached to the application and the three (3) year provisional will be waived.
- o If this individual has obtained all the required CEU's, check the appropriate boxes and attach proof of these CEU's with the individual provider application.
- o CMA's or CNA's must go through a credentialing process as described in A of this section.
- D) Licensed Medical Doctors must complete a Qualified Behavioral Health Professional application in order to be reimbursed under 1115 Waiver rates for 1115 SUD Waiver services. The professional in this section will only need to attest to the following as proof of their qualifications:
  - a. The professional in this section will work as a QBHP only within their education, scope of practice, experience, ethical guidelines and area of specialty.
  - b. Once this professional attests to section (a) above, the professional must sign the application.
  - c. The signed application with the attached copy of the professional's medical license is then sent to the Division of Behavioral Health for processing.
- Applications must be submitted to DBH with all required attachments.
- Once DBH has approved an individual's application, a letter of approval delineating the types of services the individual can provide will be mailed to the Facility under which the individual will be working. No certificate for individuals under this section will be provided.
- The receiving facility will then submit the approval letter when they enroll the individual online through the Conduent Portal –Alaska Medicaid Health Enterprise.
- The individual must be affiliated with EACH facility or provider location where they will be providing services.
- Separately enrolled medical providers (Physicians, Pas, NPS and Tribal Clinics) furnishing and reimbursed for MAT through the traditional fee for service schedule and other medical services that are within their current scope of practice are exempt from these requirements.
- Medical practitioner's not offering/rendering 1115 SUD waiver services will not be required to be separately approved and enrolled as SUD providers, or to render and document their services according to behavioral health standards rather they will continue to render and document for medical services.

In addition, Providers must meet Standards to bill Medicaid for 1115 SUD demonstration services including:

I. General Standards

All behavioral health service providers will adhere to the 10 guiding principles of recovery as defined by SAMHSA and listed below:

- Recovery emerges from hope
- Recovery is person-driven
- Recovery occurs via many pathways
- Recovery is holistic
- Recovery is supported by peers and allies
- Recovery is supported through relationship and social networks
- Recovery is culturally-based and influenced
- Recovery is supported by addressing trauma
- Recovery involves individual, family, and community strengths and responsibility
- Recovery is based on respect
- II. ASAM Standards of Care. The demonstration will build on the state's existing efforts to improve models of care focused on supporting individuals in the community and home, outside of institutions and strengthen a continuum of SUD services based on the <u>American Society of Addiction Medicine (ASAM) criteria</u> or other nationally recognized assessment and placement tools that reflect evidence-based clinical treatment guidelines.

ASAM criteria uses six dimensions to provide a holistic assessment of the individual:

- Dimension 1: Acute Intoxication and/or Withdrawal Potential Past and current experiences of substance use and withdrawal.
- Dimension 2: Biomedical Conditions and Complications Physical health history and current condition.
- Dimension 3: Emotional, Behavioral, or Cognitive Conditions and Complications
   Thoughts, emotions, mental health needs, and behavioral health history
- Dimension 4: Readiness to Change Readiness and interest in changing
- Dimension 5: Relapse, Continued Use, or Continued Problem Potential Likelihood of relapse or continued use or continued behavioral health problems
- Dimension 6: Recovery and Living Environment Relationship between recovery and living environment (people, places, and things).

The status of these six dimensions, as assessed by a trained clinician, will provide recommendations on the most appropriate treatment options.

# Medicaid-Covered Services for Section 1115 SUD Services

# I. Outpatient Substance Use Disorder Treatment Services

Service Name/	ASAM 2.1 Intensive Outpatient Services – Adolescents and Adult	
Abbreviation	Askivi 2.1 intensive outpatient services — Adolescents and Addit	
7 AAC 138.250		
Effective Date and	Eff. 7/1/2019	
Revision History	Revision: 10/07/2019	
Service Definition/	Adolescents under the 1115 SUD waiver are identified as age 12- 17. Intensive	
Description	outpatient includes structured programming services provided to beneficiaries at a minimum of six hours with a maximum of 19 hours a week for adolescents.	
	Adult intensive outpatient includes structured programming services provided to beneficiaries at a minimum of <b>nine</b> hours with a maximum of 19 hours a week	
8	Treatment is focused on major lifestyle, attitudinal, and behavior issues which impair the individual's ability to cope with major life tasks without use of substances.	
	Common anta Comisso includos	
	Components Services include:	
	<ul> <li>Individualized, biopsychosocial assessment and clinically-directed treatment.</li> </ul>	
	<ul> <li>Cognitive, behavioral, and other substance use disorder-focused therapies, reflecting a variety of treatment approaches, provided to the individual on an individual, group, and/ or family basis</li> </ul>	
	Appropriate drug screening	
	Psychoeducation Services	
	Medication Services	
	Crisis Intervention Services	
	Community Recovery Support Services	
	SUD Care Coordination	
Contraindicated	SUD Partial Hospitalization	
Services	<ul> <li>Clinically Managed Residential Withdrawal Management-3.2</li> </ul>	
	<ul> <li>Medically Monitored Inpatient Withdrawal Management-3.7</li> </ul>	
	<ul> <li>Medically Managed Intensive Inpatient Withdrawal Management-4.0</li> </ul>	
	Medically Monitored Intensive Inpatient Services-3.7	
	Medically Managed Intensive Inpatient Services-4.0	
	Ambulatory Withdrawal Management	
	Clinically Managed Low Intensity Residential-3.1	
	<ul> <li>Clinically Managed High Intensity Residential Treatment-3.3 (Population Specific)</li> </ul>	
	Clinically Managed High Intensity Residential-3.5	
	Clinically Managed Medium Intensity Residential-3.5 Adolescent	

# Service Requirements/ Expectations

SUD Programs must give priority preference to treatment as follows:

- 1. Pregnant injecting drug users
- 2. Other pregnant substance users
- 3. Other injecting drug users
- 4. Office of Children Services engaged families
- 5. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment be admitted no later than 14 days after the request. If there is no slot available, it is recommended IVDUs be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide clients with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks
  of needle sharing, transmission to sexual partners and infants, methods
  of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to

HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals.

SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder.

SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services. SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc. **Target Population** Adolescents under the 1115 SUD waiver are identified as age 12-17 and adults 18 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan. Staff Providers qualified to be reimbursed for eligible services provided to eligible Qualifications service recipients include: Licensed physicians Licensed physician assistants Licensed advanced nurse practitioners Licensed registered nurses supervised by a physician or advanced nurse practitioner Licensed practical nurses supervised by a physician or advanced nurse practitioner Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician) **Substance Use Disorder Counselors** Certified Medical Assistants/Certified Nursing Assistance **Behavioral Health Clinical Associates Behavioral Health Aides Peer Support Specialist** All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer Support Specialist Services may be provided in outpatient. **Service Location** The following Place of Service codes are allowed for IOP services: 05-Indian Health Service Free-standing Facility 06-Indian Health Service Provider-based Facility 07-Tribal 638 Free-standing Facility 08-Tribal 638 Provider-based Facility 11-Office 26-Military Treatment Center 49-Independent Clinic

	50-Federally Qualified Health Center
	52-Partial Hospitalization Program
	53-Community Mental Health Center
	57-Non-residential Substance Abuse Treatment Center
	71-State or local Public Health Clinic
	72-Rural Health Clinic
Service	Group -28 units per week minimums; max 304 units per SFY-Adult
Frequency/Limits	Individual -8 units per week minimums; max 128 units per SFY-Adult
i requestion, entitles	Group -16 units per week minimums; max 304 units per SFY-Adolescent
	Individual -8 units per week minimums; max 128 units per SFY-Adolescent
	maividual o units per week minimums, max 120 units per 511 Adolescent
	Combine with Telehealth units at which point a service re-authorization is
	required.
	required.
Service	No
Authorization	NO .
Service	Delivery of intensive outpatient services must be documented in a progress note
Documentation	in accordance with 7 AAC 135.130.
Documentation	in accordance with 7 AAC 155.150.
Relationship to	Providers may administer pharmacological treatment in conjunction with the
Other	outpatient substance use disorder treatment services in (a) of this section if the
Services	pharmacological treatment is provided by an individual listed in 7 AAC
Set vices	135.010(b)(2).
Service Code	H0015 V1-Individual
Service Code	H0015 V1 GT —Telehealth Individual
	H0015 V1 HQ-Group
11 1 1 1	H0015 V1 GT HQ-Telehealth Individual
Unit Value	per 15 minute
Payment Rate	\$29.61-Individual
	\$7.77-Group
Additional	Programs may employ a multidisciplinary team of professionals to work in their
	IOP programs; however, clinical services must be facilitated by a QAP. Peer
Information	Tion programs, nowever, clinical services must be facilitated by a QAP. Peer

# ASAM Level 2.5 SUD Partial Hospitalization Program – Adolescents

Service Name/	SUD Partial Hospitalization Program – Adolescents (PHP-Adolescents )
Abbreviation	8 2
7 AA 138.250	
Effective Date and	Eff. 7/1/2019
Revision History	Revision: 10/07/2019
Service Definition/	Adolescents under the 1115 SUD waiver are identified as age 12-17.
Description	Partial hospitalization (PHP) is an outpatient program which provides
	clinically intensive treatment services, combined with educational
	services, for twenty minimum of (20) hours a week during the day
	hours. A day of PHP is defined as five (5) hours of treatment.

PHPs have the capacity to:

- Address major lifestyle, attitudinal, & behavioral issues which impair the adolescent's ability to cope with major life tasks without the addictive use of alcohol and/or other drugs
- Treat the adolescent with substantial medical and psychiatric problems.

PHP services are designed for the diagnosis or active treatment of a substance use disorder (SUD) for adolescents presenting with:

- Biomedical conditions and problems severe enough to distract from recovery efforts but not sufficient to interfere with treatment; and
- Emotional, behavioral, or cognitive conditions and complications that affect the individual's level of functioning, stability, and degree of impairment; and
- A need for repeated, structured, clinically directed motivational interventions, or at high risk of relapse, or an unsupportive recovery environment.

PHP requires a minimum of 20 hours of treatment/week, 5 hours of treatment/day, and programs should provide or coordinate with the school system in order to assess and meet the adolescent's patients educational needs (as applicable). Programs are encouraged to tailor times of service to meet the needs of their unique community to include the minimum of 20 hours of treatment/week 5 hours of treatment/day. Required weekly program schedule hours include a combination of:

- Individual therapy/week
- Group therapy/week
- Family therapy/week
- Case management/week
- Educational instruction/week (during regular school year)
- Recreational therapy/week
- Medication services/week
- Community and recovery support services/week
- Random drug screening
- Crisis intervention services as needed
- Occupational therapy services as needed

### **Contraindicated Services**

- Intensive Outpatient Program
- Clinically Managed Residential Withdrawal Management-3.2
- Medically Monitored Inpatient Withdrawal Management-3.7
- Medically Managed Intensive Inpatient Withdrawal Management-4.0
- Medically Monitored Intensive Inpatient Services-3.7
- Medically Managed Intensive Inpatient Services-4.0
- Community Recovery Support Services

- Ambulatory Withdrawal Management
- Clinically Managed Low Intensity Residential-3.1
- Clinically Managed High Intensity Residential Treatment-3.3 (Population Specific)
- Clinically Managed High Intensity Residential-3.5
- Clinically Managed Medium Intensity Residential-3.5 (Adolescent)

## Service Requirements/ Expectations

Placement in a partial hospital program (PHP) is a clinical decision that can be made only by a clinician thoroughly knowledgeable about the individual's illness, history, environment, and support system. PHP may be used to shorten the length of stay of residential/inpatient care or serve as a transition from residential/inpatient to outpatient settings or to allow some individuals to avoid residential/inpatient care altogether.

SUD Programs must give priority preference to treatment as follows:

- 6. Pregnant injecting drug users
- 7. Other pregnant substance users
- 8. Other injecting drug users
- 9. Office of Children Services engaged families
- 10. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment be admitted no later than 14 days after the request. If there is no slot available, it is recommended said persons be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot is available, it is recommended SUD Programs provide clients with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum

disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals.

SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder.

SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services.

SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc.

# Target Population

Adolescents 12-17 with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan.

SUD PHP services are specifically designed for individuals who do not meet an inpatient level of care, but still require intense monitoring to maintain the individual's level of functioning and prevent relapse or residential/inpatient services.

Adolescents appropriate for this level of care:

- Have manageable biomedical conditions/problems,
- Have mild to moderate emotional/behavioral/or cognitive conditions & complications,
- Have poor engagement in treatment,
- Are at high risk for relapse, and
- Have an unsupportive recovery environment & therefore require repeated, structured, clinically directed motivational interventions.

PHP may be the initial level of care, a "step-up" from Level 1 outpatient, or a "step-down" from Level 3 residential services. Adolescents admitted to this level of care meet the requirements of IOP, but warrant near-daily monitoring or management and more clinically intensive services.

### Staff Qualifications

Staff should be knowledgeable about adolescent development and experienced in engaging and working with adolescents.

PHPs must be staffed by an interdisciplinary team of qualified professionals, which may include any of the following:

- Licensed physicians
- Licensed physician assistants
- Licensed advanced nurse practitioners
- Licensed registered nurses supervised by a physician or advanced nurse practitioner
- Licensed practical nurses supervised by a physician or advanced nurse practitioner
- Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician)
- Substance Use Disorder Counselors
- Certified Medical Assistants/Certified Nursing Assistance
- Behavioral Health Clinical Associates
- Behavioral Health Aides
- Peer Support Specialist

All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer.
Services may be provided in outpatient.
The following Place of Service codes are allowed for IOP services:
05-Indian Health Service Free-standing Facility
06-Indian Health Service Provider-based Facility
07-Tribal 638 Free-standing Facility
08-Tribal 638 Provider-based Facility
11-Office
26-Military Treatment Center
49-Independent Clinic
50-Federally Qualified Health Center
52-Partial Hospitalization Program
53-Community Mental Health Center
57-Non-residential Substance Abuse Treatment Center
71-State or local Public Health Clinic
72-Rural Health Clinic
Telehealth is not allowed for PHP.
Medicaid reimburses PHP for a maximum of 35 hours/week per
beneficiary for a maximum of twenty-one (21) days SFY at which point
a service re-authorization is required. The minimum daily limit for PHP
is five (5) hours. Medicaid will not reimburse for hospital-based PHPs.
No
Delivery of SUD partial hospitalization services must be documented in
a progress note in accordance with 7 AAC 135.130.
a progress note in accordance with 7 AAC 155.150.
Providers may administer pharmacological treatment in conjunction
with the outpatient substance use disorder treatment services in (a) of
this section if the pharmacological treatment is provided by an
individual listed in 7 AAC 135.010(b)(2).
H0035 V1
1 day = 1 unit
\$500.00
Programs may employ a multidisciplinary team of professionals to
work in their PHP programs; however, at least one clinical service per
day must be facilitated by a mental health professional or above to be
eligible to draw down the daily rate. Additionally, providers may bill
and be reimbursed for completed days of service which met the
minimum per day requirement even if a recipient discharges from
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# **ASAM Level 2.5 SUD Partial Hospitalization Program - Adults**

Service Name/	ASAM Level 2.5 Partial hospitalization (PHP - Adult)
Abbreviation	ASAM ECVEL 2.3 Fartial hospitalization (FTH Addit)
7 AAC 138.250	
Effective Date and	Eff. 7/1/2019
Revision History	Revision: 10/07/2019
Service Definition/	Outpatient program which provides clinically intensive treatment
Description	services for twenty (20) hours a week during weekdays or on
	weekends. A day of PHP is defined as five (5) hours of treatment.
	PHPs have the capacity to:
	Address major lifestyle, attitudinal, & behavioral issues which
	impair the adult's ability to cope with major life tasks without
	the addictive use of alcohol and/or other drugs,
	<ul> <li>Treat adults with substantial medical and psychiatric</li> </ul>
	problems.
	'
	PHP services are designed for the diagnosis or active treatment of a
	substance use disorder (SUD) for adults presenting with:
	Biomedical conditions and problems severe enough to distract
	from recovery efforts but not sufficient to interfere with
	treatment; and
	<ul> <li>Emotional, behavioral, or cognitive conditions and</li> </ul>
	complications that affect the individual's level of functioning,
	stability, and degree of impairment; and
	A need for repeated, structured, clinically directed
	motivational interventions, or at imminent risk of relapse, or
	an unsupportive recovery environment.
	For some individuals the south like of south be enterined as a second
	For some individuals, the availability of partial hospitalization may
	shorten the length of stay of residential/inpatient care or serve as a
	transition from residential/inpatient to outpatient settings. PHP may also allow some individuals to avoid residential/inpatient care
	altogether. Placement in a partial hospital program is a clinical
	decision that can be made only by a clinician thoroughly
	knowledgeable about the individual's illness, history, environment,
	and support system.
Contraindicated Services	Intensive Outpatient Program
	Clinically Managed Residential Withdrawal Management-3.2
	Medically Monitored Inpatient Withdrawal Management-3.7
	Medically Managed Intensive Inpatient
	WithdrawalManagement-4.0
	Medically Monitored Intensive Inpatient Services-3.7
	Medically Managed Intensive Inpatient Services-4.0
	Community Recovery Support Services
	Ambulatory Withdrawal Management
	Clinically Managed Low Intensity Residential-3.1
	Clinically Managed Low Intensity Residential-3.1     Clinically Managed High Intensity Residential Treatment-3.3
	Chinically Ivianageu High intensity Residential Treatment-3.3

### (Population Specific)

- Clinically Managed High Intensity Residential-3.5
- Clinically Managed Medium Intensity Residential-3.5 (Adolescent)

## Service Requirements/ Expectations

PHP requires 20 hours/week & 5 hours/day. Programs are encouraged to tailor times of service to meet the needs of their unique community to include the minimum of 20 hours of treatment/week 5 hours of treatment/day. Required weekly program schedule hours include a combination of:

Required services are individual therapy, group therapy, family therapy, medication services, case management, and community and recovery support services. Random drug screening will also occur and crisis intervention services are to be provided as needed. The weekly program schedule may include the following required service:

- Individual therapy/week
- Group therapy/week
- Family therapy/week
- Case management/week
- Medication services/week
- Community and recovery support services/week
- Random drug screening
- Crisis intervention services as needed.

The Department is finalizing evidence-based practices (EBPs) to be used for Adult PHP. The Department will establish an EBP monitoring mechanism to specify requirements for application, review, approval, and monitoring of implementation fidelity for all EBP used for Waiver services.

SUD Programs must give priority preference to treatment as follows:

- 11. Pregnant injecting drug users
- 12. Other pregnant substance users
- 13. Other injecting drug users
- 14. Office of Children Services engaged families
- 15. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment be admitted no later than 14 days after the request. If there is no slot available, it is recommended

said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency.

Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals.

SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder.

SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services.

SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc.

**Target Population** 

Adults with a substance use disorder who do not meet an inpatient level of care, but still require intense monitoring to maintain the

	individual's level of functioning and prevent relapse or
	residential/inpatient services.
	Adults assessed for this family form
	Adults appropriate for this level of care:
	Have manageable biomedical conditions/problems,
	Have mild to moderate emotional/behavioral/or cognitive
	conditions & complications
	Have poor engagement in treatment,
	Are at high risk for relapse, and
1	Have an unsupportive recovery environment & therefore
	require repeated, structured, clinically directed motivational
	interventions.
	PHP may be the initial level of care, a "step-up" from Level 2.1
	outpatient, or a "step-down" from Level 3 residential services. Adults
	admitted to this level of care meet the requirements of IOP, but
	warrant near-daily monitoring or management and more clinically
	intensive services.
Staff Qualifications	PHPs must be staffed by an interdisciplinary team of qualified
	professionals, which may include any of the following:
	Licensed physicians
	Licensed physician assistants
1	Licensed advanced nurse practitioners
1	<ul> <li>Licensed registered nurses supervised by a physician or</li> </ul>
1	advanced nurse practitioner
	<ul> <li>Licensed practical nurses supervised by a physician or</li> </ul>
	advanced nurse practitioner
	Mental health professional clinicians (AK Medicaid provider
	type including licensed clinical social workers, licensed
1	marriage and family therapists, licensed master's social
	workers, licensed clinical psychologists, licensed psychological
	associates, licensed professional counselors, unlicensed
1	master's or above clinician)
	Substance Use Disorder Counselors
	<ul> <li>Certified Medical Assistants/Certified Nursing Assistance</li> </ul>
	Behavioral Health Clinical Associates
	Behavioral Health Aides
	Peer Support Specialist
	All identified provider types listed above must be enrolled in Medicaid
	with a specialty as a Qualified Addiction Professional or Certified Peer.
Service Location	Outpatient settings only (e.g., treatment provider location or private
	practice location). No inpatient or residential settings allowed.
	Telehealth is not allowed.
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Service Frequency/Limits	Medicaid reimburses PHP for a maximum of 35 hours/week per beneficiary for a maximum of twenty-one (21) days/ per SFY, at which point a service re-authorization will be required. The minimum daily limit for PHP is five (5) hours. Medicaid will not reimburse for hospital-based PHPs.
Service Authorization	No
Service Documentation	Delivery of SUD partial hospitalization services must be documented in a progress note in accordance with 7 AAC 135.130.
Relationship to Other	An integrated comprehensive clinical assessment conducted by a
Services	Qualified Addiction Professional is required.
Service Code and	H0035 V1
Code Set Description	
Unit Value	1 day = 1 unit
Payment Rate	\$500.00
Additional Information	Programs may employ a multidisciplinary team of professionals to work in their PHP programs; however, at least one clinical service per day must be facilitated by a mental health professional or above to be eligible to draw down the daily rate. Additionally, providers may bill and be reimbursed for completed days of service which met the minimum per day requirement even if a recipient discharges from treatment against medical advice.

II. Inpatient Substance Use Disorder Treatment Services

Service Name/	ASAM Level 3.1 Clinically Managed Low-Intensity Residential Services
Abbreviation	– Adolescents and Adults
7 AAC 138.300	
7 AAC 70.120 (a-f)	
Effective Date and	Eff. 7/1/2019
Revision History	Revision: 10/07/2019
Service Definition/ Description	The primary goal of Level 3.1 is to focus on a structured recovery environment that provides sufficient stability. Support while seeking education and/or employment is an essential feature of these SUD Programs. There is a heavy focus on ASAM Dimensions 5 and 6.
	Level 3.1 includes a minimum of five hours of treatment services per week.
	Level 3.1 may also apply to the final phase of a 3.5 residential program, where individuals in a residential or Intensive Therapeutic Community Program need reduced hours of clinical services and increased hours of employment seeking, exploring housing options and other community reintegration efforts.
Contraindicated Services	Intensive Outpatient Program
	SUD Partial Hospitalization
	Community Recovery Support Services

- Clinically Managed Residential Withdrawal Management-3.2
- Medically Monitored Inpatient Withdrawal Management-3.7
- Medically Managed Intensive Inpatient Withdrawal Management-4.0
- Medically Monitored Intensive Inpatient Services-3.7
- Medically Managed Intensive Inpatient Services-4.0
- Clinically Managed High Intensity Residential Treatment-3.3 (Population Specific)
- Clinically Managed High Intensity Residential-3.5
- Clinically Managed Medium Intensity Residential-3.5 (Adolescent)

## Service Requirements/ Expectations

All inpatient residential substance use disorder treatment services must be delivered during regular business hours according to the requirements of this section. Additionally, appropriate inpatient residential SUD treatment services must also be delivered during evening hours and on weekends and holidays.

SUD Programs must give priority preference to treatment as follows:

- 16. Pregnant injecting drug users
- 17. Other pregnant substance users
- 18. Other injecting drug users
- 19. Office of Children Services engaged families
- 20. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment be admitted no later than 14 days after the request. If there is no slot available, then it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

It is recommended if no slot is available SUD Programs provide clients with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders. SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals. SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder. SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services. SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc. **Target Population** Adolescents ages 12 – 17 and adults ages 18 or older with SUD diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan. Staff Qualifications Providers qualified to be reimbursed for eligible services provided to eligible service recipients include: Licensed physicians Licensed physician assistants Licensed advanced nurse practitioners Licensed registered nurses supervised by a physician or advanced nurse practitioner Licensed practical nurses supervised by a physician or advanced nurse practitioner Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological

	<ul> <li>associates, licensed professional counselors, unlicensed master's or above clinician)</li> <li>Substance Use Disorder Counselors</li> <li>Certified Medical Assistants/Certified Nursing Assistance</li> <li>Behavioral Health Clinical Associates</li> <li>Behavioral Health Aides</li> <li>Peer Support Specialist</li> </ul>
	All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer
Service Location	55-Residential Substance Abuse Treatment Facility
Service Frequency/Limits	Providers are exempt from the requirements of the Medicaid Institutions for Mental Diseases (IMD) exclusion under Section 1905(a) (B) of the Social Security Act.  5 hours minimum, 30 Units per SFY with Service Authorization bypass
	at which point a service re-authorization is required.
Service Authorization	Yes, after 1 <sup>st</sup> 30 days
Service Documentation	Delivery of inpatient substance use disorder treatment must be documented in a progress note in accordance with 7 AAC 135.130.
Service Code and	H2036 V1 HA-Adolescents
Code Set Description	H2036 V1 HF-Adult
Payment Rate	\$400.83-Adult \$348.39-Adolescent
Additional Information	Programs may employ a multidisciplinary team of professionals to work in their level 3.1 residential program(s); however, at least one clinical service per day must be facilitated by a QAP to be eligible to draw down the daily rate. Peer certification/designation alone does not meet the minimum requirement.

Service Name/ Abbreviation 7 AAC 138.300 7 AAC 70.120 (a-f)	ASAM Level 3.3 Clinically Managed High -Intensity Residential Services (Population Specific)
Effective Date and Revision History	Eff. 8/19/2019 Revision: 10/07/2019
Service Definition/ Description	The primary goal of Level 3.3 is to focus on a structured recovery environment that provides sufficient stability. Support while seeking education and/or employment is an essential feature of these SUD Programs. There is a heavy focus on ASAM Dimensions 5 and 6.
	Level 3.3 includes a minimum of twenty hours of clinical treatment services per week.
	Level 3.1 may also apply to the final phase of a 3.5 residential program, where individuals in a residential or Intensive Therapeutic Community Program need reduced hours of clinical services and increased hours of employment seeking, exploring housing options and other community reintegration efforts.
Contraindicated Services	<ul> <li>Intensive Outpatient Program</li> <li>SUD Partial Hospitalization</li> <li>Community Recovery Support Services</li> <li>Clinically Managed Residential Withdrawal Management-3.2</li> <li>Medically Monitored Inpatient Withdrawal Management-3.7</li> <li>Medically Managed Intensive Inpatient Withdrawal Management-4.0</li> <li>Medically Monitored Intensive Inpatient Services-3.7</li> <li>Medically Managed Intensive Inpatient Services-4.0</li> <li>Clinically Managed Medium Intensity Residential Treatment-3.5 (Adolescent)</li> </ul>
Service Requirements/ Expectations	All inpatient residential substance use disorder treatment services must be delivered during regular business hours according to the requirements of this section. Additionally, appropriate inpatient residential SUD treatment services must also be delivered during evening hours and on weekends and holidays.  SUD Programs must give priority preference to treatment as follows:  21. Pregnant injecting drug users  22. Other pregnant substance users  23. Other injecting drug users  24. Office of Children Services engaged families  25. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment be admitted no later than 14 days after the request. If there is no slot available, it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals.

SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder.

SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services.

	SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc.
Target Population	Adults ages 18 or older with SUD diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan.
Staff Qualifications	Providers qualified to be reimbursed for eligible services provided to eligible service recipients include:  Licensed physicians  Licensed physician assistants  Licensed advanced nurse practitioners  Licensed registered nurses supervised by a physician or advanced nurse practitioner  Licensed practical nurses supervised by a physician or advanced nurse practitioner  Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician)  Substance Use Disorder Counselors  Certified Medical Assistants/Certified Nursing Assistance  Behavioral Health Clinical Associates  Behavioral Health Aides  Peer Support Specialist  All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer
Service Location	55-Residential Substance Abuse Treatment Facility  Providers are exempt from the requirements of the Medicaid Institutions for Mental Diseases (IMD) exclusion under Section 1905(a) (B) of the Social Security Act.
Service Frequency/Limits	30 Units per SFY with Service Authorization bypass at which point a service re-authorization is required.
Service Authorization	Yes, after 1 <sup>st</sup> 30 days
Service Documentation	Delivery of inpatient substance use disorder treatment must be documented in a progress note in accordance with 7 AAC 135.130.
Service Code and Code Set Description	H0047 V2

Payment Rate	\$615.94-Adult
Additional Information	Programs may employ a multidisciplinary team of professionals to work in their Clinically Managed High Intensity Residential Program(s); however, at least one clinical service per day must be facilitated by a mental health professional to be eligible to draw down the daily rate.

Service Name/ Abbreviation	ASAM Level 3.5 Clinically Managed High -Intensity Residential Services Adult
7 AAC 138.300	Addit
7 AAC 138.300 7 AAC 70.120 (a-f)	
7 AAC 70.120 (a 1)	
Effective Date and	Eff. 8/19/2019
Revision History	Revision: 10/07/2019
Service Definition/ Description	The primary goal of Level 3.5 is to focus on a structured recovery environment that provides sufficient stability. Support while seeking education and/or employment is an essential feature of these SUD Programs. There is a heavy focus on ASAM Dimensions 5 and 6.
	Level 3.5 includes a minimum of twenty hours of clinical treatment services per week.
	Level 3.1 may also apply to the final phase of a 3.5 residential program, where individuals in a residential or Intensive Therapeutic Community Program need reduced hours of clinical services and increased hours of employment seeking, exploring housing options and other community reintegration efforts.
Contraindicated Services	Intensive Outpatient Program
	<ul> <li>SUD Partial Hospitalization</li> </ul>
	Community Recovery Support Services
	<ul> <li>Clinically Managed Residential Withdrawal Management-3.2</li> <li>Medically Monitored Inpatient Withdrawal Management-3.7</li> <li>Medically Managed Intensive Inpatient Withdrawal Management-4.0</li> </ul>
	Medically Monitored Intensive Inpatient Services-3.7
	Medically Managed Intensive Inpatient Services-4.0
	<ul> <li>Clinically Managed High Intensity Residential Treatment-3.3 (Population Specific)</li> </ul>
	<ul> <li>Clinically Managed Medium Intensity Residential Treatment-</li> <li>3.5 (Adolescent)</li> </ul>
Service Requirements/ Expectations	All inpatient residential substance use disorder treatment services must be delivered during regular business hours according to the requirements of this section. Additionally, appropriate inpatient residential SUD treatment services must also be delivered during evening hours and on weekends and holidays.

SUD Programs must give priority preference to treatment as follows:

- 26. Pregnant injecting drug users
- 27. Other pregnant substance users
- 28. Other injecting drug users
- 29. Office of Children Services engaged families
- 30. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment be admitted no later than 14 days after the request. If there is no slot available, it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals.

SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders.

	Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder.  SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their
	enrollment, and must bill Medicaid for qualifying services.  SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc.
Target Population	Adults ages 18 or older with SUD diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan.
Staff Qualifications	Providers qualified to be reimbursed for eligible services provided to eligible service recipients include:  Licensed physicians  Licensed physician assistants  Licensed advanced nurse practitioners  Licensed registered nurses supervised by a physician or advanced nurse practitioner  Licensed practical nurses supervised by a physician or advanced nurse practitioner  Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician)  Substance Use Disorder Counselors  Certified Medical Assistants/Certified Nursing Assistance  Behavioral Health Clinical Associates  Behavioral Health Aides  Peer Support Specialist  All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer
Service Location	55-Residential Substance Abuse Treatment Facility  Providers are exempt from the requirements of the Medicaid Institutions for Mental Diseases (IMD) exclusion under Section 1905(a)
	(B) of the Social Security Act.

Service Frequency/Limits	30 Units per SFY with Service Authorization bypass at which point a service re-authorization is required.
Service Authorization	Yes, after 1 <sup>st</sup> 30 days
Service Documentation	Delivery of inpatient substance use disorder treatment must be
	documented in a progress note in accordance with 7 AAC 135.130.
Service Code and	H0047 V1 TG-Adult
Code Set Description	
Payment Rate	\$455.29-Adult
Additional Information	Programs may employ a multidisciplinary team of professionals to work in their Clinically Managed High Intensity Residential Program(s); however, at least one clinical service per day must be facilitated by a QAP to be eligible to draw down the daily rate.

Service Name/ Abbreviation 7 AAC 138.300 7 AAC 70.120 (a-f)	ASAM Level 3.5 Clinically Managed Medium -Intensity Residential Services Adolescents
Effective Date and Revision History	Eff. 8/19/2019 Revision: 10/07/2019
Service Definition/ Description	Adolescents under the 1115 SUD waiver are identified as age 12-17.  The primary goal of Level 3.5 is to focus on a structured recovery environment that provides sufficient stability. Support while seeking education and/or employment is an essential feature of these SUD Programs. There is a heavy focus on ASAM Dimensions 5 and 6.
	Level 3.5 includes a minimum of twenty hours of treatment services per week.
	Level 3.1 may also apply to the final phase of a 3.5 residential program, where individuals in a residential or Intensive Therapeutic Community Program need reduced hours of clinical services and increased hours of employment seeking, exploring housing options and other community reintegration efforts.
Contraindicated Services	<ul> <li>Intensive Outpatient Program</li> <li>SUD Partial Hospitalization</li> <li>Community Recovery Support Services</li> <li>Clinically Managed Residential Withdrawal Management-3.2</li> <li>Medically Monitored Inpatient Withdrawal Management-3.7</li> <li>Medically Managed Intensive Inpatient Withdrawal Management-4.0</li> </ul>

- Medically Monitored Intensive Inpatient Services-3.7
- Medically Managed Intensive Inpatient Services-4.0
- Clinically Managed High Intensity Residential Treatment-3.3 (Population Specific)
- Clinically Managed High Intensity Residential Treatment-3.5

### Service Requirements/ Expectations

All inpatient residential substance use disorder treatment services must be delivered during regular business hours according to the requirements of this section. Additionally, appropriate inpatient residential SUD treatment services must also be delivered during evening hours and on weekends and holidays.

SUD Programs must give priority preference to treatment as follows:

- 31. Pregnant injecting drug users
- 32. Other pregnant substance users
- 33. Other injecting drug users
- 34. Office of Children Services engaged families
- 35. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment be admitted no later than 14 days after the request. If there is no slot available, it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to

HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders. SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals. SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder. SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services. SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc. **Target Population** Adolescents ages 12-17 with SUD diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan. Staff Qualifications Providers qualified to be reimbursed for eligible services provided to eligible service recipients include: Licensed physicians Licensed physician assistants Licensed advanced nurse practitioners Licensed registered nurses supervised by a physician or advanced nurse practitioner Licensed practical nurses supervised by a physician or advanced nurse practitioner Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician) **Substance Use Disorder Counselors** Certified Medical Assistants/Certified Nursing Assistance **Behavioral Health Clinical Associates Behavioral Health Aides** 

	Peer Support Specialist
	All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer
Service Location	55-Residential Substance Abuse Treatment Facility
	Providers are exempt from the requirements of the Medicaid Institutions for Mental Diseases (IMD) exclusion under Section 1905(a) (B) of the Social Security Act.
Service Frequency/Limits	30 Units per SFY with Service Authorization bypass at which point a service re-authorization is required.
Service Authorization	Yes, after 1 <sup>st</sup> 30 days
Service Documentation	Delivery of inpatient substance use disorder treatment must be documented in a progress note in accordance with 7 AAC 135.130.
Service Code and Code Set Description	H0047 V1 TF HA-Adolescents
Payment Rate	\$498.62-Adolescent
Additional Information	Programs may employ a multidisciplinary team of professionals to work in their Clinically Managed Medium Intensity Residential Program(s); however, at least one clinical service per day must be facilitated by a substance use disorder counselor or above to be eligible to draw down the daily rate.

Service Name/	ASAM Level 3.7 Medically Monitored Intensive Inpatient Services –
Abbreviation	Adolescents and Adults
7 AAC 138.300	
Effective Date and	Eff. 7/1/2019
Revision History	Revision: 10/07/2019
Service Definition/ Description	Adolescents under the 1115 SUD waiver are identified as age 12-17.
Description	This level of care is appropriate for patients with biomedical, emotional, behavioral and/or cognitive conditions that require highly structured 24-hour services including direct evaluation, observation, and medically monitored addiction treatment. Medically monitored treatment is provided through a combination of direct patient contact, record review, team meetings and quality assurance programming.
	These services are differentiated from Level 4.0 in that the population served does not have conditions severe enough to warrant medically managed inpatient services or acute care in a general hospital where daily treatment decisions are managed by a physician.
	Level 3.7 is appropriate for adolescents with co-occurring psychiatric disorders or symptoms that hinder their ability to successfully engage in SUD treatment in other settings. Services in this program are meant to orient or re-orient patients to daily life structures outside of substance use.
	Component Services include:
	Individualized, person-centered assessment and medically- monitored treatment
	Addiction pharmacotherapy and medication services
	Appropriate drug screening
	<ul> <li>Cognitive behavioral and other substance-use disorder-focused therapies, reflecting a variety of treatment approaches, provided to the individual on an individual, group, or family basis</li> </ul>
	Daily medical and nursing services
	Counseling and clinical/medical monitoring
	Daily treatment services focused on managing the individual's acute symptoms
	Psychoeducation services
Contraindicated Services:	Intensive Outpatient Program

- SUD Partial Hospitalization
- Community Recovery Supports Services
- Clinically Managed Residential Withdrawal Management-3.2
- Medically Managed Intensive Inpatient Withdrawal Management-4.0
- Medically Monitored Intensive Inpatient Services-3.7
- Medically Managed Intensive Inpatient Services-4.0
- Ambulatory Withdrawal Management
- Clinically Managed Low Intensity Residential Treatment-3.1 (Adult/Adolescent)
- Clinically Managed High Intensity Residential Treatment-3.3 (Population Specific)
- Clinically Managed High Intensity Residential Treatment-3.5
- Clinically Managed Medium Intensity Residential Treatment-3.5 (Adolescent)

## Service Requirements/ Expectations

All inpatient residential substance use disorder treatment services in addition to being delivered during regular business hours must also be delivered according to the requirements of this section during evening hours and on weekends and holidays.

SUD Programs must give priority preference to treatment as follows:

- 36. Pregnant injecting drug users
- 37. Other pregnant substance users
- 38. Other injecting drug users
- 39. Office of Children Services engaged families
- 40. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment be admitted no later than 14 days after the request. If there is no slot available, it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

 Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual

partners and infants, methods of risk avoidance and reduction. Referral for HIV and TB testing and treatment. Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women. SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders. SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment Interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals. SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder. SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services. SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc. **Target Population** Adolescents 12 - 17 and adults ages 18 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan. Staff Qualifications Providers qualified to be reimbursed for eligible services provided to eligible service recipients include: Licensed physicians Licensed physician assistants Licensed advanced nurse practitioners Licensed registered nurses supervised by a physician or advanced nurse practitioner Licensed practical nurses supervised by a physician or

	<ul> <li>advanced nurse practitioner</li> <li>Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician)</li> <li>Substance Use Disorder Counselors</li> <li>Certified Medical Assistants/Certified Nursing Assistance</li> <li>Behavioral Health Clinical Associates</li> <li>Behavioral Health Aides</li> <li>Peer Support Specialist</li> <li>All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or</li> </ul>
	Certified Peer.
Service Location	Services are provided in freestanding, appropriately licensed facilities located in a community setting or a specialty unit in a general or psychiatric hospital or other licensed health care facility:  AK licensed general acute care hospitals Specialized psychiatric hospitals Alaska Native tribal facilities Tribal Hospitals
	<ul> <li>Other Tribal Facilities</li> <li>Critical Access Hospitals</li> <li>Freestanding withdrawal management center</li> </ul>
	Providers are exempt from the requirements of the Medicaid Institutions for Mental Diseases (IMD) exclusion under Section 1905(a) (B) of the Social Security Act.
Service Frequency/Limits	7 units per SFY with service authorization bypass; service authorization to extend limit required.
Service Authorization	No
Service Documentation	Delivery of medically monitored high intensity inpatient services must be documented in a progress note in accordance with 7 AAC 135.130.
Relationship to Other Services	ASAM Level 3.7 Medically Monitored High-Intensity Inpatient Services – Adolescent and Adult services may be provided concurrently with any service listed in standards manual not otherwise contraindicated.
Service Code and Code Set Description	H0009 V1 TF
Unit Value	Daily
Payment Rate	\$900
Additional Information	Programs may employ a multidisciplinary team of professionals to work in their Medically Monitored High-Intensity Program(s); however, at least one clinical service per day must be facilitated by a

mental health professional or above to be eligible to draw down the
daily rate.

Service Name/	ASAM Level 4.0 Medically Managed Intensive Inpatient Services –
Abbreviation	Adolescents and Adults
7 AAC 138.300	
Effective Date and	Eff. 7/1/2019
Revision History	Revision: 10/07/2019
Service Definition/	Adolescents under the 1115 SUD waiver are identified as age 12-17.
Description	This level of care is appropriate for patients with biomedical, emotional, behavioral and/or cognitive conditions severe enough to warrant primary medical care and nursing care. Services offered at this level differ from Level 3.7 services in that patients receive daily direct care from a licensed physician who is responsible for making shared treatment decisions with the patient (i.e. medically managed care). These services are provided in a hospital-based setting and include medically directed evaluation and treatment.
	Component Services include:
	<ul> <li>Individualized, person-centered assessment and medically directed &amp; managed treatment</li> </ul>
	Addiction pharmacotherapy and medication services
	Appropriate drug screening
	<ul> <li>Cognitive behavioral and other substance-use disorder- focused therapies, reflecting a variety of treatment approaches, provided to the individual on an individual, group, or family basis</li> </ul>
	Daily medical and nursing services
	Counseling and clinical/medical monitoring
	<ul> <li>Daily treatment services focused on managing the individual's acute symptoms</li> </ul>
	<ul> <li>Psychoeducation services</li> </ul>
Contraindicated Service	<ul> <li>Intensive Outpatient Program</li> <li>Community Recovery Support Services</li> <li>SUD Partial Hospitalization</li> <li>Clinically Managed Residential Withdrawal Management-3.2</li> <li>Medically Monitored Inpatient Withdrawal Management-3.7</li> <li>Medically Managed Intensive Inpatient Withdrawal Management-4.0</li> <li>Medically Monitored Intensive Inpatient Services-3.7</li> </ul>
	Ambulatory Withdrawal Management

- Clinically Managed Low Intensity Residential Treatment-3.1 (Adult/Adolescent)
- Clinically Managed High Intensity Residential Treatment-3.3 (Population Specific)
   Clinically Managed High Intensity Residential Treatment-3.5 Adult

Clinically Managed Medium Intensity Residential Treatment-3.5 (Adolescent)

## Service Requirements/ Expectations

SUD Programs must give priority preference to treatment as follows:

- 41. Pregnant injecting drug users
- 42. Other pregnant substance users
- 43. Other injecting drug users
- 44. Office of Children Services engaged families
- 45. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment be admitted no later than 14 days after the request. If there is no slot available, it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals.

SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder.

SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services.

SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc.

#### **Target Population**

Adolescents ages 12-17 and adults ages 18 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan.

#### Staff Qualifications

Providers qualified to be reimbursed for eligible services provided to eligible service recipients include:

- Licensed physicians
- Licensed physician assistants
- Licensed advanced nurse practitioners
- Licensed registered nurses supervised by a physician or advanced nurse practitioner
- Licensed practical nurses supervised by a physician or advanced nurse practitioner
- Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician)
- Substance Use Disorder Counselors
- Certified Medical Assistants/Certified Nursing Assistance
- Behavioral Health Clinical Associates
- Behavioral Health Aides
- Peer Support Specialist

	All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer.
Service Location	Services may be provided in an acute care general hospital, an acute psychiatric hospital, or a psychiatric unit within an acute care general hospital, or through a licensed addiction treatment specialty hospital.
	Providers are exempt from the requirements of the Medicaid Institutions for Mental Diseases (IMD) exclusion under Section 1905(a) (B) of the Social Security Act.
Service Frequency/Limits	7 units per SFY with service authorization bypass; service authorization required to extend limit.
Service Authorization	No
Service Documentation	Delivery of ASAM Level 4.0 Medically Managed Intensive Inpatient services must be documented in a progress note in accordance with 7 AAC 135.130.
Relationship to Other Services	ASAM Level 4.0 Medically Managed Intensive Inpatient Services – Adolescent and Adult services may be provided concurrently with any service listed in standards manual not otherwise contraindicated.
Service Code and Code Set Description	H0009 V1 TG
Unit Value	Daily
Payment Rate	\$1,500
Additional Information	Programs may employ a multidisciplinary team of professionals to work in their Medically Managed Intensive Inpatient Program(s); however, at least one clinical service per day must be facilitated by a mental health professional or above to be eligible to draw down the daily rate.

III. Alcohol and Drug Withdrawal Management Services

Withdrawar Management Services
ASAM Level WM 1: Ambulatory Withdrawal Management Without
Extended On-site Monitoring – Adolescents and Adults
Eff. 7/1/2019
Revision: 10/07/2019
Mild withdrawal
Adolescents under the 1115 SUD waiver are identified as age 12-17.
Intensive Outpatient Program
SUD Partial Hospitalization
Clinically Managed Residential Withdrawal Management-3.2
<ul> <li>Medically Monitored Inpatient Withdrawal Management-3.7</li> </ul>
Medically Managed Intensive Inpatient Withdrawal
Management-4.0
Medically Monitored Intensive Inpatient Services-3.7
Medically Managed Intensive Inpatient Services-4.0

## Clinically Managed Low Intensity Residential Treatment-3.1 (Adult/Adolescent)

- Clinically Managed High Intensity Residential Treatment-3.3 (Population Specific)
  - Clinically Managed High Intensity Residential Treatment-3.5 Adult
  - Clinically Managed Medium Intensity Residential Treatment-3.5 (Adolescent)

## Service Requirements/ Expectations

SUD Programs must give priority preference to treatment as follows:

- 46. Pregnant injecting drug users
- 47. Other pregnant substance users
- 48. Other injecting drug users
- 49. Office of Children Services engaged families
- 50. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment must be admitted no later than 14 days after the request. If there is no slot available, it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals. SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder. SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services. SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc. **Target Population** Adolescents and adults ages 12 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan. Staff Qualifications Providers qualified to be reimbursed for eligible services provided to eligible service recipients include: Licensed physicians Licensed physician assistants Licensed advanced nurse practitioners Licensed registered nurses supervised by a physician or advanced nurse practitioner Licensed practical nurses supervised by a physician or advanced nurse practitioner Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician) **Substance Use Disorder Counselors** Certified Medical Assistants/Certified Nursing Assistance **Behavioral Health Clinical Associates** Behavioral Health Aides **Peer Support Specialist** 

	All identified provider types listed above must be enrolled in Medicaid
	with a specialty as a Qualified Addiction Professional or Certified Peer
Service Location	Outpatient (e.g., treatment provider location, private practitioner
	location). No IP or residential settings allowed.
Service Frequency/Limits	320 units per SFY with service authorization bypass, service
	authorization required to extend limit.
	Combine with extended onsite.
Service Authorization	No
Service Documentation	Delivery of ASAM Level WM 1: Ambulatory Withdrawal Management
	Without Extended On-site Monitoring services must be documented in
	a progress note in accordance with 7 AAC 135.130.
Relationship to Other	ASAM Level WM 1: Ambulatory Withdrawal Management Without
Services	Extended On-site Monitoring – Adolescent and Adult services may be
	provided concurrently with any service listed in standards manual not otherwise contraindicated.
Service Code and	H0014 V1
Code Set Description	
Unit Value	Per 15 minute
Payment Rate	\$30.00
Additional Information	Programs may employ a multidisciplinary team of professionals to
	work in their Ambulatory Withdrawal Management Program(s);
	however, at least one clinical service per day must be facilitated by a
	medical professional with prescribing privileges or a nursing
	professional to be eligible to draw down the per 15 minute unit rate.

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Service Name/	ASAM 2-WM: Ambulatory Withdrawal Management Services with
Abbreviation	Extended On-site Monitoring – Adolescents and Adults
7 AAC 138.350	
Effective Date and	7/1/2019
Revision History	Revision: 10/07/2019
Service Definition/	Moderate withdrawal with all-day withdrawal management, support,
Description	and supervision; has supportive family or living situation at night.
	Adolescents under the 1115 SUD waiver are identified as age 12-17.
Contraindicated Service	Intensive Outpatient Program
	SUD Partial Hospitalization
	Clinically Managed Residential Withdrawal Management-3.2
	Medically Monitored Inpatient Withdrawal Management-3.7
	Medically Managed Intensive Inpatient Withdrawal
	Management-4.0
	Medically Monitored Intensive Inpatient Services-3.7
	Medically Managed Intensive Inpatient Services-4.0
	<ul> <li>Clinically Managed Low Intensity Residential Treatment-3.1</li> </ul>
	(Adult/Adolescent)
	Clinically Managed High Intensity Residential Treatment-3.3

(Population Specific)
Clinically Managed High Intensity Residential Treatment-3.5
(Adult/Adolescent)

## Service Requirements/ Expectations

SUD Programs must give priority preference to treatment as follows:

- 51. Pregnant injecting drug users
- 52. Other pregnant substance users
- 53. Other injecting drug users
- 54. Office of Children Services engaged families
- 55. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment be admitted no later than 14 days after the request. If there is no slot available, it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals.

SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders.  Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder.  SUD Programs must make every effort to determine Medicaid
eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services.
SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc.
Adolescents and adults ages 12 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan.
Providers qualified to be reimbursed for eligible services provided to eligible service recipients include:  Licensed physicians  Licensed physician assistants  Licensed advanced nurse practitioners  Licensed registered nurses supervised by a physician or advanced nurse practitioner  Licensed practical nurses supervised by a physician or advanced nurse practitioner  Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician)  Substance Use Disorder Counselors  Certified Medical Assistants/Certified Nursing Assistance  Behavioral Health Clinical Associates  Behavioral Health Aides  Peer Support Specialist  All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer
Outpatient (e.g., treatment provider location, private practitioner location). No IP or residential settings allowed.

Service Frequency/Limits	320 units per SFY with service authorization bypass, service authorization required to extend limit.
	Combined with without extended onsite.
Service Authorization	No
Service Documentation	Delivery of ASAM 2-WM: Ambulatory Withdrawal Management
	Services with Extended On-site Monitoring services must be
	documented in a progress note in accordance with 7 AAC 135.130.
Relationship to Other	ASAM 2-WM: Ambulatory Withdrawal Management Services with
Services	Extended On-site Monitoring services may be provided concurrently
	with any service listed in standards manual not otherwise
	contraindicated.
Service Code and	H0014 V1
Code Set Description	
Unit Value	Per 15 minute
Payment Rate	\$30.00
Additional Information	Programs may employ a multidisciplinary team of professionals to
	work in their Ambulatory Withdrawal Management with Extended On-
	Site Monitoring Program(s); however, at least one clinical service per
	day must be facilitated by a medical professional with prescribing
	privileges or nursing professional to be eligible to draw down the
	hourly rate.

Service Name/	ASAM Level 3.2 WM: Clinically Managed Residential Withdrawal
Abbreviation	Management
7 AAC 138.350	
Effective Date and	Eff. 7/1/2019
Revision History	Revision: 10/07/2019
Service Definition/	Moderate withdrawal but needs 24-hour support to complete
Description	withdrawal management and increase likelihood of continuing
	treatment or recovery.
Contraindicated Service	Intensive Outpatient Program
	SUD Partial Hospitalization
	<ul> <li>Community Recovery Supports Services</li> </ul>
	<ul> <li>Medically Monitored Inpatient Withdrawal Management-3.7</li> </ul>
	<ul> <li>Medically Managed Intensive Inpatient Withdrawal</li> </ul>
	Management-4.0
	Medically Monitored Intensive Inpatient Services-3.7
	<ul> <li>Medically Managed Intensive Inpatient Services-4.0</li> </ul>
	Ambulatory Withdrawal Management
	Clinically Managed Low Intensity Residential Treatment-3.1
	(Adult/Adolescent)
	Clinically Managed High Intensity Residential Treatment-3.3
	(Population Specific)

Clinically Managed High Intensity Residential Treatment-3.5
(Adult/Adolescent)
Clinically Managed Medium Intensity Residential Treatment-3.5 (Adolescent)

## Service Requirements/ Expectations

SUD Programs must give priority preference to treatment as follows:

- 56. Pregnant injecting drug users
- 57. Other pregnant substance users
- 58. Other injecting drug users
- 59. Office of Children Services engaged families
- 60. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment must be admitted no later than 14 days after the request. If there is no slot available, then it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals.

	SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder.  SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services.  SUD Programs may offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc.
Target Population	Adolescents ages 12 -17 and adults ages 18 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan.
Staff Qualifications	Providers qualified to be reimbursed for eligible services provided to eligible service recipients include:  Licensed physicians Licensed physician assistants Licensed advanced nurse practitioners Licensed registered nurses supervised by a physician or advanced nurse practitioner Licensed practical nurses supervised by a physician or advanced nurse practitioner Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician) Substance Use Disorder Counselors Behavioral Health Clinical Associates Behavioral Health Aides Certified Medical Assistants/Certified Nursing Assistance Peer Support Specialist  All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer
Service Location	55-Residential Substance Abuse Treatment Facility Residential Withdrawal Management Facilities

Free standing Appropriately Licensed ASAM 3.2 Withdrawal
Management Facilities
Providers are exempt from the requirements of the Medicaid
Institutions for Mental Diseases (IMD) exclusion under Section 1905(a)
(B) of the Social Security Act.
1 billable service per day, 7 units per SFY with service authorization
bypass, service authorization required to extend limit.
No
Delivery of ASAM Level 3.2 WM: Clinically Managed Residential
Withdrawal Management services must be documented in a progress
note in accordance with 7 AAC 135.130.
ASAM Level 3.2 WM: Clinically Managed Residential Withdrawal
Management services may be provided concurrently with any service
listed in standards manual not otherwise contraindicated.
H0010 V1
Daily
\$302.25
Programs may employ a multidisciplinary team of professionals to
work in their Clinically Managed Residential Withdrawal Management
Program(s); however, at least one clinical service per day must be
facilitated by a QAP to draw down the daily rate.

Service Name/ Abbreviation 7 AAC 138.350 Effective Date and Revision History Service Definition/	ASAM Level 3.7 WM Medically Monitored Inpatient Withdrawal Management  Eff. 7/1/2019 Revision: 10/07/2019 Severe withdrawal needing 24-hour nursing care and physician visits;
Description	unlikely to complete withdrawal management without medical monitoring.
Contraindicated Service	<ul> <li>Intensive Outpatient Program</li> <li>SUD Partial Hospitalization</li> <li>Community Recovery Supports Services</li> <li>Clinically Managed Residential Withdrawal Management-3.2</li> <li>Medically Managed Intensive Inpatient Withdrawal Management-4.0</li> <li>Medically Monitored Intensive Inpatient Services-3.7</li> <li>Medically Managed Intensive Inpatient Services-4.0</li> <li>Ambulatory Withdrawal Management</li> <li>Clinically Managed Low Intensity Residential Treatment-3.1(Adult/Adolescent)</li> <li>Clinically Managed High Intensity Residential Treatment-3.3 (Population Specific)</li> </ul>

- Clinically Managed High Intensity Residential Treatment-3.5 Adult
- Clinically Managed Medium Intensity Residential Treatment-3.5 (Adolescent)

## Service Requirements/ Expectations

SUD Programs must give priority preference to treatment as follows:

- 61. Pregnant injecting drug users
- 62. Other pregnant substance users
- 63. Other injecting drug users
- 64. Office of Children Services engaged families
- 65. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment must be admitted no later than 14 days after the request. If there is no slot available, then it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment

interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals. SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder. SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services. SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc. **Target Population** Adolescents and adults ages 12 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan. Staff Qualifications Providers qualified to be reimbursed for eligible services provided to eligible service recipients include: Licensed physicians Licensed physician assistants Licensed advanced nurse practitioners Licensed registered nurses supervised by a physician or advanced nurse practitioner Licensed practical nurses supervised by a physician or advanced nurse practitioner Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician) **Substance Use Disorder Counselors** Certified Medical Assistants/Certified Nursing Assistance **Behavioral Health Clinical Associates Behavioral Health Aides Peer Support Specialist** All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer

Service Location	Services are provided in freestanding, appropriately licensed facilities
Jet vice Location	located in a community setting or a specialty unit in a general or
	, , , ,
	psychiatric hospital or other licensed health care facility:
	AK licensed general acute care hospitals
	Specialized psychiatric hospitals
	Alaska Native tribal facilities
	Critical Access Hospitals
	Citizan Access mospicals
	Providers are exempt from the requirements of the Medicaid
	Institutions for Mental Diseases (IMD) exclusion under Section 1905(a)
	(B) of the Social Security Act.
Service Frequency/Limits	1 billable service per day, 7 units per SFY with service authorization
•	bypass, service authorization required to extend limit.
Service Authorization	No
Service Documentation	Delivery of ASAM Level 3.7 WM Medically Monitored Inpatient
	Withdrawal Management services must be documented in a progress
	note in accordance with 7 AAC 135.130.
Relationship to Other	ASAM Level 3.7 WM Medically Monitored Inpatient Withdrawal
Services	Management services may be provided concurrently with any service
	listed in standards manual not otherwise contraindicated.
Service Code and	H0010 V1 TG
Code Set Description	
Unit Value	Daily
Payment Rate	\$900
Additional Information	Programs may employ a multidisciplinary team of professionals to
	work in their Medically Monitored Inpatient Withdrawal Management
	program(s); however, at least one clinical service per day must be
	facilitated by a medical professional with prescribing privileges to be
	eligible to draw down the daily rate.
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Service Name/	ASAM 4.0 WM: Medically Managed Intensive Inpatient Withdrawal
Abbreviation	Management
7 AAC 138.350	
Effective Date and	Eff. 7/1/2019
Revision History	Revision: 10/07/2019
Service Definition/	Severe, unstable withdrawal and needs 24-hour nursing care and daily
Description	physician visits to modify withdrawal management regimen and
	manage medical instability.
Contraindicated Service	Intensive Outpatient Program
	SUD Partial Hospitalization
	Community Recovery Supports Services
	<ul> <li>Clinically Managed Residential Withdrawal Management-3.2</li> </ul>
	Medically Monitored Inpatient Withdrawal Management-3.7

- Medically Monitored Intensive Inpatient Services-3.7
- Medically Managed Intensive Inpatient Services-4.0
- Ambulatory Withdrawal Management
- Clinically Managed Low Intensity Residential Treatment-3.1 (Adult/Adolescent)
- Clinically Managed High Intensity Residential Treatment-3.3 (Population Specific) (Adult)
- Clinically Managed High Intensity Residential Treatment-3.5
   Adult
- Clinically Managed Medium Intensity Residential Treatment-3.5 (Adolescent)

#### Service Requirements/ Expectations

SUD Programs must give priority preference to treatment as follows:

- 66. Pregnant injecting drug users
- 67. Other pregnant substance users
- 68. Other injecting drug users
- 69. Office of Children Services engaged families
- 70. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment must be admitted no later than 14 days after the request. If there is no slot available, then it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must

have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders. SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals. SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder. SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services. SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc. **Target Population** Adolescents and adults ages 12 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan. Staff Qualifications Providers qualified to be reimbursed for eligible services provided to eligible service recipients include: Licensed physicians Licensed physician assistants Licensed advanced nurse practitioners Licensed registered nurses supervised by a physician or advanced nurse practitioner Licensed practical nurses supervised by a physician or advanced nurse practitioner Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician) **Substance Use Disorder Counselors** Certified Medical Assistants/Certified Nursing Assistance

	Behavioral Health Clinical Associates
	<ul><li>Behavioral Health Aides</li></ul>
	Peer Support Specialist
	All identified provider types listed above must be enrolled in Medicaid
	with a specialty as a Qualified Addiction Professional or Certified Peer
Service Location	Services are provided in freestanding, appropriately licensed facilities
	located in a community setting or a specialty unit in a general or
	psychiatric hospital or other licensed health care facility:
	AK licensed general acute care hospitals
	Specialized psychiatric hospitals
	Alaska Native tribal facilities
	Critical Access Hospitals
	Critical Access Hospitals
	Providers are exempt from the requirements of the Medicaid
	Institutions for Mental Diseases (IMD) exclusion under Section 1905(a)
	(B) of the Social Security Act.
Service Frequency/Limits	1 billable service per day, 7 units per SFY with service authorization
,,,	bypass, service authorization required to extend limit.
	7
Service Authorization	No
Service Documentation	Delivery of ASAM 4.0 WM: Medically Managed Intensive Inpatient
	Withdrawal Management services must be documented in a progress
	note in accordance with 7 AAC 135.130.
Relationship to Other	ASAM 4.0 WM: Medically Managed Intensive Inpatient Withdrawal
Services	Management support services may be provided concurrently with any
	service listed in standards manual not otherwise contraindicated.
Service Code and	H0011 V1
Code Set Description	
Unit Value	Daily
Payment Rate	\$1,500
Additional Information	Programs may employ a multidisciplinary team of professionals to
	work in their Medically Managed Intensive Inpatient Withdrawal
	Management program(s); however, at least one service per day must
	be facilitated by a medical professional with prescribing privileges to
	be eligible to draw down the daily rate.

IV. Community Recovery Support Services (CRSS)

IVI Community it	ecovery support services (chos)
Service Name/	Community Recovery Support Services (CRSS)
Abbreviation	
7 AAC 138.400	
Effective Date and	Eff. 7/1/2019
Revision History	Revision: 10/07/2019

## Service Definition/ Description

Provided as counseling and wrap-around support to prevent relapse and to promote recipient recovery. Includes:

- Assisting the recipient to build social, cognitive, or daily living skills;
- Educating and training the recipient's family on relapse prevention and substance use recovery;
- Assisting the recipient to obtain child care and child development support services;
- .
- · Facilitating level of care transitions;
- Prepare recipient for employment by conducting:
- Work readiness assessment;
- Basic employment skills training;
- Job matching;

Might include child development support services: Direct services that involve actions or skills relating to the care and health of a child or multiple children at a time. Services include linking the child and/or parents with supports, services, and resources that support healthy child development; identifying key developmental milestones (ages and stages) in order to improve child health/growth/development; and educating parents about how to support healthy cognitive, emotional, and social child development.

May include intensive case management services, which are provided to:

- Broker provision of community-based social, educational, vocational, legal and financial resources in collaboration with the recipient;
- Monitor on a biweekly basis the provision of behavioral health treatment services;
- Monitor on a biweekly basis the safety and stability of the recipient and the need to refer the recipient for crisis intervention services;
- Assist the recipient to access medical or other specialized treatment services;
- Assist the recipient to engage with social relationships and natural community-based supports that enhance the recipient's quality of life; and
- Assist the recipient to improve their ability to perform daily living activities.

#### Component Services include:

 Recovery coaching - Direct services that provide guidance, support and encouragement from the expertise of the trained recovery professional. Recovery coaching is a form of strength-based supports for persons in or seeking recovery

- from mental disorders and SUD (if co-occurring).
- Employment services Work readiness assessment, job matching, prevocational & transitional employment training, and job support.
- Social/cognitive/daily living skill building Direct services that
  assist the individual in being able to better perform his/her
  own social, cognitive, or activities of daily living or assist the
  individual in finding resources to meet those needs. Services
  include coaching to identify the individual's needs (i.e., social,
  cognitive, daily living) and to either work with the individual to
  develop the social, cognitive, or ADL skills to meet those needs
  or refer the individual to another agency or service
- Facilitation of level of care transitions
- Peer-to-peer services, mentoring, & coaching
- Housing assistance & services This will include, one-time payment for security deposits that are required to obtain a lease on an apartment or home; one time payment for the following services: one-time payment for Set-up fees or deposits for utility or service access, including telephone, electricity, heating and water This benefit will only apply to a pilot target population.
- Beneficiary & Family Education/Training/Support-Psychoeducational services that teach self- help concepts, skills, and strategies which are designed to promote wellness, stability, and recovery for service recipients and their families. Psychoeducational services are an important mechanism to assist service recipients and family members in understanding the many aspects of mental disorders and SUD (if cooccurring), including factual data about the mental disorder itself; signs & symptoms; information about how mental disorders affect physical health; medications being used to treat the mental disorder; the consequences that mental disorders can have on the service recipient's mental health, family relationships, and other areas of functioning; and the recovery process.
- Relapse prevention

#### **Contraindicated Service**

- SUD Partial Hospitalization
- Clinically Managed Residential Withdrawal Management-3.2
- Medically Monitored Inpatient Withdrawal Management-3.7
- Medically Managed Intensive Inpatient WithdrawalManagement-4.0
- Medically Monitored Intensive Inpatient Services-3.7
- Medically Managed Intensive Inpatient Services-4.0
- Clinically Managed Low Intensity Residential-3.1 (Adult/Adolescent)
- Clinically Managed High Intensity Residential Treatment-3.3

(Population Specific)

- Clinically Managed High Intensity Residential-3.5 Adult
- Clinically Managed Medium Intensity Residential Treatment 3.5 (Adolescent)

## Service Requirements/ Expectations

Providers of CRSS for individuals with substance use disorders must meet the following requirements:

SUD Programs must give priority preference to treatment as follows:

- 71. Pregnant injecting drug users
- 72. Other pregnant substance users
- 73. Other injecting drug users
- 74. Office of Children Services engaged families
- 75. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment must be admitted no later than 14 days after the request. If there is no slot available, then it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.
- Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women.

SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders.

SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals. SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder. SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services. SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc. **Target Population** Adolescents and adults ages 12 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan. Staff Qualifications Provider Qualifications: Licensed psychologists, mental health professional clinicians, substance use disorder counselors, and behavioral health clinical associates, behavioral health aides and peer support providers (w/ lived experience, working under supervision of a Mental Health professional clinician, w/complete training/certification, w/continuing education). Peer recovery coaches who have lived experience of a substance or co-occurring mental health disorder, work under the supervision of a mental health professional clinician or a substance use disorder counselor, and have completed an approved training program that may be implemented by the Department. Peers are defined as: Individuals who provide services in behavioral health settings—both mental health and substance use disorders treatment—based on their own experience of recovery from mental illness or addiction and skills obtained from formal peer provider training. Within the demonstration, family members of people with SED, SMI, SUD or Co-Occurring disorders are applicable to provide services to other individuals with similar experiences. Service Location Services may be provided in outpatient settings. The following Place of Service codes are allowed for CRSS services:

	04-Homless Shelter
	05-Indian Health Service Free-standing Facility
	06-Indian Health Service Provider-based Facility
	07-Tribal 638 Free-standing Facility
	08-Tribal 638 Provider-based Facility
	11-Office
	26-Military Treatment Center
	49-Independent Clinic
	50-Federally Qualified Health Center
	52-Partial Hospitalization Program
	53-Community Mental Health Center
	57-Non-residential Substance Abuse Treatment Center
	71-State or local Public Health Clinic
	72-Rural Health Clinic
	99-Other
	Any other Appropriate Setting in the Community
Service Frequency/Limits	Indivual-15 minutes/280 unit's service authorization required to
	extend limit; combine with telehealth.
ı	Group-15 minutes/600 unit's services authorization to extend limit;
	combine with telehealth.
Service Authorization	No
Service Documentation	Delivery of Community Recovery Support Services must be
	documented in a progress note in accordance with 7 AAC 135.130.
Relationship to Other	Community-based support services may be provided concurrently
Services	with any service listed in standards manual not otherwise
	contraindicated.
Service Code and	H2021 V1-Indivual
Code Set Description	H2021 V1 GT-Telehealth-Individual
	H2021 V1 GT HQ-Telehealth Group
	H2021 V1 HQ-Group
Unit Value	15 minutes
Payment Rate	\$21.46-Indivudal
-	\$5.63-Group
Additional Information	Programs may employ a multidisciplinary team of professionals to
	perform community recovery support services(s); however, each unit
	of services must be facilitated by a peer support specialist or above to
	be eligible to draw down the per unit rate.
	The trademination of

## V. SUD Care Coordination

Service Name/	SUD Care Coordination Services (also known as MAT Care
Abbreviation	Coordination in the 1115 SUD Implementation Plan)
7 AAC 138.400	
Effective Date and	Eff. 7/1/2019
Revision History	Revision: 10/07/2019

# Service Definition/ Description

Substance use disorder care coordination services, which must be provided at a minimum of once per week to a recipient who is receiving medication assisted treatment, and are provided to:

- Comprehensive assessment and periodic reassessment of individual needs to determine the need for any medical, behavioral health, educational, social, or other services;
- Coordinate the integrated delivery of behavioral health and medical services;
- Assist the recipient with level of care transitions; and
- Assist the recipient to develop skills necessary for the selfmanagement of treatment needs and the maintenance of long-term social supports.
- Monitoring and follow up activities

#### **Contraindicated Service**

#### N/A

## Service Requirements/ Expectations

This service is required for individuals receiving any pharmacotherapy for the treatment of their substance use disorder. The expectation is that this service is provided at minimum 1 time per week

SUD Programs must give priority preference to treatment as follows:

- 76. Pregnant injecting drug users
- 77. Other pregnant substance users
- 78. Other injecting drug users
- 79. Office of Children Services engaged families
- 80. All others

SUD Programs must provide integrated either co-occurring capable or co-occurring enhanced services.

SUD Programs must establish and maintain a waiting list of persons seeking treatment who cannot be admitted and must use a unique identifier for Persons who inject drugs/intravenous drug users (IVDU). Persons actively or experiencing drug use where injection is the method of use requesting treatment must be admitted no later than 14 days after the request. If there is no slot available, then it is recommended said person(s) be provided with interim services within 48 hours and admitted no later than 120 days after the initial request.

If no slot available, it is recommended SUD Programs provide client with harm and risk reduction counseling. To this end, interim services should be provided to individuals on the waitlist, and can be provided by the program or another agency. Interim services should include:

- Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction.
- Referral for HIV and TB testing and treatment.

Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women. SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders. SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals. SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder. SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services. SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc. **Target Population** Adolescents and adults ages 12 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan. Individuals receiving Medication Assisted Treatment are required to receive this service for the first 12 months. **Staff Qualifications** Providers qualified to be reimbursed for eligible services provided to eligible service recipients include: Licensed physicians Licensed physician assistants Licensed advanced nurse practitioners Licensed registered nurses supervised by a physician or advanced nurse practitioner Licensed practical nurses supervised by a physician or advanced nurse practitioner

	<ul> <li>Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician)</li> <li>Substance Use Disorder Counselors</li> <li>Certified Medical Assistants/Certified Nursing Assistance</li> <li>Behavioral Health Clinical Associates</li> <li>Behavioral Health Aides</li> <li>Peer Support Specialist</li> <li>All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer support Specialist</li> </ul>
Service Location	Services may be provided in outpatient or residential settings.
	The following Place of Service codes are allowed for SUD Care coordination services:  05-Indian Health Service Free-standing Facility  06-Indian Health Service Provider-based Facility  07-Tribal 638 Free-standing Facility  08-Tribal 638 Provider-based Facility  11-Office  26-Military Treatment Center  49-Independent Clinic  50-Federally Qualified Health Center  52-Partial Hospitalization Program  53-Community Mental Health Center  57-Non-residential Substance Abuse Treatment Center  71-State or local Public Health Clinic  72-Rural Health Clinic  Emergency Department  Other Primary Care Outpatient Setting
Service Frequency/Limits	5 units per SFY combine with telehealth code; at which point a service
	re-authorization is required
Service Authorization	No
Service Documentation	Delivery of SUD Care Coordination services must be documented in a
,	progress note in accordance with 7 AAC 135.130.
Relationship to Other	SUD Care Coordination services may be provided concurrently with
Services	any service listed in standards manual not otherwise contraindicated.
Service Code and	H0047 V1
Code Set Description	H0047 V1 GT -Telehealth
Unit Value	Monthly
Payment Rate	\$300

Additional Information	Programs may employ a multidisciplinary team of professionals to
	perform SUD care coordination services(s); however, each unit of
	monthly services must be facilitated by a QAP to be eligible to draw
	down the per month rate.

## VI. Intensive Case Management Services

Service Name/	Intensive Case Management Services (ICM)
Abbreviation	
7 AAC 138.400	
Effective Date and	Eff. 7/1/2019
Revision History	Revision: 10/07/2019
Service Definition/	Services that include evaluation, outreach, support services, advocacy
Description	with community agencies, arranging services and supports, teaching
	community living and problem-solving skills, modeling productive
	behaviors, and teaching individuals to become self-sufficient. For
	children/adolescents at risk of out-of-home placement, intensive case
	management is envisioned as a community-based wraparound
	service.
Contraindicated Service	N/A
Service Requirements/	Providers of ICM for individuals with substance use disorders must
Expectations	meet the following requirements:
	SUD Programs must give priority preference to treatment as follows:
	81. Pregnant injecting drug users
	82. Other pregnant substance users
	83. Other injecting drug users
	84. Office of Children Services engaged families
	85. All others
'	SUD Programs must provide integrated either co-occurring capable or
	co-occurring enhanced services.
-	SUD Programs must establish and maintain a waiting list of persons
	seeking treatment who cannot be admitted and must use a unique
	identifier for Persons who inject drugs/intravenous drug users (IVDU).
	Persons actively or experiencing drug use where injection is the
30 8	method of use requesting treatment must be admitted no later than
	14 days after the request. If there is no slot available, then it is
	recommended person(s) be provided with interim services within 48
	hours and admitted no later than 120 days after the initial request.
	If no slot available, it is recommended SUD Programs provide client
	with harm and risk reduction counseling. To this end, interim services
	should be provided to individuals on the waitlist, and can be provided
<u></u>	by the program or another agency. Interim services should include:

Counseling/education about HIV and TB (Tuberculosis) that includes risks of needle sharing, transmission to sexual partners and infants, methods of risk avoidance and reduction. Referral for HIV and TB testing and treatment. Counseling on FASD and Fetal Drug Effects (FDE) for all applicants who are pregnant women. SUD Programs must have staff members trained to provide HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders education, early intervention, and risk reduction counseling. All clients must receive these services. In addition, providers must have policies and procedures related to infection control, occupation health and safety, client rights or treatment protocols related to HIV/AIDS, Hepatitis B and C, Tuberculosis (TB), and fetal alcohol spectrum disorders. SUD Programs must have procedures for responding to clients who relapse while in treatment. These may include referral to a different level of care or different strategies and frequency of treatment interventions. The focus of these procedures should be on assisting the client to progress toward the completion of treatment goals. SUD Programs are required to facilitate access to or provide pharmacotherapy for the treatment of substance use disorders. Clients should have a choice as to whether or not they would like to use medications to treat their substance use disorder. SUD Programs must make every effort to determine Medicaid eligibility for all clients, by assisting them in completing their enrollment, and must bill Medicaid for qualifying services. SUD Programs must offer services that help families or the client's support system understand addiction and to support the newly recovering family members or supports. Examples include evening family process groups, education groups, presentations by Al-Anon speakers, etc. **Target Population** Adolescents and adults ages 12 and older with a substance use disorder diagnosis when determined to be medically necessary and in accordance with an individualized treatment plan. Staff Qualifications Providers qualified to be reimbursed for eligible services provided to eligible service recipients include: Licensed physicians Licensed physician assistants Licensed advanced nurse practitioners Licensed registered nurses supervised by a physician or

	<ul> <li>advanced nurse practitioner</li> <li>Licensed practical nurses supervised by a physician or advanced nurse practitioner</li> <li>Mental health professional clinicians (AK Medicaid provider type including licensed clinical social workers, licensed marriage and family therapists, licensed master's social workers, licensed clinical psychologists, licensed psychological associates, licensed professional counselors, unlicensed master's or above clinician)</li> <li>Substance Use Disorder Counselors</li> <li>Certified Medical Assistants/Certified Nursing Assistance</li> <li>Behavioral Health Clinical Associates</li> <li>Behavioral Health Aides</li> <li>Peer Support Specialist</li> <li>All identified provider types listed above must be enrolled in Medicaid with a specialty as a Qualified Addiction Professional or Certified Peer</li> </ul>
Service Location	Services may be provided in outpatient or residential settings.
Service Frequency/Limits	The following Place of Service codes are allowed for ICM services: 05-Indian Health Service Free-standing Facility 06-Indian Health Service Provider-based Facility 07-Tribal 638 Free-standing Facility 08-Tribal 638 Provider-based Facility 11-Office 26-Military Treatment Center 49-Independent Clinic 50-Federally Qualified Health Center 52-Partial Hospitalization Program 53-Community Mental Health Center 57-Non-residential Substance Abuse Treatment Center 71-State or local Public Health Clinic 72-Rural Health Clinic 99-Other Any Appropriate Setting in the Community
	re-authorization is required.
Service Authorization	No
Service Documentation	Intensive Case Management services must be documented in a progress note in accordance with 7 AAC 135.130.
Relationship to Other Services	Intensive Case Management Services may be provided concurrently with any service listed in standards manual not otherwise contraindicated.
Service Code and	H0023 V1
Code Set Description	
Unit Value	15 minutes

Payment Rate	\$28.07
Additional Information	Programs may employ a multidisciplinary team of professionals to perform Intensive Case Management services(s); however, each unit of service must be facilitated by a QAP to be eligible to draw down the per unit rate.