

STATE OF ALASKA INVITATION TO BID (ITB)



EQUIPMENT RENTAL, AS NEEDED, NORTHERN REGION, FEDERALLY FUNDED

2521N013

FEBRUARY 23, 2021

As Needed equipment rental contract for the Department of Transportation, Northern Region.

IMPORTANT NOTICE: If you received this solicitation from the State's "Online Public Notice" web site, you must register with the Procurement Officer listed on this document to receive notification of subsequent amendments. Failure to contact the Procurement Officer may result in the rejection of your offer.

BIDDER'S NOTICE:

Contracts for purchases resulting from this bid will be made utilizing Federal Funds. As such, and per AS 36.30.890, no State of Alaska Bidder or Product Preferences may apply.

Sec. 36.30.890. Federal Assistance

If a procurement involves the expenditure of federal funds or federal assistance and there is a conflict between a provision of this chapter or a regulation adopted under a provision of this chapter and a federal statute, regulation, policy, or requirement, the federal statute, regulation, policy, or requirement shall prevail.

2 AAC 12.730. Federal Assistance

If a procurement involves the expenditure or federal funds or requires federal assistance and there is a conflict between a provision of this chapter and federal statute, regulation, policy, or requirement, the procurement officer shall comply with the federal statute, regulation, policy, or requirement.

Authority: AS 36.30.040; AS 36.30.890


 Eric Johnson Procurement Officer	_____ COMPANY SUBMITTING BID	*DOES YOUR BUSINESS QUALIFY FOR THE ALASKA BIDDER'S PREFERENCE? [] YES [] NO
	_____ AUTHORIZED SIGNATURE	*DOES YOUR BUSINESS QUALIFY FOR THE ALASKA VETERAN PREFERENCE? [] YES [] NO
Phone: (907) 451-5102 TDD: (907) 451-2363 FAX: (907) 451-2313	_____ PRINTED NAME	*SEE ITB FOR EXPLANATION OF CRITERIA TO QUALIFY
Email: eric.johnson@alaska.gov	_____ DATE	_____ TELEPHONE NUMBER
_____ ALASKA BUSINESS LICENSE NUMBER	_____ FEDERAL TAX ID NUMBER	_____ E-MAIL ADDRESS

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SECTION 1. INTRODUCTION & INSTRUCTIONS

SEC. 1.01 PURPOSE OF THE ITB

This Invitation to Bid (ITB) is intended to result in the establishment of a non-mandatory, “as needed”, term limit agreement for Equipment Rentals in Northern Region for the Department of Transportation & Public Facilities.

The State reserves the right to seek additional equipment based on need, location, and time constraints.

Awarded contractors will be given first option to provide equipment that meets the needs of the State.

SEC. 1.02 DEADLINE FOR RECEIPT OF BIDS

Bids must be received no later than 10:00 AM Alaska Time on March 16, 2021, at which time they will be publicly opened. Late bids or amendments will be disqualified and not opened or accepted for evaluation.

SEC. 1.03 INVITATION TO BID (ITB) REVIEW

Bidders shall carefully review this ITB for defects and questionable or objectionable material. Comments concerning defects and questionable or objectionable material in the ITB should be made in writing and received

by the procurement officer at least ten days before the bid opening date. This will allow time for an amendment to be issued if one is required. It will also help prevent the opening of a defective bid, upon which award cannot be made, and the resultant exposure of bidders' prices.

SEC. 1.04 QUESTIONS PRIOR TO DEADLINE FOR RECEIPT OF BIDS

All questions must be in writing and directed to the procurement officer. The interested party must confirm telephone conversations in writing. Two types of questions generally arise. One may be answered by directing the questioner to a specific section of the ITB. These questions may be answered over the telephone. Other questions may be more complex and may require a written amendment to the ITB. The procurement officer will make that decision.

SEC. 1.05 SITE INSPECTION

Potential bidders are encouraged to visit the work site so that they can see the conditions under which the work described in this ITB will be performed. The bidder's failure to visit the work site will in no way relieve the bidder of the responsibility of performing the work in strict compliance with the true intent and meaning of the terms, conditions and specifications of this ITB.

This contact person is only empowered to allow potential bidders to view the work site. The contact person cannot and will not answer potential bidder questions regarding the work to be performed under this ITB or the terms, conditions and specifications of this ITB. Any questions potential bidders have must be directed to the procurement officer as required in Section 1.04.

SEC. 1.06 SUBMITTING BIDS

Bidders must submit one hard copy of their bid, in writing, to the procurement officer in a sealed package. The sealed bid package must be addressed as follows:

Department of Transportation & Public Facilities
Statewide Procurement
Attention: Eric Johnson
Invitation to Bid (ITB) Number: 2521N013

ITB Title: Equipment Rental, As Needed, Northern Region – Federally Funded
Department of Transportation & Public Facilities
2301 Peger Road
Fairbanks, AK 99709

If using a delivery service, please use the following address:

Department of Transportation & Public Facilities
2301 Peger Road
Fairbanks, AK 99709

If submitting a bid via email, the bid may be emailed to dotnrprocurement@alaska.gov and must contain the ITB number in the subject line of the email. The **maximum** size of a single email (including all text and attachments) that can be received by the state is **20mb (megabytes)**. If the email containing the bid exceeds this size, the bid must be sent in multiple emails that are each less than 20 megabytes and each email must comply with the requirements described above.

Please note that email transmission is not instantaneous. Similar to sending a hard copy bid, if you are emailing your bid, the state recommends sending it enough ahead of time to ensure the email is delivered by the deadline for receipt of bid.

It is the bidder's responsibility to contact the issuing agency at (907) 451-5102 to confirm that the bid has been received. The state is not responsible for unreadable, corrupt, or missing attachments.

SEC. 1.07 BID FORMS

Bidders shall use the front page of this ITB and any other forms identified in this ITB for submitting bids. All bids must be signed by an individual authorized to bind the bidder to the provisions of the ITB.

BIDDER'S CERTIFICATION

By signature on the bid, the bidder certifies that they comply with the following:

- A. the laws of the State of Alaska;
- B. the applicable portion of the Federal Civil Rights Act of 1964;
- C. the Equal Employment Opportunity Act and the regulations issued thereunder by the state and federal government;
- D. the Americans with Disabilities Act of 1990 and the regulations issued thereunder by the state and federal government;
- E. all terms and conditions set out in this ITB;
- F. the price(s) submitted was arrived at independently arrived and without collusion, under penalty of perjury; and
- G. that the bid will remain open and valid for at least 90 days.

If any bidder fails to comply with [a] through [g] of this paragraph, the state reserves the right to disregard the bid, terminate the contract, or consider the contractor in default.

CONFLICT OF INTEREST

Each bid shall include a statement indicating whether or not the company or any individuals working on the contract has a possible conflict of interest (e.g., currently employed by the State of Alaska or formerly employed by the State of Alaska within the past two years) and, if so, the nature of that conflict. The procurement officer reserves the right to **consider a bid non-responsive and reject it** or cancel the award if any interest disclosed from any source could either give the appearance of a conflict or cause speculation as to the objectivity of the contract to be performed by the bidder.

SEC. 1.08 PRICES

The bidder shall state prices in the units of issue on this ITB. Prices quoted in bids must be exclusive of federal, state, and local taxes. If the bidder believes that certain taxes are payable by the state, the bidder may list such taxes separately, directly below the bid price for the affected item.

SEC. 1.09 ASSISTANCE TO BIDDERS WITH A DISABILITY

Bidders with a disability may receive accommodation regarding the means of communicating this ITB or participating in the procurement process. For more information, contact the procurement officer no later than ten days prior to the deadline for receipt of bids.

SEC. 1.10 AMENDMENTS TO BIDS

Amendments to or withdrawals of bids will only be allowed if acceptable requests are received prior to the deadline that is set for receipt of bids, in accordance with 2 AAC 12.140. No amendments or withdrawals will be accepted after the deadline unless the delay is due to an error of the contracting agency, in accordance with 2 AAC 12.160.

SEC. 1.11 AMENDMENTS TO THE ITB

If an amendment is issued, it will be provided to all who were notified of the ITB and to those who have registered with the procurement officer after receiving the ITB from the State of Alaska Online Public Notice website.

SEC. 1.12 ITB SCHEDULE

The ITB schedule set out herein represents the State of Alaska's best estimate of the schedule that will be followed. If a component of this schedule, such as the deadline for receipt of bids, is delayed, the rest of the schedule may be shifted accordingly. All times are Alaska Time.

ACTIVITY	TIME	DATE
Issue Date / ITB Released		2/23/2021
Deadline for Receipt of Bids / Bid Due Date	10:00 AM	3/16/2021
Bid Evaluations Complete		3/18/2021
Notice of Intent to Award		3/18/2021
Contract Issued		4/1/2021

This ITB does not, by itself, obligate the state. The state's obligation will commence when the contract is approved by the Commissioner of the Department of Transportation & Public Facilities, or the Commissioner's designee. Upon written notice to the contractor, the state may set a different starting date for the contract. The state will not be responsible for any work done by the contractor, even work done in good faith, if it occurs prior to the contract start date set by the state.

SEC. 1.13 ALTERNATE BIDS

Bidders may only submit one bid for evaluation. In accordance with 2 AAC 12.830 alternate bids (bids that offer something different than what is asked for) will be rejected.

SEC. 1.14 SUPPORTING INFORMATION

Bidders shall submit all required technical, specification, and other supporting information with their bid, so that a detailed analysis and determination can be made by the procurement officer that the product offered meets the ITB specifications and that other requirements of the ITB have been met. However, provided a bid meets the requirements for a definite, firm, unqualified, and unconditional offer, the state reserves the right to request supplemental information from the bidder, after the bids have been opened, to ensure that the products or services offered completely meet the ITB requirements. The requirement for such supplemental information will be at the reasonable discretion of the state and may include the requirement that a bidder will provide a sample product(s) so that the state can make a first-hand examination and determination.

A bidder's failure to provide this supplemental information or the product sample(s), within the time set by the state, will cause the state to consider the offer non-responsive and reject the bid.

SEC. 1.15 FIRM, UNQUALIFIED, AND UNCONDITIONAL OFFER

Bidders must provide enough information with their bid to constitute a definite, firm, unqualified and unconditional offer. To be responsive a bid must constitute a definite, firm, unqualified and unconditional offer to meet all the material terms of the ITB. Material terms are those that could affect the price, quantity, quality, or delivery. Also included as material terms are those which are clearly identified in the ITB and which, for reasons of policy, must be complied with at risk of bid rejection for non-responsiveness.

SECTION 2. CONTRACT INFORMATION

SEC. 2.01 CONTRACT TERM

The length of the contract will be from the date of award through December 31, 2021, with the option to renew for Five (5) additional One (1) year terms at the same price, and under the same terms and conditions, as the original contract. Renewals are to be exercised solely by the State.

SEC. 2.02 CONTRACT ADMINISTRATION

The administration of this contract is the responsibility of the procurement officer or person appointed by the Department of Transportation & Public Facilities, Northern Region Maintenance & Operations.

SEC. 2.03 CONTRACT EXTENSION

Unless otherwise provided in this ITB, the state and the successful bidder/contractor agree: (1) that any extension of the contract excluding any exercised renewal options, will be considered as a month-to-month extension, and all other terms and conditions shall remain in full force and effect and (2) the procurement officer will provide written notice to the contractor of the intent to cancel the month-to-month extension at least thirty (30) days before the date of cancellation. A month-to-month extension may only be executed by the procurement officer via a written contract amendment.

SEC. 2.04 CONTRACT CHANGES – UNANTICIPATED AMENDMENTS

During the course of this contract, the contractor may be required to perform additional work. That work will be within the general scope of the initial contract. When additional work is required, the state will provide the contractor a written description of the additional work and request the contractor to submit a firm time schedule for accomplishing the additional work and a firm price for the additional work. Cost and pricing data must be provided to justify the cost of such amendments per AS 36.30.400.

The contractor will not commence additional work until the procurement officer has secured required state approvals necessary for the amendment and issued a written contract amendment.

SEC. 2.05 SUBCONTRACTORS

Subcontractors will not be allowed.

SEC. 2.06 JOINT VENTURES

Joint ventures will not be allowed.

SEC. 2.07 CONTRACT PERFORMANCE LOCATION

The location(s) the work is to be performed, completed and managed is at various locations in the Northern Region.

SEC. 2.08 RIGHT TO INSPECT PLACE OF BUSINESS

At reasonable times, the state may inspect those areas of the contractor's place of business that are related to the performance of a contract. If the state makes such an inspection, the contractor must provide reasonable assistance.

SEC. 2.09 SCOPE OF WORK AND SPECIFICATIONS

See Attachment 2 for the Scope of Work and Specifications

SEC. 2.10 F.O.B. POINT

Fairbanks shall be the designated delivery point for all lots with the exception of Tazlina. Mob and Demob prices shall be for equipment delivered to the Fairbanks location. Mob and Demob prices for equipment rented for Tazlina shall be from closest rental location to the camp.

The State may elect to pick up or return equipment when practical. No Mob and Demob charges shall apply if the State can provide its own transportation

SEC. 2.11 SHIPPING DAMAGE

The state will not accept or pay for damaged goods. The contractor must file all claims against the carrier(s) for damages incurred to items in transit from the point of origin to the ultimate destination. The state will provide the contractor with written notice when damaged goods are received. The state will deduct the cost of the damaged goods from the invoice prior to payment. The contractor must file all claims against the carrier(s) for reimbursement of the loss.

SEC. 2.12 CONTINUING OBLIGATION OF CONTRACTOR

Notwithstanding the expiration date of a contract resulting from this ITB, the contractor is obligated to fulfill its responsibilities until warranty, guarantee, maintenance, and parts availability requirements have completely expired.

SEC. 2.13 ESTIMATED QUANTITIES

The quantities referenced in this ITB are sample representative lots and are only for the purpose of evaluation. The state does not guarantee any minimum purchase. Orders will be issued throughout the contract period on an as-needed basis.

SEC. 2.14 CONTRACT PRICE ADJUSTMENTS

Contract prices are to remain firm through the duration the contract(s) and the extension or renewal of the contract(s). Renewals are to be exercised solely by the State.

During the period of the contract, all price decreases experienced by the contractor must be passed on to the State. A contractor's failure to strictly and faithfully adhere to this clause, within the time required, will be considered in breach of contract.

SEC. 2.15 REMANUFACTURED/RECONDITIONED EQUIPMENT

Remanufactured/reconditioned is acceptable provided it meets the requirements of this clause and the requirements of the rest of this ITB. Remanufactured/reconditioned equipment is defined as used equipment that has been remanufactured/ reconditioned and restored to new condition. All remanufactured/reconditioned equipment must have the same warranty as new equipment.

SEC. 2.16 ACCESSORIES:

When accessories are supplied, they must be certified to be compatible with the rest of the equipment.

Certification will be written evidence satisfactory to the State that the accessories are compatible. The bidder's failure to supply this evidence within the time required by the State will cause the State to consider the bid non-responsive and reject the bid.

SEC. 2.17 EQUIPMENT INSPECTION

Equipment offered (including for lease) may be subject to inspection and approval by the state prior to the award of the ITB. The equipment and attachments must be in good repair and capable of performing the work for which they were designed.

SEC. 2.18 INDEMNIFICATION

The contractor shall indemnify, hold harmless, and defend the contracting agency from and against any claim of, or liability for error, omission or negligent act of the contractor under this agreement. The contractor shall not be required to indemnify the contracting agency for a claim of, or liability for, the independent negligence of the contracting agency. If there is a claim of, or liability for, the joint negligent error or omission of the contractor and the independent negligence of the contracting agency, the indemnification and hold harmless obligation shall be apportioned on a comparative fault basis.

“Contractor” and “contracting agency”, as used within this and the following article, include the employees, agents and other contractors who are directly responsible, respectively, to each. The term “independent negligence” is negligence other than in the contracting agency’s selection, administration, monitoring, or controlling of the contractor and in approving or accepting the contractor’s work.

SEC. 2.19 INSURANCE

Without limiting the contractor's indemnification, it is agreed that the contractor shall purchase at its own expense and maintain in force at all times during the performance of services under this agreement the following policies of insurance. Where specific limits are shown, it is understood that they shall be the minimum acceptable limits. If the contractor's policy contains higher limits, the state shall be entitled to coverage to the extent of such higher limits.

Certificates of Insurance must be furnished to the procurement officer prior to contract approval and must provide for a notice of cancellation, non-renewal, or material change of conditions in accordance with policy provisions. Failure to furnish satisfactory evidence of insurance or lapse of the policy is a material breach of this contract and shall be grounds for termination of the contractor's services. All insurance policies shall comply with and be issued by insurers licensed to transact the business of insurance under AS 21.

Proof of insurance is required for the following:

- Workers' Compensation Insurance: The contractor shall provide and maintain, for all employees engaged in work under this contract, coverage as required by AS 23.30.045, and; where applicable, any other statutory obligations including but not limited to Federal U.S.L. & H. and Jones Act requirements. The policy must waive subrogation against the state.

- Commercial General Liability Insurance: covering all business premises and operations used by the contractor in the performance of services under this agreement with minimum coverage limits of \$300,000 combined single limit per occurrence.
- Commercial Automobile Liability Insurance: covering all vehicles used by the contractor in the performance of services under this agreement with minimum coverage limits of \$300,000 combined single limit per occurrence.

SECTION 3. CONTRACT INVOICING AND PAYMENTS

SEC. 3.01 BILLING INSTRUCTIONS

All invoices produced by the contractor must contain the following information at a minimum:

1. Purchase Order number
2. Equipment Number
3. Service dates

Invoices must be billed to the ordering agency's address shown on the individual Purchase Order, Contract Award or Delivery Order. The state will make payment after it receives the goods or services and the invoice. Questions concerning payment must be addressed to the ordering agency.

SEC. 3.02 PAYMENT FOR STATE PURCHASES

Payment for agreements under \$500,000 for the undisputed purchase of goods or services provided to a state agency, will be made within 30 days of the receipt of a proper billing or the delivery of the goods or services to the location(s) specified in the agreement, whichever is later. A late payment is subject to 1.5% interest per month on the unpaid balance. Interest will not be paid if there is a dispute or if there is an agreement that establishes a lower interest rate or precludes the charging of interest.

Any single contract payments of \$1 million or higher must be accepted by the contractor via Electronic Funds Transfer (EFT).

SEC. 3.03 PROMPT PAYMENT FOR STATE PURCHASES

The state is eligible to receive a **5%** discount for all invoices paid within **15** business days from the date of receipt of the commodities or services and/or a correct invoice, whichever is later. The discount shall be taken on the full invoice amount. The state shall consider payment being made as either the date a printed warrant is issued or the date an electronic funds transfer (EFT) is initiated.

SEC. 3.04 THIRD-PARTY FINANCING AGREEMENTS NOT ALLOWED

Because of the additional administrative and accounting time required of the state when third party financing agreements are permitted, they will not be allowed under this contract.

SECTION 4. EVALUATION AND CONTRACTOR SELECTION

SEC. 4.01 EVALUATION OF BIDS

After bid opening, the procurement officer will evaluate the bids for responsiveness. Bids deemed non-responsive will be eliminated from further consideration. An evaluation may not be based on discrimination due the race, religion, color, national origin, sex, age, marital status, pregnancy, parenthood, disability, or political affiliation of the bidder.

SEC. 4.02 EXTENSION OF PRICES

In case of error in the extension of prices in the bid, the unit prices will govern; in a lot bid, the lot prices will govern.

SEC. 4.03 METHOD OF AWARD

Award will be made by line item to the lowest responsive and responsible bidder. Lowest cost will be determined by adding the monthly rental price and Mob\Demob prices for each line item. Bid prices are to be based on quantity of 1. Quantity available shall be no fewer than estimated quantity listed on the bid schedule, in order to be considered responsive for that line item.

SEC. 4.04 NOTICE OF INTENT TO AWARD

After the responses to this ITB have been opened and evaluated, a tabulation of the bids will be prepared. This tabulation, called a Notice of Intent to Award, serves two purposes. It lists the name of each company or person that offered a bid and the price they bid. It also provides notice of the state's intent to award a contract(s) to the bidder(s) indicated. A copy of the Notice of Intent will be mailed to each company or person who responded to the ITB. Bidders identified as the apparent low responsive bidders are instructed not to proceed until a Purchase Order, Contract Award, Lease, or some other form of written notice is given by the procurement officer. A company or person who proceeds prior to receiving a Purchase Order, Contract Award, Lease, or some other form of written notice from the procurement officer does so without a contract and at their own risk.

SECTION 5. GENERAL PROCESS AND LEGAL INFORMATION

SEC. 5.01 ALASKA BUSINESS LICENSE AND OTHER REQUIRED LICENSES

Prior to the award of a contract, a bidder must hold a valid Alaska business license. ~~However, in order to receive the Alaska Bidder Preference and other related preferences, such as the Alaska Veteran Preference and Alaskans with Disabilities Preference, a bidder must hold a valid Alaska business license prior to the deadline for receipt of bids.~~ Bidders should contact the **Department of Commerce, Community and Economic Development, Division of Corporations, Business, and Professional Licensing, PO Box 110806, Juneau, Alaska 99811-0806**, for information on these licenses. Acceptable evidence that the bidder possesses a valid Alaska business license may consist of any one of the following:

- copy of an Alaska business license;
- certification on the bid that the bidder has a valid Alaska business license and has included the license number in the bid;
- a canceled check for the Alaska business license fee;
- a copy of the Alaska business license application with a receipt stamp from the state's occupational licensing office; or
- a sworn and notarized statement that the bidder has applied and paid for the Alaska business license.

You are not required to hold a valid Alaska business license at the time bids are opened if you possess one of the following licenses and are offering services or supplies under that specific line of business:

- fisheries business licenses issued by Alaska Department of Revenue or Alaska Department of Fish and Game,
- liquor licenses issued by Alaska Department of Revenue for alcohol sales only,
- insurance licenses issued by Alaska Department of Commerce, Community and Economic Development, Division of Insurance, or
- Mining licenses issued by Alaska Department of Revenue.

Prior to the deadline for receipt of bids, all bidders must hold any other necessary applicable professional licenses required by Alaska Statute.

SEC. 5.02 AUTHORITY

This ITB is written in accordance with AS 36.30 and 2 AAC 12.

SEC. 5.03 COMPLIANCE

In the performance of a contract that results from this ITB, the contractor must comply with all applicable federal, state, and borough regulations, codes, and laws; be liable for all required insurance, licenses, permits and bonds; and pay all applicable federal, state, and borough taxes.

SEC. 5.04 SUITABLE MATERIALS, ETC.

Unless otherwise specified in this ITB, all materials, supplies or equipment offered by a bidder shall be new, unused, and of the latest edition, version, model or crop and of recent manufacture.

SEC. 5.05 SPECIFICATIONS

Unless otherwise specified in this ITB, product brand names or model numbers specified in this ITB are examples of the type and quality of product required, and are not statements of preference. If the specifications describing an item conflict with a brand name or model number describing the item, the specifications govern. Reference to brand name or number does not preclude an offer of a comparable or better product, if full specifications and descriptive literature are provided for the product. Failure to provide such specifications and descriptive literature may be cause for rejection of the offer.

SEC. 5.06 CONTRACTOR SITE INSPECTION

The state may conduct on-site visits to evaluate the bidder's capacity to perform the contract. A bidder must agree, at risk of being found non-responsive and having its bid rejected, to provide the state reasonable access to relevant portions of its work sites. Individuals designated by the procurement officer at the state's expense will make site inspection.

SEC. 5.07 ORDER DOCUMENTS

Except as specifically allowed under this ITB, an ordering agency will not sign any vendor contract. The state is not bound by a vendor contract signed by a person who is not specifically authorized to sign for the state under this ITB. Unless otherwise specified in this ITB, the State of Alaska Purchase Order, Contract Award and Delivery Order are the only order documents that may be used to place orders against the contract(s) resulting from this ITB.

SEC. 5.08 HUMAN TRAFFICKING

By signature on their bid, the bidder certifies that the bidder is not established and headquartered or incorporated and headquartered in a country recognized as Tier 3 in the most recent United States Department of State's Trafficking in Persons Report.

The most recent United States Department of State's Trafficking in Persons Report can be found at the following website: <http://www.state.gov/j/tip/>

Failure to comply with this requirement will cause the state to reject the bid as non-responsive, or cancel the contract.

SEC. 5.09 RIGHT OF REJECTION

Bidders must comply with all of the terms of the ITB, the State Procurement Code (AS 36.30), and all applicable local, state, and federal laws, codes, and regulations. The procurement officer may reject any bid that does not comply with all of the material and substantial terms, conditions, and performance requirements of the ITB.

Bidders may not qualify the bid nor restrict the rights of the state. If a bidder does so, the procurement officer may determine the bid to be a non-responsive counter-offer and the bid may be rejected.

Minor informalities that:

- do not affect responsiveness;
- are merely a matter of form or format;
- do not change the relative standing or otherwise prejudice other offers;
- do not change the meaning or scope of the RFP;
- are trivial, negligible, or immaterial in nature;
- do not reflect a material change in the work; or
- do not constitute a substantial reservation against a requirement or provision;

may be waived by the procurement officer.

The state reserves the right to refrain from making an award if it determines that to be in its best interest.

A bid from a debarred or suspended bidder shall be rejected.

SEC. 5.10 STATE NOT RESPONSIBLE FOR PREPARATION COSTS

The state will not pay any cost associated with the preparation, submittal, presentation, or evaluation of any bid.

SEC. 5.11 DISCLOSURE OF BID CONTENTS

All bid prices become public information at the bid opening. After the deadline for receipt of bids, all other bid material submitted become the property of the State of Alaska and may be returned only at the state's option. AS 40.25.110 requires public records to be open to reasonable inspection. All other bid information will be held in confidence during the evaluation process and prior to the time a Notice of Intent to Award is issued. Thereafter, bids will become public information.

Trade secrets and other proprietary data contained in bids may be held confidential if the bidder requests, in writing, that the procurement officer does so, and if the procurement officer agrees, in writing, to do so. The bidder's request must be included with the bid, must clearly identify the information they wish to be held confidential, and include a statement that sets out the reasons for confidentiality. Unless the procurement officer agrees in writing to hold the requested information confidential, that information will also become public after the Notice of Intent to Award is issued.

SEC. 5.12 ASSIGNMENTS

Per 2 AAC 12.480, the contractor may not transfer or assign any portion of the contract without prior written approval from the procurement officer. Bids that are conditioned upon the state's approval of an assignment will be rejected as non-responsive.

SEC. 5.13 FORCE MAJEURE (IMPOSSIBILITY TO PERFORM)

The parties to a contract resulting from this ITB are not liable for the consequences of any failure to perform, or default in performing, any of its obligations under the contract, if that failure or default is caused by any unforeseeable Force Majeure, beyond the control of, and without the fault or negligence of, the respective party.

For the purposes of this ITB, Force Majeure will mean war (whether declared or not); revolution; invasion; insurrection; riot; civil commotion; sabotage; military or usurped power; lightning; explosion; fire; storm; drought; flood; earthquake; epidemic; quarantine; strikes; acts or restraints of governmental authorities affecting the project or directly or indirectly prohibiting or restricting the furnishing or use of materials or labor required; inability to secure materials, machinery, equipment or labor because of priority, allocation or other regulations of any governmental authorities.

SEC. 5.14 DEFAULT

In case of default by the contractor, for any reason whatsoever, the state may procurement the goods or services from another source and hold the contractor responsible for any resulting excess cost and may seek other remedies under law or equity.

SEC. 5.15 DISPUTES

If the contractor has a claim arising in connection with the contract that it cannot resolve with the state by mutual agreement, it shall pursue the claim, if at all, in accordance with the provisions of AS 36.30.620 – AS 36.30.632.

SEC. 5.16 SEVERABILITY

If any provision of the contract or agreement is found to be invalid or declared by a court to be illegal or in conflict with any law, the validity of the remaining terms and provisions will not be affected; and, the rights and obligations of the parties will be construed and enforced as if the contract did not contain the particular provision held to be invalid.

SEC. 5.17 CONTRACT CANCELLATION

The state reserves the right to cancel the contract at its convenience upon 30 calendar days written notice to the contractor. The state is only liable for payment in accordance with the payment provisions of this contract for supplies or services provide before the effective date termination.

SEC. 5.18 GOVERNING LAW; FORUM SELECTION

A contract resulting from this ITB is governed by the laws of the State of Alaska. To the extent not otherwise governed by Section 5.15 of this ITB, any claim concerning the contract shall be brought only in the Superior Court of the State of Alaska and not elsewhere.

SEC. 5.19 SOLICITATION ADVERTISING

Public notice has been provided in accordance with 2 AAC 12.220.

SEC. 5.20 QUALIFIED BIDDERS

Per 2 AAC 12.875, unless provided for otherwise in the ITB, to qualify as a bidder for award of a contract issued under AS 36.30, the bidder must:

- 1) Add value in the contract by actually performing, controlling, managing, or supervising the services to be provided; or
- 2) Be in the business of selling and have actually sold on a regular basis the supplies that are the subject of the ITB.

If the bidder leases services or supplies or acts as a broker or agency in providing the services or supplies in order to meet these requirements, the procurement officer may not accept the bidder as a qualified bidder under AS 36.30.

SEC. 5.21 FEDERALLY IMPOSED TARIFFS

Changes in price (increase or decrease) resulting directly from a new or updated federal tariff, excise tax, or duty, imposed after contract award may be adjusted during the contract period or before delivery into the United States via contract amendment.

- **Notification of Changes:** The contractor must promptly notify the procurement officer in writing of any new, increased, or decreased federal excise tax or duty that may result in either an increase or decrease in the contract price and shall take appropriate action as directed by the procurement officer.
- **After-imposed or Increased Taxes and Duties:** Any federal excise tax or duty for goods or services covered by this contract that was exempted or excluded on the contract award date but later imposed on the contractor during the contract period, as the result of legislative, judicial, or administrative action may result in a price increase provided:
 - a) The tax or duty takes effect after the contract award date and isn't otherwise addressed by the contract;
 - b) The contractor warrants, in writing, that no amount of the newly imposed federal excise tax or duty or rate increase was included in the contract price, as a contingency or otherwise.
- **After-relieved or Decreased Taxes and Duties:** The contract price shall be decreased by the amount of any decrease in federal excise tax or duty for goods or services under the contract, except social security or other employment [taxes](#), that the contractor is required to pay or bear, or does not obtain a refund of, through the contractor's fault, negligence, or failure to follow instructions of the procurement officer.
- **State's Ability to Make Changes:** The state reserves the right to request verification of federal excise tax or duty amounts on goods or services covered by this contract and increase or decrease the contract price accordingly.
- **Price Change Threshold:** No adjustment shall be made in the contract price under this clause unless the amount of the adjustment exceeds \$250.

SEC. 5.22 PROTEST

AS 36.30.560 provides that an interested party may protest the content of the ITB.

An interested party is defined in 2 AAC 12.990(a) (7) as "an actual or prospective bidder or offeror whose economic interest might be affected substantially and directly by the issuance of a contract solicitation, the award of a contract, or the failure to award a contract."

If an interested party wishes to protest the content of a solicitation, the protest must be received, in writing, by the procurement officer at least ten days prior to the deadline for receipt of bids.

AS 36.30.560 also provides that an interested party may protest the award of a contract or the proposed award of a contract.

If a bidder wishes to protest the award of a contract or the proposed award of a contract, the protest must be received, in writing, by the procurement officer within ten days after the date the Notice of Intent to Award the contract is issued.

A protester must have submitted a bid in order to have sufficient standing to protest the proposed award of a contract. Protests must include the following information:

- the name, address, and telephone number of the protester;
- the signature of the protester or the protester's representative;
- identification of the contracting agency and the solicitation or contract at issue;
- a detailed statement of the legal and factual grounds of the protest including copies of relevant documents; and the form of relief requested.

Protests filed by telex or telegram are not acceptable because they do not contain a signature. Fax copies containing a signature are acceptable.

The procurement officer will issue a written response to the protest. The response will set out the procurement officer's decision and contain the basis of the decision within the statutory time limit in AS 36.30.580. A copy of the decision will be furnished to the protester by certified mail, fax or another method that provides evidence of receipt.

All bidders will be notified of any protest. The review of protests, decisions of the procurement officer, appeals, and hearings, will be conducted in accordance with the State Procurement Code (AS 36.30), Article 8 "Legal and Contractual Remedies."

SECTION 6. ATTACHMENTS

SEC. 6.01 ATTACHMENTS

Attachments:

- 1) Additional Terms and Conditions
- 2) Equipment Specifications
- 3) Bid Schedule
- 4) Sample Check in\Check out sheet
- 5) Sample Smoking Prohibited sign
- 6) Required Federal Terms and Conditions

Additional Terms & Conditions

1. EQUIPMENT CHECK-IN AND ACCEPTANCE: All equipment furnished must meet pertinent Federal and State safety standards. The Contractor and a representative from the State will jointly inspect each piece of equipment when delivered as well as when the equipment is to be returned to the contractor. Proper notification that equipment is to be delivered must be made to the end user requesting this equipment. If coordination does not occur, the contractor may be required to return to the location the equipment was dropped off at for this mandatory inspection. The State shall notify the Contractor when equipment is ready to be returned, and potentially schedule a time for the final inspection. The Contractor may use its own Inspection form with prior approval from the Procurement Officer listed for this bid, or may use the State provided Inspection form (Attachment 4). Inspections not being conducted and failure to provide the Inspection form to the Procurement Officer may effect both the first and final payments for each rental. The State will not be found responsible for any damage found after the return inspection has been completed. Any equipment or attachment not meeting required specs may be rejected

- All equipment shall have a legible data plate installed. Data plate shall include at a minimum the make, model, and serial number.
- All equipment and attachments must be in good repair and capable of performing the work for which they are designed.
- All installed systems **must** be operational.
- Equipment must be fully serviced.
- The Contractor will be responsible for the State's travel, time, and equipment expense for failed inspections and or waiting time while the Contractor corrects deficiencies beyond 24 hours.
- All equipment shall be delivered during normal business hours, Monday through Friday, 7:00 AM – 4:00 PM. Other arrangements may be made with the end users if agreed upon by both the Contractor and end users.
- Contractor will coordinate delivery and pick up of the equipment to facilitate a joint inspection of the equipment upon delivery to the State as well as the return of equipment to the vendor.
- The Contractor will provide a list of all delivered equipment with the unit number (corresponding license plate number if applicable), and the location specified in the individual purchase order for that equipment rental.
- Inspection Form must contain (at a minimum) the following:
 1. Equipment Unit Number
 2. Equipment Serial Number
 3. State Purchase Order Number (specific to rental)
 4. Make & Model of Unit
 5. Initial Inspection Date

6. Final Inspection Date
 7. Contractor initials and State Representative Signatures
 8. Miles In/Out (if applicable)
 9. Hours In/Out (if applicable)
 10. Fuel Tank Full
 11. Comments Section
 12. Manuals are received (hardware, parts book, and maintenance manual)
2. **RENTAL PERIOD:** Equipment shall be expected to commence work on the date listed on the Purchase Order. This will require all equipment to be delivered during normal business hours the preceding day unless other arrangements are made between department and contractor.

The State may return equipment prior to dates specified on the individual Purchase Orders issued. Invoices shall be adjusted to reflect early return.

When equipment is returned and damage is noted during inspection, the State shall have the option to keep the equipment and have repairs made prior to turning in the equipment. Any repairs not disputed shall be completed by contractor approved repair center or mechanic. The State may elect to turn the equipment in and have the contractor repair the equipment. It is agreed that departments shall be billed until units are repaired and returned to contractor.

3. **AS NEEDED:** Equipment rentals shall be as needed. The department makes no guarantee of any rental listed on the Bid Schedule. Vendors are not required to hold equipment exclusively for State use. The State shall notify awarded vendors of known rental requirements as soon as possible.
4. **RENTAL DEPARTMENTS:** It is agreed that any department may rent a piece of equipment that is listed on the bid schedule for another department if equipment is available.
5. **ALTERATIONS:** The contractor must obtain the written approval from the contracting officer prior to making any alterations to the specifications contained in this ITB. The State will not pay for alterations that are not approved in advance and in writing by the contracting officer.
6. **DISCONTINUED ITEMS:** In the event an item is discontinued by the manufacturer during the life of the contract, another item may be substituted, provided that the contracting officer makes a written determination that it is equal to or better than the discontinued item and provided that it is sold at the same price or less than the discontinued item.
7. **REQUIRED MANUALS AND HARDWARE:** For all equipment that is rented, an operators manual, service manual, and a service schedule may be requested for each piece of equipment. When requested, these manuals will be delivered at no additional charge.

- 8. SERVICE SCOPE OF WORK:** Full-service is required for all equipment listed in this ITB for the entire rental period. For the purpose of this contract, full service means all service, repair, parts, and maintenance necessary to keep the equipment operating in a manner that meets the manufacturer's published performance specifications.
- 9. SERVICE RESPONSE TIME:** This contract requires that a service technician be available, on call, Eight (8) hours per day, Five (5) days per week. The maximum service response time is Forty-Eight (48) hours OR Two (2) days. This means that the service technician must be at the machine, ready to fix it, within no more than Forty-Eight (48) hours OR Two (2) days from the time the State agency places the service call. The contractor must make arrangements that permit the State to contact the service technician. If the contractor elects to cover the service requirement using the HOT SPARE OPTION set out herein, the substitute piece of equipment must be installed and operable within no more than Forty-Eight (48) hours OR Two (2) days from the time the State agency places the service call.
- 10. HOT SPARE OPTION:** As an alternative to repairing the equipment on- site, the contractor may substitute a piece of equipment of equal or greater performance capability and repair the equipment off-site. If the equipment is taken off-site for repair it must be repaired and placed back in service at its original location within no more than Five (5) working days from the date it was removed. The total cost for service mentioned above must be included in the bid price offered for each piece of equipment.
- 11. SERVICE CHARGES:** Regardless whether the contractor repairs equipment on-site or off-site, the State will not be liable for any charges associated with the repair of broken equipment, including, but not limited to, unhooking, disassembly, packaging, crating, repair, transportation, replacement, reassembly, or rewiring. In the instance that vendors request for DOT & PF to perform the repairs on equipment, charges to department from the State Equipment Fleet mechanic shall be reduced on any submitted invoice. Invoice from SEF shall be submitted to vendor as evidence of charges.
- 12. PARTS:** Only parts designed for the purpose they are being used, and warranted as new, may be used in the repair of State equipment.
- 13. COMPLETION OF SERVICE:** The service will not be complete and the equipment will not be considered serviced, repaired, or acceptable until it performs in compliance with the manufacturer's published performance specifications.
- 14. SERVICE CONTRACT DEFICIENCIES:** The contractor's failure to provide a service required by this contract will be grounds for the State to issue a Service Deficiency Claim (SDC) to the contractor. The SDC will be provided to the contractor in writing. The contractor will advise the State, in writing, of the corrective action being taken.

If a deficiency is not corrected within 7.5 working hours from the time it is issued, the State may issue another SDC and procure, from another contractor, the services necessary

to correct the problem. The contractor will then be obligated to reimburse the State for the amount required to correct the problem.

If a contractor gets more than two substantiated SDCs in a 30-day period or a total of five substantiated SDCs in a 60-day period, it will be grounds for the State to declare the contractor in default.

15. WORKMANSHIP & MATERIALS: All work must be performed in a thorough and workmanlike manner and in accordance with current industry practices. The contractor will be held responsible for the quality of the finished item. The State will reject any item that does not meet the specifications of the ITB. Rejected items will be returned to the contractor at the contractor's risk and expense.

Equipment Specifications

Specification Number 1: Roller, Double Drum, Vibrating, 10 – 12 Ton

Cat CB54, CB10 or equal. Must have the following features:

1. Model Year 2014 or Newer
2. Double Drum Vibration
3. Roll Over Protection System (ROPS)
4. Variable amplitude vibration (Versa Vibe)
5. Water system, front and rear
6. Headlights
7. Work lights
8. Rotating or flashing amber beacon or strobe
9. Back-Up Alarm
10. Spring loaded drum wipers
11. Provide Two (2) additional sets of coco mats
12. Parking brake

Optional Features:

1. Fully enclosed cab with heat and air conditioner
2. Smart Compaction system

Specification Number 2: Roller, Rubber Tired

Cat PS150C, CW 16 or equal. Must have the following features:

1. Model Year 2014 or newer
2. 8,000 – 11,000 lb. dry weight
3. 20,000 – 34,000 lb. with ballast
4. Minimum of 75% remaining tread wear on tires (including spare)
5. Spare tire, One (1) front and rear for each unit
6. Rotating or flashing amber beacon or strobe
7. Roll Over Protection System (ROPS) and Falling Object Protection System (FOPS)
8. Water system, front and rear
9. Headlights
10. Rotating Operators seat
11. Mirrors
12. Work lights
13. Back-Up Alarm
14. Wheel Scrapers
15. CB Radio Installed

Optional Features:

1. Fully enclosed cab with heat and air conditioner
2. Tire skirts

Equipment Specifications

Specification Number 3: Roller, Single Drum, 12 Ton

Cat CS64B, Hamm H12i or equal. Must have the following features:

1. Model Year 2014 or newer
2. 24,000 lb. minimum operating weight
3. Fully enclosed pressurized cab with heat and air conditioner
4. Headlights
5. Work lights
6. Back-Up Alarm
7. 84" Smooth drum
8. Rotating or flashing amber beacon or strobe
9. Roll Over Protection System (ROPS) and Falling Object Protection System (FOPS)

Optional Features:

1. Smart Compaction system

Specification Number 4: Trailer, Bottom Dump, Tri-Axle

Must have the following features:

1. Model Year 2014 or newer
2. 20 yard capacity and bang boards
3. Minimum of 80% or greater remaining tread wear on tires (Highway speed rated)
4. Spare Tire
5. Minimum of 80% or greater remaining brake shoes
6. Landing gear (Crank down preferred)
7. Multi adjustable gates (pin or chain)
8. Electric Tarp System (arm-style) rated for hot mix

Optional Features:

1. Door control from tractor via ISO 3731 7 pin connector wired (each unit)
2. Cab controlled lift axle(s)

Specification Number 5: Truck, Pickup, 1 Ton, Crew Cab

Ford F-350, Chevrolet 3500HD or equal. Must have the following features:

1. Model Year 2014 or newer
2. Four wheel drive
3. Class IV or larger towing package
4. Strobe Light (s)
5. Bedliner
6. Power door windows and locks
7. Air Conditioner

Equipment Specifications

Specification Number 6: Sweeper, Street, self-propelled

Broce 350 or Superior Broom DT74J or equal. Must have the following features:

1. Model Year 2014 or newer
2. Enclosed cab with Heat and Air Conditioner
3. Left & right rear-view mirrors
4. Headlights & work lights
5. 8' – 10' power angle, raise, & lower broom
6. Minimum 80% remaining bristles
7. Must accept 10" x 32" poly convoluted broom bristles
8. Front disc brakes
9. Minimum 25 MPH travel speed
10. Spare tire
11. Rotating or flashing amber beacon or strobe
12. Water spray system with minimum 100 gallon capacity
13. Front Plow
14. CB Radio Installed

Specification Number 7: Water Truck

Peterbilt 358 or equal. Must have the following features:

1. Model Year 2015 or newer
2. Minimum 4,000 gallon capacity truck mounted tank
3. Live hydraulic system with cab controlled variable displacement water pump
4. Self-Priming pump (must be capable to fill itself from a pond or stream). Pump must be capable of filling tank within 15 minutes or less with 8 feet of suction lift.
5. Cab controlled front and rear spray heads
6. Two (2) each 4" x 20' suction hoses. Hoses must be able to be mounted on the truck when not in use.
7. Truck fill valve must match hose, or have an adapter
8. Front and rear spray heads controlled from the operators' position.
9. Jacobs engine brake or equal
10. Air Conditioning
11. Rotating or flashing amber beacon or strobe

Equipment Specifications

Specification Number 8: Tanker, Hot Oil

Must have the following features:

1. Minimum legal capacity of 7,000 gallons of emulsion product
2. Insulated and designed for hot asphalt transportation
3. Road legal, including air brakes, lights, tires, and mud flaps
4. Supplied with 20 feet of 3" discharge hose. Hose to be equipped with cam-locks and caps for both ends
5. Spare tire (road legal)
6. Top hatch must function as designed (seal and latch down)
7. Tanker only, no tractor is needed.
8. Minimum 75% remaining tire treads

Specification Number 9: Water Truck

Peterbilt 357 or Kenworth T470 or equal. Must have the following features:

1. Model Year 2014 or newer.
2. Minimum 4,000 gallon capacity
3. Truck mounted
4. Self-Priming pump (must be capable to fill itself from a pond or stream). Pump must be capable of filling tank within 15 minutes or less with 8" of suction lift.
5. Two (2) each 3" x 20' suction hoses. Hoses must be able to be mounted on the truck
6. Truck fill valve must match hose, or have an adapter
7. Front and rear spray heads controlled from the operators' position.
8. Jacobs engine brake or equal
9. Air conditioner
10. Rotating or flashing amber beacon or strobe

Specification Number 10: Roller, Single Steel Drum, 12–15 Ton

Cat CS56, Hamm H11i or equal. Must have the following features:

1. Model Year 2014 or newer
2. 26,000 lb. minimum operating weight
3. Fully enclosed pressurized cab with heat and air conditioner
4. 75% Scraper life
5. Mirrors
6. Back-Up Alarm
7. 84" Smooth drum
8. Rotating or flashing amber beacon or strobe
9. Roll Over Protection System (ROPS) and Falling Object Protection System (FOPS)
10. CB Radio Installed

Optional Features:

1. Smart Compaction system

Equipment Specifications

Specification Number 11: Roller, Dual Drum, Vibratory, 12-15 Ton

Cat CB 15 or Hamm HD 140 VV or equal. Must have the following features:

1. Model Year 2014 or newer
2. Dual Drum vibration
3. Minimum 84" drums
4. Roll Over Protection System (ROPS)
5. Variable amplitude vibration (Versa Vibe)
6. Rotating operators seat
7. Water systems for front and rear drums
8. 75% remaining life on coco mats or rubber drum wipers
9. Spring loaded drum wipers
10. Rotating or flashing amber beacon or strobe
11. Mirrors: Front and rear
12. CB Radio Installed

Optional Features:

1. Fully enclosed cab with heat and air conditioner

Specification Number 12: Loader, Skid Steer, with Trailer

Case SV 340 or Cat 272 D2 or equal. Must have the following features:

1. Model Year 2014 or newer.
2. AUX Hydraulic system to run extra implements
3. 6 way front mounted blade, minimum 80"
4. Hand operated bucket and implement controls (no foot pedals)
5. Must include tilt top trailer with at least 4 chains and binders for transport and include spare trailer tire.
6. Enclosed cab
7. Air Conditioner
8. Rotating or flashing amber beacon or strobe

Specification Number 13: Roller, Dual Drum, Vibratory, with Trailer

Cat CB1.8 or Hamm HD 10C VV or equal. **This is not a walk behind roller.**

1. Model Year 2014 or newer.
2. Minimum 2,500 lbs.
3. Water system for front and rear drum
4. Seat belt
5. Roll Over Protection System (ROPS)
6. 75% remaining life on coco mats or rubber drum wipers
7. Must include trailer with at least 4 chains and binders for transport and include spare trailer tire
8. Rotating or flashing amber beacon or strobe

Equipment Specifications

Specification Number 14: Air Compressor, Trailer mounted (towable)

Kaesar M 58 or Doosan P185WDO-T4F or equal. Equipment must have the following features:

1. Model Year 2014 or newer (Compressor).
2. Air regulator that adjusts from 80 PSI to 140 PSI
3. Dual air outlets
4. Road legal and including tail lights
5. Spare Tire

Specification Number 15: Truck, Pickup, ¾ Ton

Must have the following features:

1. Model Year 2014 or newer
2. Tow package, Class IV or larger
3. 4 Door, capable of seating 6 people
4. 4 Wheel Drive
5. Unlimited mileage
6. Air Conditioner
7. Rotating or flashing amber beacon or strobe
8. No restrictions for travelling on gravel roads

Equipment Specifications

Specification Number 16: Belly Dump with Tractor combination

Kenworth W990, Peterbilt 389 or equal. Must have the following features:

Truck Requirements:

1. Model Year 2014 or newer
2. Tandem rear axle
3. Capable of hauling a minimum of 25 tons of hot asphalt
4. Jacobs engine brake or equal
5. Minimum 425 HP engine
6. Meet all DOT criteria, and be road legal
7. Capable of hauling hot asphalt long distances (Delta Junction to the Canadian border)
8. Rotating or flashing amber beacon or strobe
9. Minimum 75% tire tread remaining
10. Spare tire (on rim)
11. Minimum 75% break shoe life remaining
12. Capable of operating belly dump from cab.

Belly Dump Trailer Requirements:

1. Model Year 2014 or newer
2. Automated tarp system for covering load (electric)
3. Meet all DOT criteria, and be road legal
4. Capable of hauling hot asphalt long distances (Delta Junction to the Canadian border)
5. Minimum 75% tire tread remaining
6. Spare tire (on rim)
7. Minimum 75% break shoe life remaining
8. Crank down landing gear
9. Multi adjustable gates
10. Minimum 20 yard capacity

Specification Number 17: Loader, Front End

Case 921G or Cat 962M or equal. Must have the following features

1. Five (5) yard bucket
2. Smooth bucket edge (no teeth)
3. Auto leveling bucket
4. Ride control system for travel
5. Rotating or flashing amber beacon or strobe
6. Model Year 2014 or newer

Equipment Specifications

Specification Number 18: Truck, Pickup, ½ Ton

Ford F150, Chevrolet 1500 or equal. Must have the following features:

1. Model Year 2014 or newer
2. Four Wheel Drive
3. Rotating or flashing amber beacon or strobe
4. Bed Liner
5. Power Doors and Locks
6. Tow Package
7. Unlimited mileage
8. Air Conditioner
9. Spare Tire
10. No restrictions for travelling on gravel roadways

Specification Number 19: Skid Steer, Wheeled with Trailer

Cat 262, or equal. Must have the following features:

1. Model year 2014 or newer
2. Minimum 8,000 pounds
3. 70-80 HP
4. Fully enclosed cab
5. Hydraulic disconnect for implements.
6. Forks and Bucket
7. Rotating or flashing amber beacon or strobe
8. Trailer to include minimum of 4 chains and binders for transport and include a spare tire
9. Tilt Top trailer preferred, with 2 5/16" ball

Specification Number 20: Backhoe, Rubber Tire

Cat 420, or equal. Must have the following features:

1. Model year 2014 or newer
2. Extend-a- hoe
3. Enclosed cab
4. Rotating or flashing amber beacon or strobe
5. Four-wheel drive
6. Heat and AC
7. CB Radio installed

Equipment Specifications

Specification Number 21: Dozer

D-8N or equal.

1. Model year 2014 or newer
2. Ripper equipped (single shank ripper acceptable)
3. Semi U or U blade
4. Rotating or flashing amber beacon or strobe
5. Enclosed cab
6. Heat and Air Conditioner
7. CB Radio installed
8. Undercarriage to be minimum 75% remaining

Specification Number 22: Excavator

Cat 320 or equal. Must have the following features:

1. Model year 2014 or newer
2. Thumb and general purpose bucket
3. Rotating or flashing amber beacon or strobe
4. GP Bucket
5. Frost Bucket
6. CB Radio installed

Specification Number 23: Loader, Skid Steer, Tracked, with Trailer

Cat 279 or equal. Must have the following features:

1. Model year 2014 or newer
2. Minimum 10,000 pounds
3. High Flow hydraulics
4. 30 GPM or higher hydraulic system
5. Hydraulic disconnect for implements
6. Forks and Bucket included
7. Sweeper attachment with water tanks mounted
8. Sweeper to be reversible with water nozzles attached
9. Brushing door
10. Enclosed Cab
11. Heater and Air Conditioner
12. Joystick Controls
13. Rotating or flashing amber beacon or strobe
14. All replacement filters needed for an estimated 800 hours of use.
15. Trailer to include minimum of 4 chains and binders for transport and include a spare tire.
Prefer Tilt-top with 2 5/16" Ball

Equipment Specifications

Specification Number 24: Trailer, Tandem Axle

1. Tilt-top or Ramp style
2. Minimum 8,000 pound capacity
3. 2 5/16" Ball

Specification Number 25: Roller, Rubber Tired

Cat CW34, Dynapac CP271 or equal. Must have the following features:

1. Model Year 2014 or newer
2. Rigid frame pneumatic compactors
3. Equipped with minimum ballast weight of 50,000 lbs
4. Minimum 8 tires in an overlapping configuration
5. Rotating or flashing amber beacon or strobe
6. Roll Over Protection System (ROPS)
7. Water system, front and rear
8. Headlights
9. Rotating Operators seat
10. Work lights, front and rear
11. Back-Up Alarm
12. Wheel Scrapers

Specification Number 26: Roller, Dual Drum, Vibratory, with Trailer

Cat CB24 or Hamm HD 12 VO or equal. **This is not a walk behind roller.**

1. Model Year 2014 or newer.
2. Minimum 5,900 lbs.
3. Water system for front and rear drum
4. Seat belt
5. Roll Over Protection System (ROPS)
6. 75% remaining life on coco mats or rubber drum wipers
7. Must include trailer with at least 4 chains and binders for transport and include spare trailer tire
8. Rotating or flashing amber beacon or strobe

Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
1	1	Roller, Double Drum, Vibrating, 10-12 Ton	2	May 15 through September 15	2301 Peger Road Fairbanks, AK	Fairbanks
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
2	2	Roller, Rubber Tired	2	June 15 through August 15	2301 Peger Road Fairbanks, AK	Fairbanks
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
3	3	Roller, Single Drum, 12 Ton	1	June 15 through August 15	2301 Peger Road Fairbanks, AK	Fairbanks
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		

Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
4	4	Trailer, Bottom Dump, Tri-Axle	5	May 15 through September 15	2301 Peger Road Fairbanks, AK	Fairbanks
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
5	5	Truck, Pickup, 1 Ton, Crew Cab	2	June 15 through September 15	2301 Peger Road Fairbanks, AK	Fairbanks
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
6	6	Sweeper, Street, self-propelled	1	June 15 through September 15	2301 Peger Road Fairbanks AK	Fairbanks
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		

Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
7	7	Water Truck	1	June 15 through September 15	2301 Peger Road Fairbanks AK	Fairbanks
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
8	13	Roller, Dual Drum, Vibratory, wth Trailer	1	June 15 through September 15	2301 Peger Road Fairbanks AK	Fairbanks
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
9	26	Roller, Dual Drum, Vibratory, wth Trailer	1	June 15 through September 15	2301 Peger Road Fairbanks AK	Fairbanks
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

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Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
10	2	Roller, Rubber Tired	2	June 10 through August 19	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$ _____	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment _____				Quantity Available _____		
<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
11	8	Tanker, Hot Oil	1	June 10 through August 19	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$ _____	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment _____				Quantity Available _____		
<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
12	9	Water Truck	1	June 10 through August 19	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$ _____	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment _____				Quantity Available _____		

ITB 2521N013
Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
13	10	Roller, Single Steel Drum, 12-15 Ton	2	June 10 through August 19	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		
<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
14	11	Roller, Dual Drum, Vibratory, 12 - 15 Ton	2	May 13 through June 10 and August 5 through August 26	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		
<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
15	12	Loader, Skid Steer, with Trailer	1	May 13 through June 3	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		

ITB 2521N013
Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
16	13	Roller, Dual Drum, Vibratory, with Trailer	1	May 13 through June 3	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$ _____	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment offered:				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
17	14	Air Compressor, Trailer Mounted	1	May 13 through June 3	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$ _____	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
18	6	Sweeper, Street, self-propelled	1	June 10 through August 19	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$ _____	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment				Quantity Available _____		

Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
19	15	Truck, Pickup, 3/4 Ton	1	May 13 through August 26	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
20	16	Belly Dump with Tractor combination	2	June 7 through August 19	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
21	17	Loader, Front End	1	June 10 through August 19	2301 Peger Road Fairbanks, AK	Tok
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment				Quantity Available _____		

Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
22	1	Roller, Double Drum, Vibrating, 10-12 Ton	1	May 15 through September 15	2301 Peger Road Fairbanks, AK	Denali
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
23	18	Truck, Pickup, 1/2 Ton	2	May 15 through September 15	2301 Peger Road Fairbanks, AK	Denali
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
24	4	Trailer, Bottom Dump, Tri-Axle	2	May 15 through September 15	2301 Peger Road Fairbanks, AK	Denali
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
25	19	Loader, Skid Steer, Wheeled with Trailer	0		2301 Peger Road Fairbanks, AK	Denali
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$ _____	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment _____				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
26	5	Truck, Pickup, 1 Ton, Crew Cab	5	May 15 through September 15*	2301 Peger Road Fairbanks, AK	Denali
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$ _____	\$ _____	\$ _____	\$ _____
*1 is needed 4/15/21						
Make, Model and Year of equipment _____				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
27	23	Loader, Skid Steer, Tracked, with Trailer	1	April 15 through September 15	2301 Peger Road Fairbanks, AK	Denali
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$ _____	\$ _____	\$ _____	\$ _____
Make, Model and Year of equipment _____				Quantity Available _____		

Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
28	16	Belly Dump with Tractor combination	2	May 15 through September 15	2301 Peger Road Fairbanks, AK	Denali
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
29	13	Roller, Dual Drum, Vibratory, with Trailer	1	May 15 through September 15	2301 Peger Road Fairbanks, AK	Denali
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
30	24	Trailer, Tandem Axle	0		2301 Peger Road Fairbanks, AK	Denali
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

ITB 2521N013
Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
31	11	Roller, Double Drum, Vibratory, 12-15 Ton	1	July 1 through August 15	2301 Peger Road Fairbanks, AK	Dalton
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
32	11	Roller, Double Drum, Vibratory, 12-15 Ton	1	May 15 through September 15	2301 Peger Road Fairbanks, AK	Dalton
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
33	10	Roller, Single Drum 12-15 Ton	1	May 15 through September 15	2301 Peger Road Fairbanks, AK	Dalton
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment _____				Quantity Available _____		

Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
34	2	Roller, Rubber Tired	2	May 15 through September 15	2301 Peger Road Fairbanks, AK	Dalton
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
35	6	Sweeper, Street, self-propelled	1	May 15 through September 15	2301 Peger Road Fairbanks, AK	Dalton
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
36	20	Backhoe, Rubber Tire	1	May 15 through September 15	2301 Peger Road Fairbanks, AK	Dalton
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
37	21	Dozer	1	May 15 through September 15	2301 Peger Road Fairbanks, AK	Dalton
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
38	22	Excavator	1	May 15 through September 15	2301 Peger Road Fairbanks, AK	Dalton
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
39	3	Roller, Single Drum, 12 Ton	2	May 15 through September 15	Mile 110 Richardson Highway	Tazlina
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

Equipment Rental, As Needed, Northern Region, Federally Funded

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
40	25	Roller, Rubber Tired	2	May 15 through September 15	Mile 110 Richardson Highway	Tazlina
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		

<u>Pay Item</u>	<u>Specification Number</u>	<u>Description</u>	<u>Quantity</u>	<u>Estimated Rental Period</u>	<u>F.O.B. Point</u>	<u>M & O Section</u>
41	9	Water Truck	1	May 15 through September 15	Mile 110 Richardson Highway	Tazlina
			Daily Rental	Weekly Rental	Monthly Rental	Mob\Demob
			Unit Price \$_____	\$_____	\$_____	\$_____
Make, Model and Year of equipment				Quantity Available _____		



STATE OF ALASKA EQUIPMENT CHECK OUT/IN SHEET

UNIT NO.: _____ EQUIPMENT TYPE: _____

P.O. #: _____ RECEIVING DISTRICT: _____

Check-Out Information (from Vendor)

SERIAL NO.: _____ LICENSE NO.: _____

YEAR: _____ MAKE: _____ MODEL: _____

DATE / TIME DELIVERED: ____ / ____ / ____ . _____ AM/PM

MILEAGE/HOURS _____

CHECKLIST:

FUEL: _____ FULL
WINDSHIELD: _____ NEW _____ CHIP/CRACK
TIRES: _____ %
SPARE TIRE: _____ YES _____ NO
OTHER DAMAGE/COMMENTS _____

OPERATORS MANUAL: _____
VEHICLE REGISTRATION: _____

Received By (to be completed by DOT representative and initialed by vendor):

Printed Name: _____ Title: _____

Signature: _____ Vendor Initials: _____ Date: _____

Check-In Information (Return to Vendor)

DATE / TIME DELIVERED: ____ / ____ / ____ . _____ AM/PM

MILEAGE/HOURS _____

CHECKLIST:

FUEL: _____ FULL
WINDSHIELD: _____ NEW _____ CHIP/CRACK
TIRES: _____ %
SPARE TIRE: _____ YES _____ NO

OTHER DAMAGE/COMMENTS _____

Returned By (to be completed by DOT representative and initialed by vendor):

Printed Name: _____ Title: _____

Signature: _____ Vendor Initials: _____ Date: _____

SMOKING
PROHIBITED BY LAW



FINE \$50



STATE OF ALASKA
DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES
REQUIRED CONTRACT PROVISIONS
for
FEDERAL-AID (FHWA) CONSTRUCTION CONTRACTS

FHWA-1273 -- Revised May 1, 2012
Supplement , Cargo Preference Act – Effective February 15, 2016

REQUIRED CONTRACT PROVISIONS
FEDERAL-AID CONSTRUCTION CONTRACTS

- I. General
- II. Nondiscrimination
- III. Nonsegregated Facilities
- IV. Davis-Bacon and Related Act Provisions
- V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Compliance with Governmentwide Suspension and Debarment Requirements
- XI. Certification Regarding Use of Contract Funds for Lobbying

ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

2. Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's

immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

II. NONDISCRIMINATION

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

1. Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627, 41 CFR 60 and 49 CFR 27)

and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to 23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract. The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.

b. The contractor will accept as its operating policy the following statement:

"It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."

2. EEO Officer: The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.

3. Dissemination of Policy: All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:

a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.

b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.

c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.

d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.

e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of

employees by means of meetings, employee handbooks, or other appropriate means.

4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.

a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.

b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.

c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.

5. Personnel Actions: Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:

a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.

b. The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.

c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.

d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

6. Training and Promotion:

a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.

b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).

c. The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.

d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

7. Unions: If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:

a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.

b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.

c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.

d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.

8. Reasonable Accommodation for Applicants / Employees with Disabilities: The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.

9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.

a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.

b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

10. Assurance Required by 49 CFR 26.13(b):

a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.

b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.

11. Records and Reports: The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.

a. The records kept by the contractor shall document the following:

(1) The number and work hours of minority and non-minority group members and women employed in each work classification on the project;

(2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and

(3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;

b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on [Form FHWA-1391](#). The staffing data should

represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and

(ii) The classification is utilized in the area by the construction industry; and

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for

determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

2. Withholding

The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

3. Payrolls and basic records

a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that

the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

b.(1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <http://www.dol.gov/esa/whd/forms/wh347instr.htm> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency..

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed,

as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.

(4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

4. Apprentices and trainees

a. Apprentices (programs of the USDOL).

Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL).

Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity

requirements of Executive Order 11246, as amended, and 29 CFR part 30.

d. Apprentices and Trainees (programs of the U.S. DOT).

Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.

5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

6. Subcontracts. The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

7. Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

8. Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

10. Certification of eligibility.

a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.

3. Withholding for unpaid wages and liquidated damages. The FHWA or the contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.

4. Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).

a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:

- (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;
- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.

b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.

2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.

3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.

4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is

evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.

5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.

2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).

3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, quantity, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.

2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

1. Instructions for Certification – First Tier Participants:

a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.

b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this

covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.

c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.

d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

(1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;

(2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and

(4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

2. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.

b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which

this transaction originated may pursue available remedies, including suspension and/or debarment.

c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).

e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.

g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (<https://www.epls.gov/>), which is compiled by the General Services Administration.

h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the

department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

* * * * *

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency.

2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

* * * * *

XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

3. The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

**ATTACHMENT A - EMPLOYMENT AND MATERIALS
PREFERENCE FOR APPALACHIAN DEVELOPMENT
HIGHWAY SYSTEM OR APPALACHIAN LOCAL ACCESS
ROAD CONTRACTS**

This provision is applicable to all Federal-aid projects funded under the Appalachian Regional Development Act of 1965.

1. During the performance of this contract, the contractor undertaking to do work which is, or reasonably may be, done as on-site work, shall give preference to qualified persons who regularly reside in the labor area as designated by the DOL wherein the contract work is situated, or the subregion, or the Appalachian counties of the State wherein the contract work is situated, except:

a. To the extent that qualified persons regularly residing in the area are not available.

b. For the reasonable needs of the contractor to employ supervisory or specially experienced personnel necessary to assure an efficient execution of the contract work.

c. For the obligation of the contractor to offer employment to present or former employees as the result of a lawful collective bargaining contract, provided that the number of nonresident persons employed under this subparagraph (1c) shall not exceed 20 percent of the total number of employees employed by the contractor on the contract work, except as provided in subparagraph (4) below.

2. The contractor shall place a job order with the State Employment Service indicating (a) the classifications of the laborers, mechanics and other employees required to perform the contract work, (b) the number of employees required in each classification, (c) the date on which the participant estimates such employees will be required, and (d) any other pertinent information required by the State Employment Service to complete the job order form. The job order may be placed with the State Employment Service in writing or by telephone. If during the course of the contract work, the information submitted by the contractor in the original job order is substantially modified, the participant shall promptly notify the State Employment Service.

3. The contractor shall give full consideration to all qualified job applicants referred to him by the State Employment Service. The contractor is not required to grant employment to any job applicants who, in his opinion, are not qualified to perform the classification of work required.

4. If, within one week following the placing of a job order by the contractor with the State Employment Service, the State Employment Service is unable to refer any qualified job applicants to the contractor, or less than the number requested, the State Employment Service will forward a certificate to the contractor indicating the unavailability of applicants. Such certificate shall be made a part of the contractor's permanent project records. Upon receipt of this certificate, the contractor may employ persons who do not normally reside in the labor area to fill positions covered by the certificate, notwithstanding the provisions of subparagraph (1c) above.

5. The provisions of 23 CFR 633.207(e) allow the contracting agency to provide a contractual preference for the use of mineral resource materials native to the Appalachian region.

6. The contractor shall include the provisions of Sections 1 through 4 of this Attachment A in every subcontract for work which is, or reasonably may be, done as on-site work.

**SUPPLEMENT to Form FHWA -1273
CARGO PREFERENCE ACT REQUIREMENTS**

This provision requires compliance with the Cargo Preference Act (CPA) and its implementing regulations in 46 CFR 381 for all Federal Aid Projects awarded after February 15, 2016.

In accordance with 46 CFR 381.7, the following language must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of 46 CFR 381.7 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

(a) Agreement Clauses. Use of United States-flag vessels:

(1) Pursuant to Pub. L. 664 (43 U.S.C. 1241(b)) at least 50 percent of any equipment, materials or commodities procured, contracted for or otherwise obtained with funds granted, guaranteed, loaned, or advanced by the U.S. Government under this agreement, and which may be transported by ocean vessel, shall be transported on privately owned United States-flag commercial vessels, if available.

(2) Within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (a)(1) of this section shall be furnished to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590."

(b) Contractor and Subcontractor Clauses. Use of United States-flag vessels: The contractor agrees—

(1) To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.

(2) To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b) (1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.

(3) To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract."