Byron Mallott Lieutenant Governor State Capitol Juneau, Alaska 99811 907.465.3520 465.5400 Fax WWW.LTGOV.ALASKA.GOV



530 West 7<sup>th</sup> Ave, Suite 1700 Anchorage, Alaska 99501 907.269.7460 269.0263 LT.GOVERNOR@ALASKA.GOV

#### OFFICE OF THE LIEUTENANT GOVERNOR ALASKA

#### MEMORANDUM

| TO:   | Jun Maiquis<br>Department of Commerce, Community and Economic Development  |  |
|-------|--|--|
| FROM: | Scott Meriwether, Office of the Lieutenant Governor CL<br>465.4081   |  |
| DATE: | September 12, 2016   |  |
| RE:   | Filed Permanent Regulations: Board of Marine Pilots<br>Regulations re: qualifications for marine pilots, availability of marine pilots in the<br>Western Alaska Region, and duties of marine pilots regarding collisions, allisions, and<br>groundings (12 AAC 56.029(2); 12 AAC 56.205(b); 12 AAC 56.960(d); 12 AAC<br>56.990(a)(34)) |  |
|       |  |  |

Attorney General File:JU2016200264Regulation Filed:September 9, 2016Effective Date:October 9, 2016Print:220, January 2017

cc with enclosures:

Linda Miller, Department of Law Micaela Fowler, Department of Commerce, Community & Economic Development Dianne Blumer, Administrative Regulation Review Committee Judy Herndon, LexisNexis

#### ORDER CERTIFYING THE CHANGES TO REGULATIONS OF THE BOARD OF MARINE PILOTS

The attached four pages of regulations, dealing with general requirements for marine pilot license, availability of pilots, duties of pilots, and definitions, are hereby certified to be a correct copy of the regulation changes that the Board of Marine Pilots adopted at its May 17, 2016 teleconference meeting, under the authority of AS 08.62.040, AS 08.62.100, AS 08.62.160, and AS 08.62.190 and after compliance with the Administrative Procedure Act (AS 44.62), specifically including notice under AS 44.62.190 and 44.62.200 and opportunity for public comment under AS 44.62.210.

This action is not expected to require an increased appropriation.

On the record, in considering public comments, the Board of Marine Pilots paid special attention to the cost to private persons of the regulatory action being taken.

The regulation changes described in this order take effect on the 30th day after they have been filed by the lieutenant governor, as provided in AS 44.62.180.

DATE:

Juneau, Alaska

Chris Hladick, Chairman, Board of Marine Pilots

#### FILING CERTIFICATION

I, Byron Mallott, Lieutenant Governor for the State of Alaska, certify that on <u>hep terminen</u>, 2016 at <u>3.300</u>.m., I filed the attached regulations according to the provisions of AS 44.62.040 – 44.62.120.

Byron Mallott, Lieutenant Governor

Effective: October 9, 2016. Register: 220, January 2017

# Register <u>220</u>, January 2015 PROFESSIONAL REGULATIONS

### Chapter 56. Board of Marine Pilots.

this state

12 AAC 56.029(2) is amended to read:

(2) show that the applicant has held a valid deputy marine pilot license in Alaska for a minimum of three [CALENDAR] years while remaining eligible for license renewal during this period of service without use of the familiarization trips under AS 08.62.120;

(Eff. 11/1/93, Register 128; am 5/29/96, Register 138; am 3/21/99, Register 149; am 10/24/2002,

Register 164; am 10/25/2002, Register 164; am 9/12/2006, Register 179; am 5/26/2007, Register

182; am 1/29/2009, Register 189; am 10 / 9 /2016, Register 220)

Authority: AS 08.62.040 AS 08.62.100

12 AAC 56.205(b) is repealed and readopted to read:

(b) Except as provided in (d) of this section,

(1) in Akutan of the Western Alaska Region, an agent, owner, or master of a vessel shall inform the appropriate pilot organization of a vessel movement at least 48 hours before the movement in order to provide sufficient time for a pilot to arrive at the vessel by the available means of transportation. A pilot will be considered unavailable for service only if the paragraph under 48-hour notice required by this subsection is given and a pilot does not show up at the vessel to ((lowercase)) render service? If the agent, owner, or master of a vessel requests a pilot, and the pilot attempts to reach the vessel but cannot do so within 48 hours due to factors beyond the pilot's control, and the vessel then leaves without the pilot, the vessel or the vessel's owner may be charged for the illower actual expenses incurred by the pilot in attempting to reach the vessel If the 48-hour notice is (Paragraph. under not given as required by this subsection and a pilot is not able to reach the vessel to render pilot

# Register <u>220</u>, <u>January</u> 201§ **PROFESSIONAL REGULATIONS**

services, the vessel or the vessel's owner may be charged for the transportation costs incurred by the pilot in attempting to reach the vessel and the pilotage charge and all other charges that would have been incurred had the pilot reached the vessel and provided pilotage services;

(2) in the Pribilof Islands, Port Clarence, and the Kuskokwim Bay Region of the including Bethel, Western Alaska Region, an agent, owner, or master of a vessel shall inform the appropriate pilot organization of a vessel movement at least 96 hours before the movement in order to provide sufficient time for a pilot to arrive at the vessel by the available means of transportation, (words, will be considered unavailable for service only if the 96-hour notice required by this subsection is a lover given and a pilot does not show up at the vessel to render service. If the agent, owner, or master of a vessel requests a pilot, and the pilot attempts to reach the vessel but cannot do so within 96 hours due to factors beyond the pilot's control, and the vessel then leaves without the pilot, the vessel or the vessel's owner may be charged for the actual expenses incurred by the pilot in allowncare M paragraph attempting to reach the vessel? If the 96-hour notice is not given as required by this subsection and a pilot is not able to reach the vessel to render pilot services, the vessel or the vessel's owner may be charged for the transportation costs incurred by the pilot in attempting to reach the vessel and the pilotage charge and all other charges that would have been incurred had the pilot reached the vessel and provided pilotage services;

(3) in all other locations of the Western Alaska Region, an agent, owner, or master of a vessel shall inform the appropriate pilot organization of a vessel movement at least 72 hours before the movement in order to provide sufficient time for a pilot to arrive at the vessel by the available means of transportation. A pilot will be considered unavailable for service only if the 72-hour notice required by this subsection is given and a pilot does not show up at the wessel to render service. If the agent, owner, or master of a vessel requests a pilot, and the pilot

# Register 220, January 2016 PROFESSIONAL REGULATIONS

attempts to reach the vessel but cannot do so within 72 hours due to factors beyond the pilot's control, and the vessel then leaves without the pilot, the vessel or the vessel's owner may be charged for the actual expenses incurred by the pilot in attempting to reach the vessel. If the 72hour notice is not given as required by this subsection and a pilot is not able to reach the vessel to render pilot services, the vessel or the vessel's owner may be charged for the transportation costs incurred by the pilot in attempting to reach the vessel and the pilotage charge and all other charges that would have been incurred had the pilot reached the vessel and provided pilotage services.

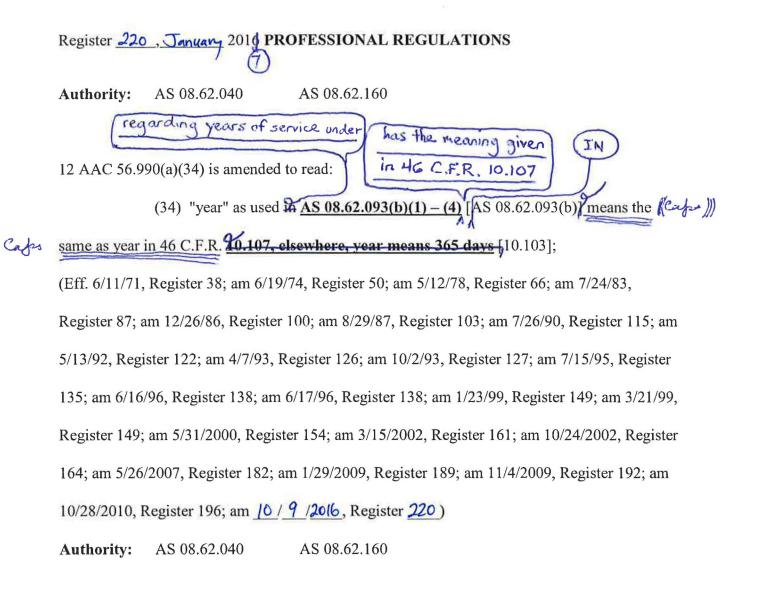
(Eff. 11/7/93, Register 128; am 4/7/95, Register 134; am 8/9/97, Register 143; am 5/31/2000, Register 154; am 2/12/2005, Register 173; am 10/9/2016, Register 220)

Authority: AS 08.62.040 AS 08.62.190

12 AAC 56.960(d) is amended to read:

(d) If a vessel piloted by a state licensed pilot <u>is involved in a collision, allision, or</u> <u>grounding</u> [GOES AGROUND, COLLIDES WITH ANOTHER VESSEL OR DOCK, MEETS WITH ANY CASUALTY, OR IS DAMAGED IN ANY WAY], the pilot shall, no later than 72 hours after returning ashore after the incident, file with the marine pilot coordinator an incident report as described in 12 AAC 56.965. The marine pilot coordinator will, in the coordinator's discretion, investigate the reported incident.

(Eff. 6/11/71, Register 38; am 6/19/74, Register 50; am 5/12/78, Register 66; am 7/24/83, Register 87; am 12/26/86, Register 100; am 8/29/87, Register 103; am 7/26/90, Register 115; am 11/7/93, Register 128; am 5/17/95, Register 134; am 6/16/96, Register 138; am 1/23/99, Register 149; am 3/15/2002, Register 161; am <u>10/9</u>/20/6, Register <u>220</u>)



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# **MEMORANDUM**

To: Hon. Byron Mallott Lieutenant Governor

From:

Steven C. Weaver

Sr. Assistant Attorney General and Assistant Regulations Attorney Legislation and Regulations Section

# State of Alaska Department of Law

Date: September 6, 2016

File No.: JU2016200264

Tel. No.: 465-3600

Re: Regulations re: qualifications for marine pilots, availability of marine pilots in the Western Alaska Region, and duties of marine pilots regarding collisions, allisions, and groundings (12 AAC 56.029(2); 12 AAC 56.205(b); 12 AAC 56.960(d); 12 AAC 56.990(a)(34))

We have reviewed the attached regulations from the Board of Marine Pilots against the statutory standards of the Administrative Procedure Act. I have reviewed this project under a specific delegation dated September 6, 2016 from the Regulations Attorney. The regulations update requirements regarding qualifications for marine pilots, availability of marine pilots in the Western Alaska Region, and duties of marine pilots regarding collisions, allisions, and groundings.

We find no legal problems. This memorandum constitutes the written statement of approval under AS 44.62.060(b) and (c) that authorizes your office to file the attached regulations.

The March 30, 2016 public notice and the May 26, 2016 certification of adoption order both state that this action is not expected to require an increased appropriation. Therefore, a fiscal note under AS 44.62.195 is not required.

We have made some technical corrections to conform the regulations in accordance with AS 44.62.125. The corrections are shown on the attached copy of the regulations.

SCW

cc w/enc: (via email)

Janey Hovenden, Director Division of Corporations, Business, and Professional Licensing Department of Commerce, Community, and Economic Development

# **MEMORANDUM**

To: Sara Chambers, Interim Marine Pilot Coordinator Board of Marine Pilots

Steven C. Weaver

Sr. Assistant Attorney General and Assistant Regulations Attorney Legislation and Regulations Section

From:

# State of Alaska Department of Law

- Date: September 6, 2016
- File No.: JU2016200264
- Tel. No.: 465-3600
  - Re: Regulations re: qualifications for marine pilots, availability of marine pilots in the Western Alaska Region, and duties of marine pilots regarding collisions, allisions, and groundings (12 AAC 56.029(2); 12 AAC 56.205(b); 12 AAC 56.960(d); 12 AAC 56.990(a)(34))

Under AS 44.62.060, we have reviewed the attached changes by the Board of Marine Pilots and approve the changes for filing by the lieutenant governor. I have reviewed this project under a specific delegation dated September 6, 2016 from the Regulations Attorney.

You might wish to contact the lieutenant governor's office to confirm the filing date and effective date of the attached regulations changes.

The March 30, 2016 public notice and the May 26, 2016 certification of adoption order both state that this action is not expected to require an increased appropriation. Therefore, a fiscal note under AS 44.62.195 is not required.

Sara Chambers, Interim Marine Pilot Coordinator Board of Marine Pilots Our file: JU2016200264 September 6, 2016 Page 2

We have made some technical corrections to the regulations in accordance with AS 44.62.125, as shown on the attached copy.

#### SCW

cc w/enc: (via email)

Micaela Fowler, Regulations Contact Department of Commerce, Community, and Economic Development

Janey Hovenden, Director Division of Corporations, Business, and Professional Licensing Department of Commerce, Community, and Economic Development

Jun Maiquis, Regulations Specialist Division of Corporations, Business, and Professional Licensing Department of Commerce, Community, and Economic Development

Harriet Dinegar Milks, Assistant Attorney General Commercial and Fair Business Section

# **MEMORANDUM**

то: Hon. Byron Mallott Lieutenant Governor

FROM: Susan R. Pollard Chief Assiste Chief Assistant Attorney General & Regulations Attorney Legislation/Regulations Section

# State of Alaska

**Department of Law** 

DATE: September 6, 2016

FILE NO.: JU2016200264

TELEPHONE NO.: 465-3600

**SUBJECT:** Specific delegation of authority regarding regulations review on Board of Marine Pilots regulations re: qualifications for marine pilots, availability of marine pilots in the Western Alaska Region, and duties of marine pilots regarding collisions, allisions, and groundings (12 AAC 56.029(2); 12 AAC 56.205(b); 12 AAC 56.960(d); 12 AAC 56.990(a)(34))

By this memorandum, I am delegating my authority as Regulations Attorney under AS 44.62 to Assistant Attorney General Steven C. Weaver for the above-referenced regulations project. Under this delegation of authority, Steven Weaver has my full authority under AS 44.62 to conduct the legal review under AS 44.62 and take necessary actions on this regulations project.

If you have questions, please let me know.

SCW

cc w/enc:

Scott C. Meriwether, AAC Coordinator Office of the Lieutenant Governor

Steven C. Weaver Sr. Assistant Attorney General and Assistant Regulations Attorney Legislation/Regulations Section

STATE OF ALASKA

) ) ss.

FIRST JUDICIAL DISTRICT )

## AFFIDAVIT OF BOARD ACTION

I, Crystal Dooley, Marine Pilot Coordinator for the Board of Marine Pilots, being duly sworn, state the following:

The attached motion dealing with general requirements for marine pilot license, availability of pilots, duties of pilots, and definitions was passed by the Board of Marine Pilots during its May 17, 2016 teleconference meeting.

Date: <u>5/10/14</u> Juneau, Alaska

Crystal Dodley, Marine Pilot Coordinator

SUBSCRIBED AND SWORN TO before me this 26 day of \_\_\_\_\_, 2016.



Notary Public in and for the State of Alaska My commission expires: with office

## STATE OF ALASKA DEPARTMENT OF COMMERCE, COMMUNITY, AND ECONOMIC DEVELOPMENT DIVISION OF CORPORATIONS, BUSINESS AND PROFESSIONAL LICENSING BOARD OF MARINE PILOTS

# **DRAFT MINUTES OF MEETING**

### May 17, 2016 Teleconference originating at the State Office Building 333 Willoughby Avenue 9<sup>th</sup> Floor Conference Room B-East Juneau, Alaska

### These draft minutes have been prepared by the staff of the Division of Corporations, Business and Professional Licensing. They have not been reviewed or approved by the Alaska Board of Marine Pilots.

By the authority of AS 08.01.070(2), AS 08.62.030, and in compliance with the provisions of AS 44.62, Article 6, a meeting of the Alaska Board of Marine Pilots was held on May 17, 2016 in via teleconference.

#### May 17, 2016

#### Call to Order/Roll Call

The meeting was called to order at 10:00 AM by Chairman Chris Hladick. The Marine Pilot Coordinator (MPC) conducted roll call.

Members present constituting a quorum were:

| Hans Antonsen        | - Pilot Member            |  |  |
|----------------------|---------------------------|--|--|
| David Arzt           | - Pilot Member            |  |  |
| Andrew Mack          | - Public Member           |  |  |
| Shirley Marquardt    | - Public Member           |  |  |
| Tom Rueter           | - Agent Member            |  |  |
| Rick Erickson        | - Agent Member            |  |  |
| Chris Hladick, Chair | - Commissioner's Designee |  |  |
| Staff present:       |                           |  |  |

Crystal Dooley Marine Pilot Coordinator (MPC)

Agenda Item 1 Review and Set Agenda

Hearing no objections to the set agenda, it was:

RESOLVED to approve the agenda.

#### Agenda Item 2 Review/Approve Minutes for April 12, 2016

The MPC confirmed that the tentative date for the next meetings were October 12 and the January 26. Mr. Erickson stated that Mr. Tibbles had commented at the last meeting there was a no vote on a specific topic, but the MPC had recorded there was a vote. Since the Board had no clear recollection, the MPC stated she would listen to the recording and report back. The Chair called for a vote on the approval of the minutes:

Motion: Approve minutes for April 12, 2016

Moved by: Mr. Erickson Second: Captain Arzt

The Chair called for the vote and there were no affirmative votes. The Chair stated the Board would vote on the minutes at the next meeting.

#### Agenda Item 3 Adoption of Regulations: 12 AAC 56.205(b), 12 AAC 56.029(2), 12 AAC 56.990(a)(34) & 12 AAC 56.960(d)

#### 12 AAC 56.205(b)

Motion: Accept proposed change to regulation 12 AAC 56.205(b) (1):

"(1) in Akutan of the Western Alaska Region, an agent, owner, or master of a vessel shall inform the appropriate pilot organization of a vessel movement at least 48 hours before the movement in order to provide sufficient time for a pilot to arrive at the vessel by the available means of transportation. A pilot will be considered unavailable for service only if the 48-hour notice required by this subsection is given and a pilot does not show up at the vessel to render service. If the agent, owner, or master of a vessel requests a pilot, and the pilot attempts to reach the vessel but cannot do so within 48 hours due to factors beyond the pilot's control, and the vessel then leaves without the pilot, the vessel or the vessel's owner may be charged for the actual expenses incurred by the pilot in attempting to reach the vessel. If the 48-hour notice isnot given as required by this subsection and a pilot is not able to reach the vessel to render pilot services, the vessel or the vessel's owner may be charged for the transportation costs incurred by the pilot in attempting to reach the vessel and the pilotage charge and all other charges that would have been incurred had the pilot reached the vessel and provided pilotage services;

- (2)in the Pribilof Islands, Port Clarence, and the Kuskokwim Bay Region of the Western Alaska Region, an agent, owner, or master of a vessel shall inform the appropriate pilot organization of a vessel movement at least 96 hours before the movement in order to provide sufficient time for a pilot to arrive at the vessel by the available means of transportation. A pilot will be considered unavailable for service only if the 96-hour notice required by this subsection is given and a pilot does not show up at the vessel to render service. If the agent, owner, or master of a vessel requests a pilot, and the pilot attempts to reach the vessel but cannot do so within 96 hours due to factors beyond the pilot's control, and the vessel then leaves without the pilot, the vessel or the vessel's owner may be charged for the actual expenses incurred by the pilot in attempting to reach the vessel. If the 96hour notice is not given as required by this subsection and a pilot is not able to reach the vessel to render pilot services, the vessel or the vessel's owner may be charged for the transportation costs incurred by the pilot in attempting to reach the vessel and the pilotage charge and all other charges that would have been incurred had the pilot reached the vessel and provided pilotage services;
- in all other locations of the Western Alaska Region, an agent, owner, or (3)master of a vessel shall inform the appropriate pilot organization of a vessel movement at least 72 hours before the movement in order to provide sufficient time for a pilot to arrive at the vessel by the available means of transportation. A pilot will be considered unavailable for service only if the 72-hour notice required by this subsection is given and a pilot does not show up at the vessel to render service. If the agent, owner, or master of a vessel requests a pilot, and the pilot attempts to reach the vessel but cannot do so within 72 hours due to factors beyond the pilot's control, and the vessel then leaves without the pilot, the vessel or the vessel's owner may be charged for the actual expenses incurred by the pilot in attempting to reach the vessel. If the 72-hour notice is not given as required by this subsection and a pilot is not able to reach the vessel to render pilot services, the vessel or the vessel's owner may be charged for the transportation costs incurred by the pilot in attempting to reach the vessel and the pilotage charge and all other charges that would have been incurred had the pilot reached the vessel and provided pilotage services."

Moved by: Captain Antonsen: Second: Mr. Rueter

The Chair requested a roll call vote: Captain Arzt - Yes Captain Antonsen - Yes Mr. Erickson: - Yes Mr. Mack - Yes

Mr. Rueter - Yes Ms. Marquardt - Yes Mr. Hladick - Yes

Motion passed 7 -0.

#### 12 AAC 56.029(2)

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Motion: Accept proposed change to regulation 12 AAC 56.029(2): "(2) show that the applicant has held a valid deputy marine pilot license in Alaska for a minimum of three years while remaining eligible for license renewal during this period of service without use of the familiarization trips under AS 08.62.120"

Moved by: Ms. Marquardt Second: Mr. Rueter:

The Chair requested a roll call vote: Captain Arzt - Yes Captain Antonsen - Yes Mr. Erickson - Yes Mr. Mack - Yes Mr. Rueter - Yes Ms. Marquardt - Yes Mr. Hladick - Yes

Motion passed 7 -0.

#### 12 AAC 56.990(a)(34)

Motion: Accept proposed change to regulation 12 AAC 56.990(a)(34): "(34) "year" as used in AS 08.62.093(b) means the same as year in 46 C.F.R. 10.103, elsewhere, year means 365 days"

Moved by: Captain Antonsen Second: Captain Arzt

The Chair asked for discussion. The Chair confirmed with the MPC that the only change to the regulation was adding "elsewhere, year means 365 days". Captain Antonsen stated he was concerned about unintended consequence in other paragraphs detailing required sea time and sea service instead of years. He recommended waiting for feedback from the pilot associates before future clean-up efforts.

Mr. Rueter stated he believed the 46 CFR 10.103 does not refer to any definition, and the correct citation is 46CFR 10.107. Captain Antonsen asked if the Board

would be looking at the citations later and the MPC stated she was hoping to have a project completed by the October meeting.

Captain Antonsen asked if the Board could table the issue until the October meeting. Mr. Reuter asked if the Board could amend the definition or use the MPC to research the issue. The Chair asked the MPC if amending the regulation would require re-starting the public notice process. Captain Antonsen stated, at the previous meeting, Mr. Marquis stated that a public notice can be broad or narrow, and that he thought the Board could amend it at the meeting and move on. The MPC stated that the regulation language could be changed provided the broad principle wasn't changed. The Chair recommended the Board amended it.

Mr. Rueter stated the definition referred to in this section be referenced as 46 CFR 10.107.

Captain Antonsen requested a friendly amendment to add language "subparagraph 1-4", so the regulation change only referred to the sea service paragraph. Captain Antonsen that nothing was changing and it could prevent future conflict. Mr. Reuter stated that "calendar" had been struck. Mr. Rueter stated he would accept the amendment. Captain Antonsen stated he would second the amendment:

Motion: Accept the amended proposed change to regulation 12 AAC 56.990(a)(34):

(34) "Year as used in AS 08.62.093(b)(1-4) means the same as year in 46 CFR 10.107. Elsewhere, year means 365 days."

Moved by: Mr. Rueter Second: Captain Antonsen

The Chair requested a roll call vote: Captain Arzt - Yes Captain Antonsen - Yes Mr. Erickson - Yes Mr. Mack - Yes Mr. Rueter - Yes Ms. Marquardt - Yes Mr. Hladick - Yes

Motion passed 7 -0.

Motion: Accept to proposed change to regulation 12 AAC 56.990(a) (34): "Year as used in AS 08.62.093(b)(1-4) means the same as year in 46 CFR 10.107. Elsewhere, year means 365 days.

The Chair requested a roll call vote: Captain Arzt - Yes Captain Antonsen - Yes Mr. Erickson - Yes Mr. Mack - Yes Mr. Rueter - Yes Ms. Marquardt - Yes Mr. Hladick - Yes

Motion passed 7 -0.

#### 12 AAC 56.960(d)

Motion: Adopt proposed change to regulation 12 AAC 56.960(d): "If a vessel piloted by a state licensed pilot is involved in a collision, allision, or grounding, the pilot shall, no later than 72 hours after returning ashore after the incident, file with the marine pilot coordinator an incident report as described in 12 AAC 56.965. The marine pilot coordinator will, in the coordinator's discretion, investigate the reported incident."

Moved by: Captain Antonsen Second: Captain Arzt

The Chair asked for discussion. Mr. Rueter suggested adding an amendment of the wording "if a vessel piloted by a state licensed pilot is involved in a collision, allision, grounding, or any navigational occurrence that results in personal injury, property damage, or environment damage the pilot shall, no later than 72 hours after returning ashore after the incident, file with the marine pilot coordinator an incident report as described in 12 AAC 56.965. The marine pilot coordinator will, in the coordinator's discretion, investigate the reported incident."

Mr. Erickson seconded the amendment. The Chair called for discussion.

Mr. Rueter stated the Board currently has a regulation with the wording "meets with any casualty", but back in the Fall meeting there was an inquiry by the Chair on what constituted a reportable incident. Even though there was some discussion, the Board never came to a complete understanding. Mr. Reuter stated the wording addresses what happens when a pilot comes onboard the bridge and finds an impaired crew member or master and if that should that be reported to the Board.

Mr. Reuter stated he was concerned that there had been too many significant reportable occurrences that were never reported to the Board. He stated that any incident may not even apply to the navigation of the vessel, so the Board is going

from one extreme to the other by eliminating the reporting requirement. He stated the Board is charged with the safety of the environment on behalf of the State, the pilots are the eyes and ears that are licensed to be watching for this and the Board would like to have a report of items of concern. He stated he thought it's fitting to have the language added because it would not be overly burdensome to the pilots to report those types of incidents.

Mr. Erickson stated that adding additional language that Mr. Rueter proposed puts the Board in line with what can be found in statutes and the statutory authorization for this section from AS 08.62.040, creating "proficient and competent pilotage service". He stated adding the additional language would be in line with statute and "assures the safety of shipping".

Captain Antonsen stated that the "Protection of human life and property and of the marine environment" was in the context of an efficiently run pilot service, not in overlapping agencies. He stated that other agencies, such as the Coast Guard, maintain visibility and enforcement over those incidents and adding more language to this regulation would re-introduce ambiguity. He stated that it could create a catch-all for pilot conduct that the pilot didn't directly contribute to.

Captain Arzt stated that the example of intoxicated crew members and officers does not speak to the proposed regulation or amended regulation, and that there is more ambiguity and inconsistency if the regulation was amended. He stated that the Board was still defining what a reportable incident was, and that he was not in favor of this new wording because it opened up more uncertainty.

The MPC stated she did not have enforcement authority over intoxicated crew members and would pass the information to the Coast Guard for enforcement. Captain Arzt stated that pilots did have reporting requirements under the Federal government.

Mr. Rueter stated that a key item of concern was this regulation was part of the Pilotage Act, and wasn't it incumbent on the Board to be aware of the issues that may come, whether or not the Board could enforce it? He said if there was an increasing amount of problems or a pilot was present and could make the Board aware of, it was not burdensome to make the Board aware of the report. Mr. Rueter asked about a grounding report for the M/V FENNICA that received great notoriety but was not brought before the Board because it was deemed a charting error. Captain Antonsen stated the Board did not discuss it; however the Board through the MPC and the Chair acted with the Incident Report to follow up on the

issue. Captain Arzt stated that some issues may be reportable but not reportable in the mechanism the Board was discussing.

The Chair asked Mr. Rueter what he wanted to have happen with the incident. Mr. Rueter stated he thought all issues were left to the MPC to determine if an Incident Report is suitable for further investigation. The Chair stated that was correct, however the MPC used the guidance of a reviewing board member. Mr. Rueter stated that, even though the issue was with the navigational chart, the vessel needed costly repairs in a drydock. Mr. Rueter stated he wasn't sure if there was a further investigation or report from the MPC. The MPC asked if she could send out the investigation process chart to explain that when the MPC gets an Incident Report, it's sent out to a Reviewing Board Member to see if there was a violation of statute and regulations. The MPC stated that, in this case, there was no violation of statute and regulations and the case was closed. It was confirmed that Captain Arzt was the reviewing board member for this case, and that this information could be discussed in open session after a case was closed. The MPC stated that cases would not be going before all Board members for deliberation because that was outside of the investigative process. Mr. Rueter stated that his purpose was not to seek a violation of a pilot's license but there is a clear need for a report of an incident and the findings in result of the investigation. He stated the navigation charts were found at fault and determined there was no violation, but he would appreciate a report so the Board can improve their understanding. The Chair asked Mr. Rueter if he wanted a report. The Chair asked if the Coast Guard would have received a report, and the MPC said yes. She stated it would be public information via a FOIA or request by the MPC. Mr. Rueter stated the Board should be aware of incidents and activity, even if there is no enforcement power. Mr. Rueter stated the addition of the new language would require pilots to report incidents that do result in environmental and property damage while the vessel is under their command and it was not an excessive burden.

Captain Antonsen stated that the noticed language and the intent from the beginning was to clear the ambiguity, and this proposed language would add ambiguity back, and failure to report information the pilot may not be aware of puts them at risk for fines and penalties for not reporting the information. He stated that pilots needed clarity on what was needed to report.

Mr. Erickson stated the Board was tasked to define "meets with any casualty", but the Board never solved the issue. He stated he proposed some language at the January meeting and it was never voted on. He stated Mr. Rueter's proposed language limited the reportable incidents to something only occurring during

navigation, and if a ship had to turn sharply to avoid an object and injuries someone, the Board could know. He stated he didn't want the Board to loosen the reporting requirements.

Captain Arzt stated that pilots have obligations to report oil pollution incidents to DEC since that's where jurisdictional control on the State's water lie. He stated there are mechanisms in place and the Board was far afield. The MPC re-read the amended regulation to confirm with Mr. Reuter that his vision was that reportable incidents were only related to navigation. Mr. Rueter said that was correct. Mr. Mack stated the MPC took the information to the RBM and there was a clear line if there was a state or federal law was violated, and if not, that was the end for the BOMP. The MPC stated his understanding was correct, and that the BOMP had statutes or regulations the incident pertained to. Mr. Mack stated there were clear examples, such as a marine pollution incident, and that it would be multiple agencies involved in the investigation. The MPC stated the BOMP would only be concerned with the pilot's actions.

The Chair requested a vote on the amended language:

Motion: Adopt the amended language to the regulation:" If a vessel piloted by a state licensed pilot is involved in a collision, allision, grounding, or any navigational occurrence that results in personal injury, property damage, or environment damage the pilot shall, no later than 72 hours after returning ashore after the incident, file with the marine pilot coordinator an incident report as described in 12 AAC 56.965. The marine pilot coordinator will, in the coordinator's discretion, investigate the reported incident."

Moved by: Mr. Rueter Second: Mr. Erickson

> The Chair called for a roll-call vote: Captain Arzt - No Captain Antonsen - No Mr. Erickson - Yes Mr. Mack - No Mr. Rueter - Yes Ms. Marquardt - No Mr. Hladick – No

Motion failed 5-2.

The Chair asked for vote on the original motion:

Motion: Adopt proposed change to regulation 12 AAC 56.960(d): "If a vessel piloted by a state licensed pilot is involved in a collision, allision, or grounding, the pilot shall, no later than 72 hours after returning ashore after the incident, file with the marine pilot coordinator an incident report as described in 12 AAC 56.965. The marine pilot coordinator will, in the coordinator's discretion, investigate the reported incident."

Moved by: Captain Antonsen Second: Captain Arzt

> The Chair called for a roll-call vote: Captain Artz– Yes Captain Antonsen – Yes Mr. Erickson – No Mr. Mack – Yes Mr. Rueter – No Ms. Marquardt – Yes Mr. Hladick – Yes

Motion carries 5-2.

The Chair stated the Board should have a discussion at the next meeting concerning Mr. Rueter's concerns and educate the new members on the investigation process.

## Agenda Item 4 Approve the Navigation Packet

The Chair asked for a motion to approve the navigation packet. Captain Antonsen stated he appreciated the chance to look over the packet. He stated the packet could change in the future and thanked the agents for their effort. He stated the Voluntary Waterway Guide was the 2014 edition.

Motion: Approve the 2016 Agent Navigation packet as presented.

Moved by: Mr. Rueter Second: Mr. Erickson.

> Captain Artz– Yes Captain Antonsen – Yes Mr. Erickson – Yes Mr. Mack – Yes Mr. Rueter – Yes Ms. Marquardt – Yes Mr. Hladick – Yes

Motion carries 7-0

#### Agenda Item 5

# U.S. Coast Guard Long Range Communication Study

Mr. Rueter stated it was greatly appreciated the Coast Guard was using the MPC as a method of distribution and a minor point of order that, if the Board was instructing the pilot association and agents to participate, it should have been a statement from the Board and not the MPC. Captain Antonsen stated the SEAPA took the notification in the spirit it was offered, and it was not included in the pilot-master exchange in that it could be a distraction, and because there is no record of what pilot met with what master onboard what ship, it would be a better job for the agents. The MPC stated that the Coast Guard was researching Sea Areas 200NM offshore and the Arctic, and while it does not include pilotage waters, it will be pertinent 20 - 30 years from now. Mr. Mack stated he thought the spirit of the request was appropriate but it's a better question for the pilot organizations and agents if they can do it safely and efficiently.

#### **Oral Examination for Captain Maury** Agenda Item 2

On a motion by Captain Antonsen, seconded by Ms. Marguardt, and carried without dissent, the Board entered Executive Session at 11:15AM for the purposes of an oral examination for Captain Ian Maury.

#### **Agenda Item 3 Board Vote on the Licensure of Captain Maury**

Motion: Grant Captain Maury a Deputy Marine Pilot's License for Region 2, exempting ice conditions in Nikiski and requiring a docking between October and April in Seward.

Moved by: Captain Antonsen Seconded by: Mr. Reuter

The Chair asked for a roll-call vote. Captain Arzt–Yes Captain Antonsen - Yes Mr. Erickson – Yes Mr. Mack – Yes Mr. Rueter – Yes Ms. Marquardt - Yes Mr. Hladick - Yes

Motion carries 7-0

The Chair dismissed the Board at 11:40 AM.

Respectfully submitted:

Crystal Dooley

Marine Pilot Coordinator

Chris Hladick

Chairman

#### STATE OF ALASKA ) SS. ) SS. )

#### AFFIDAVIT OF NOTICE OF PROPOSED ADOPTION OF REGULATIONS AND FURNISHING OF ADDITIONAL INFORMATION

I, Jun Maiquis, Regulations Specialist, of the Department of Commerce, Community, and Economic Development, Division of Corporations, Business and Professional Licensing, being sworn, state the following:

As required by AS 44.62.190, notice of the proposed adoption of changes to 12 AAC 56.029, 12 AAC 56.205, 12 AAC 56.960, and 12 AAC 56.990, dealing with general requirements for marine pilot license, availability of pilots, duties of pilots, and definitions, has been given by being:

- 1. published in a newspaper or trade publication;
- 2. furnished to interested persons;
- 3. furnished to appropriate state officials;
- 4. furnished to the Department of Law, along with a copy of the proposed regulations;
- 5. electronically transmitted to incumbent State of Alaska legislators;
- 6. furnished to the Legislative Affairs Agency, Legislative Legal and Research Services;
- 7. posted on the Alaska Online Public Notice System as required by AS 44.62.175(a)(1) and (b) and 44.62.190(a)(1);
- 8. furnished electronically, along with a copy of the proposed regulations, to the Legislative Affairs Agency, the chair of the Labor and Commerce Committee of the Alaska Senate and House of Representatives, the Administrative Regulation Review Committee, and the legislative council.

As required by AS 44.62.190(d), additional regulations notice information regarding the proposed adoption of the regulation changes described above has been furnished to interested persons and those in (5) and (6) of the list above. The additional regulations notice information also has been posted on the Alaska Online Public Notice System.

DATE:

Juneau, Alaska

Jun Maiquis, Regulations Specialist

SUBSCRIBED AND SWORN TO before me this

day of 2016.

Notary Public in and for the State of Alaska My commission expires: With office

STATE OF ALASKA

FIRST JUDICIAL DISTRICT

) ss.

## AFFIDAVIT OF ORAL HEARING

I, Crystal Dooley, Marine Pilot Coordinator of the Board of Marine Pilots, being sworn, state the following:

The Board of Marine Pilots presided over the following public hearing held in accordance with AS 44.62.210 for the purpose of taking testimony in connection with the adoption of changes to 12 AAC 56.029, 12 AAC 56.205, 12 AAC 56.960, and 12 AAC 56.990 dealing with general requirements for marine pilot license, availability of pilots, duties of pilots, and definitions.

April 12, 2016, at 8:50 a.m., at the State Office Building, 333 Willoughby Avenue, 9th Floor, Conference Room A, Juneau, Alaska.

Date: 7/20 14 Juneau, Alaska

Crystal Dooley, Marine Pilot Coordinator

SUBSCRIBED AND SWORN TO before me this 26 day of  $\gamma$ , 2016.



Notary Public in and for the State of Alaska My Commission Expires: with office

STATE OF ALASKA

) ss.

FIRST JUDICIAL DISTRICT

#### AFFIDAVIT OF AGENCY RECORD OF PUBLIC COMMENT

I, Jun Maiquis, Regulations Specialist for the Department of Commerce, Community, and Economic Development, Division of Corporations, Business and Professional Licensing, being duly sworn, state the following:

In compliance with AS 44.62.215, the Board of Marine Pilots has kept a record of its use or rejection of factual or other substantive information that was submitted in writing and orally as public comment and that was relevant to the accuracy, coverage, or other aspect of the Board of Marine Pilots regulations on dealing with general requirements for marine pilot license, availability of pilots, duties of pilots, and definitions.

DATE: Juneau, Alaska

Jun Maiquis, Regulations Specialist

SUBSCRIBED AND SWORN TO before me this  $\underline{9^{\pm}}$  day of  $\underline{500}$ . 2016.



Notary Public in and for the State of Alaska My commission expires: with office

#### NOTICE OF PROPOSED CHANGES TO GENERAL REQUIREMENTS FOR MARINE PILOT LICENSE, NOTIFICATION OF VESSEL MOVEMENTS, DUTIES, AND DEFINITIONS IN THE REGULATIONS OF THE BOARD OF MARINE PILOTS

BRIEF DESCRIPTION: The Board of Marine Pilots proposes to update various regulations relating to general requirements for marine pilot license, notification of vessel movements, marine pilot's duties, and clarifying the definition of "year".

The Board of Marine Pilots (Board) proposes to adopt regulation changes in Title 12, Chapter 56, of the Alaska Administrative Code, dealing with general requirements for marine pilot license, availability of pilots, duties of pilots, and definitions, including the following:

- 1. 12 AAC 56.029, General requirements for marine pilot license, is proposed to be changed to alter the general requirements for a marine pilot license.
- 2. 12 AAC 56.205, Availability of pilots, is proposed to be changed to alter the requirements for notification of vessel movements.
- 3. 12 AAC 56.960, Duties of pilots, is proposed to be changed to clarify a marine pilot's duties.
- 4. **12 AAC 56.990, Definitions**, is proposed to be changed to further define terms used in AS 08.62 and 12 AAC 56.

You may comment on the proposed regulation changes, including the potential costs to private persons of complying with the proposed changes, by submitting written comments to Marilyn Zimmerman, Paralegal, Division of Corporations, Business and Professional Licensing, P.O. Box 110806, Juneau, AK 99811-0806. Additionally, the Board will accept comments by facsimile at (907) 465-2974, and by electronic mail at marilyn.zimmerman@alaska.gov. Comments may also be submitted through the Alaska Online Public Notice System by accessing this notice on the system at http://notice.alaska.gov/180839, and using the comment link. The comments must be received not later than 4:30 p.m. on April 29, 2016.

Oral comments relevant to the proposed actions, including the potential costs to private persons of complying with the proposed action, may also be given at a hearing to be held on April 12, 2016, at the State Office Building, 333 Willoughby Avenue, 9th Floor, Conference Room A, Juneau, Alaska. If you wish to give your comments via telephone, please call 1-800-315-3668 access code 89061. The hearing will begin at 8:50 a.m. and will end when those who have signed up before 8:50 a.m. to give oral testimony have had the opportunity to comment. The chair of the Board may set a time limit for each participant's oral testimony, determined by the chair before the hearing begins, to allow enough time for all those present and timely registered to give testimony.

You may submit written questions relevant to the proposed action to Marilyn Zimmerman, Paralegal, Division of Corporations, Business and Professional Licensing, P.O. Box 110806, Juneau, AK 99811-0806, or by e-mail at marilyn.zimmerman@alaska.gov. The questions must be received at least 10 days before the end of the public comment period. The Board will aggregate its response to substantially similar questions and make the questions and responses available on the Board's website at https://www.commerce.alaska.gov/web/cbpl/ProfessionalLicensing/BoardofMarinePilots.aspx or on the Alaska Online Public Notice System. The Board may, but is not required to, answer written questions received after the 10-day cut-off date and before the end of the comment period.

If you are a person with a disability who needs a special accommodation in order to participate in this process, please contact Marilyn Zimmerman at (907) 465-2532 or marilyn.zimmerman@alaska.gov not later than April 22, 2016 to ensure that any necessary accommodations can be provided.

For a copy of the proposed regulation changes, contact Marilyn Zimmerman at the address or phone number above, or go to https://www.commerce.alaska.gov/web/portals/5/pub/MAR-0316.pdf.

After the public comment period ends, the Board will either adopt the proposed regulation changes or other provisions dealing with the same subject, without further notice, or decide to take no action. The language of the final regulations may be different from that of the proposed regulations. You should comment during the time allowed if your interests could be affected. Written comments and questions received are public records and are subject to public inspection.

**Statutory Authority:** AS 08.62.040; AS 08.62.100; AS 08.62.160; AS 08.62.190 **Statutes Being Implemented, Interpreted, or Made Specific:** AS 08.62.040; AS 08.62.100; AS 08.62.160; AS 08.62.190

Fiscal Information: The proposed regulation changes are not expected to require an increased appropriation.

DATE: 3/29/16

Jun Maiquis, Regulations Specialist

Jun Maiquis, Regulations Specialist Division of Corporations, Business and Professional Licensing

For each occupation regulated under the Division of Corporations, Business and Professional Licensing, the Division keeps a list of individuals or organizations who are interested in the regulations of that occupation. The Division automatically sends a Notice of Proposed Regulations to the parties on the appropriate list each time there is a proposed change in an occupation's regulations in Title 12 of the Alaska Administrative Code. If you would like your address added to or removed from such a list, send your request to the Division at the address above, giving your name, either your e-mail address or mailing address (as you prefer for receiving notices), and the occupational area in which you are interested.

#### ADDITIONAL REGULATION NOTICE INFORMATION (AS 44.62.190(d))

- 1. Adopting agency: Board of Marine Pilots Department of Commerce, Community, and Economic Development, Division of Corporations, Business and Professional Licensing.
- 2. General subject of regulation: General requirements for marine pilot license, availability of pilots, duties of pilots, and definitions.
- 3. Citation of regulation: 12 AAC 56.029, 12 AAC 56.205, 12 AAC 56.960, and 12 AAC 56.990.
- 4. Department of Law file number: To be assigned.
- 5. Reason for the proposed action: Update and clarification of current regulations.
- 6. Appropriation/Allocation: Corporations, Business and Professional Licensing #2360.
- 7. Estimated annual cost to comply with the proposed action to: A private person: None. Another state agency: None. A municipality: None.
- 8. Cost of implementation to the state agency and available funding (in thousands of dollars): No costs are expected in FY 2016 or in subsequent years.
- The name of the contact person for the regulation: Crystal Dooley, Marine Pilot Coordinator Board of Marine Pilots Division of Corporations, Business and Professional Licensing Department of Commerce, Community, and Economic Development Telephone: (907) 465-2548 E-mail: crystal.dooley@alaska.gov
- 10. The origin of the proposed action: Board of Marine Pilots.

11. Date: 3/29/16

Prepared by:

Lud Anain

Jun Malquis Regulations Specialist (907) 465-2537

#### RECEIVED Juneau

## APR 0 4 2016

AFFIDAVIT OF PUBLICATION

CBPL

### STATE OF ALASKA

THIRD JUDICIAL DISTRICT

#### Emma Dunlap

being first duly sworn on oath deposes and says that he/she is a representative of the Alaska Dispatch News, a daily newspaper. That said newspaper has been approved by the Third Judicial Court, Anchorage, Alaska, and it now and has been published in the English language continually as a daily newspaper in Anchorage, Alaska, and it is now and during all said time was printed in an office maintained at the aforesaid place of publication of said newspaper. That the annexed is a copy of an advertisement as it was published in regular issues (and not in supplemental form) of said newspaper on

March 30, 2016

and that such newspaper was regularly distributed to its subscribers during all of said period. That the full amount of the fee charged for the foregoing publication is not in excess of the rate charged private individuals.

Signed

Subscribed and sworn to before me this <u>30th</u> day of <u>March</u>, 2016

Brithey Thompson



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Published: March 30, 2016