Byron Mallott Licutenant Governor State Capitol Juneau, Alaska 99811 907.465.3520 WWW.LTGOV.ALASKA.GOV



530 West 7th Ave, Suite 1700 Anchorage, Alaska 99501 907.269.7460 LT.GOVERNOR@ALASKA.GOV

OFFICE OF THE LIEUTENANT GOVERNOR ALASKA

MEMORANDUM

TO: Micaela Fowler

Commerce, Community, and Economic Development

FROM: Scott Meriwether, Office of the Lieutenant Governor

465.4081

DATE: August 17, 2017

RE: Filed Permanent Regulations: Alcoholic Beverage Control Board

Alcoholic Beverage Control Board regulations re: alcohol server education courses (3

AAC 304.465(a))

Attorney General File: JU2016200416

Regulation Filed: 8/17/2017

Effective Date: 9/16/2017

Print: 223, October 2017

cc with enclosures: Linda Miller, Department of Law

Judy Herndon, LexisNexis

ORDER CERTIFYING THE CHANGES TO REGULATIONS OF THE ALCOHOLIC BEVERAGE CONTROL BOARD

The attached three pages of regulations, dealing with alcohol server education regulations, is certified to be a correct copy of the regulation changes that the Alcoholic Beverage Control Board adopted at its April 13, 2017 meeting, under the authority of AS 04.06.090 and 04.06.100, and after compliance with the Administrative Procedure Act (AS 44.62), specifically including notice under AS 44.62.190 and 44.62.200 and opportunity for public comment under AS 44.62.210.

This action is not expected to require an increased appropriation.

Although no public comments were received, the Alcoholic Beverage Control Board paid special attention to the cost to private persons of the regulatory action being taken.

The regulation changes described in this order take effect on the 30th day after they have been filed by the lieutenant governor, as provided in AS 44.62.180.

Date:	6/6/17		
		Puha M'Connell	
		Erika McConnell, Executive Director	
		Alcoholic Beverage Control Board	

FILING CERTIFICATION

I, Byron Mallott, Lieutenant Governor for the	State of Alaska, certify that on
(Judust 17, 2017 at 945 A.m	a., I filed the attached regulations according to the
provisions of AS 44.62.040 - 44.62.120.	Down Wall
	Huran Walley

Byron Mallott Lieutenant Governor

September 16, 2017 223, October 2017 Effective:

Register:

Register 273 ,000 2017 COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT

FAAC 304.465 is amended by adding a new section to read:

Tenewal of a license or permit to serve or sell alcoholic beverages, the following persons shall carry or have available to show a current course card or photocopy of the eard certifying completion of an approved alcohol server education course:

- (1) a person required under AS 04.21.025 to complete an alcohol server education course
- (2) a person who obtains a permit under AS 04.11.240, 3 AAC 304.697 or 3 AAC 304.699, and a person who checks identification at events permitted under those sections, as well as the on-duty supervisors, agents, and employees of such persons. Permittees, their agents and employees are subject to all of the requirements set forth in AS 04.21.025 and 3 AAC 304.465 regarding alcohol server education and the requirement that all permittees, agents and employees selling or serving alcohol hold valid alcohol server education cards.
- (A) outdoor recreational lodge license
 - (B) destination resort license
 - (C) retail stock sale license
 - (D) common carrier license
 - (E) distillery license

7 COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT

F) winery license

While selling or serving alcoholic beverages, a person required under AS 04.21.025 銋

this section to complete an alcohol server education course and the person's on-duty

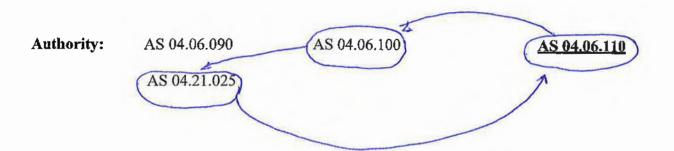
supervisor shall carry or have available to show a current course card or a photocopy of

the card certifying completion of an approved alcohol server education course. The card is effective for three years from the date of issuance during which time the person shall complete another approved course or successfully complete a written test demonstrating an understanding of the course subjects as required by AS 04.21.025(c). The card must include the name and date of birth of the card holder, the name of the course, and the date of expiration of the card. If the card does not include a photograph of the holder, the person shall also carry a valid identification under 3 AAC 304.425(b). The card or a photocopy of the card must be shown upon request of a peace officer or board representative. If the person cannot show a current card or a copy of it on file on the premises, or show a date of hire less than 30 days earlier, the person shall immediately cease selling or serving alcoholic beverages, or checking identification. (Eff.

Register) u Evolutione the comma))
94, Register 129; au 8/24/2001, Register 159; au 12/13/2009, Register 192; /16 /2017, Register 223)

⁽Renumber existing subsections accordingly.)

Register 223, October 2017 COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT



MEMORANDUM

State of Alaska

Department of Law

To: Hon. Byron Mallott

Date: August 16, 2017

Lieutenant Governor

File No.: JU2016200416

From: Susan R. Pollard

Tel. No.: 465-3600

Chief Assistant Attorney General and Regulations Attorney

From: Steven C. Weaver

Sr. Assistant Attorney General and Assistant Regulations Attorney Legislation and Regulations Section

Alcoholic Beverage Control Board regulations re: alcohol server

education courses (3 AAC 304.465(a))

We have reviewed the attached regulations from the Alcoholic Beverage Control Board against the statutory standards of the Administrative Procedure Act. The regulations make refinements to the requirements relating to alcohol server education courses.

We have disapproved the new text that the Alcoholic Beverage Control Board displays as "(a)" of this section, as well as the phrase "or this section" in existing (a) of this section: the subsection that the board attempts to relabel "(b)". At the outset, we note that the board cannot use an amendment to shoehorn a new subsection before an existing subsection or between subsections. Under state drafting conventions, the only way to rearrange subsections in an existing section of regulations is to repeal and readopt the whole section. But this issue is primarily technical. The more serious issue concerns the board's proposal to establish, by regulation, additional licenses and permits to which AS 04.21.025 (alcohol server education course) would apply.

The types of licenses and permits to which AS 04.21.025 (alcohol server education course) applies are set out as a discrete list in AS 04.21.025(a)(1) - (8). Seven licenses from the list of licenses set out AS 04.11.080 appear in AS 04.21.025: beverage dispensary licenses (AS 04.11.090), restaurant or eating place licenses (AS 04.11.100), club licenses (AS 04.11.110), package store licenses (AS 04.11.150), recreational site licenses (AS 04.11.210), pub licenses (AS 04.11.220), and distillery licenses (AS 04.11.170). One permit from the list in AS 04.11.080 also appears in the list in AS 04.21.025: the conditional contractor's permit (AS 04.11.250).

The Alcoholic Beverage Control Board proposes to impose requirements of AS 04.21.025 (alcohol server education course) to licenses and permits outside of the discrete list in AS 04.21.025(a)(1) - (8). We expect that the proposed regulations are inconsistent with the authority that AS 04 gives the board. Though many of those licenses and permits came into being after enactment of AS 04.21.025, we cannot say that this outcome is due to, perhaps,

oversight. In fact, the addition of distillery licenses to the list in AS 04.21.025(a) was the result of an amendment enacted in 2014: sec. 3, ch. 82, SLA 2014. Granted, AS 04.06.100 gives the Alcoholic Beverage Control Board considerable latitude to "adopt regulations governing the manufacture, barter, sale, consumption, and possession of alcoholic beverages in the state that are consistent with [AS 04] and necessary to carry out the purpose of [AS 04] in a manner that will protect the public health, safety, and welfare." Nonetheless, we expect that the legislative intent is to manage AS 04.21.025(a) more closely than other provisions of AS 04. The list of licenses and permits subject to AS 04.21.025 is not open-ended. The legislature's amendment in 2014 to add distillery licenses to the list reinforces this conclusion, and also indicates that AS 04.21.025 is a statute that the legislature manages closely.

Therefore, we expect that the only way to add to the licenses and the single permit listed in AS 04.21.025(a) is to approach the legislature for an amendment to the statute. Please consult the Department of Law and the Office of the Governor if the Alcoholic Beverage Control Board wishes to pursue this approach. In the meantime, we have disapproved the new text that the Alcoholic Beverage Control Board displays as "(a)" of this section, as well as the phrase "or this section" in existing (a) of this section: the subsection that the board attempts to relabel "(b)".

We find no legal problems with the amendment to "or checking identification" at the end of what is officially (a) of this section in the Alaska Administrative Code. This memorandum constitutes the written statement of approval under AS 44.62.060(b) and (c) that authorizes your office to file that portion of the attached regulations that is not disapproved.

Though the attached affidavit of agency record refers to the Alcohol and Marijuana Control Office not having received public comments on this project, the office serves as support staff to the Alcoholic Beverage Control Board: the agency that considers public comments and formally keeps the record of public comments. The certification of adoption order indicates that the board did not receive public comments for this project. The informal reference in the affidavit to the board's support staff is not a substantive violation of the Administrative Procedure Act.

The February 28, 2017 public notice and the June 6, 2017 certification of adoption order both state that this action is not expected to require an increased appropriation. Therefore, a fiscal note under AS 44.62.195 is not required.

Hon. Byron Mallott, Lieutenant Governor
Our file: JU2016200416
Page 3

We have made some technical corrections to the regulations in accordance with AS 44.62.125, as shown on the attached copy.

SCW

cc w/enc: (via email)

Erika McConnell, Executive Director Alcoholic Beverage Control Board and Marijuana Control Board

Micaela Fowler, Regulations Contact Department of Commerce, Community, and Economic Development

Sara Chambers, Operations Manager Division of Corporations, Business, and Professional Licensing Department of Commerce, Community, and Economic Development

Jedediah Smith
Alcohol and Marijuana Control Office
Department of Commerce, Community, and Economic Development

Harriet Dinegar Milks, Assistant Attorney General Commercial and Fair Business Section

NOTICE OF PROPOSED REGULATIONS REGARDING INCLUSION OF PERMITTEES IN SERVER EDUCATION COURSE REQUIRMENTS ALCOHOLIC BEVERAGE CONTROL BOARD

The Alcoholic Beverage Control Board proposes to adopt regulations to amend 3 AAC 304.465. These proposed regulations relate to the inclusion of permittees in server education course requirements.

The Alcoholic Beverage Control Board proposes to amend Title 3 of the Alaska Administrative Code to clarify who shall carry or have a valid proof of an approved alcohol server education course.

3 AAC 304.465(a) — Alcohol server education course regulations are proposed as follows: Adding additional license types and permittees, their agents and employees to all of the requirements set forth in AS 04.21.025 and 3 AAC 304.465 regarding alcohol server education and the requirement that all permittees, agents and employees selling or serving alcohol hold valid alcohol server education cards.

You may comment on the proposed regulations, including the potential costs to private persons of complying with the proposed regulations, by submitting written comments to Jedediah Smith, Alcohol & Marijuana Control Office at 550 W. 7th Ave, Suite 1600, Anchorage, AK 99501. Additionally, the Alcohol & Marijuana Control Office will accept comments by electronic mail at amco.regs@alaska.gov. Comments may also be submitted through the Alaska Online Public Notice System, by accessing this notice on the system and using the "comment" link. Please indicate the article and section number to which each comment refers, if applicable. Please indicate in the subject line that you are commenting on "Permittees & Alcohol Server Education". The comments must be received no later than 4:30 p.m. on March 30, 2017.

You may submit written <u>questions</u> relevant to the proposed regulations to Jedediah Smith by email and physical address. Please do not submit <u>questions</u> through the Alaska Online Public Notice System. The questions must be received at least 10 days before the end of the public comment period, by March 20, 2017 at 4:30 p.m. The Alcohol & Marijuana Control Office will aggregate its response to substantially similar questions and make the questions and responses available on the Alcohol & Marijuana Control Office website. The Alcohol & Marijuana Control Office may, but is not required to, answer written questions received after the 10-day cut-off date and before the end of the comment period.

If you are a person with a disability who needs a special accommodation in order to participate in this process, please contact Jedediah Smith at (907) 334-2195 or amco.regs@alaska.gov no later than March 20, 2017 to ensure that any necessary accommodations can be provided.

A copy of the proposed regulations is available through the electronic link to the complete text on the Alaska Online Public Notice System, on the Alcohol & Marijuana Control Office website at https://www.commerce.alaska.gov/web/amco/ and by contacting Jedediah Smith at 550 W. 7th Ste 1600, Anchorage, AK 99501.

After the public comment period ends, the Alcoholic Beverage Control Board will either adopt the proposed regulations or other provisions dealing with the same subject, without further notice, or decide to take no action. The language of the final regulations may be different from that of the proposed

regulations. You should comment during the time allowed if your interests could be affected.

Statutory Authority: AS 04.06.090, AS 04.06.100, AS 04.21.025

Statutes Being Implemented, Interpreted, or Made Specific: AS 04.21.025

Fiscal Information: The proposed regulations are not expected to require an increased appropriation.

DATE: March 21, 2017

Erika McConnell

Alcohol & Marijuana Control Office

Euha M'Connell

NOTICE OF PROPOSED REGULATIONS REGARDING INCLUSION OF PERMITTEES IN SERVER EDUCATION COURSE REQUIRMENTS ALCOHOLIC BEVERAGE CONTROL BOARD

The Alcoholic Beverage Control Board proposes to adopt regulations to amend 3 AAC 304.465. These proposed regulations relate to server education course requirements.

The Alcoholic Beverage Control Board proposes to amend Title 3 of the Alaska Administrative Code to add a subsection clarifying who shall carry or have a valid proof of an approved alcohol server education course.

- 1) Adds server education requirements for additional license types, permittees and agents who are serving alcohol.
- Clarifies requirements for agents serving alcohol
- 3) Renumbers existing sections.

You may comment on the proposed regulations, including the potential costs to private persons of complying with the proposed regulations, by submitting written comments to Jedediah Smith, Alcohol & Marijuana Control Office at 550 W. 7th Ave, Suite 1600, Anchorage, AK 99501. Additionally, the Alcohol & Marijuana Control Office will accept comments by electronic mail at amco.regs@alaska.gov. Comments may also be submitted through the Alaska Online Public Notice System, by accessing this notice on the system and using the "comment" link. Please indicate the article and section number to which each comment refers, if applicable. Please indicate in the subject line that you are commenting on "Permittees & Alcohol Server Education". The comments must be received no later than 4:30 p.m. on March 30, 2017.

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If you are a person with a disability who needs a special accommodation in order to participate in this process, please contact Jedediah Smith at (907) 334-2195 or amco.regs@alaska.gov no later than March 20, 2017 to ensure that any necessary accommodations can be provided.

A copy of the proposed regulations is available through the electronic link to the complete text on the Alaska Online Public Notice System, on the Alcohol & Marijuana Control Office website at https://www.commerce.alaska.gov/web/amco/ and by contacting Jedediah Smith at 550 W. 7th Ste 1600, Anchorage, AK 99501.

After the public comment period ends, the Alcoholic Beverage Control Board will either adopt the proposed regulations or other provisions dealing with the same subject, without further notice, or decide to take no action. The language of the final regulations may be different from that of the proposed regulations. You should comment during the time allowed if your interests could be affected.

Statutory Authority: AS 04.06.090, AS 04.06.100, AS 04.21.025

Statutes Being Implemented, Interpreted, or Made Specific: AS 04.21.025

Fiscal Information: The proposed regulations are not expected to require an increased appropriation.

DATE: February 24, 2017

Sara Chambers, Acting Director Alcohol & Marijuana Control Office

ADDITIONAL REGULATIONS NOTICE INFORMATION (AS 44.62.190(d))

1.	Adopting agency: Alcoholic	Beverage Control	Board
2.			rmittees in Server Education Course Requirements
3.	Citation of regulation (may b	e grouped): 3 AA	C 304.465
4,	Department of Law file num	ber, if any: JU20	016200416
5.	 () Compliance with new () Compliance with Fed (X) Development of prog 	eral law or action wor changed state deral or state cour gram standards	(identify):e statute t decision (identify)
6.	Appropriation/Allecation:		
7.	Private Persons; \$0 Other State Agencies: \$0	-110111	aply with the proposed action to:
8.	Cost of implementation to the Operating Cost	Initial Year FY 16 \$ 0	Years
	Capital Cost	\$_0	\$_0
	1002 Federal receipts 1003 General fund match 1004 General fund 1005 General fund/ program 1037 General fund/ mental health	\$ 0 \$ 0 \$ 0 \$ 0	
	Other	\$ <u>0</u>	\$ <u>0</u>
9.	The name of the contact pers Name: <u>Cynthia A. Franklin</u> Title: <u>Director</u> , Marijuana		ions:

Telephone:(907)269-0	350
E-mail addressCynthia	a.franklin@alaska.gov
The origin of the propose	d action:
_x Staff of state a	gency
Federal govern	ment
General public	
Petition for reg	ulation change
Other (identify)
Date: 05/16/2016	Prepared by: [signature]
	Name (printed): Cynthia A. Franklin
	Title (printed): Director, Marijuana Control Board
	Telephone: (907)269-0350

AFFIDAVIT OF NOTICE OF PROPOSED REGULATION AND FURNISHING OF ADDITIONAL INFORMATION

I, Erika McConnell, director for the Alcohol & Marijuana Control Office, being sworn, state the following:

As required by AS 44.62.190, notice of the proposed adoption of changes to 3 AAC 304,465, server education requirements for certain alcohol licensees, has been given by being

- (1)published in a newspaper or trade publication;
- (2)furnished to interested persons;
- (3) furnished to appropriate state officials;
- (4) furnished to the Department of Law, along with a copy of the proposed regulation;
- furnished electronically to incumbent State of Alaska legislators; (5)
- (6)furnished to the Legislative Affairs Agency, Division of Legal and Research Services:
- posted on the Alaska Online Public Notice System as required by AS 44.62.175(a)(1) and (b) and 44.62.190(a)(1);
- furnished electronically, along with a copy of the proposed regulation, to the Legislative Affairs Agency, the chair of the Labor and Commerce Committee of the Alaska Senate and House of Representatives, the Administrative Regulation Review Committee, and the legislative council.

As required by AS 44.62.190, additional regulation notice information regarding the proposed adoption of the regulation changes described above has been furnished to interested persons and those in (5) and (6) of the list above. The additional regulation notice information also has been posted on the Alaska Online Public Notice System.

May 3, 2017

Erika McConnell, Director
Subscribed and sworn to before me at Anchorage, Alaska, on MM 3, 20)



Notary Public in and for the State of Alaska

AFFIDAVIT OF PUBLICATION

STATE OF ALASKA THIRD JUDICIAL DISTRICT

Emma Dunlap

being first duly sworn on oath deposes and says that he/she is a representative of the Alaska Dispatch News, a daily newspaper. That said newspaper has been approved by the Third Judicial Court, Anchorage, Alaska, and it now and has been published in the English language continually as a daily newspaper in Anchorage, Alaska, and it is now and during all said time was printed in an office maintained at the aforesaid place of publication of said newspaper. That the annexed is a copy of an advertisement as it was published in regular issues (and not in supplemental form) of said newspaper on

February 28, 2017

and that such newspaper was regularly distributed to its subscribers during all of said period. That the full amount of the fee charged for the foregoing publication is not in excess of the rate charged private individuals.

Signed

Subscribed and sworn to before me this 28th day of February, 2017

Notary Public in and for The State of Alaska. Third Division Anchorage, Alaska

MY COMMISSION EXPIRES

Notary Public BRITNEY L. THOMPSON State of Alaska My Commission Expires Feb 23, 2019 NOTICE OF PROPOSED REGULATIONS
REGARDING INCLUSION OF PERMITTEES IN SERVER EDUCATION
COURSE REQUIRMENTS
ALCOHOLIC BEVERAGE CONTROL BOARD

The Alcoholic Beverage Control Board proposes to adopt regulations to amend 3 AAC 304.465. These proposed regulations relate to server education course requirements.

The Alcoholic Beverage Control Board proposes to amend Title 3 of the Alaska Administrative Code to add a subsection clarifying who shall carry or have a valid proof of an approved alcohol server education course.

Adds server education requirements for additional license types, permittees and agents who are serving alcohol. Clarifies requirements for agents serving alcohol Renumbers existing sections. 1)

You may comment on the proposed regulations, including the potential costs to private persons of complying with the proposed regulations, by submitting written comments to Jedediah Smith, Alcohol & Marijuana Control Office at 550 W. 7th Ave, Suite 1600, Anchorage, AK 99501. Additionally, the Alcohol & Marijuana Control Office will accept comments by electronic mail at amco.regs@alaska.gov. Comments may also be submitted through the Alaska Online Public Notice System, by accessing this notice on the system and using the "comment" link. Please indicate the article and section number to which each comment refers, if applicable. Please indicate in the subject line that you are commenting on "Permittees & Alcohol Server Education". The comments must be received no later than 4:30 p.m. on March 30, 2017.

You may submit written questions relevant to the proposed regulations to Jededlah Smith by email and physical address. Please do not submit questions through the Alaska Online Public Notice System. The questions must be received at least 10 days before the end of the public comment period, by March 20, 2017 at 4:30 p.m. The Alcohol & Marijuana Control Office will aggregate its response to substantially similar questions and make the questions and responses available on the Alcohol & Marijuana Control Office may, but is not required to, answer written questions received after the 10-day cut-off date and before the end of the comment period.

If you are a person with a disability who needs a special accommodation in order to participate in this process, please contact Jedediah Smith at (907) 334-2195 or amco.regs@alaska.gov no later than March 20, 2017 to ensure that any necessary accommodations can be provided.

A copy of the proposed regulations is available through the electronic link to the complete text on the Alaska Online Public Notice System, on the Alcohol & Marijuana Control Office website at https://www.commerce.alaska.gov/web/amco/ and by contacting Jedediah Smith at 550 W. 7th Ste 1600, Anchorage, AK 99501.

After the public comment period ends, the Alcoholic Beverage Control Board will either adopt the proposed regulations or other provisions dealing with the same subject, without further notice, or decide to take no action. The language of the final regulations may be different from that of the proposed regulations. You should comment during the time allowed if your interests could be affected.

Statutory Authority: AS 04.06.090, AS 04.06.100, AS 04.21.025

Statutes Being Implemented, Interpreted, or Made Specific: AS 04.21.025

Fiscal Information: The proposed regulations are not expected to require an increased appropriation

DATE: February 24, 2017_

Sara Chambers, Acting Director Alcohol & Marijuana Control Office

Published: February 28, 2017

AFFIDAVIT OF AGENCY RECORD OF PUBLIC COMMENT

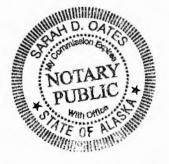
I, Erika McConnell, director for the Alcohol & Marijuana Control Office, being duly sworn, state the following:

The Alcohol & Marijuana Control Office did not receive any factual or other substantive information that was submitted in writing as public comment and that was relevant to the accuracy, coverage, or other aspect of the Alcohol & Marijuana Control Office regulation on server education requirements for certain alcohol licensees.

May 3,2017

Eucha M. Connell

Subscribed and sworn to before me at



Notary Public in and for the State of Alaska

AFFIDAVIT OF ALCOHOL BEVERAGE CONTROL BOARD ACTION

I, Erika McConnell, director for the Alcohol & Marijuana Control Office, being duly sworn, state the following:

The attached draft meeting minutes dealing with server education requirements for certain alcohol licensees reflect action approved by the Alcohol Beverage Control Board during its April 13, 2017, meeting.

Date: May 3, 2017

Erika McConnell, Director

Subscribed and sworn to before me at Anchorage, Alaska, on May 3, 2



Notary Public in and for the State of Alaska



Department of Commerce, Community, and Economic Development

2:55pm

ALCOHOL & MARIJUANA CONTROL OFFICE

550 West 7th Avenue, Suite 1600 Anchorage, AK 99501

Main: 907,269,0350

Alcoholic Beverage Control Board Excerpt from Unapproved Meeting Minutes April 13, 2017 Telephonic Meeting

REGULATIONS

Erika McConnell informs the Board that the projects for A, B, and C are being brought back to the Board because of public noticing errors, and that items D, E, and F are drafts that are being brought to the Board at the Board's request to consider opening regulations projects.

Jed Smith speaks to the Board about the common carriers, alcohol server education, and consumption at wineries regulations projects and states that the projects would require a new vote by the Board.

The Board members agree that they have considered this public comment regarding common carriers before.

Rex Leath motions to adopt the alcohol server education, common carriers, and consumption at wineries regulations drafts.

Tom Manning seconds the motion.

Motion carries unanimously.

A. Alcohol Server Education

1. Draft (No New Public Comments Received)

TAB 60

B. Common Carriers

1. Draft; New Public Comment Received

TAB 61

C. Consumption at Wineries

1. Draft (No New Public Comments Received)

TAB 62

Minutes prepared by:



Sarah D. Oates Program Coordinator