

STATE OF ALASKA REQUEST FOR PROPOSALS



ADMINISTRATIVE REVIEWER FOR ALASKA'S CHILD NUTRITION PROGRAMS RFP 2017-0500-3590

ISSUED NOVEMBER 23, 2016

DEED IS SEEKING A CONTRACTING AGENCY TO CONDUCT ADMINISTRATIVE REVIEWS FOR THE USDA NUTRITION PROGRAMS ADMINISTERED BY SCHOOL DISTRICTS AND RESIDENTIAL CARE INSTITUTIONS IN ALASKA AS REQUIRED BY DEED. THIS RFP PROVIDES AN OUTLINE OF DEED'S SPECIFIC NEEDS FOR A PILOT YEAR AND REQUIRES RESPONDENT TO PROVIDE INFORMATION ABOUT ITS VIABILITY, EXPERIENCE AND CAPABILITY TO DELIVER THE REQUESTED SERVICES. CONTRACTOR WILL BE REQUIRED TO CONDUCT COMPLETE ARs, INCLUDING OFF-SITE, ONSITE, POST REVIEW, CLOSING REVIEW, DATA ENTRY INTO REQUIRED AR FORMS, COMPLETION OF THE AR SUMMARY FOR PUBLIC POSTING, AND ALL OTHER ASSOCIATED WORK FOR AN AR, INCLUDING THE GATHERING AND REPORTING OF PERTINENT INFORMATION.

ISSUED BY:

DEPARTMENT OF EDUCATION
AND EARLY DEVELOPMENT
DIVISION OF ADMINISTRATIVE SERVICES

PRIMARY CONTACT:

ROB ROYS
PROCUREMENT OFFICER
ROBERT.ROYS@ALASKA.GOV

OFFERORS ARE NOT REQUIRED TO RETURN THIS FORM.

IMPORTANT NOTICE: IF YOU RECEIVED THIS SOLICITATION FROM THE STATE OF ALASKA'S "ONLINE PUBLIC NOTICE" WEB SITE, YOU MUST REGISTER WITH THE PROCUREMENT OFFICER LISTED IN THIS DOCUMENT TO RECEIVE SUBSEQUENT AMENDMENTS. FAILURE TO CONTACT THE PROCUREMENT OFFICER MAY RESULT IN THE REJECTION OF YOUR OFFER.

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INTRODUCTION AND INSTRUCTIONS

SEC. 1.01 PURPOSE OF THE RFP

USDA requires state agencies administering federal Child Nutrition Programs to conduct Administrative Reviews (ARs) of all program operators during a three year cycle. 7 CFR 210.3 (b), 210.18. DEED Child Nutrition Programs oversees the NSLP, SBP, SSO, SMP, FFVP CACFP At Risk, and SFSP. Program operators include school districts and residential care care institutions (RCCIs). The 2016-2017 AR year is the first year of the second three-year cycle. DEED is seeking services to assist in conducting ARs in the 2016-2017 school year.

DEED is seeking a Contracting Agency to conduct AR for the USDA nutrition programs administered by school districts and RCCIs in Alaska as required by DEED. This RFP provides an outline of DEED's specific needs for a pilot year and requires Respondent to provide information about its viability, experience and capability to deliver the requested services. Contractor will be required to conduct complete ARs, including off-site, onsite, post review, closing review, data entry into required AR forms, completion of the AR Summary for public posting, and all other associated work for an AR, including the gathering and reporting of pertinent information.

SEC. 1.02 BUDGET

The Department of Education and Early Development, Division of Teaching & Learning Support estimates a budget of between \$ 30,000 and \$40,000 dollars for completion of this project in the pilot year. If the pilot is successful and the contractor is renewed for subsequent years, the estimated budget will be between \$80,000 and \$110,000 dollars for completion of the annual ARs. Proposals priced at more than \$125,000 per year will be considered non-responsive.

For projection purposes, a typical AR review cycle consists of 20-24 program operators with 30-45 site visits.

SY17-18 is expected to have 23 ARs; 11 of these sponsors will be accessible through Alaska Airlines, although smaller airplanes may need to be accessed for site monitoring. Of the remaining ARs 3 are in the interior region, 2 in the North/Norwest regions, 5 in the Southwest region, and 2 in the Southeast region. Interior ARs may be accessed by automobile, all others regions will be accessed by airplane. This is a typical AR year for DEED.

Payment for the contract is subject to funds already appropriated and identified.

SEC. 1.03 DEADLINE FOR RECEIPT OF PROPOSALS

Proposals must be received no later than 4:00 PM Alaska Time on Monday, December 19, 2016¹. Emailed proposals are acceptable but not encouraged. Faxed proposals are not acceptable. Oral proposals are not acceptable.

SEC. 1.04 PRIOR EXPERIENCE & CAPABILITIES

In order for offers to be considered responsive offerors must meet these minimum prior experience and capability requirements:

1. All reviewers and project managers must demonstrate and have experience with all USDA rules, regulations, and guidance as it relates to the NSLP, SBP, SSO, ASCP, SMP, FFVP, equipment grants, CEP, Provision 3, and CACFP At Risk;
2. Offeror's with responsive proposals will be required to conduct a 1-hour demonstration on their capabilities and experience in conducting these services;

3. At the time of proposal, and if accepted, offerors may not have a current or pending business relationship with an Alaska school district.

An offeror's failure to meet these minimum prior experience and capability requirements may cause their proposal to be considered non-responsive and their proposal may be rejected.

SEC. 1.05 REQUIRED REVIEW

Offerors should carefully review this solicitation for defects and questionable or objectionable material. Comments concerning defects and objectionable material must be made in writing and received by the procurement officer at least ten days before the deadline for receipt of proposals. This will allow time for the issuance of any necessary amendments. It will also help prevent the opening of a defective solicitation and exposure of offeror's proposals upon which award could not be made. Protests based on any omission or error, or on the content of the solicitation, will be disallowed if these faults have not been brought to the attention of the procurement officer, in writing, at least ten days before the deadline for receipt of proposals.

SEC. 1.06 QUESTIONS PRIOR TO DEADLINE FOR RECEIPT OF PROPOSALS

All questions must be in writing and directed to the procurement officer. The interested party must confirm telephone conversations in writing.

Two types of questions generally arise. One may be answered by directing the questioner to a specific section of the RFP. These questions may be answered over the telephone. Other questions may be more complex and may require a written amendment to the RFP. The procurement officer will make that decision.

PROCUREMENT OFFICER: **ROB ROYS** – PHONE (907) **465-8654** - FAX (907) **465-3452** - TDD (907)-**4665-2815**

SEC. 1.07 RETURN INSTRUCTIONS

Offerors must submit one hard copy of their proposal, in writing, to the procurement officer in a sealed package. The cost proposal included with the package must be sealed separately from the rest of the proposal and must be clearly identified. The sealed proposal package(s) must be addressed as follows:

DEPARTMENT OF EDUCATION AND EARLY DEVELOPMENT
DIVISION OF ADMINISTRATIVE SERVICES
ATTENTION: ROB ROYS
RFP 2017-0500-3590
ADMINISTRATIVE REVIEWER FOR ALASKA'S CHILD NUTRITION PROGRAMS

If using U.S. mail, please use the following address:

PO BOX 110500
JUNEAU AK 99811-0500

If using a delivery service, please use the following address:

801 W 10th Street
JUNEAU AK 99801

Faxed proposals are not allowed.

If submitting a proposal via email, the technical proposal and cost proposal must be saved as separate PDF documents and emailed to EED.Procurement@alaska.gov as separate, clearly labeled attachments, such as "Vendor A – Technical Proposal.pdf" and "Vendor A – Cost Proposal.pdf" (Vendor A is the name of the offeror). The email must contain the RFP number in the subject line.

The maximum size of a single email (including all text and attachments) that can be received by the state is 20mb (megabytes). If the email containing the proposal exceeds this size, the proposal must be sent in multiple emails that are each less than 20 megabytes and each email must comply with the requirements described above. It is the offeror's responsibility to contact the issuing agency at (907) 465-8654 to confirm that the proposal has been received. The only thing that may be confirmed is what is visible without opening the email (presence of attachments, sender's name or email address, subject, date and time received, and size of the email). The state is not responsible for unreadable, corrupt, or missing attachments.

An offeror's failure to submit its proposal prior to the deadline will cause the proposal to be disqualified. Late proposals or amendments will not be opened or accepted for evaluation.

SEC. 1.08 PROPOSAL CONTENTS

The following information must be included in all proposals. Please note that these items are covered by Attachments 1-5.

(a) AUTHORIZED SIGNATURE

All proposals must be signed by an individual authorized to bind the offeror to the provisions of the RFP. Proposals must remain open and valid for at least 90-days from the date set as the deadline for receipt of proposals.

(b) OFFEROR'S CERTIFICATION

By signature on the proposal, offerors certify that they comply with the following:

- A. the laws of the State of Alaska;
- B. the applicable portion of the Federal Civil Rights Act of 1964;
- C. the Equal Employment Opportunity Act and the regulations issued thereunder by the federal government;
- D. the Americans with Disabilities Act of 1990 and the regulations issued thereunder by the federal government;
- E. all terms and conditions set out in this RFP;
- F. a condition that the proposal submitted was independently arrived at, without collusion, under penalty of perjury;
- G. that the offers will remain open and valid for at least 90 days; and
- H. that programs, services, and activities provided to the general public under the resulting contract conform with the Americans with Disabilities Act of 1990, and the regulations issued thereunder by the federal government.

If any offeror fails to comply with [a] through [h] of this paragraph, the state reserves the right to disregard the proposal, terminate the contract, or consider the contractor in default.

(c) VENDOR TAX ID

A valid Vendor Tax ID must be submitted to the issuing office with the proposal or within five days of the state's request.

(d) CONFLICT OF INTEREST

Each proposal shall include a statement indicating whether or not the firm or any individuals working on the contract has a possible conflict of interest (e.g., currently employed by the State of Alaska or formerly employed by the State of Alaska within the past two years) and, if so, the nature of that conflict. The Commissioner of the Department of Education and Early Development reserves the right to **consider a proposal non-responsive and reject it or** cancel the award if any interest disclosed from any source could either give the appearance of a conflict or cause speculation as to the objectivity of the program to be developed by the offeror. The Commissioner's determination regarding any questions of conflict of interest shall be final.

Note: At the time of proposal, and if accepted, offerors may not have a current or pending business relationship with an Alaska school district.

(e) FEDERAL REQUIREMENTS

The offeror must identify all known federal requirements that apply to the proposal, the evaluation, or the contract.

(f) BID BOND - PERFORMANCE BOND - SURETY DEPOSIT

Bid Bond

Not applicable to this RFP

Performance Bond

Not applicable to this RFP

Surety Deposit

Not applicable to this RFP

SEC. 1.09 ASSISTANCE TO OFFERORS WITH A DISABILITY

Offerors with a disability may receive accommodation regarding the means of communicating this RFP or participating in the procurement process. For more information, contact the procurement officer no later than ten days prior to the deadline for receipt of proposals.

SEC. 1.10 AMENDMENTS TO PROPOSALS

Amendments to or withdrawals of proposals will only be allowed if acceptable requests are received prior to the deadline that is set for receipt of proposals. No amendments or withdrawals will be accepted after the deadline unless they are in response to the state's request in accordance with 2 AAC 12.290.

SEC. 1.11 AMENDMENTS TO THE RFP

If an amendment is issued, it will be provided to all who were mailed a copy of the RFP and to those who have registered with the procurement officer after receiving the RFP from the State of Alaska Online Public Notice web site.

SEC. 1.12 RFP SCHEDULE

The RFP schedule set out herein represents the State of Alaska's best estimate of the schedule that will be followed. If a component of this schedule, such as the deadline for receipt of proposals, is delayed, the rest of the schedule may be shifted by the same number of days.

- Issue RFP **Wednesday, November 23, 2016,**
- Pre-proposal conference at **8:30 AM on Thursday, December 1, 2016,**
- Deadline for questions at **5:00 PM on Tuesday, December 6, 2016,**
- Deadline for receipt of proposals **4:00 PM on Tuesday, December 27, 2016²³,**
- Proposal evaluation committee completes evaluation by **Friday, January 6, 2017,**
- State of Alaska issues notice of intent to award a contract **Wednesday, January 11, 2017,**
- State of Alaska issues contract **Tuesday, January 24, 2017,**
- Contract start **Wednesday, January 25, 2017.**

This RFP does not, by itself, obligate the state. The state's obligation will commence when the contract is approved by the Commissioner of the Department of Education and Early Development, or the Commissioner's designee. Upon written notice to the contractor, the state may set a different starting date for the contract. The state will not be responsible for any work done by the contractor, even work done in good faith, if it occurs prior to the contract start date set by the state.

SEC. 1.13 PRE-PROPOSAL TELECONFERENCE

A pre-proposal teleconference will be held at **8:30 AM on Thursday, December 1, 2016.** The purpose of the teleconference is to discuss the work to be performed with the prospective offerors and allow them to ask questions concerning the RFP. The meeting will be recorded and that recording will be available to prospective offerors upon request.

The teleconference will be held via WebEx. To join on-line, use this link:

<https://stateofalaska.webex.com/stateofalaska/j.php?MTID=m6c12076ade9a2077bc79bc6196f71c56> .

To join by phone call 1-907-269-3000 or 1-907-269-7570. Follow the instructions that you hear on the phone. The Cisco Unified MeetingPlace meeting ID is 807 582 382.

Offerors with a disability needing accommodation should contact the procurement officer prior to the date set for the pre-proposal conference so that reasonable accommodation can be made.

SEC. 1.14 ALTERNATE PROPOSALS

Offerors may only submit one proposal for evaluation.

In accordance with 2 AAC 12.830 alternate proposals (proposals that offer something different than what is asked for) will be rejected.

SEC. 1.15 NEWS RELEASES

News releases related to this RFP will not be made without prior approval of the project director.

SECTION 2. BACKGROUND INFORMATION

SEC. 2.01 BACKGROUND INFORMATION

USDA requires state agencies administering federal Child Nutrition Programs to conduct Administrative Reviews (ARs) of all program operators during a three year cycle. 7 CFR 210.3 (b), 210.18. DEED Child Nutrition Programs oversees the NSLP, SBP, SSO, SMP, FFVP CACFP At Risk, and SFSP. Program operators include school districts and residential care care institutions (RCCIs). The 2016-2017 AR year is the first year of the second three-year cycle. DEED is seeking services to assist in conducting ARs in the 2016-2017 school year.

DEED is seeking a Contracting Agency to conduct AR for the USDA nutrition programs administered by school districts and RCCIs in Alaska as required by DEED. This RFP provides an outline of DEED's specific needs for a pilot year and requires Respondent to provide information about its viability, experience and capability to deliver the requested services. Contractor will be required to conduct complete ARs, including off-site, onsite, post review, closing review, data entry into required AR forms, completion of the AR Summary for public posting, and all other associated work for an AR, including the gathering and reporting of pertinent information.

It is expected that Contractor will conduct four⁴ ARs during the 2016-2017 school year. Contractor will be required to conduct one team reviews with DEED staff for training purposes. Contractor must designate an assigned project manager. The same project manager will be dedicated to the effort for the entire contract period to ensure consistency in the performance of ARs. Contractor and the project manager must ensure all timelines are met and be able to manage numerous tasks simultaneously. Contractor must be able to work under pressure and meet tight deadlines.

If the pilot year is successful, the contract renewal will be for the full number of required ARs in subsequent years. A typical AR review cycle consists of 20-24 program operators with 30-45 site visits.

SY17-18 is expected to have 23 ARs; 11 of these sponsors will be accessible through Alaska Airlines, although smaller airplanes may need to be accessed for site monitoring. Of the remaining ARs 3 are in the interior region, 2 in the North/Norwest regions, 5 in the Southwest region, and 2 in the Southeast region. Interior ARs may be accessed by automobile, all others regions will be accessed by airplane. This is a typical AR year for DEED.

SECTION 3. SCOPE OF WORK & CONTRACT INFORMATION

SEC. 3.01 SCOPE OF WORK

Contractor must be able to perform the following work:

1. Conduct 4 AR's from start to finish. Respondent may propose the total number of AR's it can successfully complete during the contract period.
2. Conduct reviews of NSLP, SBP, FFVP, equipment grants, SMP, CACFP At Risk, CEP and Provision 3 programs.
3. Complete the ARs meeting all USDA and State of Alaska rules and regulations. The deadline for completion is June 1, 2017.
4. Input all AR data into DEED provided AR forms based on USDA templates, including off-site review activities, on-site review activities, procurement review, resource management reviews, development of corrective action documents, and closing the review. FY17 these will transition to on-line database.
5. Conduct ARs in full compliance with applicable law, including relevant USDA regulations.
6. Provide a dedicated project manager to oversee Contractor's staff conducting ARs (provide name).
7. Ensure adequate staff is able and available to travel throughout the state of Alaska to conduct ARs using various modes of transportation.
8. Independently complete ARs.
9. Determine compliance with all federal and state laws, regulations, and policies pertaining to NSLP, SBP, SSO, FFVP, equipment grants, SMP, CACFP At Risk, CEP and Provision 3.
10. Provide testimony and assist with administrative appeals arising out of ARs conducted, completed or staffed, even in part, by Contractor. Assist with administrative appeals as requested by DEED for ARs conducted during the 16-17 school year. Contractor's employees, upon DEED's request, must be able to engage in overnight travel on less than seven days notice to assist with and testify at an administrative appeal arising out of an AR.
11. Conduct entrance and exit conferences with the program operator's superintendent, designee, or other appropriate official.
12. Complete and provide the program operator with an AR Corrective Action Plan at the exit conference.
13. Properly document all sections of the AR conducted by Contractor.
14. Complete the Corrective Action Plan (CAP)
15. Review all documents and responses submitted in connection with a CAP and determine compliance with applicable federal and state regulations and guidance.
16. Follow DEED's policies and procedures in relation to CAP review, completion, denial, issuance of additional CAPs or acceptance.
17. Draft and send letters as necessary that relate to an AR.
18. Contractor(s) must provide weekly status reports to DEED concerning work performed as well as projected date of completions with the off-site, on-site, post review, letters, closure, and problematic reviews.
19. Contractor must provide a weekly list of ARs that will require a Comprehensive Financial Review and/or a Nutrient Analysis.
20. Contractor must provide a weekly list of ARs that did not have any findings.

Other Requirements

1. All reviewers and project managers must display excellent customer service skills.
2. All reviewers and project managers must be professional while interacting with both internal and external customers.

3. Contractor(s) must provide electronic equipment (aka computers, etc) for all reviewers. DEED will provide proper access to the CNP Web needed to conduct an AR.
4. Contractors(s) review staff must ensure confidentiality for all information obtained during reviews.
5. The performance of the Contractor will be monitored through the following activities:
 - a. Reviewing and approving completed compliance review reports to ensure all requirements were met.
 - b. Reviewing completed compliance review reports within the timeframe designated and receiving status reports on remaining compliance review reports.
 - c. DEED CNP's Program Manager will determine when the terms of the contract has successfully been completed.

SEC. 3.02 CONTRACT TERM AND WORK SCHEDULE

The length of the contract will be from the date of award, approximately January 24, 2017⁵, for approximately 157 days until June 30, 2017, or the completion of the pilot program, whichever is sooner. Four additional renewal options of one year each may be exercised solely by the state for Fiscal Years 2018 through 2021.

Unless otherwise provided in this RFP, the State and the successful offeror/contractor agree: (1) that any holding over of the contract excluding any exercised renewal options, will be considered as a month-to-month extension, and all other terms and conditions shall remain in full force and effect and (2) to provide written notice to the other party of the intent to cancel such month-to-month extension at least 30-days before the desired date of cancellation.

SEC. 3.03 DELIVERABLES

Offeror's proposal must describe how the following deliverables will be provided and must provide a proposed work plan that includes a comprehensive schedule for completing all deliverables.

- A. The completed AR packet submitted to DEED with an invoice must include the following completed documents:
 - A.1. Off-site Assessment Form
 - A.2. On-Site Assessment Form
 - A.3. Dietary Specifications Assessment Tool
 - A.4. Procurement Review Tool
 - A.4.1. With back-up documentation
 - A.5. Fiscal Action Workbook
 - A.6. Meal Compliance Risk Assessment Tool
 - A.7. Nonreimbursable Meal Allocation Form (if applicable)
 - A.8. Nutrient Analysis in DEED's PrimeroEdge software (if applicable)
 - A.9. Resource Management Risk Indicator Tool
 - A.10. Resource Management Comprehensive Review Form (if applicable)
 - A.10.1. With back-up documentation
 - A.11. S-1 Form(s)
 - A.12. S-2 Form(s)
 - A.13. SFA-1 Form
 - A.14. SFA-1a Form (if applicable)
 - A.15. SFA-2 Form
 - A.16. SFA-2a Form (if applicable)

- A.17. SFA-3 Form
- A.18. Site Selection Worksheet
- A.19. Statistical Sample Generator
- A.20. After School Snack Form (if applicable)
- A.21. DEED At-Risk Afterschool Meals Review Form (if applicable)
- A.22. Corrective Action Plan
- A.23. Completed Corrective Action
- A.24. DEED Food Safety and Sanitation Form
- A.25. Administrative Review Summary Form for online posting
- A.26. Copies of all correspondence with program operators
- B. In SY17-18 the majority of these documents will be accessible through the DEEDS software, PrimeroEdge.

SEC. 3.04 CONTRACT TYPE

This contract is a **Firm Fixed Price** contract.

SEC. 3.05 PROPOSED PAYMENT PROCEDURES

The state will make payments based on a monthly payment schedule. Each monthly billing must consist of an invoice, progress report and monthly statistics. No payment will be made until the invoice, progress report and statistics have been approved by the project director.

SEC. 3.06 PROMPT PAYMENT FOR STATE PURCHASES

The state is eligible to receive a **5%** discount for all invoices paid within **15** business days from the date of receipt of the commodities or services and/or a correct invoice, whichever is later. The discount shall be taken on the full invoice amount. The state shall consider payment being made as either the date a printed warrant is issued or the date an electronic funds transfer (EFT) is initiated.

SEC. 3.07 CONTRACT PAYMENT

No payment will be made until the contract is approved by the Commissioner of the Department of Education and Early Development, or the Commissioner's designee. Under no conditions will the state be liable for the payment of any interest charges associated with the cost of the contract.

The state is not responsible for and will not pay local, state, or federal taxes. All costs associated with the contract must be stated in U.S. currency.

SEC. 3.08 LOCATION OF WORK

The state **WILL NOT** provide workspace for the contractor. The contractor must provide its own workspace.

The contractor should include in their price proposal: transportation, lodging, and per diem costs sufficient to pay for adequate staff to make the trips to the locations listed below. Travel to other locations will not be required. The work locations vary by year. For School Year 2016-2017 will include:

- 1) Craig City Schools, Craig, Alaska
 - a) 1 site visit, same location
- 2) Hydaburg City Schools, Hydaburg, Alaska
 - a) 1 site visit, same location
 - b) CEP District
- 3) Southeast Island School District, Thorne Bay, Alaska
 - a) 3 site visits: Thorn Bay, Kasaan, Naukati)
 - b) Partial CEP District
- 4) St. Mary's School District, St. Mary's, Alaska
 - a) 1 site visit, same community
 - b) CEP District
- 5) A 4-day on-boarding meeting with the State Agency in Juneau Alaska

By signature on their proposal, the offeror certifies that all services provided under this contract by the contractor and all subcontractors shall be performed in the United States.

If the offeror cannot certify that all work will be performed in the United States, the offeror must contact the procurement officer in writing to request a waiver at least 10 days prior to the deadline for receipt of proposals.

The request must include a detailed description of the portion of work that will be performed outside the United States, where, by whom, and the reason the waiver is necessary.

Failure to comply with these requirements may cause the state to reject the proposal as non-responsive, or cancel the contract.

SEC. 3.09 THIRD-PARTY SERVICE PROVIDERS

The contractor must provide, on an annual basis, a Type 2 Statement on Standards for Attestation Engagements (SSAE) SOC 1, SOC 2, OR SOC 3 report(s). Failure to provide this reports may be treated as a material breach and may be a basis for a finding of default.

SEC. 3.10 SUBCONTRACTORS

Subcontractors may be used to perform work under this contract. If an offeror intends to use subcontractors, the offeror must identify in the proposal the names of the subcontractors and the portions of the work the subcontractors will perform.

If a proposal with subcontractors is selected, the offeror must provide the following information concerning each prospective subcontractor within five working days from the date of the state's request:

- a. complete name of the subcontractor;
- b. complete address of the subcontractor;

- c. type of work the subcontractor will be performing;
- d. percentage of work the subcontractor will be providing;
- e. evidence that the subcontractor holds a valid Alaska business license; and
- f. a written statement, signed by each proposed subcontractor that clearly verifies that the subcontractor is committed to render the services required by the contract and that they do not have a current or pending business relationship with an Alaska school district.

An offeror's failure to provide this information, within the time set, may cause the state to consider their proposal non-responsive and reject it. The substitution of one subcontractor for another may be made only at the discretion and prior written approval of the project director.

SEC. 3.11 JOINT VENTURES

Joint ventures are acceptable. If submitting a proposal as a joint venture, the offeror must submit a copy of the joint venture agreement which identifies the principals involved and their rights and responsibilities regarding performance and payment.

Note: at the time of proposal, and if accepted, all parties to the joint venture may not have a current or pending business relationship with an Alaska school district.

SEC. 3.12 RIGHT TO INSPECT PLACE OF BUSINESS

At reasonable times, the state may inspect those areas of the contractor's place of business that are related to the performance of a contract. If the state makes such an inspection, the contractor must provide reasonable assistance.

SEC. 3.13 F.O.B. POINT

All goods purchased through this contract will be F.O.B. final destination. Unless specifically stated otherwise, all prices offered must include the delivery costs to any location within the State of Alaska.

SEC. 3.14 CONTRACT PERSONNEL

Any change of the project team members or subcontractors named in the proposal must be approved, in advance and in writing, by the project director. Personnel changes that are not approved by the state may be grounds for the state to terminate the contract.

SEC. 3.15 INSPECTION & MODIFICATION - REIMBURSEMENT FOR UNACCEPTABLE DELIVERABLES

The contractor is responsible for the completion of all work set out in the contract. All work is subject to inspection, evaluation, and approval by the project director. The state may employ all reasonable means to ensure that the work is progressing and being performed in compliance with the contract. The project director may instruct the contractor to make corrections or modifications if needed in order to accomplish the contract's intent. The contractor will not unreasonably withhold such changes.

Substantial failure of the contractor to perform the contract may cause the state to terminate the contract. In this event, the state may require the contractor to reimburse monies paid (based on the identified portion of unacceptable work received) and may seek associated damages.

SEC. 3.16 LIQUIDATED DAMAGES

Not applicable to this RFP.

SEC. 3.17 CONTRACT CHANGES - UNANTICIPATED AMENDMENTS

During the course of this contract, the contractor may be required to perform additional work. That work will be within the general scope of the initial contract. When additional work is required, the project director will provide the contractor a written description of the additional work and request the contractor to submit a firm time schedule for accomplishing the additional work and a firm price for the additional work. Cost and pricing data must be provided to justify the cost of such amendments per AS 36.30.400.

The contractor will not commence additional work until the project director has secured any required state approvals necessary for the amendment and issued a written contract amendment, approved by the Commissioner of the Department of Education and early Development or the Commissioner's designee.

SEC. 3.18 NONDISCLOSURE AND CONFIDENTIALITY

Contractor agrees that all confidential information shall be used only for purposes of providing the deliverables and performing the services specified herein and shall not disseminate or allow dissemination of confidential information except as provided for in this section. The contractor shall hold as confidential and will use reasonable care (including both facility physical security and electronic security) to prevent unauthorized access by, storage, disclosure, publication, dissemination to and/or use by third parties of, the confidential information. "Reasonable care" means compliance by the contractor with all applicable federal and state law, including the Social Security Act and HIPAA. The contractor must promptly notify the state in writing if it becomes aware of any storage, disclosure, loss, unauthorized access to or use of the confidential information.

Confidential information, as used herein, means any data, files, software, information or materials (whether prepared by the state or its agents or advisors) in oral, electronic, tangible or intangible form and however stored, compiled or memorialized that is classified confidential as defined by State of Alaska classification and categorization guidelines provided by the state to the contractor or a contractor agent or otherwise made available to the contractor or a contractor agent in connection with this contract, or acquired, obtained or learned by the contractor or a contractor agent in the performance of this contract. Examples of confidential information include, but are not limited to: technology infrastructure, architecture, financial data, trade secrets, equipment specifications, user lists, passwords, research data, and technology data (infrastructure, architecture, operating systems, security tools, IP addresses, etc).

Additional information that the contractor shall hold as confidential during the performance of services under this contract include:

- Student names;
- Student Income Eligibility data;
- Students' state school identification numbers;
- Students' test scores or grades;
- Any other student personal information, such as address, birth date, school name, health or disciplinary information; and
- Library records described in AS 40.25.140.

If confidential information is requested to be disclosed by the contractor pursuant to a request received by a third party and such disclosure of the confidential information is required under applicable state or federal law, regulation, governmental or regulatory authority, the contractor may disclose the confidential information after providing the state with written notice of the requested disclosure (to the extent such notice to the state is permitted by applicable law) and giving the state opportunity to review the request. If the contractor receives no objection from the state, it may release the confidential information within 30 days. Notice of the requested disclosure of confidential information by the contractor must be provided to the state within a reasonable time after the contractor's receipt of notice of the requested disclosure and, upon request of the state, shall seek to obtain legal protection from the release of the confidential information.

The following information shall not be considered confidential information: information previously known to be public information when received from the other party; information freely available to the general public; information which now is or hereafter becomes publicly known by other than a breach of confidentiality hereof; or information which is disclosed by a party pursuant to subpoena or other legal process and which as a result becomes lawfully obtainable by the general public.

SEC. 3.19 INSURANCE REQUIREMENTS

The successful offeror must provide proof of workers' compensation insurance prior to contract approval.

The successful offeror must secure the insurance coverage required by the state. The coverage must be satisfactory to the Department of Administration Division of Risk Management. An offeror's failure to provide evidence of such insurance coverage is a material breach and grounds for withdrawal of the award or termination of the contract.

Offerors must review form **APPENDIX B¹**, attached, for details on required coverage. No alteration of these requirements will be permitted without prior written approval from the Department of Administration, Division of Risk Management. Objections to any of the requirements in **APPENDIX B¹** must be set out in the offeror's proposal.

SEC. 3.20 TERMINATION FOR DEFAULT

If the project director determines that the contractor has refused to perform the work or has failed to perform the work with such diligence as to ensure its timely and accurate completion, the state may, by providing written notice to the contractor, terminate the contractor's right to proceed with part or all of the remaining work.

This clause does not restrict the state's termination rights under the contract provisions of Appendix A, attached in **SECTION 8. EXHIBITS**.

SECTION 4. PROPOSAL FORMAT AND CONTENT

The state discourages overly lengthy and costly proposals, however, in order for the state to evaluate proposals fairly and completely, offerors must follow the format set out in this RFP and provide all information requested.

Offerors must submit an original copy of their proposal, in writing, to the procurement officer in a sealed package. Submit only one Cost Proposal in a separate, sealed envelope. No portion of the cost proposal shall be included within the body of the proposal. Proposal package must include one CD with electronic copies of the proposal and cost proposal.

SEC. 4.01 PROPOSAL CHECKLIST & DOCUMENT ORDER

Attachment 01 is provided to establish the order of documents within a proposal and to insure that all documents required for a proposal are included with an offer.

Only one copy of Attachment 01 is required; attach it to the original proposal. For further instructions see Attachment 01.

SEC. 4.02 PROPOSAL COVER SHEET

Attachment 02 is provided to confirm that the offeror will comply with all provisions in this RFP, acknowledges the response conditions and, if applicable, provide notice that the firm qualifies as an Alaskan bidder. The Proposal Cover Sheet must be signed by a company officer empowered to bind the company. An offeror's failure to include these items in their Proposal may cause their Proposal to be determined to be non-responsive and the Proposal may be rejected.

Only one copy of Attachment 02 is required; attach it to the original proposal. For further instructions see Attachment 02.

SEC. 4.03 LOCATION OF WORK & CONFLICT OF INTEREST STATEMENT

Only one copy of Attachment 03 is required; attach it to the original proposal. For further instructions see §3.10, §1.18, and Attachment 03.

SEC. 4.04 FEDERAL DEBARMENT CERTIFICATION FORM

Funding for the contract resulting from this RFP may include Federal money. Attachment 04 is required by the Federal Government.

Only one copy of Attachment 04 is required; attach it to the original proposal. For further instructions see §1.26 and Attachment 04.

SEC. 4.05 MINIMUM EXPERIENCE & QUALIFICATIONS

SPECIAL NOTE: This attachment will only be used to establish that the offeror has met the minimum qualifications. Only the Procurement Officer will review this document: it will not be scored during proposal evaluation.

Attachment 05 is provided to establish that the offeror has met the minimum experience and qualifications for this RFP. Offerors must use this form to describe how their proposal meets the minimum qualifications listed in §1.04. This attachment may reference where to find the information within the offeror's proposal or it may be a separate listing of how the offeror meets the minimum qualifications.

Only one copy of Attachment 05 is required; attach it to the original proposal. For further instructions see §1.04 and Attachment 05.

SEC. 4.06 PREFERENCE WORKSHEET

Attachment 06 is provided to assist offerors with claiming any available preferences. Only one copy of Attachment 06 is required; attach it to the original proposal. For further instructions see §5.06, §6.11, §6.12, §6.13, §6.14, §6.16, and Attachment 06.

SEC. 4.07 INTRODUCTION

Proposals must begin with a brief introduction of the offeror's firm, the offeror's experience and qualifications in relation to this RFP, and a summary of the offeror's proposal in regards to understanding, methodology, and management plan for the project. Include any other general information you deem relevant to introduce your firm and your proposal.

SEC. 4.08 UNDERSTANDING OF THE PROJECT

Offerors must provide comprehensive narrative statements that illustrate their understanding of the requirements of the project and the project schedule.

SEC. 4.09 METHODOLOGY USED FOR THE PROJECT

Offerors must provide comprehensive narrative statements that set out the methodology they intend to employ and illustrate how the methodology will serve to accomplish the work and meet the state's project schedule.

SEC. 4.10 MANAGEMENT PLAN FOR THE PROJECT

Offerors must provide comprehensive narrative statements that set out the management plan they intend to follow and illustrate how the plan will serve to accomplish the work and meet the state's project schedule.

SEC. 4.11 EXPERIENCE AND QUALIFICATIONS

Offerors must provide an organizational chart specific to the personnel assigned to accomplish the work called for in this RFP; illustrate the lines of authority; designate the individual responsible and accountable for the completion of each component and deliverable of the RFP.

Offerors must provide a narrative description of the organization of the project team and a personnel roster that identifies each person who will actually work on the contract and provide the following information about each person listed:

- a) title,
- b) resume,
- c) location(s) where work will be performed,
- d) itemize the total cost and the number of estimated hours for each individual named above.

Offerors must provide reference names and phone numbers for similar projects the offeror's firm has completed.

SEC. 4.12 COST PROPOSAL

Cost proposals must include an itemized list of all direct and indirect costs associated with the performance of the contract, including, but not limited to, total number of hours at various hourly rates, direct expenses, payroll, supplies, overhead assigned to each person working on the project, percentage of each person's time devoted to the project, and profit.

Submit only one Cost Proposal in a separate, sealed envelope. No portion of the cost proposal shall be included within the body of the proposal. If submitting a proposal by e-mail, the costs proposal must be a separate attachment from the main proposal document.

SEC. 4.13 EVALUATION CRITERIA

All proposals will be reviewed to determine if they are responsive. Proposals determined to be responsive will be evaluated using the criterion that is set out in **SECTION 5. EVALUATION CRITERIA AND CONTRACTOR SELECTION.**

An evaluation may not be based on discrimination due to the race, religion, color, national origin, sex, age, marital status, pregnancy, parenthood, disability, or political affiliation of the offeror.

SECTION 5. EVALUATION CRITERIA AND CONTRACTOR SELECTION

THE TOTAL NUMBER OF POINTS USED TO SCORE THIS PROPOSAL IS 100

SEC. 5.01 UNDERSTANDING OF THE PROJECT (5%)

Proposals will be evaluated against the questions set out below:

- 1) How well has the offeror demonstrated a thorough understanding of the purpose and scope of the project?
- 2) How well has the offeror identified pertinent issues and potential problems related to the project?
- 3) To what degree has the offeror demonstrated an understanding of the deliverables the state expects it to provide?
- 4) Has the offeror demonstrated an understanding of the state's time schedule and can meet it?

SEC. 5.02 METHODOLOGY USED FOR THE PROJECT (5%)

Proposals will be evaluated against the questions set out below:

- 1) How comprehensive is the methodology and does it depict a logical approach to fulfilling the requirements of the RFP?
- 2) How well does the methodology match and achieve the objectives set out in the RFP?
- 3) Does the methodology interface with the time schedule in the RFP?

SEC. 5.03 MANAGEMENT PLAN FOR THE PROJECT (5%)

Proposals will be evaluated against the questions set out below:

- 1) How well does the management plan support all of the project requirements and logically lead to the deliverables required in the RFP?
- 2) How well is accountability completely and clearly defined?[Is the organization of the project team clear?
- 3) How well does the management plan illustrate the lines of authority and communication?
- 4) To what extent does the offeror already have the hardware, software, equipment, and licenses necessary to perform the contract?
- 5) Does it appear that the offeror can meet the schedule set out in the RFP?
- 6) Has the offeror gone beyond the minimum tasks necessary to meet the objectives of the RFP?
- 7) To what degree is the proposal practical and feasible?
- 8) To what extent has the offeror identified potential problems?

SEC. 5.04 EXPERIENCE AND QUALIFICATIONS (15%)

Proposals will be evaluated against the questions set out below:

Questions regarding the personnel:

- 1) Do the individuals assigned to the project have experience on similar projects?
- 2) Are resumes complete and do they demonstrate backgrounds that would be desirable for individuals engaged in the work the project requires?
- 3) How extensive is the applicable education and experience of the personnel designated to work on the project?
- 4) How well do the personnel designated to work on the project meet the desired experience described in §4.11?

Questions regarding the firm:

- 5) How well has the firm demonstrated experience in completing similar projects on time and within budget?
- 6) How successful is the general history of the firm regarding timely and successful completion of projects?
- 7) Has the firm provided letters of reference from previous clients?
- 8) If a subcontractor will perform work on the contract, how well do they measure up to the evaluation used for the offeror?

SEC. 5.05 DEMONSTRATION (20%)

A shortlist of prospective offerors, based on the initial review of proposals, will be requested to provide an online demonstration of their solution. Overall, a maximum of 20%⁶ of the total evaluation points will be assigned to the demonstration.

SEC. 5.06 CONTRACT COST (40%)

Overall, a maximum of 40% of the total evaluation points will be assigned to cost. The cost amount used for evaluation may be affected by one or more of the preferences referenced under Section 6.12. Attachment 11 is provided for you to submit a proposed cost for evaluation. Prospective offerors should also include a budget narrative to illustrate and illuminate the offeror's proposal as it relates to their offered budget.

Converting Cost to Points

The lowest cost proposal will receive the maximum number of points allocated to cost. The point allocations for cost on the other proposals will be determined through the method set out in Section 3.15.

SEC. 5.07 ALASKA OFFEROR PREFERENCE (10%)

If an offeror qualifies for the Alaska Bidder Preference, the offeror will receive an Alaska Offeror Preference. The preference will be 10% of the total available points. This amount will be added to the overall evaluation score of each Alaskan offeror.

SECTION 6. GENERAL PROCESS INFORMATION

SEC. 6.01 INFORMAL DEBRIEFING

When the contract is completed, an informal debriefing may be performed at the discretion of the project director. If performed, the scope of the debriefing will be limited to the work performed by the contractor.

SEC. 6.02 ALASKA BUSINESS LICENSE AND OTHER REQUIRED LICENSES

Prior to the award of a contract, an offeror must hold a valid Alaska business license. However, in order to receive the Alaska Bidder Preference and other related preferences, such as the Alaska Veteran and Alaska Offeror Preference, an offeror must hold a valid Alaska business license prior to the deadline for receipt of proposals. Offerors should contact the **Department of Commerce, Community and Economic Development, Division of Corporations, Business, and Professional Licensing, PO Box 110806, Juneau, Alaska 99811-0806**, for information on these licenses. Acceptable evidence that the offeror possesses a valid Alaska business license may consist of any one of the following:

- copy of an Alaska business license;
- certification on the proposal that the offeror has a valid Alaska business license and has included the license number in the proposal;
- a canceled check for the Alaska business license fee;
- a copy of the Alaska business license application with a receipt stamp from the state's occupational licensing office; or
- a sworn and notarized statement that the offeror has applied and paid for the Alaska business license.

You are not required to hold a valid Alaska business license at the time proposals are opened if you possess one of the following licenses and are offering services or supplies under that specific line of business:

- fisheries business licenses issued by Alaska Department of Revenue or Alaska Department of Fish and Game,
- liquor licenses issued by Alaska Department of Revenue for alcohol sales only,
- insurance licenses issued by Alaska Department of Commerce, Community and Economic Development, Division of Insurance, or
- Mining licenses issued by Alaska Department of Revenue.

Prior the deadline for receipt of proposals, all offerors must hold any other necessary applicable professional licenses required by Alaska Statute.

SEC. 6.03 SITE INSPECTION

The state may conduct on-site visits to evaluate the offeror's capacity to perform the contract. An offeror must agree, at risk of being found non-responsive and having its proposal rejected, to provide the state reasonable access to relevant portions of its work sites. Individuals designated by the procurement officer at the state's expense will make site inspection.

SEC. 6.04 CLARIFICATION OF OFFERS

In order to determine if a proposal is reasonably susceptible for award, communications by the procurement officer or the proposal evaluation committee (PEC) are permitted with an offeror to clarify uncertainties or eliminate confusion concerning the contents of a proposal. Clarifications may not result in a material or substantive change to the proposal. The evaluation by the procurement officer or the PEC may be adjusted as a result of a clarification under this section.

SEC. 6.05 DISCUSSIONS WITH OFFERORS

The state may conduct discussions with offerors in accordance with AS 36.30.240 and 2 AAC 12.290. The purpose of these discussions will be to ensure full understanding of the requirements of the RFP and proposal. Discussions will be limited to specific sections of the RFP or proposal identified by the procurement officer. Discussions will only be held with offerors who have submitted a proposal deemed reasonably susceptible for award by the procurement officer. Discussions, if held, will be after initial evaluation of proposals by the procurement officer or the PEC. If modifications are made as a result of these discussions they will be put in writing. Following discussions, the procurement officer may set a time for best and final proposal submissions from those offerors with whom discussions were held. Proposals may be reevaluated after receipt of best and final proposal submissions.

If an offeror does not submit a best and final proposal or a notice of withdrawal, the offeror's immediate previous proposal is considered the offeror's best and final proposal.

Offerors with a disability needing accommodation should contact the procurement officer prior to the date set for discussions so that reasonable accommodation can be made. Any oral modification of a proposal must be reduced to writing by the offeror.

SEC. 6.06 EVALUATION OF PROPOSALS

The procurement officer, or an evaluation committee made up of at least three state employees or public officials, will evaluate proposals. The evaluation will be based solely on the evaluation factors set out in **SECTION 5. EVALUATION CRITERIA AND CONTRACTOR SELECTION.**

After receipt of proposals, if there is a need for any substantial clarification or material change in the RFP, an amendment will be issued. The amendment will incorporate the clarification or change, and a new date and time established for new or amended proposals. Evaluations may be adjusted as a result of receiving new or amended proposals.

SEC. 6.07 CONTRACT NEGOTIATION

After final evaluation, the procurement officer may negotiate with the offeror of the highest-ranked proposal. Negotiations, if held, shall be within the scope of the request for proposals and limited to those items which would not have an effect on the ranking of proposals. If the highest-ranked offeror fails to provide necessary information for negotiations in a timely manner, or fails to negotiate in good faith, the state may terminate negotiations and negotiate with the offeror of the next highest-ranked proposal. If contract negotiations are commenced, they may be held in the School Finance and Faculties conference room on the 2nd floor of the M. Burns Building in Juneau, Alaska.

If the contract negotiations take place in Juneau, Alaska, the offeror will be responsible for their travel and per diem expenses.

SEC. 6.08 FAILURE TO NEGOTIATE

If the selected offeror

- fails to provide the information required to begin negotiations in a timely manner; or
- fails to negotiate in good faith; or
- indicates they cannot perform the contract within the budgeted funds available for the project; or
- if the offeror and the state, after a good faith effort, simply cannot come to terms,

the state may terminate negotiations with the offeror initially selected and commence negotiations with the next highest ranked offeror.

SEC. 6.09 OFFEROR NOTIFICATION OF SELECTION

After the completion of contract negotiation the procurement officer will issue a written Notice of Intent to Award (NIA) and send copies to all offerors. The NIA will set out the names of all offerors and identify the proposal selected for award.

SEC. 6.10 PROTEST

AS 36.30.560 provides that an interested party may protest the content of the RFP.

An interested party is defined in 2 AAC 12.990(a) (7) as "an actual or prospective bidder or offeror whose economic interest might be affected substantially and directly by the issuance of a contract solicitation, the award of a contract, or the failure to award a contract."

If an interested party wishes to protest the content of a solicitation, the protest must be received, in writing, by the procurement officer at least ten days prior to the deadline for receipt of proposals.

AS 36.30.560 also provides that an interested party may protest the award of a contract or the proposed award of a contract.

If an offeror wishes to protest the award of a contract or the proposed award of a contract, the protest must be received, in writing, by the procurement officer within ten days after the date the Notice of Intent to Award the contract is issued.

A protester must have submitted a proposal in order to have sufficient standing to protest the proposed award of a contract. Protests must include the following information:

- the name, address, and telephone number of the protester;
- the signature of the protester or the protester's representative;
- identification of the contracting agency and the solicitation or contract at issue;
- a detailed statement of the legal and factual grounds of the protest including copies of relevant documents; and the form of relief requested.

Protests filed by telex or telegram are not acceptable because they do not contain a signature. Fax copies containing a signature are acceptable.

The procurement officer will issue a written response to the protest. The response will set out the procurement officer's decision and contain the basis of the decision within the statutory time limit in AS 36.30.580. A copy of the decision will be furnished to the protester by certified mail, fax or another method that provides evidence of receipt.

All offerors will be notified of any protest. The review of protests, decisions of the procurement officer, appeals, and hearings, will be conducted in accordance with the State Procurement Code (AS 36.30), Article 8 "Legal and Contractual Remedies."

SEC. 6.11 APPLICATION OF PREFERENCES

Certain preferences apply to all contracts for professional services, regardless of their dollar value. The Alaska Bidder, Alaska Veteran, and Alaska Offeror preferences are the most common preferences involved in the RFP process. Additional preferences that may apply to this procurement are listed below. Guides that contain excerpts from the relevant statutes and codes, explain when the preferences apply and provide examples of how to calculate the preferences are available at the **Department of Administration, Division of General Service's** web site:

<http://doa.alaska.gov/dgs/pdf/pref1.pdf>

- Alaska Products Preference - AS 36.30.332
- Recycled Products Preference - AS 36.30.337
- Local Agriculture and Fisheries Products Preference - AS 36.15.050
- Employment Program Preference - AS 36.30.321(b)
- Alaskans with Disabilities Preference - AS 36.30.321(d)
- Alaska Veteran's Preference - AS 36.30.321(f)

The Division of Vocational Rehabilitation in the Department of Labor and Workforce Development keeps a list of qualified employment programs and individuals who qualify as persons with a disability. As evidence of a business' or an individual's right to the Employment Program or Alaskans with Disabilities preferences, the Division of Vocational Rehabilitation will issue a certification letter. To take advantage of these preferences, a business or individual must be on the appropriate Division of Vocational Rehabilitation list prior to the time designated for receipt of proposals. Offerors must attach a copy of their certification letter to the proposal. **An offeror's failure to provide this certification letter with their proposal will cause the state to disallow the preference.**

Sec. 6.12 ALASKA BIDDER PREFERENCE

An Alaska Bidder Preference of 5% will be applied to the price in the proposal. The preference will be given to an offeror who:

- 1) holds a current Alaska business license prior to the deadline for receipt of proposals;

- 2) submits a proposal for goods or services under the name appearing on the offeror's current Alaska business license;
- 3) has maintained a place of business within the state staffed by the offeror, or an employee of the offeror, for a period of six months immediately preceding the date of the proposal;
- 4) is incorporated or qualified to do business under the laws of the state, is a sole proprietorship and the proprietor is a resident of the state, is a limited liability company (LLC) organized under AS 10.50 and all members are residents of the state, or is a partnership under AS 32.06 or AS 32.11 and all partners are residents of the state; and
- 5) if a joint venture, is composed entirely of ventures that qualify under (1)-(4) of this subsection.

Alaska Bidder Preference Statement

In order to receive the Alaska Bidder Preference, the proposal must include a statement certifying that the offeror is eligible to receive the Alaska Bidder Preference.

If the offeror is a LLC or partnership as identified in (4) of this subsection, the statement must also identify each member or partner and include a statement certifying that all members or partners are residents of the state.

If the offeror is a joint venture which includes a LLC or partnership as identified in (4) of this subsection, the statement must also identify each member or partner of each LLC or partnership that is included in the joint venture and include a statement certifying that all of those members or partners are residents of the state.

SEC. 6.13 ALASKA VETERAN PREFERENCE

An Alaska Veteran Preference of 5%, not to exceed \$5,000, will be applied to the price in the proposal. The preference will be given to an offeror who qualifies under AS 36.30.990(2) as an Alaska bidder and is a:

- A. sole proprietorship owned by an Alaska veteran;
- B. partnership under AS 32.06 or AS 32.11 if a majority of the partners are Alaska veterans;
- C. limited liability company organized under AS 10.50 if a majority of the members are Alaska veterans; or
- D. corporation that is wholly owned by individuals, and a majority of the individuals are Alaska veterans.

Alaska Veteran Preference Statement

In order to receive the Alaska Veteran Preference, the proposal must include a statement certifying that the offeror is eligible to receive the Alaska Veteran Preference.

SEC. 6.14 ALASKA OFFEROR PREFERENCE

2 AAC 12.260(e) provides Alaska offerors a 10% overall evaluation point preference. Alaska bidders, as defined in AS 36.30.990(2), are eligible for the preference. An Alaska offeror will receive 10 percent of the total available points added to their overall evaluation score as a preference.

SEC. 6.15 FORMULA USED TO CONVERT COST TO POINTS

The distribution of points based on cost will be determined as set out in 2 AAC 12.260(c). The lowest cost proposal will receive the maximum number of points allocated to cost. The point allocations for cost on the other proposals will be determined using the formula:

$$[(\text{Price of Lowest Cost Proposal}) \times (\text{Maximum Points for Cost})] \div (\text{Cost of Each Higher Priced Proposal})$$

SEC. 6.16 EXAMPLES: CONVERTING COST TO POINTS & APPLYING PREFERENCES

(a) FORMULA USED TO CONVERT COST TO POINTS

STEP 1

List all proposal prices, adjusted where appropriate by the application of applicable preferences claimed by the offeror.

Offeror #1	\$40,000
Offeror #2	\$42,750
Offeror #3	\$47,500

STEP 2

In this example, the RFP allotted 40% of the available 100 points to cost. This means that the lowest cost will receive the maximum number of points.

Offeror #1 receives 40 points.

The reason they receive that amount is because the lowest cost proposal, in this case \$40,000, receives the maximum number of points allocated to cost, 40 points.

Offeror #2 receives 37.4 points.

$$\$40,000 \text{ lowest cost} \times 40 \text{ maximum points for cost} = 1,600,000 \div \$42,750 \text{ cost of Offeror \#2's proposal} = \mathbf{37.4}$$

Offeror #3 receives 33.7 points.

$$\$40,000 \text{ lowest cost} \times 40 \text{ maximum points for cost} = 1,600,000 \div \$47,500 \text{ cost of Offeror \#3's proposal} = \mathbf{33.7}$$

(b) ALASKA OFFEROR PREFERENCE

STEP 1

Determine the number of points available to qualifying offerors under this preference.

$$100 \text{ Total Points Available in RFP} \times 10\% \text{ Alaska offerors preference} = 10 \text{ Points for the Preference}$$

STEP 2

Determine which offerors qualify as Alaska bidders and thus, are eligible for the Alaska offerors preference. For the purpose of this example, presume that all of the proposals have been completely evaluated based on the evaluation criteria in the RFP. The scores at this point are:

Offeror #1	83 points	No Preference	0 points
Offeror #2	74 points	Alaska Offerors Preference	10 points
Offeror #3	80 points	Alaska Offerors Preference	10 points

STEP 3

Add the applicable Alaska offerors preference amounts to the offeror's scores:

Offeror #1	83 points	
Offeror #2	84 points	(74 points + 10 points)
Offeror #3	90 points	(80 points + 10 points)

STEP 4

Offeror #3 is the highest scoring offeror and would get the award, provided their proposal is responsible and responsive.

SECTION 7. GENERAL LEGAL INFORMATION

SEC. 7.01 STANDARD CONTRACT PROVISIONS

The contractor will be required to sign and submit the State's Standard Agreement Form for Professional Services Contracts (form 02-093/Appendix A). This form is attached in **SECTION 8. EXHIBITS** for your review. The contractor must comply with the contract provisions set out in this attachment. No alteration of these provisions will be permitted without prior written approval from the Department of Law. Objections to any of the provisions in Appendix A must be set out in the offeror's proposal.

SEC. 7.02 PROPOSAL AS A PART OF THE CONTRACT

Part or all of this RFP and the successful proposal may be incorporated into the contract.

SEC. 7.03 ADDITIONAL TERMS AND CONDITIONS

The state reserves the right to add terms and conditions during contract negotiations. These terms and conditions will be within the scope of the RFP and will not affect the proposal evaluations.

SEC. 7.04 HUMAN TRAFFICKING

By signature on their proposal, the offeror certifies that the offeror is not established and headquartered or incorporated and headquartered in a country recognized as Tier 3 in the most recent United States Department of State's Trafficking in Persons Report.

The most recent United States Department of State's Trafficking in Persons Report can be found at the following website: <http://www.state.gov/j/tip/>

Failure to comply with this requirement will cause the state to reject the proposal as non-responsive, or cancel the contract.

SEC. 7.05 RIGHT OF REJECTION

Offerors must comply with all of the terms of the RFP, the State Procurement Code (AS 36.30), and all applicable local, state, and federal laws, codes, and regulations. The procurement officer may reject any proposal that does not comply with all of the material and substantial terms, conditions, and performance requirements of the RFP.

Offerors may not qualify the proposal nor restrict the rights of the state. If an offeror does so, the procurement officer may determine the proposal to be a non-responsive counter-offer and the proposal may be rejected.

Minor informalities that:

- do not affect responsiveness;
- are merely a matter of form or format;
- do not change the relative standing or otherwise prejudice other offers;
- do not change the meaning or scope of the RFP;
- are trivial, negligible, or immaterial in nature;
- do not reflect a material change in the work; or

- do not constitute a substantial reservation against a requirement or provision;

may be waived by the procurement officer.

The state reserves the right to refrain from making an award if it determines that to be in its best interest.

A proposal from a debarred or suspended offeror shall be rejected.

SEC. 7.06 STATE NOT RESPONSIBLE FOR PREPARATION COSTS

The state will not pay any cost associated with the preparation, submittal, presentation, or evaluation of any proposal.

SEC. 7.07 DISCLOSURE OF PROPOSAL CONTENTS

All proposals and other material submitted become the property of the State of Alaska and may be returned only at the state's option. AS 40.25.110 requires public records to be open to reasonable inspection. All proposal information, including detailed price and cost information, will be held in confidence during the evaluation process and prior to the time a Notice of Intent to Award is issued. Thereafter, proposals will become public information.

Trade secrets and other proprietary data contained in proposals may be held confidential if the offeror requests, in writing, that the procurement officer does so, and if the procurement officer agrees, in writing, to do so. The offeror's request must be included with the proposal, must clearly identify the information they wish to be held confidential, and include a statement that sets out the reasons for confidentiality. Unless the procurement officer agrees in writing to hold the requested information confidential, that information will also become public after the Notice of Intent to Award is issued.

SEC. 7.08 ASSIGNMENT

Per 2 AAC 12.480, the contractor may not transfer or assign any portion of the contract without prior written approval from the procurement officer.

SEC. 7.09 DISPUTES

A contract resulting from this RFP is governed by the laws of the State of Alaska. If the contractor has a claim arising in connection with the agreement that it cannot resolve with the state by mutual agreement, it shall pursue the claim, if at all, in accordance with the provisions of AS 36.30.620 – AS 36.30.632. To the extent not otherwise governed by the preceding, the claim shall be brought only in the Superior Court of the State of Alaska and not elsewhere.

SEC. 7.10 SEVERABILITY

If any provision of the contract or agreement is declared by a court to be illegal or in conflict with any law, the validity of the remaining terms and provisions will not be affected; and, the rights and obligations of the parties will be construed and enforced as if the contract did not contain the particular provision held to be invalid.

SEC. 7.11 SUPPLEMENTAL TERMS AND CONDITIONS

Proposals must comply with **SEC. 1.12 RIGHT OF REJECTION**. However, if the state fails to identify or detect supplemental terms or conditions that conflict with those contained in this RFP or that diminish the state's rights

under any contract resulting from the RFP, the term(s) or condition(s) will be considered null and void. After award of contract:

if conflict arises between a supplemental term or condition included in the proposal and a term or condition of the RFP, the term or condition of the RFP will prevail; and

if the state's rights would be diminished as a result of application of a supplemental term or condition included in the proposal, the supplemental term or condition will be considered null and void.

SEC. 7.12 CONTRACT INVALIDATION

If any provision of this contract is found to be invalid, such invalidation will not be construed to invalidate the entire contract.

SEC. 7.13 SOLICITATION ADVERTISING

Public notice has been provided in accordance with 2 AAC 12.220.

SECTION 8. ATTACHMENTS

SEC. 8.01 ATTACHMENTS

Attachments:

Note: Attachments are listed here, but are separate documents on the [OPN page for this RFP](#).

- 1) [Proposal Checklist & Document Order](#)
- 2) [Proposal Cover Sheet](#)
- 3) [Location of Work & Conflict Of Interest Statement](#)
- 4) [Federal Debarment Certification Form](#)
- 5) [Minimum Experience & Qualifications](#)
- 6) [Preference Worksheet](#)
- 7) [Proposal Evaluation Form](#)
- 8) [Sample Standard Agreement Form](#)
- 9) [Appendix B¹](#)
- 10) [Sample Notice of Intent](#)
- 11) [Cost Proposal Form⁷](#)

¹ Amendment 01 change from 12/14/2016 to 12/19/2016

² Amendment 01 change from 12/14/2016 to 12/19/2016, other dates in this section have been changed to reflect the new deadline

³ Amendment 02 change from 12/19/2016 to 12/27/2016, other dates in this section have been changed to reflect the new deadline

⁴ Amendment 01 correction from 6 ARs to 4 ARs

⁵ Amendment 01 correction of year from 2016 to 2017

⁶ Amendment 01 correction to 20% from 40%

⁷ A new version of Attachment 11 was uploaded as part of Amendment 02